
PeopleSoft HCM 9.2: Human Resources Manage Base Benefits

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PeopleSoft HCM 9.2: Human Resources Manage Base Benefits
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Preface

Understanding the PeopleSoft Online Help and PeopleBooks

The PeopleSoft Online Help is a website that enables you to view all help content for PeopleSoft Applications and PeopleTools. The help provides standard navigation and full-text searching, as well as context-sensitive online help for PeopleSoft users.

PeopleSoft Hosted Documentation

You access the PeopleSoft Online Help on Oracle's PeopleSoft Hosted Documentation website, which enables you to access the full help website and context-sensitive help directly from an Oracle hosted server. The hosted documentation is updated on a regular schedule, ensuring that you have access to the most current documentation. This reduces the need to view separate documentation posts for application maintenance on My Oracle Support, because that documentation is now incorporated into the hosted website content. The Hosted Documentation website is available in English only.

Locally Installed Help

If your organization has firewall restrictions that prevent you from using the Hosted Documentation website, you can install the PeopleSoft Online Help locally. If you install the help locally, you have more control over which documents users can access and you can include links to your organization's custom documentation on help pages.

In addition, if you locally install the PeopleSoft Online Help, you can use any search engine for full-text searching. Your installation documentation includes instructions about how to set up Oracle Secure Enterprise Search for full-text searching.

See *PeopleTools 8.53 Installation* for your database platform, "Installing PeopleSoft Online Help." If you do not use Secure Enterprise Search, see the documentation for your chosen search engine.

Note: Before users can access the search engine on a locally installed help website, you must enable the Search portlet and link. Click the Help link on any page in the PeopleSoft Online Help for instructions.

Downloadable PeopleBook PDF Files

You can access downloadable PDF versions of the help content in the traditional PeopleBook format. The content in the PeopleBook PDFs is the same as the content in the PeopleSoft Online Help, but it has a different structure and it does not include the interactive navigation features that are available in the online help.

Common Help Documentation

Common help documentation contains information that applies to multiple applications. The two main types of common help are:

- Application Fundamentals

- Using PeopleSoft Applications

Most product lines provide a set of application fundamentals help topics that discuss essential information about the setup and design of your system. This information applies to many or all applications in the PeopleSoft product line. Whether you are implementing a single application, some combination of applications within the product line, or the entire product line, you should be familiar with the contents of the appropriate application fundamentals help. They provide the starting points for fundamental implementation tasks.

In addition, the *PeopleTools: PeopleSoft Applications User's Guide* introduces you to the various elements of the PeopleSoft Pure Internet Architecture. It also explains how to use the navigational hierarchy, components, and pages to perform basic functions as you navigate through the system. While your application or implementation may differ, the topics in this user's guide provide general information about using PeopleSoft Applications.

Field and Control Definitions

PeopleSoft documentation includes definitions for most fields and controls that appear on application pages. These definitions describe how to use a field or control, where populated values come from, the effects of selecting certain values, and so on. If a field or control is not defined, then it either requires no additional explanation or is documented in a common elements section earlier in the documentation. For example, the Date field rarely requires additional explanation and may not be defined in the documentation for some pages.

Typographical Conventions

The following table describes the typographical conventions that are used in the online help.

<i>Typographical Convention</i>	<i>Description</i>
Bold	Highlights PeopleCode function names, business function names, event names, system function names, method names, language constructs, and PeopleCode reserved words that must be included literally in the function call.
<i>Italics</i>	Highlights field values, emphasis, and PeopleSoft or other book-length publication titles. In PeopleCode syntax, italic items are placeholders for arguments that your program must supply. Italics also highlight references to words or letters, as in the following example: Enter the letter <i>O</i> .
Key+Key	Indicates a key combination action. For example, a plus sign (+) between keys means that you must hold down the first key while you press the second key. For Alt+W, hold down the Alt key while you press the W key.
Monospace font	Highlights a PeopleCode program or other code example.
... (ellipses)	Indicate that the preceding item or series can be repeated any number of times in PeopleCode syntax.

<i>Typographical Convention</i>	<i>Description</i>
{ } (curly braces)	Indicate a choice between two options in PeopleCode syntax. Options are separated by a pipe ().
[] (square brackets)	Indicate optional items in PeopleCode syntax.
& (ampersand)	When placed before a parameter in PeopleCode syntax, an ampersand indicates that the parameter is an already instantiated object. Ampersands also precede all PeopleCode variables.
⇒	This continuation character has been inserted at the end of a line of code that has been wrapped at the page margin. The code should be viewed or entered as a single, continuous line of code without the continuation character.

ISO Country and Currency Codes

PeopleSoft Online Help topics use International Organization for Standardization (ISO) country and currency codes to identify country-specific information and monetary amounts.

ISO country codes may appear as country identifiers, and ISO currency codes may appear as currency identifiers in your PeopleSoft documentation. Reference to an ISO country code in your documentation does not imply that your application includes every ISO country code. The following example is a country-specific heading: "(FRA) Hiring an Employee."

The PeopleSoft Currency Code table (CURRENCY_CD_TBL) contains sample currency code data. The Currency Code table is based on ISO Standard 4217, "Codes for the representation of currencies," and also relies on ISO country codes in the Country table (COUNTRY_TBL). The navigation to the pages where you maintain currency code and country information depends on which PeopleSoft applications you are using. To access the pages for maintaining the Currency Code and Country tables, consult the online help for your applications for more information.

Region and Industry Identifiers

Information that applies only to a specific region or industry is preceded by a standard identifier in parentheses. This identifier typically appears at the beginning of a section heading, but it may also appear at the beginning of a note or other text.

Example of a region-specific heading: "(Latin America) Setting Up Depreciation"

Region Identifiers

Regions are identified by the region name. The following region identifiers may appear in the PeopleSoft Online Help:

- Asia Pacific
- Europe
- Latin America

- North America

Industry Identifiers

Industries are identified by the industry name or by an abbreviation for that industry. The following industry identifiers may appear in the PeopleSoft Online Help:

- USF (U.S. Federal)
- E&G (Education and Government)

Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Using and Managing the PeopleSoft Online Help

Click the Help link in the universal navigation header of any page in the PeopleSoft Online Help to see information on the following topics:

- What's new in the PeopleSoft Online Help.
- PeopleSoft Online Help accessibility.
- Accessing, navigating, and searching the PeopleSoft Online Help.
- Managing a locally installed PeopleSoft Online Help website.

PeopleSoft HCM Related Links

[PeopleSoft Information Portal on Oracle.com](#)

[My Oracle Support](#)

[PeopleSoft Training from Oracle University](#)

[PeopleSoft Video Feature Overviews on YouTube](#)

[HCM Abbreviations](#)

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Getting Started with PeopleSoft Manage Base Benefits

PeopleSoft Manage Base Benefits Overview

The PeopleSoft Manage Base Benefits business process provides you with the tools that you need to manage your employee benefit programs. It enables you to set up your basic benefits system architecture and manually enroll employees and their dependents into the benefits system. This comprehensive benefits management solution supports a full range of benefit programs and plans, and provides you with everything you need to maintain your benefit records and to respond to inquiries from decision makers, managers, and other employees.

With the PeopleSoft Manage Base Benefits business process, you can:

- Define the tables that you need to build your benefit programs.
- Manually enroll employees and dependents into benefit programs.
- Print HIPAA (Health Insurance Portability and Accountability Act) reports.
- Track and manage FMLA (Family Medical Leave Act) requests.
- Calculate accrued leave awards and maintain employee leave balances.
- Manage qualified savings plans and pension plans.
- Manage vacation buy and sell programs.
- Administer COBRA (Consolidated Omnibus Budget Reconciliation Act) coverage for qualified participants and their dependents.
- Process retroactive benefits and deductions.
- Send enrollment data to third-party administrators.
- Send FEHB (federal employee health benefits) data to the OPM (Office of Personnel Management).

Related Links

"PeopleSoft Benefits Administration Overview (*PeopleSoft HCM 9.2 Benefits Administration*)"

PeopleSoft Manage Base Benefits Business Process

The PeopleSoft Manage Base Benefits business process includes four core phases of operation:

1. Setting up supporting tables and benefit plans.
2. Building benefit programs.
3. Assigning employees to benefit programs and enroll them in plans for which their program enrollment makes them eligible.

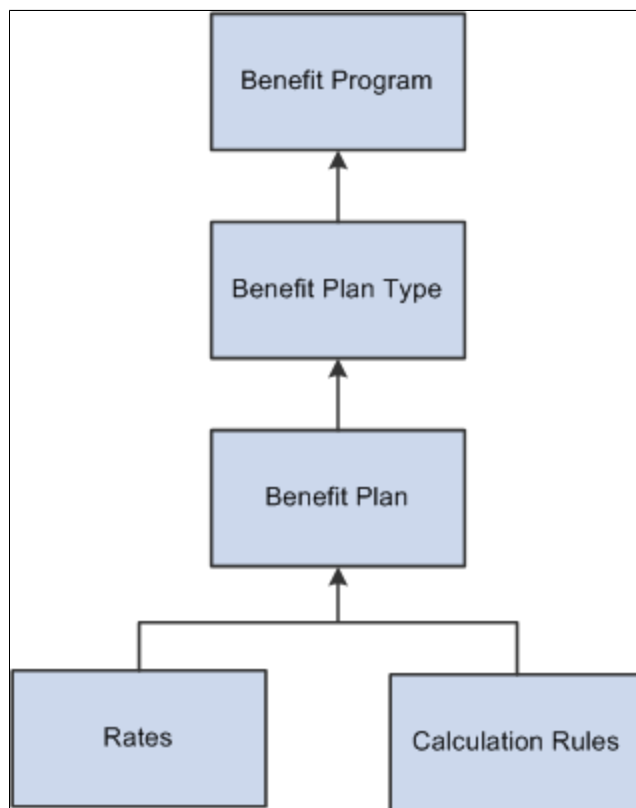
Note: For Federal users, employees are assigned to benefit programs through the HR PAR process.

4. Calculating rates and benefit deductions.

If you use PeopleSoft Payroll for North America, you calculate deductions through the payroll process. If you use another payroll system, you use PeopleSoft Payroll Interface to link the PeopleSoft benefits information to that system.

Image: Building blocks for benefit programs

The following diagram illustrates the four core phases of operation that make up the structure upon which benefit programs are built:



PeopleSoft Manage Base Benefits Integrations

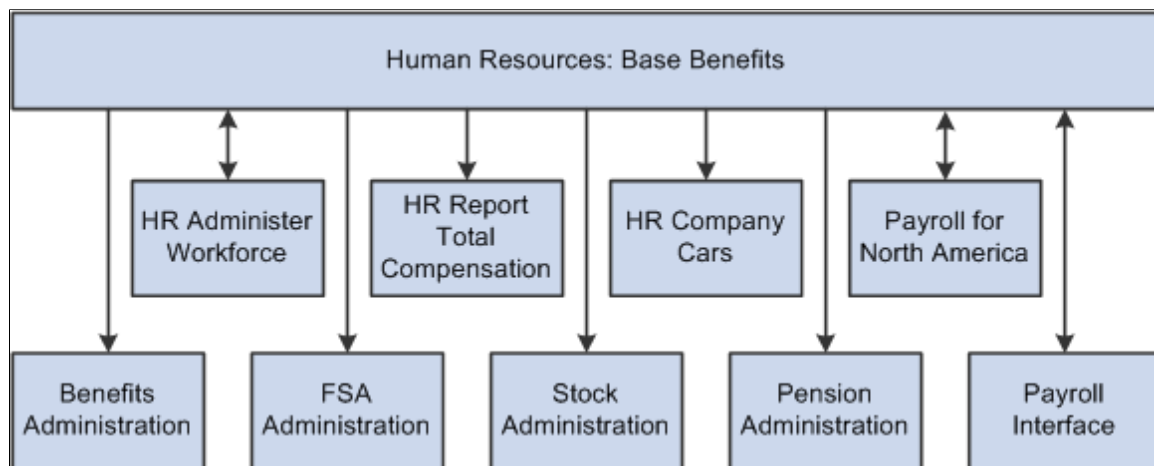
The PeopleSoft Manage Base Benefits business process integrates with the following PeopleSoft applications:

- HR Administer Workforce.

- HR Report Total Compensation.
- HR Company Cars.
- Payroll for North America.
- Benefits Administration.
- FSA Administration.
- Stock Administration.
- Pension Administration.
- Payroll Interface.

Image: PeopleSoft Manage Base Benefits integration flow with other PeopleSoft applications

This diagram illustrates the PeopleSoft Manage Base Benefits integration flow with other PeopleSoft applications.



We cover integration considerations in the implementation topics in this PeopleBook.

Supplemental information about third-party application integrations is located on the Oracle's website.

Related Links

"Identifying Integrations for Your Implementation (*PeopleSoft HCM 9.2: Application Fundamentals*)"

PeopleSoft Manage Base Benefits Implementation

PeopleSoft Setup Manager enables you to generate a list of setup tasks for your organization based on the features that you are implementing. The setup tasks include the components that you must set up, listed in the order in which you must enter data into the component tables, and links to the corresponding PeopleBook documentation.

Other Sources of Information

In the planning phase of your implementation, take advantage of all PeopleSoft sources of information, including the installation guides, data models, business process maps, and troubleshooting guidelines.

Related Links

See product documentation for:

PeopleTools: PeopleSoft Setup Manager

PeopleTools: PeopleSoft Component Interfaces

Setting Up Base Benefits Core Tables

Understanding the Core Tables for PeopleSoft Manage Base Benefits

Before you can begin building your benefit programs and entering your benefit plan information, some core tables must be set. These topics discuss setting up these tables.

Setting Up Benefit Providers

A benefit provider is a vendor. It is the entity that sponsors the benefit plan that your company offers your employees. For example, if your company offers a Blue Cross/Blue Shield health insurance plan, Blue Cross/Blue Shield is the provider. If your organization has a self-funded medical plan but uses a third-party administrator (TPA) to handle administrative functions such as eligibility and claims, the TPA can be considered a vendor.

You use the Provider/Vendor Table component (PROVIDER_TABLE) to set up an ID for each vendor.

To include a benefit provider in the HIPAA (Health Insurance Portability and Accountability Act) EDI File Creation process, you must specify the vendor's Federal Employer Identification Number (FEIN).

Warning! If your organization uses PeopleSoft Payroll for North America or PeopleSoft Payroll Interface to pay a benefit vendor, add the vendor using the Payables Vendor table, not the Base Benefits Provider/Vendor table.

Related Links

"Understanding Vendor Tables (*PeopleSoft HCM 9.2: Application Fundamentals*)"

"Using PeopleSoft eBenefits Pages (*PeopleSoft HCM 9.2: eBenefits*)"

Setting Up Dependent Relationships

Use the Dependent Relationships Table (DEPBEN_TYPE_TBL) component to define dependent relationships.

This section provides an overview of dependent relationships and discusses how to define dependent relationships.

See "Using PeopleSoft eBenefits Pages (*PeopleSoft HCM 9.2: eBenefits*)".

Note: Beneficiary relationships are not tracked because they do not have the same legal ramifications as dependents.

Page Used to Set Up Dependent Relationships

Page Name	Definition Name	Navigation	Usage
Dependent Relationships Table (dependent relationship)	DEPBEN_TYPE_TBL	Set Up HCM, Product Related, Base Benefits, Dependent Relationships Table, Dependent Relationships Table	Define dependent relationships.
Coverage Code Tbl (Coverage Code Table)	COVRG_CD_TBL	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Health Coverage Codes, Health Coverage Codes	Create new coverage codes for your benefit programs. We recommend that you give the coverage code a numeric value of one through seven. Coverage codes should be ordered according to their complexity, with Employee being first
Personal Profile	DEPEND_BENEF2	Benefits, Employee/ Dependent Information, Update Dependent/ Beneficiary, Personal Profile	Enter or update information about a dependent.

Understanding Dependent Relationships

You define the relationships—for example, child, grandparent (grandmother or grandfather), nephew, or niece (other relative)—that qualify as dependents according to your organization's rules. You cannot enroll dependents in benefits if their relationships are not defined. For example, if you had not defined *child* as an allowable dependent type, you couldn't enter an employee's son as a dependent. The characteristics that you apply to the relationship will be used later by the system to validate the relationship.

Dependent Relationships Table Page

Use the Dependent Relationships Table (dependent relationship) page (DEPBEN_TYPE_TBL) to define dependent relationships.

Navigation

Set Up HCM, Product Related, Base Benefits, Dependent Relationships Table, Dependent Relationships Table

Image: Dependent Relationships Table page

This example illustrates the fields and controls on the Dependent Relationships Table page. You can find definitions for the fields and controls later on this page.

Dependent Relationships Table						
Relationship to Employee: Child						
Dependent Types						
Effective Date	Status	Covered Person Type	Age Limit Flag	Certificate ID	Mutually Exclusive	Gender Validation
01/01/1900	Active	Child	<input checked="" type="checkbox"/>		<input type="checkbox"/>	No Gender Validation

Relationship to Employee

Displays the relationship that you selected to access the page.

Effective Date

Enter the date on which the dependent relationship goes into effect.

Status

Select whether the dependent relationship is *Active* or *Inactive*.

If the status is *Inactive*, the relationship is no longer considered a valid dependent relationship.

Covered Person Type

Select the covered person type you are using to define the relationship.

The delivered values are:

- *Employee*
- *Spouse*
- *Child* (child, grandchild, domestic partner child, other child, step child, foster child)
- *Adult Child* (children who are eligible to be enrolled in their parents' health plan until they attain age 26.)
- *Other Qualified Dependent*
- *Domestic Partner*
- *Non-qualified Dependent*
- *ExSpouse*

Age Limit Flag

When the COBRA process for overage dependents runs, the system uses this check box to indicate which dependent roles should have the overage rules applied.

Certificate ID

Select to define a certification form for a relationship. The certificate ID defined here appear in the Oracle's PeopleSoft eBenefits Self-Service application.

Mutually Exclusive

Select to limit each participant to one dependent with a relationship that has been flagged as mutually exclusive at one time. The system allows the participant to save more than one mutually exclusive dependent in eBenefits, but makes the dependent a beneficiary. An informational message appears to the employee.

Gender Validation

Values include:

- *No Gender Validation*: Select for no gender validation to occur.
- *Opposite Sex Only*: Select to ensure that when a dependent is added or a relationship changes, that person is the opposite sex from the participant.
- *Same Sex Only*: Select to ensure that when a dependent is added or a relationship changes, that person is the same gender as the participant.

Note: Certificate ID and gender validation can affect benefits certification.

Related Links

[Setting Up Benefits Certifications](#)

Setting Up Coverage Codes

To set up the coverage code that defines who can be covered by a benefit, use the Health Coverage Codes (COVERAGE_CODES) component.

This section provides an overview of coverage codes and discusses how to define coverage codes.

Page Used to Set Up Coverage Codes

Page Name	Definition Name	Navigation	Usage
Health Coverage Codes	COVRG_CD_TBL	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Health Coverage Codes, Health Coverage Codes	Define coverage codes.

Understanding Coverage Codes

You define and manage coverage codes for your benefit programs using the Coverage Code table. You will attach these coverage codes to your benefit plan options on the Benefit Program table.

PeopleSoft Manage Base Benefits delivers nine basic coverage codes for which you define a minimum and maximum allowable for each eligible covered person type. These delivered coverage codes are described in this table:

You should create a new Coverage Code or modify your existing Coverage codes as appropriate to include the Covered Person type of Adult Child.

<i>Delivered Coverage Code</i>	<i>Employee Minimum / Maximum</i>	<i>Spouse Minimum / Maximum</i>	<i>Domestic Partner Minimum / Maximum</i>	<i>Other Qualified Dependent or Child Minimum / Maximum</i>	<i>Other Nonqualified Dependent Minimum / Maximum</i>
1 Employee Only	1 / 1	not allowed	not allowed	not allowed	not allowed
2 Employee + Spouse	1 / 1	1 / 1	not allowed	not allowed	not allowed
3 Employee + Dependents	1 / 1	not allowed	not allowed	1 / 99	not allowed
4 Family	1 / 1	1 / 1	not allowed	1 / 99	not allowed
5 Domestic Partner Adult	not allowed	not allowed	1 / 1	not allowed	not allowed
6 Domestic Partner Child(ren)	not allowed	not allowed	not allowed	not allowed	1 / 99
7 Domestic Partner Adult + Child(ren)	not allowed	not allowed	1 / 1	not allowed	1 / 99
12 EE + Domestic Partner	1 / 1	not allowed	1 / 1	not allowed	not allowed

<i>Delivered Coverage Code</i>	<i>Employee Minimum / Maximum</i>	<i>Spouse Minimum / Maximum</i>	<i>Domestic Partner Minimum / Maximum</i>	<i>Other Qualified Dependent or Child Minimum / Maximum</i>	<i>Other Nonqualified Dependent Minimum / Maximum</i>
14 EE + Domestic Partner + Child(ren)	1 / 1	not allowed	1 / 1	1 / 99	not allowed
90 Covered Person	1/1	0/1	0/1	0/99	0/9

You can also define coverage codes to validate enrollments against a total number of eligible covered person types, for example, a coverage code that allows enrollment of the employee plus one dependent. The dependent can be either a spouse or a child. The system will sum the allowable covered person types defined for the coverage code rather than look at the minimum and maximum defined for each individual covered person type.

Coverage Code 90 is used to coordinate with the rate structure where you combine a qualified and a non qualified person under one rate, for example, employee and domestic partner. It looks at "who" is covered rather than how many persons are covered.

Health Coverage Codes Page

Use the Health Coverage Codes page (COVRG_CD_TBL) to define coverage codes.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Health Coverage Codes, Health Coverage Codes

Image: Health Coverage Codes page

This example illustrates the fields and controls on the Health Coverage Codes page. You can find definitions for the fields and controls later on this page.

Health Coverage Codes

Coverage Code: 90

Coverage Codes Find | View All | First 1 of 1 Last

*Effective Date: 01/01/2005 31 *Status: Active + -

*Description: Covered Persons Logic Short Description: CP Logic

COBRA Coverage Set: ☐

☐ Total Covered Persons

Total Minimum Covered: 0

Total Maximum Covered: 0

Allowable Covered Person Types Customize | Find | 1-4 of 4 Last

Covered Person Type	Minimum Covered	Maximum Covered
Child	0	99 + -
Domestic Partner	0	1 + -
Employee	1	1 + -
Spouse	0	1 + -

COBRA Coverage Set

A 2-character code that is used to group coverage codes together for COBRA eligibility purposes. When an individual experiences a COBRA event, the system must adjust the range of coverage codes available to each qualified beneficiary. By restricting itself to a specific set of coverage codes, COBRA processing can more accurately determine which benefit options to present to a participant who is eligible for COBRA coverage.

If COBRA coverage sets are not established, then COBRA processing may encounter multiple equivalent coverage codes, and eligibility determination may fail.

Total Covered Persons

Select to activate the total covered person types functionality for this coverage code.

Total Minimum Covered and Total Maximum Covered

These fields are visible only when the Total Covered Persons check box is selected.

Enter the allowable minimum and maximum covered persons. Include the employee in this count.

Allowable Covered Person Types

Covered Person Type

Select from the following values to define the relationship you have to the dependent.

Child, Adult Child, Domestic Partner, Employee, ExSpouse, Non-Qualified Dependent, Other Qualified Dependent, Spouse.

Note: The coverage code controls for covered person type are used in PeopleSoft Benefits Administration.

See "Event Rules Table- Event Rules Page (*PeopleSoft HCM 9.2 Benefits Administration*)".

Minimum Covered

Enter the minimum number of covered persons allowed.

If you selected the Total Covered Persons check box, this field is not editable.

Maximum Covered

Enter the maximum number of covered persons allowed.

If no limit applies to the number for this coverage code, enter 99.

If you selected the Total Covered Persons check box, this field is not editable.

Setting Up Coverage Group Codes

To set up coverage group codes, use the Life and AD/D Coverage Groups (COVERAGE_GROUP_TBL) component.

This section provides an overview of coverage group codes and discusses how to enter coverage group code information.

Page Used to Set Up Coverage Group Codes

Page Name	Definition Name	Navigation	Usage
Life and AD/D Coverage Groups	COVERAGE_GROUP_TBL	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Life and AD/D Coverage Groups, Life and AD/D Coverage Groups	Enter the coverage group code information that sets the maximum total life and AD/D coverage for a group of life and AD/D benefit plans.

Understanding Coverage Group Codes

Life insurance and accidental death and dismemberment (AD/D) plans use coverage group codes to establish the maximum lifetime coverage allowed.

For example, if you define a coverage group code with a 500,000 USD maximum, then enter that coverage group code for a supplemental life plan and a group life plan, and associate both benefit plans with a benefit program. If you were to enroll an employee in both of those plans, the system would ensure that the employee's total coverage by both plans does not exceed 500,000 USD.

If the total coverage for a participant exceeds the coverage maximum, the system reduces the total coverage to meet the coverage maximum. When the system processes the deduction, it accumulates the coverage amounts and begins reducing coverage when the coverage group maximum is reached. The system processes plans in order of deduction priority, and if more than one plan has the same priority, it processes in increasing plan type order.

Note: Oracle's PeopleSoft Payroll uses the effective date to determine which coverage maximum to use for a particular processing cycle, according to the pay period end date.

Life and AD/D Coverage Groups Page

Use the Life and AD/D Coverage Groups page (COVERAGE_GROUP_TBL) to enter the coverage group code information that sets the maximum total life and AD/D coverage for a group of life and AD/D benefit plans.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Life and AD/D Coverage Groups, Life and AD/D Coverage Groups

Image: Life and AD/D Coverage Groups page

This example illustrates the fields and controls on the Life and AD/D Coverage Groups page. You can find definitions for the fields and controls later on this page.

Effective Date

Enter the effective date for the coverage maximum.

Payroll uses the effective date to determine which coverage maximum to use for a particular processing cycle, according to the pay period end date.

Coverage Maximum

Enter the maximum total life and AD/D coverage.

This amount applies to all the life and AD/D benefit plans that you associate with the coverage group code and that you associate with one benefit program.

You connect a life or AD/D plan with a coverage group code using the Life and AD/D Plan table.

Setting Up FEGLI Codes

To set up Federal Employee Group Life Insurance (FEGLI) codes, use the FEGLI Code Table (GVT_FEGLI_TBL) component.

This section discusses how to enter FEGLI code information.

Page Used to Set Up FEGLI Codes

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
FEGLI Code Table	GVT_FEGLI_TBL	Set Up HCM, Product Related, Base Benefits, Plan Attributes, FEGLI Code Table, FEGLI Code Table	Enter FEGLI code information.

FEGLI Code Table Page

Use the FEGLI Code Table page (GVT_FEGLI_TBL) to enter FEGLI code information.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, FEGLI Code Table, FEGLI Code Table

Image: FEGLI Code Table page

This example illustrates the fields and controls on the FEGLI Code Table page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'FEGLI Code Table' page. At the top, 'FEGLI Code:' is followed by the value '9'. Below this is a 'Data' table with a header bar containing 'Find', 'First', '1 of 1', and 'Last'. The table contains one row with the following fields:

- *Effective Date:** 04/24/1999 (with a calendar icon)
- Status:** Inactive (with a dropdown arrow)
- Description:** Basic + 3 Times Pay
- Short Description:** 9-Basic +3

Navigation buttons (+ and -) are located to the right of the table header.

Setting Up the Service Step Table

To set up the service step table, use the Service Step Table (SERVICE_STEP_TABLE) component.

This section provides an overview of the service step table and discusses how to enter service step table information.

Page Used to Set Up the Service Step Table

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Service Step Table	SERVICE_STEP_TABLE	Set Up HCM, Product Related, Base Benefits, Rates and Rules, Service Step Table, Service Step Table	Enter service step table information that links the rate of employer matching contributions to an employee's length of service.

Understanding the Service Step Table

When you set up savings plans, you can define your organization's employer match according to the employee's years of service. The Service Step Table defines the different intervals, tax classification, and amount of match.

You can also define deduction classifications based on either a percentage of the employee's gross salary or a percentage of the employee's contribution amount.

Service Step Table Page

Use the Service Step Table page (SERVICE_STEP_TABLE) to enter service step table information that links the rate of employer matching contributions to an employee's length of service.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Service Step Table, Service Step Table

Image: Service Step Table page

This example illustrates the fields and controls on the Service Step Table page. You can find definitions for the fields and controls later on this page.

Service Step Table

Service Step Table ID: BSTP02

Service Step Table [Find](#) | [View All](#) | [First](#) | 1 of 1 | [Last](#)

*Effective Date: 01/01/1980 [31](#) [+](#) [-](#)

*Description: BSTP02 Short Description:

Service [Find](#) | [First](#) | 1-4 of 4 | [Last](#)

After Months of Service: [+](#) [-](#)

Match Rates [Customize](#) | [Find](#) | [View All](#) | [First](#) | 1 of 1 | [Last](#)

*Deduction Class	Up to % of Employee Earnings	% of Employee Investment		
After-Tax	<input type="text"/>	<input type="text"/>	+	-

After Months of Service: [+](#) [-](#)

Match Rates [Customize](#) | [Find](#) | [View All](#) | [First](#) | 1-2 of 2 | [Last](#)

*Deduction Class	Up to % of Employee Earnings	% of Employee Investment		
After-Tax	2.000	50.000	+	-
Before-Tax	3.000	50.000	+	-

After Months of Service

Enter the number of months the employee must be employed to receive the employer match.

Deduction Class

Enter the tax classification for this match.

Up to % of Employee Earnings (up to percent of employee earnings)

Enter the maximum amount of the employer match contribution percentage.

% of Employee Investment (percent of employee investment)

Enter the percentage amount of the employee contribution that the employer will match.

Example of Before-Tax and After-Tax Matching

Assume you have a 401(k) plan that's set up to match:

- 50% for the first 3% of the employee's salary for before-tax contributions.
- 100% up to 6% of the employee's salary for before-tax contributions.

- 25% on the first 2%, 50% up to 4%, and 100% up to 6% on the employee's after-tax contributions.

Here's how you set this up:

Deduction Class	Up to % of Employee Earnings	% of Employee Investment
Before	3	50
Before	6	100
After	2	25
After	4	50
After	6	100

Setting Up the Service Step Table for Thrift Savings Plan (TSP) Employer Match Calculation for Federal Benefits

For Thrift Savings Plan (TSP) Employer Match Calculation, set up the Service Step Table as follows:

1. The first 3% of contribution is 100% Employer Match.
2. The remaining contribution is 50% Employer Match.
3. Total contribution for matching cannot exceed 5%.

Image: Service Step Table for Thrift Savings Plan (TSP) Employer Match Calculation

This image shows the Service Step Table for Thrift Savings Plan (TSP) employer match calculation for federal benefits.

The screenshot shows the 'Service Step Table' configuration in a software interface. The table is titled 'Service Step Table' and has a 'Service Step Table ID' of 'LTSP1'. The 'Effective Date' is '01/01/1980'. The 'Description' is 'LTSP1'. The 'Short Description' is empty. The 'Service' section shows 'After Months of Service' as '0'. The 'Match Rates' table is displayed with the following data:

Deduction Class	Up to % of Employee Earnings	% of Employee Investment
Before-Tax	3.000	100.000
Before-Tax	5.000	50.000
After-Tax	3.000	100.000
After-Tax	5.000	50.000

The 'After-Tax' rows are highlighted with a red box.

Example of After Months of Service Rewards

This example shows how to use the After Months of Service field to create multiple service steps to reward employees for staying with the company.

<i>After Months of Service</i>	<i>Deduction Class</i>	<i>Up to % of Employee Earnings</i>	<i>% of Employee Investment</i>
0	Before	3	50
0	Before	6	100
0	After	2	25
0	After	4	50
0	After	6	100
24	Before	10	100
24	After	2	50
24	After	4	75
24	After	6	100

Setting Up Benefit Rates

To set up benefit rates, use the Benefit Rate Types (BN_RATE_TYPE) and Benefit Rates (BN_RATE_TABLE) components.

This section provides overviews of benefit rates and percent of gross limits and discusses how to:

- Define benefit rate types.
- Enter benefit rate information.
- Define the percent of gross limit.

Pages Used to Define Benefit Rates

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Benefit Rate Types	BN_RATE_TYPE	Set Up HCM, Product-Related, Base Benefits, Rates and Rules, Benefit Rate Types, Benefit Rate Types	Define benefit rate types.
Benefit Rates	BN_RATE_TABLE	Set Up HCM, Product Related, Base Benefits, Rates and Rules, Benefit Rates, Benefit Rates	Enter benefit rate information.

Page Name	Definition Name	Navigation	Usage
Amount Subject to Limit	BN_RATE_LMT_SEC	Click the Specify Optional Limit link on the Benefit Rates page.	Define the percent-of-gross limits.

Understanding Benefit Rates

Benefit rates are used by plan types AX (Simple Benefits), 1X (Health Benefits), 2X (Life and Accidental Death), and 3X (Disability). The Benefit Rate table defines rates in terms of flat amounts, percentages of compensation base, or rates per-unit-of-coverage. It also defines the criteria to use to select an individual rate from the table, such as age, gender, coverage code, benefit plan, or compensation range. The structure of a set of benefit rates (the rate terms and the selection criteria) is defined in the Benefit Rate Types page, while the rate data is entered in the Benefit Rates page. Benefit rate types enable you to mix and match criteria and rate terms to create benefit rates that best fit your business needs.

Understanding Percent of Gross Limits

Some states set a limit on the amount an employee pays for certain benefits, especially health insurance. This limit is based on a percentage of the employee's gross pay, and the percentage is defined on the Calculation Rules Table. However, this limit applies only to the portion of a benefit cost that represents coverage for the employee—the cost to cover a spouse or other family members is not subject to the limit. In general, the benefits rates do not break out costs per person. Therefore, the Benefit Rate table has a special entry area for defining what portion of the overall employee rate is subject to this optional limit.

If the percent-of-gross limit is, in fact, defined, then the system applies this limit by calculating benefit costs like this:

Lesser of [(EE Premium Amount Subject to Limit) or (Gross Pay × Limit %)] plus (Total EE Premium Amount – EE Premium Amount Subject to Limit)

Suppose that a monthly-paid employee is enrolled in family medical coverage, which has a flat rate of 300 USD per month. The amount of the employee's portion is 125 USD. The following example shows how to apply a 1.0% of gross pay limit to the employee-only coverage:

Total EE Premium Amount = 300 USD

EE Premium Amount Subject to Limit = 125 USD

Limit = 1.0% of Gross Pay

Gross Pay = 10,000 USD

The deduction is calculated as:

[Lesser of (125 or (10,000 × .01))] + (300 – 125)

= [Lesser of (125 or 100)] + (175)

= (100) + (175)

= 275 USD

The amount of the employee premium in excess of the limited deduction is 25 USD (300 – 275). This excess amount is added to the employer-paid premium.

Here's another example. Instead of the employee's single-coverage portion of the premium being a flat amount of 125 USD, assume that this is 30% of the premium amount:

Total EE Premium Amount = 300 USD

EE Premium Amount Subject to Limit = 30%

Limit = 1.0% of Gross Pay

Gross Pay = 10,000 USD

The deduction is calculated as:

$[\text{Lesser of } (300 \times 30\%) \text{ or } (10,000 \times .01))] + (300 - 125)$

$= [\text{Lesser of } (90 \text{ or } 100)] + (175)$

$= (90) + (175)$

$= 265 \text{ USD}$

In this case, 35 USD (300 - 265) is added to the employer-paid premium.

You can define limits on the employee's portion of a benefit premium by specifying a percent of gross limit on the Calculation Rules Table.

For example, current legislation in Hawaii requires that employers charge no more than 1.5% of an employee's gross wages for medical coverage. Only the employee's personal coverage is subject to this limit.

If the rate table indicates that the employer pays part of the premium, then any premium in excess of this final employee deduction amount (in this case, 1.5% of gross wages) is redirected (added) to the employer-paid portion of the premium. If the employer does not pay part of the premium, then no redirection occurs.

Benefit Rate Types Page

Use the Benefit Rate Types page (BN_RATE_TYPE) to define benefit rate types.

Navigation

Set Up HCM, Product-Related, Base Benefits, Rates and Rules, Benefit Rate Types, Benefit Rate Types

Image: Benefit Rate Types page

This example illustrates the fields and controls on the Benefit Rate Types page. You can find definitions for the fields and controls later on this page.

Benefit Rate Types

Benefit Rate Type: 1

*Description: Age-Graded (By Gender, Smoker)

Short Description: Age-Graded

*Rate or Percent: Rate Amount

☐ Uses Compensation

☒ Uses Demographics

Rate Keys		
*Key Nbr	Field Name	Matching Operator
1	Gender	Equality (=)
2	Smoker	Equality (=)
3	Age	Greater Than or Equal (>=)

This definition can not be changed - it is managed by PeopleSoft.

Rate or Percent

Select *Rate* if you are specifying either flat amounts or rates per-unit-of-coverage, or *Percent* if the rate is calculated as a percentage of compensation.

Uses Compensation

This field is automatically selected if either the rate type is *Percent* or any of the key fields that are used will use the employee's compensation data to determine the rate.

Uses Demographics

This field is automatically selected if any of the key fields that are used will use demographic data to determine the rate.

Rate Keys

Key Nbr (key number)

The key number controls the order in which the keys appear when you create a rate table.

Field Name

The application field to use as a key in the Benefit Rate table. This list of available fields is fixed and limited to:

Note: The source of any biographical information is determined by the Source of Demographics field on the Calculation Rules Table page.

- *Age* (in years): The covered person's (employee, spouse, or other dependent) age, calculated using their birth date and the Age-As-Of field on the Calculation Rules Table.
- *Benefit Base*: The employee's compensation base, calculated using the several benefit base-related attributes in the Calculation Rules Table.
- *Benefit Plan*: The employee's enrolled benefit plan.
- *Coverage Code*: The employee's enrolled coverage code.
- *Covered Person Type*: The covered person type (Employee, Spouse, Domestic Partner, Child, and so on) of the individual whose rate component is being calculated.
- *Gender*: The covered person's gender.
- *Months of Service*: The employee's length of service, calculated using his or her service date and the Service-As-Of attribute in the Calculation Rules Table.

Note: The service calculation is frozen as of a termination date.

- *Smoker Status*: The covered person's smoker status.

Matching Operator

Specify the type of comparison operation the system uses when attempting to match a value with the keys of a benefits rate table. Use this field to resolve each key ("=" for discrete keys such as codes, and ">," ">=," "<," and "<=" for numeric brackets.)

Note: You can define only one numeric bracket, and it must be the last key.

Delivered Benefit Rate Types

Benefit rate types delivered with your system are:

- *Age-Graded. (By Gender, Smoker)*: Defines rates, flat amounts, or per unit of coverage for the insured based on gender and smoker status within specific age brackets.
- *Flat Rate*: Defines rates that are based on a specific monetary amount.
- *Percent of Base*: Defines rates as simple fixed percentages of an employee's compensation base.
- *Length of Service (Months)*: Defines rates (flat amounts or per unit of coverage) based on length-of-service brackets.
- *Compensation Bands*: Defines rates (flat amounts or per unit of coverage) based on compensation brackets.

- *Covered Person Type*: Defines rates (flat amounts or per unit of coverage) individually for each covered person type. This rate type is applicable only to Health plans because they are the only plan type that supports coverage codes.

This rate type allows qualified and nonqualified persons to be assigned to different rates and results in a rate being calculated *per covered person* on the enrollment.

- *Benefit Plan and Coverage Code*: Defines rates, flat amounts, or per unit of coverage based on the benefit plan and coverage code enrollment. This rate type is useful for consolidating all rates for a given health provider into a single table.

Benefit Rates page

Use the Benefit Rates page (BN_RATE_TABLE) to enter benefit rate information.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Benefit Rates, Benefit Rates

Image: Benefit Rates page

This example illustrates the fields and controls on the Benefit Rates page. You can find definitions for the fields and controls later on this page.

Benefit Rates

Rate Table ID: B101

Benefit Rate Table Find | View All | First 1 of 1 Last

*Effective Date: 01/01/1900 31 *Effective Status: Active + -

*Benefit Rate Type: Age-Graded (By Gender, Smoker)

*Description: B101 Short Description:

*Premium Frequency: Monthly *Rate per Unit: None

Currency Code: USD Specify Optional Limit

Rate Data Customize | Find | View All | First 1-4 of 4 Last

Composite Rates Detail Rates

	Gender (=)	Smoker (=)	Age (>=)	Total Rate	Employee Rate	Employer Rate		
1	Female	No	0	0.00000	0.00000	0.00000	+	-
2	Female	Yes	0	50.00000	0.00000	50.00000	+	-
3	Male	No	0	0.00000	0.00000	0.00000	+	-
4	Male	Yes	0	50.00000	0.00000	50.00000	+	-

Warning! PeopleSoft Base Benefits delivers a set of rates with an ID of IRS. PeopleSoft Payroll for North America uses these IRS rates to calculate imputed income. The IRS table ID set should not be changed.

Benefit Rate Table

Use the Benefit Rate Table group box to define the structure of the rate table.

Benefit Rate Type	<p>Select from one of the system-delivered or custom rate types.</p> <p>This controls the overall structure of this rate table, especially the keys in the Rate Data section</p>
Premium Frequency	<p>Define how you quote the cost of benefit rates.</p> <p>If the employee's pay frequency differs from the premium frequency, the system annualizes the coverage rates and divides by employee pay frequency to determine the pay period rate.</p>
Rate per Unit	<ul style="list-style-type: none"> For a flat rate, select <i>None</i>. For a rate that applies to each \$100 of coverage, select <i>Per Hundred</i>. For a rate that applies to each \$1,000 of coverage, select <i>Per Thousand</i>.
Currency Code	<p>(Optional) Select a currency code to indicate the currency in which the rate data is specified. This is for informational purposes only—the system does not perform any currency conversion during deduction or cost calculations. However, the system does use this information when you are in the Benefit Program Table pages to match available benefit rates against the currency code associated with the benefit program.</p>
Specify Optional Limit	<p>Click to access the Amount Subject to Limit page.</p> <p>See Amount Subject to Limit Page.</p>

Rate Data

Use this group box to enter composite rates, detail rates, or tax adjustments. Composite rates are based on a specific dollar amount. Composite rates define rates as either *Employee* or *Employer* without defining a tax class. The actual tax class used is determined dynamically during processing based on the tax classes defined within the applicable deduction code. Tax classes *A* and *B* (after-tax and before-tax) are employee classes, and classes *T* and *N* (taxable and nontaxable) are employer classes. The deduction code cannot define more than one employee tax class or employer tax class unless a GTL (group term life) or DPL (dependent life) effect is involved, in which case both *N* and *T* are allowed because the *T* class will be managed by the imputed income processing.

You can enter rates using either the Composite method or the Detail method, but not both.

Composite Rates

Enter the rates that are based on a specific dollar amount. The appearance of the grid is based on the benefit rate type.

Age (>)	You can enter the keys based on your criteria from the benefit rate type.
Total Rate	Enter the total cost of the coverage. Include any administrative fee that you may charge.

Employee Rate

Enter the rate charged to the employee and deducted through the paycheck. This is either after-tax or before-tax, depending on the deduction code definition.

Employer Rate

Enter the rate the employer pays to subsidize the cost of a benefit. This is either taxable or nontaxable, depending on the deduction code definition.

Employee Percent

Enter the employee's portion of contribution. If you've specified a percent, this represents the percentage of base that the employee pays for the benefit.

Employer Percent

Enter the percentage of base that the employer contributes towards the benefits.

Note: The fields that appear in the Rate Data group box depend upon the rate type that you select.

Detail Rates

Access the Detail Rates tab on the Benefit Rates page.

Image: Benefit Rates page: Detail Rates tab

This example illustrates the fields and controls on the Benefit Rates page: Detail Rates tab. You can find definitions for the fields and controls later on this page.

Rate Data									
Composite Rates		Detail Rates							
	Gender (=)	Smoker (=)	Age (>=)	Total Rate	Before-Tax Rate	After-Tax Rate	Non-Taxable Rate	Taxable Rate	
1	Female	No	0	0.00000	0.00000	0.00000	0.00000	0.00000	+ -
2	Female	Yes	0	50.00000	0.00000	0.00000	0.00000	0.00000	+ -
3	Male	No	0	0.00000	0.00000	0.00000	0.00000	0.00000	+ -
4	Male	Yes	0	50.00000	0.00000	0.00000	0.00000	0.00000	+ -

Use the Detail Rates grid to split employee premiums across *Before* and *After Tax*, or to split employer premiums across taxable and nontaxable.

An example is premiums for domestic partner health coverage in the US, where the cost for qualified individuals can be *Before Tax*, but non-qualified individuals are *After Tax*.

Before-Tax Rates, After-Tax Rate, Non-Taxable Rate, and Taxable Rate

Allocate the total rate across the tax classes as desired. The classes here have the same meaning and impact as those specified in the deduction code. Any given tax class rate will be taken only if the deduction code being processed also has that tax class defined. You should not specify a taxable rate component for use in plans that are subject to imputed income. The system expects to generate the taxable cost for these plans.

Before-Tax Percent, After-Tax Percent, Non-Taxable Percent, and Taxable Percent

Allocate the percentages across the tax classes as desired.

Warning! Oracle's PeopleSoft Base Benefits delivers a set of rates with an ID of IRS. PeopleSoft Payroll for North America uses these IRS rates to calculate imputed income. The IRS table ID set should not be changed.

Tax Adjustment

Access the Tax Adjustment tab on the Benefit Rates page.

Image: Benefit Rates page, Tax Adjustment tab

This example illustrates the fields and controls on the Benefit Rates page, Tax Adjustment tab. You can find definitions for the fields and controls later on this page.

Benefit Rates

Rate Table ID: KUMED

Benefit Rate Table

*Effective Date: 04/01/2011 *Effective Status: Active

*Benefit Rate Type: Benefit Plan and Coverage Code

*Description: ku MED Short Description: ku MED

*Premium Frequency: Monthly *Rate per Unit: None

Currency Code: USD

Specify Optional Limit

Rate Data

Composite Rates Detail Rates Tax Adjustment

	Benefit Plan (=)	Coverage Code (=)	Tax Adjustment		
1	KUMED	1	0.00000	+	-
2	KUMED	12	0.00000	+	-
3	KUMED	14	0.00000	+	-
4	KUMED	2	0.00000	+	-
5	KUMED	83	50.00000	+	-
6	KUMED	4	0.00000	+	-
7	KUMED1	1	0.00000	+	-
8	KUMED1	12	0.00000	+	-
9	KUMED1	14	0.00000	+	-

The tab displays a column for the Non-Taxable Before-Tax benefit deduction class (labeled Tax Adjustment). Define the rates applicable to adult children here. For the Percent of Base rate type, the column label is Adjustment Percent.

The Tax Adjustment tab has the same attributes as the rate columns on the existing tabs:

- Default zero amount, numeric 5 decimals, etc., for amount rates.
- Percent of Base Rate Type Adjustment Percent field attributes have the same attributes as the other percentage rate fields on the page.
- The Total Rate column formulae on the two existing tabs does not include the rate on the tax adjustment tab.

The functionality is targeted at the two rate types that are most suited to health care deductions:

- Benefit Plan and Coverage Code

- Covered Person Type

In addition, the Percentage of Base type is included.

Amount Subject to Limit Page

Use the Amount Subject to Limit page (BN_RATE_LMT_SEC) to define the percent-of-gross limits.

Navigation

Click the Specify Optional Limit link on the Benefit Rates page.

Image: Amount Subject to Limit page

This example illustrates the fields and controls on the Amount Subject to Limit page. You can find definitions for the fields and controls later on this page.

Amount Subject to Limit

Specify the portion of the benefit rate that is to be subject to the percent-of-gross limit defined on the Calculation Rules.

Amount Subject to Limit: Flat Amount

Flat Amount Limit: 10.0000

Percent of Rate Limit:

OK Cancel Refresh

Specify the portion of the benefit rate to be subject to the percent-of-gross limit defined in the Calculation Rules Table page.

Amount Subject to Limit

Select whether the limit amounts is:

- *Entire Rate Amount*
- *Flat Amount Limit*
- *Not Applicable*
- *Percent of Rate*

Flat Amount Limit

This field is available if you selected *Entire Rate Amount* in the Amount Subject to Limit field.

Enter the amount that is subject to the limit.

Percent of Rate Limit

This field is available if you selected *Percent of Rate* in the Amount Subject to Limit field.

Enter the percentage amount of the employee rate that is subject to the limit.

Setting Up Annual Benefits Base Rates

Some benefits, such as life and disability insurance, can be based upon the employee's compensation rate or an annual benefits base rate (ABBR) that you define for the employee.

To set up annual benefits base rates, use the Annl Benef Base Rt Type Table (ABBR_TYPE_TBL) component.

This section provides an overview of Annual Benefits Base Rates (ABBR) and discusses how to define annual benefits base rates.

Page Used to Set Up ABBR Types

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Annl Benef Base Rt Type Tbl (annual benefits base rates table)	ABBR_TYPE	Set Up HCM, Product Related, Base Benefits, Rates and Rules, Annl Benef Base Rt Type Tbl, Annl Benef Base Rt Type Tbl	Define annual benefits base rates.

Annl Benef Base Rt Type Tbl Page

Use the Annl Benef Base Rt Type Tbl (annual benefits base rates table) page (ABBR_TYPE) to define annual benefits base rates.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Annl Benef Base Rt Type Tbl, Annl Benef Base Rt Type Tbl

Image: Annl Benef Base Rt Type Tbl page

This example illustrates the fields and controls on the Annl Benef Base Rt Type Tbl page. You can find definitions for the fields and controls later on this page.

Annl Benef Base Rt Type Tbl

Base Rate Type: BAS1

Annual Benefit Base Rates

Effective Date	Effective Status	Short Description	*Description
01/01/2000	Active	BAS1	BAS1

Setting Up Calculation Rules

To set up calculation rules, use the Calculation Rules Table (CALC_RULES_TABLE) component.

This section provides an overview of calculation rules and discusses how to define calculation rules.

Page Used to Set Up Calculation Rules

Page Name	Definition Name	Navigation	Usage
Calculation Rules Table	CALC_RULES_TABLE	Set Up HCM, Product Related, Base Benefits, Rates and Rules, Calculation Rules Table, Calculation Rules Table	Define calculation rules by specifying salary, age, and service as of dates, as well as coverage, calculation, and rounding options for the rule.

Understanding Calculation Rules

You use calculation rules to define how deductions are calculated for each benefit plan. Calculation rules relate only to rate-based plans or plans that require a compensation base, not a coverage base. When determining calculation rules, you need to understand:

- Compensation base versus annual benefits base rate.
- (USF) Compensation base.
- As-of dates.

Compensation Base Versus Annual Benefits Base Rate

Calculation rules use an employee's compensation as part of the definitions. If you are going to base the employee's compensation on an amount other than the employee's regular compensation rate, you can enter an amount in the Annual Benefits Base Rate field on the Compensation page of the Job Data component.

The term *Base Benefits* is a generic reference to the compensation to be used, whether it is the annual compensation rate on the employee's job record or an alternate annual benefit base rate, and whether it is a single rate from a single job record or an aggregate across multiple jobs.

If a calculation rule specifies that the ABBR should be used, but the employee has not been assigned an ABBR, the system uses the employee's regular compensation rate instead.

See "Understanding Job Data (*PeopleSoft HCM 9.2: Human Resources Administer Workforce*)".

(USF) Compensation Base

The compensation base is set through the Personnel Action Request (PAR) process. You can:

- Use the adjusted regular compensation amount provided in the Expected Pay page, accessed from the Compensation Data page of the PAR component. The regular compensation amount is the base pay for the employee's compensation frequency with locality or LEO (law enforcement officer) adjustments.
- Override the FEGLI base rate.

The system uses the regular adjusted compensation amount for benefit processing. The exception to this rule is FEGLI plans: you can have the system use a different base rate when processing FEGLI plans by overriding the FEGLI base. The FEGLI base mirrors the quoted total pay until it is overridden.

See "Understanding U.S. Federal Hiring (*PeopleSoft HCM 9.2: Human Resources Administer Workforce*)".

As-Of Dates

The Calculation Rules Table has several *as-of-date* rules that you can define to control the calculation of age, service, and benefits base. These rules give flexibility in defining the reference date the system uses for interval calculations, such as age and service, or flexibility in the determination of the effective date when retrieving the base compensation data. The following rules are available:

- As of the current pay run's pay-end date (or as of the current processing date for those applications that are not based on pay runs.)
- As of the current pay run's check issue date.
- As of a specific month and day for the current year. This is often used to freeze compensation at the point of open enrollment, typically 01/01 of the year, or to prevent unexpected midyear changes due to temporal events.
- As of a specific month and day for the previous year.

The Age As-Of rule has the following additional options:

- As of the next pay run's pay end date. This is mainly used by USF users to protect age from changing mid pay-period.

- As of date of the original enrollment in a benefit plan, date of first uninterrupted enrollment. This is mainly used by long-term care plans, for which costs are fixed at the point of enrollment.

If you use Multiple Jobs functionality, you must also tell the system which jobs to use when calculating the employee's earnings.

Calculation Rules Table Page

Use the Calculation Rules Table page (CALC_RULES_TABLE) to define calculation rules by specifying salary, age, and service as of dates, as well as coverage, calculation, and rounding options for the rule.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Calculation Rules Table, Calculation Rules Table

Image: Calculation Rules Table page

This example illustrates the fields and controls on the Calculation Rules Table page. You can find definitions for the fields and controls later on this page.

Calculation Rules Table

Calculation Rules Table ID: B001

Calculation Rules Table Find | View All First 1 of 1 Last

*Effective Date: 01/01/1980

*Description: B001 Short Description:

Demographics

*Use Age As Of: Check Date Month: Day:

*Use Service As Of: Check Date Month: Day:

*Source for Demographics: Employee

Benefit Base

*Use Benefits Base As Of: Check Date Month: Day:

Source

☒ Annual Rate

☐ Annual Benefits Base Rate PRIM Primary (From Job Record)

Multiple Jobs

☐ Combine Base for Multiple Jobs

*Group Method: Primary Job in Benefit Record

☐ Consider Active Jobs Only

Optional Rate Limit

Max Deduction % of Gross Pay: 1.000

Effective Date

Must be the same as or prior to the effective dates of the one or more benefit program and benefit plan combinations that you associate the calculation rules with.

Demographics**Use Age As Of**

Used to calculate rates for life plans that you associate with age-graded rates.

Use Service As Of

Used to calculate rates for plans that you base on months of service.

Source for Demographics

Designate whose personal information to use. Values are:.

- *Covered Dependent*: This is useful for dependent life plans defined as *sum of dependent coverage* because each covered dependent has an independent coverage amount, and the premium is typically based on that individual's age, gender, and smoker status.
- *Employee*
- *Spouse/Partner*: This is useful for spousal life plans.

If the system cannot locate a birth date for a dependent or spouse, it generates a warning that a birth date was not located and uses the employee's age instead, while still retaining the dependent's sex and smoker status.

Benefit Base**Use Benefits Base As Of**

Enter the date to use when retrieving the employee's salary if the system needs to use an employee's salary to determine a rate (for example, a compensation-banded rate table or a percent-of-salary rate table).

Source**Annual Rate**

Select to make calculations using the regular compensation base entered on the Job Data - Compensation page.

Annual Benefits Base Rate

Select to make calculations using an annual benefit base rate (ABBR.)

The ABBR selected can be either the primary ABBR found on the Job Data - Benefit Program Participation page or any alternate ABBR from the ABBR Update page.

Note: Set a maximum benefits base rate by assigning each employee an ABBR that is not higher than the desired maximum.

Note: For federal users, ABBR corresponds to the Total Pay field on the Expected Pay page of the PAR Compensation Data component.

Multiple Jobs

Combine Base for Multiple Jobs

Select if you want to aggregate salary from multiple jobs as a basis for a rate determination. Selecting this rule activates the Group Method options.

Group Method

If you selected Combine Base for Multi Jobs, select the group method to use for selecting jobs to contribute to the total benefit base. Choose from:

- *All Flagged Jobs:* All flagged jobs, regardless of benefit record number, are included in the calculation.

Note: This means that the job has the Include in Deductions check box selected on the Maintain Primary Jobs Flags page.

- *Flagged Jobs in Benefit Record:* All flagged jobs within the current benefit record number are included in the calculation.
- *Primary Job in Benefit Record:* Only the primary job within the current benefit record number is included in the calculation.

Consider Active Jobs Only

If you selected Combine Salary for Multi Jobs, select this option to have the system look only at jobs with a status of *Active* in the Job Data components.

Optional Rate Limit

Max Deduction % of Gross Pay (maximum deduction percentage of gross pay)

If you have employees in Hawaii, state laws mandate that employers charge no more than 1.5% of gross wages for employee-only coverage.

Use this field to establish a calculation rule with the maximum deduction percent of gross pay set to a value of 1.5 or lower. This rule will be attached to the cost row of the benefit plan coverage code associated with employee-only coverage.

This deduction calculation is performed when:

- The Pay Calculation process is being run.
- The plan type is *Health*.
- The coverage code is *Employee Only*.
- The calculation rule ID is defined.

- The values for maximum percent of gross pay, deductions, and gross pay are all greater than zero.

The maximum deduction percent of gross pay calculation looks like this:

$$\text{Deduction} = (\text{Max Percent of Gross Pay}) \times (\text{Federal Taxable Gross Pay}) \div 100.$$

The smaller deduction appears on the paycheck.

Setting Up Deduction Codes

To set up deduction codes, use the Deduction Table (DEDUCTION_TABLE) component.

This section provides an overview of deduction codes and discusses how to create deduction codes.

Page Used to Set Up Deduction Codes

Page Name	Definition Name	Navigation	Usage
Deduction Table - Setup	DEDUCTION_TABLE1	<ul style="list-style-type: none"> • Set Up HCM, Product Related, Payroll for North America, Deductions, Deduction Table, Setup • Set Up HCM, Product Related, Payroll Interface, Deductions, Deduction Table, Setup 	Create deduction codes.

Understanding Deduction Codes

The Manage Base Benefits business process in PeopleSoft HR is designed to work with PeopleSoft Payroll for North America and PeopleSoft Payroll Interface. Calculating deductions accurately is an important payroll function.

To set up benefit deductions:

- Use the Deduction Table component (DEDUCTION_TABLE) to select the plan type (any plan type *except* General Deduction), enter a deduction code, and set up the deduction processing rules.

See "Setting Up Deductions (*PeopleSoft HCM 9.2: Payroll for North America*)".

See "Setting Up and Maintaining General Deductions (*PeopleSoft HCM 9.2: Payroll Interfacechan*)".

- Use the Benefit Plan Table component (BENEFIT_PLAN_TABLE) and other components in the Manage Base Benefits business process to set up the benefit plans.

See [Defining Benefit Plans](#).

- Use the Benefit Program Table component (BEN_PROG_DEFN) and other components in the Manage Base Benefits business process to build the benefit program and to define the deduction calculation rules.

See [Building Benefit Programs](#).

The Deduction Table component enables you to select a plan type, enter a deduction code, and set up the deduction processing rules that enable you to specify processing details such as deduction priorities, deduction tax classifications, deduction pay period schedules, and other special payroll process indicators. The Benefit Program Table component enables you to set up the deduction calculation rules, actual rates, rules, and routines to use to determine the cost of the deduction. To calculate benefit deductions, payroll uses both the deduction processing rules from the Deduction Table component and deduction calculation rules from the Benefit Program Table.

Note: To set up the Benefit Program Table, you must enter deduction codes. Deduction codes are set up on the Deduction Table component through either PeopleSoft Payroll for North America or PeopleSoft Payroll Interface. However, if you are not using a PeopleSoft payroll application to calculate deductions, you can set up dummy deduction codes using other pages in PeopleSoft HR.

Designing a Deduction Strategy

When setting up deductions, you need to make some basic decisions about your strategy before you set up deduction rules, and these decisions depend in part upon how you want to report deductions to employees.

Here's a quick reference that shows the basic benefit deduction pay stub reporting options and how to make them happen:

<i>You Want to Report</i>	<i>Do This</i>	<i>Result on Pay Stubs</i>
The amount for each benefit plan.	Define a deduction code for each benefit plan.	Itemized descriptions and deduction amounts appear for each chosen plan type.
One total for a plan type.	Define a deduction code for a specific plan type.	The total deduction of a plan type appears.
One total for all deductions.	<p>Modify your pay check print program to print the total deduction for several plan type series.</p> <p>For example, you might print the total deductions for all health and life plan type series, while still showing a separate deduction for a savings plan, such as 401(k).</p> <p>You must modify your system because you can't automatically roll up to a higher level than plan type.</p>	The total deduction of all plan types you modify appears.

Deduction Table - Setup Page

Use the Deduction Table - Setup page (DEDUCTION_TABLE1) to create deduction codes.

Navigation

- Set Up HCM, Product Related, Payroll for North America, Deductions, Deduction Table, Setup
- Set Up HCM, Product Related, Payroll Interface, Deductions, Deduction Table, Setup

Image: Deduction Table - Setup page

This example illustrates the fields and controls on the Deduction Table - Setup page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Deduction Table - Setup' page. At the top, there are tabs: 'Setup', 'Tax Class', 'Tax Effect', 'Process', and 'Schedule'. The 'Setup' tab is selected. Below the tabs, the 'Plan Type' is set to '10 Medical' and the 'Deduction Code' is 'B10-01'. The 'Deduction Information' section contains the following fields: '*Effective Date' (01/01/1980), '*Description' (Basic Medical), 'Short Description' (Basic Med.), and 'Deduction Priority' (100). There are also buttons for 'Find', 'First', '1 of 1', and 'Last'. Below this, the 'Maximum Arrears Payback' section has three radio button options: 'No Maximum' (selected), 'Flat Maximum for Payback' (with an empty text box), and 'Factor x Regular Deduction' (with an empty text box). To the right, there is a 'Deduction Subset' table with columns for '*Subset ID' and 'Description'. The table currently shows one empty row.

To create a deduction code, enter the effective date and the long description.

If you are using PeopleSoft Payroll for North America or Payroll Interface, refer to the documentation for those products for information about how to set up the Deduction Table component (DEDUCTION_TABLE).

See "Setting Up Deductions (*PeopleSoft HCM 9.2: Payroll for North America*)".

See "Setting Up and Maintaining General Deductions (*PeopleSoft HCM 9.2: Payroll Interfacechan*)".

Setting Up Special Accumulators

To set up special accumulators, use the Special Accumulator Table (SPCL_EARNS_TABLE) component.

This section provides an overview of special accumulators and discusses how to enter special accumulator information.

Page Used to Set Up Special Accumulators

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Special Accumulator Table	SPCL_EARNS_TABLE	Set Up HCM, Product Related, Payroll for North America, Compensation and Earnings, Special Accumulator Table, Special Accumulator Table	Enter special accumulator information.

Understanding Special Accumulators

Sometimes you need to define an employee's earnings based on specific types of earnings rather than total gross earnings. Special accumulators act like a bucket, accumulating only the earning types that you want included when determining the employee's earnings.

Special accumulators are used during deduction calculations and limit testing. You might have a benefit deduction that you base on an earnings amount other than total gross.

For example, you might use a special accumulator to identify all the separate earnings to include when accumulating employee earnings for 401(k) deductions.

Typically, you want to define a special accumulator for qualified savings plans, such as 401(k), that are subject to limit testing.

You first name the special accumulator using the Special Accumulator Table. The next step is to tie specific earnings types to that special accumulator.

Special Accumulator Table Page

Use the Special Accumulator Table page (SPCL_EARNS_TABLE) to enter special accumulator information.

Navigation

Set Up HCM, Product Related, Payroll for North America, Compensation and Earnings, Special Accumulator Table, Special Accumulator Table

Image: Special Accumulator Table page

This example illustrates the fields and controls on the Special Accumulator Table page. You can find definitions for the fields and controls later on this page.

Setting Up Limits for Qualified Savings Plans

To set up limits for qualified savings plans, use the Limit Table (LIMIT_TBL) component.

This section provides overviews of limits, lists common elements used in this section, and discusses how to:

- Set up 401(a) limits.
- Set up 402(g) limits.
- Set up 403(b) limits.
- Set up 415 limits.
- Set up 415(c) limits for 403(b) plans.
- Set up deduction class order for 415 limit tables.
- Set up 457 limits.
- Set up user-defined limits.

Page Used to Set Up Limits for Qualified Savings Plans

Page Name	Definition Name	Navigation	Usage
Limit Table	LIMIT_TBL1	Set Up HCM, Product Related, Base Benefits, Rates and Rules, Limit Table, Limit Table	Identify the rules that apply to the 401, 402, 403, 415, and 457 limits.

Understanding Limits

Certain qualified benefit savings plans may be subject to government regulations. Limits are tied to deduction codes. When your payroll department runs the pay calculation process, the system checks whether a deduction has any limits associated with it. If it does, the system runs the testing calculations and makes the limit adjustments to every affected employee's records. If a deduction cannot be taken, it is reported with the appropriate reason-not-taken code.

Understanding 401 Limit

The 401 limit is designed to eliminate the tax advantage that highly compensated employees have by participating in qualified plans. This limit sets up a maximum cap on the employee's annual earnings during the calculation of the employee's contribution. When calculating the employee annual earnings, the system applies the 401 limit against the Special Accumulator field defined on the Savings Plan tables, not on the Special Accumulator field on the Limit Table.

Understanding 402 Limit

The 402 limit imposes a flat dollar annual limit on the amount an employee can contribute as an elective deferral.

Understanding 403 Limit

The 403 limit defines the parameters that extend the maximum amount of the elective deferrals that an employee (with 15 or more years of service) in a qualifying organization can contribute to the 403(b) savings plans. In addition to the 403 limit, 403(b) savings plans are also subject to 401, 402, and 415 limits.

To calculate this amount:

1. Multiply the employee's eligible earnings by 20%.
2. Multiply the figure by the employee's total years of service.
3. Subtract the prior year's pretax contributions.

Understanding 415 Limit

The 415 limit restricts the total amount an employee as well as an employer can contribute to defined savings plans. 401(k) plans are the most common type of defined savings plans to fall under Section 415, but other types include profit-sharing, money purchase pensions, stock bonuses, thrift savings, and target benefit plans.

Employee year-to-date contributions cannot exceed either of the following amounts:

- A specific percentage amount of the employee's eligible earnings.
- A specific flat annual amount.

If, for a given payroll, the employee's and employer's contribution exceeds the limits, the contribution must be reduced to fall within the limits. Use an other common ID when you need to keep your

companies separate for tax purposes but need to maintain accurate current employee balances across companies for calculating Section 415 limits.

Understanding 415Z Limit

This is identical to the 415 limit with the exception that it applies to 403(b) plans only.

Understanding 457 Limit

Section 457 plans are deferred compensation plans offered by state and local governments and employers that are exempt from federal income tax. The amount deferred annually by an employee cannot exceed a specific flat amount or 100% of the employee's taxable income, whichever is less.

Note: Section 457 plans are not qualified plans and are not subject to the tax code's nondiscrimination and related requirements. However, they are subject to deferral limits and mandatory distribution rules that apply to qualified plans.

Understanding Limit Extensions

Employees who meet specific eligibility criteria and elect the applicable option can increase their elective deferrals in savings plans subject to certain limits. The increase is handled with limit extensions for which, in general, the sum of the limit table amount and the extension amount is used instead of the limit table amount. Limit extensions are stored on the Savings Management (SAVINGS_MGT_EE) record.

The limit extensions are:

- 402 - Catch Up, for employees with 15 or more years of service in a 403(b) plan.
- 457 - Catch Up, for employees within three years of retirement in a 457 plan.
- 402 - Age 50, for employees age 50 or more in a 401(k) and, a 403(b) plan, or both.
- 457 - Age 50, for employees age 50 or more in a 457 eligible governmental plan.
- 401(a) - Adjust Eligible Earnings for 401(k), 403(b), and 457 plans.

Related Links

[Understanding Savings Plan Management](#)

Common Elements Used to Define Limits

Deductions Subject To Limit

Select the deduction codes for any and all benefit plans that fall under the specified limit. This list should be as comprehensive as possible. Both general deductions and benefit plans can be specified.

To associate a limit with a deduction, enter the plan type, benefit plan, general deduction code, and deduction class.

A single plan type may require more than one deduction classification. You may have to insert rows for aftertax,

	beforetax, nontaxable benefit, and nontaxable pretax benefit classifications for a single 401(k) plan.
Deductions Which Add to Spcl Accum (deductions which add to the special accumulator)	<p>Enter deductions to add to the special accumulator. These are the taxable benefits that the IRS stipulates can be included in the calculation of the limits.</p> <p>The system increases the employee's eligible earnings by the total year-to-date plus the current amount of the taxable benefits that you enter here before calculating the employee's current limit.</p> <p>To add a deduction to a special accumulator, enter the plan type, benefit plan, general deduction code, and deduction class.</p>
Deductions Which Subtract From Spcl Accum (deductions which subtract from the special accumulator)	<p>Enter the deductions that subtract from the special accumulator. These are deductions that the IRS stipulates must be excluded from the calculation of the limits. (Ordinarily, these are before-tax deductions, but you may encounter exceptions.)</p> <p>The system reduces the employee's eligible earnings by the total year-to-date plus current amount of the deductions that you enter here before calculating the employee's current limit.</p> <p>For each taxable benefit, fill in the plan type, benefit plan, deduction code, and deduction class.</p>
Maximum Benefit Base	The amount that you enter in this field is determined by IRS regulations. It determines the maximum cap on the employee's annual earnings during the calculation of the employee's contribution.
Max Percent of Accumulator (maximum percentage of accumulator)	Enter the percentage amount to use when the system calculates the maximum amount that the employee can contribute.
Maximum Yearly Deduction	Enter the flat cap on yearly contributions.
Rollover Allowed	Select if you want any contribution amount that exceeds the limit to roll over to another plan type or tax class. The actual destination of the excess funds is defined on the Savings Plan Table.
Special Accumulator	Used to determine which year-to-date and current employee earnings are eligible for limit testing.

Limit Table Page for 401(a) Limits

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to the 401(a) limits.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Limit Table, Limit Table

Access the Limit Table page for 401(a) limits.

Image: Limit Table page for 401(a) limits

This example illustrates the fields and controls on the Limit Table page for 401(a) limits. You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type: 401(a) Limit

Employee Earnings Limits Find | View All | First 1 of 1 | Last

*Effective Date: 01/01/2009 BT ☐ Rollover Allowed + -

*Description: 401 Short Description: 401

Maximum Benefit Base: \$245,000.00

▼ Deductions Subject To Limit Customize | Find | First 1-129 of 129 | Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
401(k)	BD401A	Savings Service Step ER Match			After-Tax	+ -	
401(k)	BD401A	Savings Service Step ER Match			Before-Tax	+ -	
401(k)	BD401A	Savings Service Step ER Match			Nontaxable Benefit	+ -	
401(k)	BD401A	Savings Service Step ER Match			Nontaxable Btax Benefit	+ -	

Limit Table Page for 402(g) Limits

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to the 402(g) limits.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Limit Table, Limit Table

Access the Limit Table page for 402(g) limits

Image: Limit Table page for 402(g) limits

This example illustrates the fields and controls on the Limit Table page for 402(g) limits. You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type: 402(g) Limit

Employee Earnings Limits Find | View All | First 1 of 1 | Last

*Effective Date: 01/01/2009 BT ☒ Rollover Allowed + -

*Description: 402 Short Description: 402

Maximum Yearly Deduction: \$16,500.00

▼ Deductions Subject To Limit Customize | Find | First 1-15 of 15 | Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
401(k)	BD401A	Savings Service Step ER Match			Before-Tax	+ -	
401(k)	BD401E	Savings Non Match % of Sp Acc			Before-Tax	+ -	
401(k)	BD401K	BenData: Classic 401(k)			Before-Tax	+ -	

Limit Table Page for 403(b) Limits

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to the 403(b) limits.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Limit Table, Limit Table

Access the Limit Table page for 403(b) limits

Image: Limit Table page for 403(b) limits (1 of 4)

This example illustrates the fields and controls on the Limit Table page for 403(b) limits (1 of 4). You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type: 403(b) Limit

Employee Earnings Limits Find | View All | First 1 of 1 Last

*Effective Date: 01/01/2006 BY ☐ Rollover Allowed + -

*Description: 403 Short Description: 403

Special Accumulator: BH1 Max Percent of Accumulator: 100.000

▼ 403b Limits

*403(b) Service Prorated By: Based on FTE

402(g) Limits and Cap Expansions

*Elect 402(g) Cap Expansion: Automatic Election

402(g) Per Year Max Average: \$5,000.00

402(g) Annual Cap Expansion: \$3,000.00 402(g) Lifetime Cap Expansion: \$15,000.00

Image: Limit Table page for 403(b) limits (2 of 4)

This example illustrates the fields and controls on the Limit Table page for 403(b) limits (2 of 4). You can find definitions for the fields and controls later on this page.

▼ Deductions Subject To Limit Customize | Find | First 1-24 of 24 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
403(b)	BD403E	BenData: 403(b) Plan			After-Tax	+	-
403(b)	BD403E	BenData: 403(b) Plan			Before-Tax	+	-
403(b)	BD403E	BenData: 403(b) Plan			Nontaxable Benefit	+	-
403(b)	BD403E	BenData: 403(b) Plan			Nontaxable Btax Benefit	+	-
403(b)	BNFTE	BNFTE			After-Tax	+	-
403(b)	BNFTE	BNFTE			Before-Tax	+	-
403(b)	BNFTE	BNFTE			Nontaxable Benefit	+	-
403(b)	BNFTE	BNFTE			Nontaxable Btax Benefit	+	-

Image: Limit Table page for 403(b) limits (3 of 4)


This example illustrates the fields and controls on the Limit Table page for 403(b) limits (3 of 4). You can find definitions for the fields and controls later on this page.

▼ Deductions Which Add to Spcl Accum Customize | Find | First 1-17 of 17 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Life	KUBLIF	Basic Life Plan			Taxable Benefit	+	-
Supp Life	KUFLAT	Suppl Group Life 100K			Taxable Benefit	+	-
Supp Life	KUNYLI	New York Life Supplement Life			Taxable Benefit	+	-

Image: Limit Table page for 403(b) limits (4 of 4)

This example illustrates the fields and controls on the Limit Table page for 403(b) limits (4 of 4). You can find definitions for the fields and controls later on this page.

Deductions Which Subtract From Spcl Accum						Customize Find  First 1-14 of 14 Last	
*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Medical	KUHMO	Medical HMO Plan 1			Before-Tax	+	-
Medical	KUHMO	Medical HMO Plan 2			Before-Tax	+	-
Medical	KUHMO	Medical HMO Plan 3			Before-Tax	+	-
Medical	KUMED	Enhanced Medical Plan			Before-Tax	+	-
Medical	KUMED	Basic In-Network Medical			Before-Tax	+	-
Medical	KUMED	Basic Out-of-Network Medical			Before-Tax	+	-
Dental	KUDEN	Standard Dental Plan			Before-Tax	+	-

403(b) Service Prorated By

Select the proration method to use for the 403(b) service year calculation in the Projection process. This information is taken from the employee's job record.

Elect 402(g) Cap Expansion

Select either *Automatic Election*, *No*, or *Yes*.

402(g) Per Year Max Average, 402(g) Annual Cap Expansion, and 402(g) Lifetime Cap Expansions

All of these fields are determined by IRS regulations. Enter the current approved standards.

Limit Table Page for 415 Limits

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to the 415 limits.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Limit Table, Limit Table

Access the Limit Table page for 415 limits.

Image: Limit Table page for 415 limits (1 of 3)

This example illustrates the fields and controls on the Limit Table page for 415 limits (1 of 3). You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type: 415(c) Limit

Employee Earnings Limits Find | View All | First 1 of 1 | Last

*Effective Date: 01/01/2009 ☐ ☒ Rollover Allowed + -

*Description: 415 Short Description: 415

Special Accumulator: 401 Max Percent of Accumulator: 100.000

Maximum Yearly Deduction: \$49,000.00

▼ Deductions Subject To Limit Customize | Find | First 1-136 of 136 | Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
401(k)	BD401A	Savings Service Step ER Match			After-Tax	+	-
401(k)	BD401A	Savings Service Step ER Match			Before-Tax	+	-
401(k)	BD401A	Savings Service Step ER Match			Nontaxable Benefit	+	-
401(k)	BD401A	Savings Service Step ER Match			Nontaxable Btax Benefit	+	-

Image: Limit Table page for 415 limits (2 of 3)

This example illustrates the fields and controls on the Limit Table page for 415 limits (2 of 3). You can find definitions for the fields and controls later on this page.

▼ Deductions Which Add to Spcl Accum Customize | Find | First 1-18 of 18 | Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Life	BP0297	ER Pd Life - Imputed Inc			Taxable Benefit	+	-
Life	KUBLIF	Basic Life Plan			Taxable Benefit	+	-
Supp Life	KUFLA	Suppl Group Life 100K			Taxable Benefit	+	-
Supp Life	KUNYL	New York Life Supplement Life			Taxable Benefit	+	-

Image: Limit Table page for 415 limits (3 of 3)

This example illustrates the fields and controls on the Limit Table page for 415 limits (3 of 3). You can find definitions for the fields and controls later on this page.

▼ Deductions Which Subtract From Spcl Accum Customize | Find | First 1-41 of 41 | Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Medical	BP0298	Section 415 Medical			Before-Tax	+	-
Medical	BP0299	Section 415 Medical			Before-Tax	+	-
Medical	KUHMO	Medical HMO Plan 1			Before-Tax	+	-
Medical	KUHMO	Medical HMO Plan 2			Before-Tax	+	-
Medical	KUHMO	Medical HMO Plan 3			Before-Tax	+	-
Medical	KUMED	Enhanced Medical Plan			Before-Tax	+	-
Medical	KUMED	Basic In-Network Medical			Before-Tax	+	-
Medical	KUMED	Basic Out-of-Network Medical			Before-Tax	+	-
Dental	KUDEN	Standard Dental Plan			Before-Tax	+	-

Limit Table Page to Set Up 415(c) Limits for 403(b) Plans

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to the 415(c) Limits for 403(b) plans.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Limit Table, Limit Table

Access the Limit Table page for 415(c) Limits for 403(b).

Image: Limit Table page for 415(c) Limits for 403(b) (1 of 3)

This example illustrates the fields and controls on the Limit Table page for 415(c) Limits for 403(b) (1 of 3). You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type: 415(c) Limit for 403(b) plans

Employee Earnings Limits Find | View All First 1 of 1 Last

*Effective Date: 01/01/2002 ☒ Rollover Allowed

*Description: 415 Short Description: 415

Special Accumulator: 401 Max Percent of Accumulator: 100.000

Maximum Yearly Deduction: \$40,000.00

▼ Deductions Subject To Limit Customize | Find | First 1-16 of 16 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
403(b)	BNFTE	BNFTE			After-Tax		
403(b)	BNFTE	BNFTE			Before-Tax		
403(b)	BNFTE	BNFTE			Nontaxable Benefit		
403(b)	BNFTE	BNFTE			Nontaxable Btax Benefit		

Image: Limit Table page for 415(c) Limits for 403(b) (2 of 3)

This example illustrates the fields and controls on the Limit Table page for 415(c) Limits for 403(b) (2 of 3). You can find definitions for the fields and controls later on this page.

▼ Deductions Which Add to Spcl Accum Customize | Find | First 1-17 of 17 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Life	KUBLIF	Basic Life Plan			Taxable Benefit		
Suppl Life	KUFLAT	Suppl Group Life 100K			Taxable Benefit		
Suppl Life	KUNYLI	New York Life Supplement Life			Taxable Benefit		

Image: Limit Table page for 415(c) Limits for 403(b) (3 of 3)

This example illustrates the fields and controls on the Limit Table page for 415(c) Limits for 403(b) (3 of 3). You can find definitions for the fields and controls later on this page.

▼ Deductions Which Subtract From Spcl Accum Customize | Find | First 1-14 of 14 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Medical	KUHMO	Medical HMO Plan 1			Before-Tax		
Medical	KUHMO	Medical HMO Plan 2			Before-Tax		
Medical	KUHMO	Medical HMO Plan 3			Before-Tax		
Medical	KUMED	Enhanced Medical Plan			Before-Tax		
Medical	KUMED	Basic In-Network Medical			Before-Tax		
Medical	KUMED	Basic Out-of-Network Medical			Before-Tax		
Dental	KUDEN	Standard Dental Plan			Before-Tax		

Setting Up Deduction Class Order for 415 Limit Tables

If you are setting up the 415 Limit Table, access the Installation Table to set the deduction class order.

Access the Installation Table (Set Up HCM, Install, Installation Table, Product Specific).

Image: Installation Table - Product Specific page

This example illustrates the fields and controls on the Installation Table - Product Specific page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Installation Table - Product Specific' page with the following sections and controls:

- Products** (Tab): HRMS Options, Product Specific (Active), Country Specific, Last ID Assigned, Third Party/System, Alternate Character.
- Benefits Functions**
 - ☐ FMLA Administration
 - ☐ COBRA Administration
 - ☐ Benefits Billing
 - ☐ Retroactive Benefits/Deduction
 - ☒ FSA Claims Administration
 - Start Date for BenAdmin: 01/01/1999
- Benefits Deduction Class Order**
 - ☒ EE After Tax Then PTax Benefit
 - ☐ PTax Benefit Then EE After Tax
- Federal Functionality**
 - *Default Pay Basis: Per Annum
 - ☐ NFC Indicator
- NA Payroll / Payroll Interface**
 - ☐ Concurrent Calc/Confirm
 - ☒ Automatic Employee Tax Data
 - ☒ Use State Residence for Local
- T&L / NA Payroll Paysheet Opt**
 - ☐ Change Final Check
 - ☐ Change Online Check
 - ☐ Change Reversal Adjustments
 - ☒ Load in Preliminary Calc
- AP Invoice Number Prefix**
 - AP Inv Prefix: H
- Commitment Accounting**
 - ☒ Encumbrance Trigger
 - ☐ Comm Control Budget Processor
 - ☐ Enforce Real Time Budget Check
- Human Resources**
 - ☐ Multi-Company Organization
 - ☐ Automatic Job Termination
 - ☐ Automatic Job Suspension
 - Temporary SSN Mask: 999
 - Empl ID Field Length: 4
- General Ledger**
 - GL Version: N/A
 - Update Version
- Combination Editing Caching**
 - ☐ Cache Validations
 - Cache Retention Days: 0

Benefits Deduction Class Order

EE After Tax Then PTax Benefit

When applying the 415(c) limit, the system applies the reduction first to the employer before tax contribution, then to the employee after tax contribution.

PTax Benefit Then EE After Tax

When applying the 415(c) limit, the system applies the reduction first to the employee after tax contribution, then to the employer before tax contribution.

Limit Table Page for 457 Limits

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to the 457 limits.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Limit Table, Limit Table

Access the Limit Table page for 457 limits.

Image: Limit Table page for 457 limits (1 of 3)

This example illustrates the fields and controls on the Limit Table page for 457 limits (1 of 3). You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type: 457 Limit

Employee Earnings Limits Find | View All | First 1 of 1 | Last

*Effective Date: 01/01/2006 ☐ ☒ Rollover Allowed + -

*Description: Section 457 Savings Plan Limit Short Description: Sec 457

Special Accumulator: 401 Max Percent of Accumulator: 100.000

Maximum Yearly Deduction: \$600.00

457 Plans

Max Annual 457 Catch Up: 15000.00

▼ Deductions Subject To Limit Customize | Find | First 1-12 of 12 | Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
457	BN457E	EGTRRA			After-Tax	+	-
457	BN457E	EGTRRA			Before-Tax	+	-
457	BN457E	EGTRRA			Nontaxable Benefit	+	-
457	BN457E	EGTRRA			Nontaxable Btax Benefit	+	-

Image: Limit Table page for 457 limits (2 of 3)

This example illustrates the fields and controls on the Limit Table page for 457 limits (2 of 3). You can find definitions for the fields and controls later on this page.

▼ Deductions Which Add to Spcl Accum Customize | Find | First 1-13 of 13 | Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Life	KUBLIF	Basic Life Plan			Taxable Benefit	+	-
Supp Life	KUFLAT	Suppl Group Life 100K			Taxable Benefit	+	-
Supp Life	KUNYL	New York Life Supplement Life			Taxable Benefit	+	-

Image: Limit Table page for 457 limits (3 of 3)

This example illustrates the fields and controls on the Limit Table page for 457 limits (3 of 3). You can find definitions for the fields and controls later on this page.

▼ Deductions Which Subtract From Spcl Accum Customize | Find | First 1-11 of 11 | Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Medical	KUMED	Enhanced Medical Plan			Before-Tax	+	-
Medical	KUMED	Basic In-Network Medical			Before-Tax	+	-
Medical	KUMED	Basic Out-of-Network Medical			Before-Tax	+	-
Dental	KUDEN	Standard Dental Plan			Before-Tax	+	-

Max Annual 457 Catch Up (maximum annual 457 catch up)

Section 457 plans have a catch-up rule. Within three years of retirement, a participant can increase the amount contributed to the savings plan. This field is determined by IRS regulations.

Limit Table Page for User Defined Limits

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to User Defined limits.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Limit Table, Limit Table

Access the Limit Table page for User Defined limits.

Image: Limit Table page for User Defined limits page

This example illustrates the fields and controls on the Limit Table page for User Defined limits page. You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type: User Defined Limit

Employee Earnings Limits Find | View All | First 1 of 1 Last

*Effective Date: 01/01/1980 ☐ ☒ Rollover Allowed + -

*Description: Registrd Retiremt Savings Plan Short Description: RRSP

Special Accumulator: CLM Max Percent of Accumulator: 18.000

Maximum Yearly Deduction: \$13,500.00

▼ Deductions Subject To Limit Customize | Find | First 1 of 1 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification
401(k)	CRRSP	RRSP Limit Tbl - Canada			Before-Tax

▼ Deductions Which Add to Spcl Accum Customize | Find | First 1 of 1 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification

▼ Deductions Which Subtract From Spcl Accum Customize | Find | First 1 of 1 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification

User Defined Per Check Limit can be used to limit a deduction across two plans. For example, the table can be set up to limit the employer contribution across two plans.

Note: Unlike the other limits, User Defined Per Check Limit is set to limit in one pay check period. The others are set to limit plan balances.

Setting Up Dependent Rules for Health Plans

To set up dependent rules for health plans, use the Dependent Rules Table (DEP_RULE_TBL) component.

This section provides an overview of dependent rules and discusses how to enter dependent rules.

Understanding Dependent Rules

Dependent rules are used by health benefit plans (1X plan types) to establish age-related limits on dependent eligibility for health plan coverage. You can establish general age limits and a separate age

limit for dependents who are students. Additionally, you can make disabled dependents eligible regardless of age, and you can make married dependents ineligible regardless of age.

Dependent rules are associated with specific plan types within a benefit program. For example, a particular benefit program must use one dependent rule for all of its medical plans (plan type 10), but you can use a different dependent rule for the dental plans (plan type 11) in that program, and you can use different limits for a different program.

Default Dependent Rules

Within a benefit program, plan types are not assigned a dependent rule use these default rules:

Setting	Default Limit for Plan Types With No Dependent Rule ID
Dependent Age Limit	99
Student Age Limit	99
Exclude Disabled from Age Limit	Yes (check box selected): disabled dependents are eligible for coverage regardless of age.
Dependent Ineligible if Married	No (check box not selected): married dependents are not disqualified from coverage.

COBRA Processing

To generate COBRA event triggers during COBRA overage processing, the system uses the most restrictive settings from the dependent rules used by all of the IX plan types under the benefit program, as described in the following table:

Setting	Value Used for COBRA Overage Processing
Dependent Age Limit	Lowest value in use in the benefit program.
Student Age Limit	Lowest value in use in the benefit program.
Exclude Disabled from Age Limit	No (check box not selected) as long as at least one plan type in the benefit program has this value. Otherwise, Yes (check box selected).
Dependent Ineligible if Married	Yes (check box selected) as long as at least one plan type in the benefit program has this value. Otherwise, No (check box not selected).

The system uses these settings only when creating the initial COBRA event triggers. When the system processes the triggers to generate COBRA enrollments, it correctly applies the rules for specific plan types.

Dependent Rules Table Page

Use the Dependent Rules Table page (DEP_RULE_TBL) to define the rules that control dependent age limits for health plans.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Dependent Rules Table, Dependent Rules Table

Image: Dependent Rules Table page

This example illustrates the fields and controls on the Dependent Rules Table page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Dependent Rules Table' page. At the top, it displays 'Dependent Rules ID DR26Y'. Below this is a header bar with 'Dependent Rules Table' and navigation links: 'Find | View All | First | 1 of 1 | Last'. The main form contains the following fields:

- *Effective Date:** A date picker set to 01/01/1980.
- *Description:** A text field containing 'Patient Protection Rule'.
- Short Description:** A text field containing 'Pat Protec'.
- Dependent Limits:** A section containing:
 - Dependent Age Limit:** A numeric field set to 26.
 - Student Age Limit:** A numeric field set to 26.
 - Exclude Disabled from Age Lmt:** A checked checkbox.
 - Dep Ineligible if Married:** An unchecked checkbox.

Dependent Age Limit

Enter the age at which a dependent is no longer eligible for coverage. This limit applies to dependents who are not students.

Student Age Limit

Enter the age at which a student dependent is no longer eligible for coverage. If there are no special rules for student dependents, enter the same age that you entered in the Dependent Age Limit field.

Exclude Disabled from Age Lmt (exclude disabled from age limit)

Select to exclude disabled dependents from the age limits.

Dep Ineligible if Married (dependent ineligible if married)

Select to make married dependents ineligible for health benefits, regardless of their age.

Setting Up Earnings for Leave and Vacation Buy/Sell Plans

Earnings types are the various ways in which an employee might receive income. The benefit-related earnings that most concern earnings types are leave plans and vacation buy/sell plans.

To have the system process these earnings during the payroll process, you'll use the Earnings Table to create these codes. The Earnings Table stores the business rules that define how to calculate employee earnings.

Setting Up Payroll Information for Leave Accruals

This section assumes that your payroll department uses PeopleSoft Payroll for North America. If your organization uses another payroll accounting system, you'll need to ensure that the proper information is provided to the system for the correct processing of leave accruals. Follow these basic steps, making appropriate choices from your options.

1. On the Earnings Table - Special Process page, enter the leave plan type for the leave plan you are defining in the Leave Plan Accrual group box.
2. Select the appropriate Add To Accrual Balance check box.

The Add To Accrual Balance selection is important during the PeopleSoft Payroll Confirm process. It enables you to indicate where the accrued hours will be posted as unprocessed data on the employee's Leave Accruals pages.

You can choose to add hours to the Taken, Service Hours, Adjusted, Bought, or Sold accumulator.

The Leave Accrual process retrieves data from these sections to calculate the leave accrual award and the resulting leave accrual balance.

3. Select Taken when you want these hours to reduce the accrual balance (as in taken vacation hours or sick hours—but not regular hours).

Select Service Hours when the associated leave plans accrue a leave balance based upon the number of hours worked, and the hours for this earnings code should be considered in the accrual calculation.

Select Adjusted to define a special earnings type that adjusts accrual balances by adding to or subtracting from employee accrual balances.

Select Bought or Sold only when you are using this earning as the Vacation Buy or Vacation Sell earning.

Related Links

[Understanding the Leave Process](#)

Setting Up Vacation Buy and Sell Earnings

Organizations typically allow employees to buy or sell vacation once, at the beginning of a plan year, based on vacation buy and sell earnings, deductions, and benefit plan rules.

To set up vacation buy/sell earnings:

1. Access the Earnings Table - Special Process page.
2. For Vacation Buy plans, select Bought in the Add to Accrual Balance group box.
3. For Vacation Sell plans, select Sold in the Add to Accrual Balance group box.

Related Links

[Understanding the Vacation Buy/Sell Process](#)

Setting Up Benefits Certifications

To create a benefits certification, use the Certification Definition (BN_CERTIF_SETUP) component.

This section provides an overview of benefits certification and discusses how to define benefit certification forms.

Page Used to Create Benefit Certification Forms

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Certification Definition	BN_CERTIF_SETUP	Set Up HCM, Product Related, Base Benefits, Certification Definition, Certification Definition	Define benefits certifications.

Understanding Benefits Certification

The Benefits Certification contains a series of statements that you, as the administrator, need to define for a participant to answer.

You can present three types of questions, or statements, to the participant in the Benefits Certification display:

- Yes/No
- Rating
- Multiple choice

The Yes/No Question

Each Yes/No statement appears as a single statement with a radio button displayed next to it. The default display value for each response is left blank. For each statement, the resulting answer is whatever value the administrator enters as a response value multiplied by the weight value, if any.

The Rating Question

Each rating statement appears as a single statement with a set of radio buttons. This form appears similar to a typical *polling* statement. The default display for each response in the set is *Not Selected*. When evaluating each of the responses, you *must* select one radio button. Each radio button in each statement can have a predefined numeric value. The following example results in answers of 5, 4, 2, and 3 (assuming that they are in a sequence of 5–1).

The Multiple Choice Question

Each multiple choice statement appears as a single statement with a check box that has a designated value or rank. The default display for each response in the set of options is *Not Selected*. You can select one or more of the options in each statement. In the subsequent example, the statement results in an answer of 6 (assuming that they are in a sequence of 1–5).

Reviewing the Certification

When you have created your benefits certification, you can preview it by clicking the Test Certificate button on the Certification Definition page. This certificate, if assigned to a particular benefit plan or dependent relationship, will appear in eBenefit self-service to the participant.

Related Links

[Setting Up Dependent Relationships](#)

"Using PeopleSoft eBenefits Pages (*PeopleSoft HCM 9.2: eBenefits*)"

Certification Definition Page

Use the Certification Definition page () to define benefits certifications.

Navigation

Set Up HCM, Product Related, Base Benefits, Certification Definition, Certification Definition

Image: Certification Definition page

This example illustrates the fields and controls on the Certification Definition page. You can find definitions for the fields and controls later on this page.

Certification Definition

Certificate ID: BUDMPRTNR

Certificate Find | View All First 1 of 1 Last

*Effective Date: 01/01/2005 *Status: Active

*Description: BenData: Domestic Partner Cert *Short Desc: DP Cert

Passing Value: 4 Max of: 4 *Apply Weighting: None Test Certificate

Instructions

In order to cover a domestic partner under our health and life insurance plans, your relationship must meet the criteria listed below. Please answer each question.

Agreement

*Type: Agree

I understand that any misrepresentation is grounds for termination.

Questions Find | View All First 1 of 2 Last

*Type: Yes / No *Sequence Number: 1 Weight: 0

*Question Text: You and your partner are in a mutually exclusive and supportive relationship for the past six months

Available Responses Customize | Find | View All First 1-2 of 2 Last

*Sequence Number	*Response Label	Response Value
1	Yes	2
2	No	1

Passing Value

Enter a minimum value for the certification to pass.

Apply Weighting

Select to control the display of the Weight field in the Questions group box below.

Values are:

- *None* (the default)
- *Multiply*: Because the answers to the questions are weighted, or have values, the system multiplies these values by a multiplier (defined here) to enable the administrator to assign more weight or importance to various questions. The Weight field is required when you select *Multiply*.

Test Certificate

Select to display the Certification Definition page, which appears to the participant in the eBenefits self-service application when enrolling in benefit plans. You can also test the certificate to verify that the values of the answers and the certificate are calculating properly.

Instructions

Enter instructional text to display to the participant. This is optional.

Agreement**Type**

Select from *Accept*, *Agree*, and *N/A*. The agreement text box can be left blank, but the type needs to be defined.

Questions**Type**

Select from the following values to determine the display of the Available Responses group box.

- *Yes / No*: Single statements are displayed.
- *Rating*: Statements with several options appear. The sequence number needs to be specified and must be unique. This type allows one or more response rows to be created; they will appear as radio buttons on the certificate. Only one answer is allowed.
- *Multiple (choice)*: Select from one or more statements. The sequence number needs to be specified and must be unique. This type allows one or more response rows to be created and they will appear with check boxes, so multiple responses can be selected.

Sequence Number

Enter the number next to the entry that specifies the order in which it will appear to the participant.

Weight

Enter a number that the system uses when applying weighting. The system multiplies the response by 1.

Question Text

Enter a statement for the participant to respond to.

Available Responses

The system automatically displays either:

- Sequence Number: The system enters the sequence number.
- Label text: Yes, No, or anything within the field size limit.

Chapter 3

Setting Up Benefit Plans

Defining Benefit Plans

To define benefit plans, use the Benefit Plan Table (BENEFIT_PLAN_TABLE) component.

This section provides an overview of benefit plans and discusses how to enter benefit plan information.

Page Used to Define Benefit Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Benefit Plan Table	BENEFIT_PLAN_TABLE	Set Up HCM, Product Related, Base Benefits, Plans and Providers, Benefit Plan Table, Benefit Plan Table	Enter benefit plan information.

Understanding Benefit Plans

Benefit plans are the benefits you want to offer to your employees. Benefit plans can be broken down into categories. A 2-character alphanumeric numbering scheme is used to identify the different categories. Nine categories are already set up in the Manage Base Benefits business process.

<i>Category</i>	<i>Numbering Sequence</i>
Simple Benefits	A0 – A9, AA – AZ
Health	10 – 19, 1A – 1Z
Life and Accidental Death	20 – 29, 2A – 2Z
Disability	30 – 39, 3A – 3Z
Savings	40 – 49, 4A – 4Z
Leave	50 – 59, 5A – 5Z
Flexible Spending Accounts	60 – 69, 6A – 6Z
Retirement	70 – 79, 7A – 7Z
Pension	80 – 89, 8A – 8Z
Vacation Buy/Sell	90 – 99, 9A – 9Z

Within each category, a set of predefined benefit plan types help the system identify the type of benefit plan. The following table lists the delivered benefit plan types.

<i>Benefit Plan Type</i>	<i>Description</i>
A0	Long-Term Care
A1	Legal Services
A2	Wellness Credit
10	Medical (USF) FEHB (federal employees health benefits)
11	Dental
12	Medical and Dental
13	Major Medical
14	Vision
15	Nonqualified Medical
16	Nonqualified Dental
17	Nonqualified Vision
20	Basic Life (USF) FEGLI (federal employees' group life insurance)
21	Supplemental Life Additional (USF) Option B – Additional
22	AD/D (life and accidental death and dismemberment)
23	Life and AD/D
24	Dependent AD/D
25	Dependent Life (USF) Option C – Family
26	Survivor Income
27	Supplemental AD/D
2Y	(USF) FEGLI Living Benefits
2Z	(USF) Option A – Standard
30	Short Term Disability

<i>Benefit Plan Type</i>	<i>Description</i>
31	Long Term Disability
40	401(k)
41	Profit Sharing
42	Thrift (including Roth 401(k))
43	IRA (individual retirement account)
44	Capital Accumulation
45	US Savings Bonds
46	Elective 403(b)
47	Non-Elective 403(b)
48	Employer Only 403(b)
49	457(b)
4A	Stock Purchase (Stock Purchase Administration only)
50	Sick Leave
51	Vacation Leave Annual Leave
52	Personal Leave
53	FMLA Leave (Obsolete)
5A	Company Car (global users only)
60	Flexible Spending Health Care
61	Flexible Spending Dependent Care
65	Flexible Spending Canadian Health Care
66	Flexible Spending Canadian Retirement Counseling
67	Health Savings Account (health care for U.S. companies)
70	PERS (public employees' retirement system) Retirement
7Z	(USF) TSP 1% Agency Contribution (thrift saving plan 1 percent agency contribution)

Benefit Plan Type	Description
80	(CAN) Standard Pension
81	(CAN) Supplementary Pension
82	(USA) Pension Plan 1
83	(USA) Pension Plan 2
84	(USA) Pension Plan 3
85	(USA) Pension Plan 4
86	(USA) Pension Plan 5
87	(USA) Pension Plan 6
90	Vacation Buy
91	Vacation Sell

You can add additional benefit plan types. However, you need to understand the numbering scheme behind benefit plan types. The system is designed to recognize specific sequences.

For example, anything with a 1 as the first character is recognized as a health plan. Anything with a 2 as the first character is recognized as a life and accidental death plan.

Because Oracle's PeopleSoft application adds plan types starting from the beginning of the alphabet, Oracle recommends that you start with Z and work backward to A. Oracle also recommends that you not delete a plan type; simply make it inactive.

Note: You should work within the PeopleSoft plan type series. If you add plan types that do not conform to the provided series, you'll have to add the new plan type to the Translate Table and update associated processing logic.

To set up a benefit plan:

1. Identify the benefit provider for the benefit plan and enter the benefit provider in the Provider/Vendor Table page.
2. Enter the detail information for the benefit plan on the Benefit Plan Table page.
3. Repeat this process for each benefit plan that you will offer to your employees.

Benefit Plan Table Page

Use the Benefit Plan Table page (BENEFIT_PLAN_TABLE) to enter benefit plan information.

Navigation

Set Up HCM, Product Related, Base Benefits, Plans and Providers, Benefit Plan Table, Benefit Plan Table

Image: Benefit Plan Table page

This example illustrates the fields and controls on the Benefit Plan Table page. You can find definitions for the fields and controls later on this page.

Benefit Plan Table

Plan Type: 10 Medical Benefit Plan: BNFTE

Benefit Plans Find | View All First 1 of 1 Last

*Effective Date: 01/01/1980

*Description: BNFTE Short Description: BNFTE

Set ID: BNUSA

Vendor ID: BNFTE

SPD URL ID:

Group Number:

Default Deduction Code:

Pay Mode

Pay Mode: Pay as Deducted

AP Payment Date Type: Check Date

EDI Plan Coverage Description:

EDI Insurance Line Code:

Self-Service Plan Description:

Internal Administrative Contacts Customize | First 1 of 1 Last

*Contact Type	*Contact ID	Contact Description

Set ID Select a set ID for the vendor to be used.

Vendor ID Enter the provider ID.

Define vendor IDs on the Provider/Vendor Table page.

SPD URL ID (summary plan description uniform resource locator identifier) Enter the URL ID for the provider.
This URL is used with the PeopleSoft eBenefits application to provide access to the summary plan description.

Group Number Enter the group number.

Group numbers are defined on the Provider Policy Table page.

Default Deduction Code (Optional) Entering a default code saves you from typing the deduction code each time that you associate this benefit plan with a benefit program on the Benefit Program Table.

Deduction codes are created on the Deduction Table.

Minimum Spousal Allocation % (minimum spousal allocation percentage)	Certain qualified plans in the life, savings, or pension category have mandated lower limits on the percentage amount that must be assigned to a spouse. Enter that amount here for the system to enforce or monitor compliance with this beneficiary right.
Include in Nondiscrimination	Check to include in nondiscrimination testing. Available only to plan types in the 40-series (savings plans).
Pay Mode	<p>Complete if you are using PeopleSoft Payroll for North America with PeopleSoft Payables.</p> <p>Select when the vendor will be paid:</p> <p><i>Pay as Deducted:</i> Pay the vendor each time payroll calculates this deduction.</p> <p><i>Pay at Specified Date:</i> Specify the date in the AP Payment Date Type field.</p> <p><i>Pay when Collection Complete:</i> Pay the vendor only when the goal amount or deduction end date has been reached. (This pay mode is valid for general deductions and garnishments.)</p>
AP Payment Date Type (accounts payable payment date type)	Choose from <i>Check Date</i> or <i>Pay Period End Date</i> .
EDI Plan Coverage Description (electronic data interchange coverage description)	(Optional) Enter a value that is included in the HIPAA (Health Insurance Portability and Accountability Act of 1996) file.
EDI Insurance Line Code (electronic data interchange insurance line code)	Select a value from the drop-down menu.
Self-Service Plan Description	Used only for health (1x), disability (3x), leave (5x), and retirement (7x) plans. Enter text describing the benefit plan to appear on PeopleSoft eBenefits application pages.
Contact Type	Select the type of contact: <i>COBRA Administrator</i> (Consolidated Omnibus Budget Reconciliation Act), <i>HIPAA Administrator</i> (Health Insurance Portability and Accountability Act), or <i>Plan Administrator</i> .
Contact ID	<p>Select the contact ID for the administrator.</p> <p>Contact IDs are defined on the Benef Administrative Contact (benefits administrative contact) page.</p>

Related Links

[Setting Up Internal Administrative Contact Information](#)

Setting Up Health Plans

To set up health plans, use the Health Plan Table (HEALTH_PLAN_TABLE) component.

This section discusses how to enter health plan details.

Page Used to Set Up Health Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Health Plan Table	HEALTH_PLAN_TABLE	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Health Plan Table, Health Plan Table	Enter health plan details.

Health Plan Table Page

Use the Health Plan Table page (HEALTH_PLAN_TABLE) to enter health plan details.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Health Plan Table, Health Plan Table

Image: Health Plan Table page

This example illustrates the fields and controls on the Health Plan Table page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Health Plan Table' page with the following fields and controls:

- Plan Type:** 10 Medical
- Benefit Plan:** BP0109 Medical - Blue Cross
- Health Plans** (tabbed interface) with navigation links: Find | View All | First | 1 of 1 | Last
- *Effective Date:** 01/01/1980 (with a calendar icon)
- *Health Provider Required:** Required (dropdown menu)
- Wait Period Days:** (empty text box)

Health Provider Required

Select whether an employee must declare a health provider when enrolling in this plan through self-service.

Wait Period Days

Enter the number of days an employee must wait to be eligible for the plan.

Setting Up Simple Rate Plans

To set up benefit plans, use the Simple Plan Table (SIMPLE_PLAN_TABLE) component.

This section provides an overview of simple rate plans and discusses how to enter simple benefits plan details.

Page Used to Set Up Simple Rate Plans

Page Name	Definition Name	Navigation	Usage
Simple Plan Table	SIMPLE_PLAN_TABLE	Setup HCM, Product-Related, Base Benefits, Plan Attributes, Simple Plan Table, Simple Plan Table	Define simple benefits that have no participation attributes and are rate-driven.

Understanding Simple Rate Plans

Simple rate plans, also called simple benefits plans, are a generic plan type for benefits that have no additional participation attributes other than their election. The costs for these plans are calculated from the benefit rate tables.

While these plans do not have any participation attributes, they can be extended by establishing a link to other components, both delivered and custom. From these other components, additional information can be maintained manually.

Simple Plan Table Page

Use the Simple Plan Table page (SIMPLE_PLAN_TABLE) to define simple benefits that have no participation attributes and are rate-driven.

Navigation

Setup HCM, Product-Related, Base Benefits, Plan Attributes, Simple Plan Table, Simple Plan Table

Image: Simple Plan Table page

This example illustrates the fields and controls on the Simple Plan Table page. You can find definitions for the fields and controls later on this page.

Simple Plan Table

Plan Type: A0 Long-Term Care

Benefit Plan: BULTC BenData: US Prudential LTC

Simple Plan Find | View All First 1 of 1 Last

*Effective Date: 01/01/2005

Extended Attributes Management

Menu Name: ADMINISTER_BASE_BENEFITS Administer Base Benefits

Component Name: BENEFIT_SUMMARY Hyperlink Label: Benefits Summary

Extended Attributes Management

Complete this group box if you want to collect optional extended information about enrollments in this plan. Select a menu name and component name.

If you want to override the label that is supplied by default from the component definition, enter an optional link label.

If you define an extended attributes component, the component link appears on the Base Benefits enrollment page. This enables you to navigate to the component and enter additional information for the employee.

Setting Up Life Insurance and Accidental Death Plans

To set up life and accidental death plans, use the Coverage Formula Table (BN_FORMULA) and Life and AD/D Plan Table (LIFE_ADD_TABLE) components.

This section provides an overview of coverage calculation formulas and discusses how to:

- Create coverage calculation formulas.
- Enter life insurance and AD/D plan details.
- (USF) Understand Option A - standard FEGLI plan calculations.

Pages Used to Set Up Life Insurance and AD/D Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Coverage Formula Table	BN_FORMULA	Set Up HCM, Product Related, Base Benefits, Rates and Rules, Coverage Formula Table, Coverage Formula Table	Create coverage calculation formulas.
Life and AD/D Plan Table	LIFE_ADD_TABLE	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Life and AD/D Plan Table, Life and AD/D Plan Table	Enter life or AD/D plan details.

Understanding Coverage Calculation Formulas

You can create simple formulas to define how coverage should be calculated. These formulas are used by both life and disability insurance plans. You can specify how the benefits compensation base is determined, a formula to apply against that base to derive a coverage amount, and limits on the coverage amount. You can also define whether the coverage is subject to reduction based on attained age. The examples illustrate calculation possibilities with as of rates:

Example: Employer Pays Increased Premium

An employee belongs to a life insurance plan that uses the premium as of January 1, current year and coverage as of the current date. The system uses the benefit base for the calculation.

Employee	Effective Date	Salary	Benefit Base
Ann Jeffrey	January 1, 2008	10,000	12,000
Ann Jeffrey	April 1, 2008	15,000	15,000
Ann Jeffrey	January 1, 2009	17,500	18,000

Table	Effective Date	Rate	Per
Life (employee)	January 1, 2008	1.00	Thousand
Life (employer)	January 1, 2008	2.00	Thousand

The employee gets a raise, so coverage increases during the year; however, until the next January 1, the system calculates the employee premium as if the coverage did not increase. The employer pays the difference between the employee deduction and the actual cost. This affects imputed income.

Pay End Date	Coverage	Employee Amount	Employer amount
January 31, 2008	12,000	12.00	24.00
February 28, 2008	12,000	12.00	24.00
March 31, 2008	12,000	12.00	24.00
April 30, 2008	15,000	12.00	33.00
May 31, 2008	15,000	12.00	33.00

Example: Coverage and Premium Remain the Same

Another employee belongs to a life insurance plan that uses the premium as of January 1, current year and coverage as of January 1, current year.

Employee	Effective Date	Salary	Benefit Base
Jim Henry	January 1, 2008	10,000	12,000
Jim Henry	April 1, 2008	15,000	15,000
Jim Henry	January 1, 2009	17,500	18,000

Table	Effective Date	Rate	Per
Life (employee)	January 1, 2008	1.00	Thousand
Life (employer)	January 1, 2008	2.00	Thousand

No change is made in Jim Henry's coverage or premium until the new year.

Pay End Date	Coverage	Employee Amount	Employer Amount
January 31, 2008	12,000	12.00	24,00
February 28, 2008	12,000	12.00	24,00
March 31, 2008	12,000	12.00	24,00
April 30, 2008	15,000	12.00	24,00
May 31, 2008	15,000	12.00	24,00

Coverage Formula Table Page

Use the Coverage Formula Table page (BN_FORMULA) to create coverage calculation formulas.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Coverage Formula Table, Coverage Formula Table

You can select from existing formulas to calculate life insurance coverage or create your own coverage calculation formulas, both from the Coverage Formula Table page.

Image: Coverage Formula Table page

This example illustrates the fields and controls on the Coverage Formula Table page. You can find definitions for the fields and controls later on this page.

Coverage Formula Table

Formula ID: B100AR

Formula Table
Find | View All
First 1 of 1 Last

***Effective Date:** 01/01/2005

***Description:** BenData: \$100K, Age Reduction

Short Description: 100k AgeRd

Benefit Base

***Coverage Base As Of:** Check Date

***Premium Base As Of:** Check Date

Source

☒ Annual Rate

☐ Annual Benefits Base Rate

Base Rate Type: PRIM
 Primary (From Job Record)

Multiple Job Rules

☐ Combine Base for Multiple Jobs

***Group Method:** Primary Job in Benefit Record

☐ Consider Active Jobs Only

Maximum Benefit Base:

Coverage Minimum and Maximum

Coverage Minimum:

Coverage Maximum:

Coverage Formula: 100000

Show Formula

Formula
Customize | Find
First 1 of 1 Last

Seq No	Operand	Entry Type	Numeric Value	Round to Multiple of	Round Up Amount
10		Constant	100000.000		

Age Coverage Reduction
Customize | Find
First 1-3 of 3 Last

Age	Percent
70	10
80	20
90	30

Benefit Base

Coverage Base As Of

Select the employee's salary that the system will use for coverage calculation. Values are:

- *Check Date*

The date of the pay check is used to calculate the coverage amounts.

- *Last Year On*

A specific date is used to calculate the coverage amounts.
You must enter the month and day.

- *Pay End Date*

The pay end date is used to calculate the coverage amounts.

- *This Year On*

A specific date is used to calculate the coverage amounts.
You must enter the month and day.

Premium Base As Of

Select the employee's salary that the system will use for premium calculation. The options are:

- *Check Date*

The date of the pay check is used to calculate the coverage amounts.

- *Pay End Date*

The pay end date is used to calculate the coverage amounts.

- *Last Year On*

A specific date is used to calculate the coverage amounts.
You must enter the month and day.

- *Pay End Date*

The pay end date is used to calculate the coverage amounts.

- *This Year On*

A specific date is used to calculate the coverage amounts.
You must enter the month and day.

Source

Annual Rate

Select this field for the system to use the regular compensation base entered on the Job Data - Compensation page in Workforce Administration.

Annual Benefits Base Rate

Enter a rate from the drop-down menu. The system uses the rates defined in the Annl Benef Base Rt Type Tbl page.

Multiple Job Rules

Combine Base for Multiple Jobs

Select this option if you want to aggregate salary from multiple jobs as a basis for a coverage determination.

Group Method

This field is available if you selected the Combine Base for Multiple Jobs option.

Select the grouping method for the system to use when selecting jobs to contribute to the total benefit base. Values are:

- *All Flagged Jobs*

All flagged jobs are included in the calculation regardless of the benefit record number.

- *Flagged Jobs in Benefit Record*

All flagged jobs within the current record number are included in the calculation.

- *Primary Job in Benefit Record*

Only the primary job within the current benefit record number is included in the calculation.

Consider Active Jobs Only

Select to have the system consider only jobs with an active status.

Maximum Benefit Base

Enter the maximum amount of benefit base that can be used in the coverage formula calculation.

Note: This is most often used in disability plans to limit the amount of salary subject to replacement.

Coverage Minimum and Maximum

Coverage Minimum

Enter the minimum amount of coverage that an employee can receive. If the calculated coverage falls below this amount, this amount will be covered as a default.

Coverage Maximum

Enter the maximum amount of coverage that an employee can receive. If the calculated coverage exceeds this amount the coverage is capped.

Coverage Formula

Coverage Formula

Displays the formula in an algebraic format.

Show Formula

Click to refresh the Coverage Formula field.

Formula

Operand

Select from these mathematical options to build your formula.

Entry Type

Select an entry type. Values are:

- *Constant*

Select if the value is a constant.

- *Base*

Select if the base salary may change.

Numeric Value

This field is available if you select *Constant* in the Entry Type field.

Enter a numeric value.

Round to Multiple of

Enter a numeric value to designate the precision of the rounded number. Typical multiples are 100 and 1000.

Round Up Amount

Enter a numeric value to designate when a number gets rounded.

Age Coverage Reduction

Age

Enter the age of employee. The system determines the age by the pay period end date.

Percent

Enter a percentage that the system will deduct from the employee's coverage.

An Example for Creating a Formula

Here is an example for setting up the formula for $((2 \times \text{BASE}) \text{ ROUND} + 1000) \text{ ROUND}$


1. For Seq No field 10, select the (symbol in the Operand field.
2. Add a row.
3. For Seq No field 20, select the (symbol in the Operand field.
4. Add a row.
5. For Seq No field 30, select *Constant* in the Entry Type field and enter 2 in the Numeric Value field.
6. Add a row.
7. For Seq No field 40, select the * symbol in the Operand field and select *Base* in the Entry Type field.
8. Add a row.
9. For Seq No field 50, select the) symbol in the Operand field.
10. Add a row.

11. For Seq No field 60, select *Round* in the Operand field, enter 1000 in the Round to Multiple of field, and enter 500.00 in the Round Up Amount field.
12. Add a row.
13. For Seq No field 70, select + in the Operand field, select *Constant* in the Entry Type field, and enter 1000.00 in the Numeric field.
14. Add a row.
15. For Seq No field 80, select the) symbol in the Operand field.
16. Add a row.
17. For Seq No field 90, select the (symbol in the Operand field.
18. Add a row.
19. For Seq No field 100, select *Round* in the Operand field, enter 500.00 in the Round to Multiple of field, and enter 1000 in the Round Up Amount field.

The formula creation area should look like this:

Image: Formula creation area

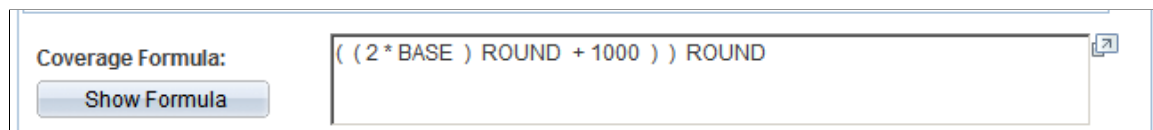
This example illustrates the fields and controls on the Formula creation area. You can find definitions for the fields and controls later on this page.

Formula							Customize Find  First 1-10 of 10 Last	
Seq No	Operand	Entry Type	Numeric Value	Round to Multiple of	Round Up Amount			
10	(+	-	
20	(+	-	
30		Constant	2.000			+	-	
40	*	Base				+	-	
50)					+	-	
60	Round			500	1000.00	+	-	
70	+	Constant	1000.000			+	-	
80)					+	-	
90	(+	-	
100	Round			500	1000.00	+	-	

When you are done and have clicked Save Formula or Save, the formula that you created appears in the Coverage Formula Scroll area.

Image: Completed customized formula

This example illustrates the fields and controls on the Completed customized formula. You can find definitions for the fields and controls later on this page.



The screenshot shows a web interface for defining a coverage formula. On the left, there is a label "Coverage Formula:" and a button labeled "Show Formula". To the right of the button is a large text input field containing the formula: $((2 * \text{BASE}) \text{ ROUND} + 1000) \text{ ROUND}$. A small icon is visible at the end of the text field.

Other examples of formulas you may want to create are:

- Flat Amount
- 2 x Base
- (2 x Base) Round
- (2 x Base) Round + Flat Amount
- ((2 x Base) + Flat Amount) Round
- (Base + Flat Amount) Round
- ((2 x Base) Round + Flat Amount) Round

Life and AD/D Plan Table Page

Use the Life and AD/D Plan Table page (LIFE_ADD_TABLE) to enter life or AD/D plan details.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Life and AD/D Plan Table, Life and AD/D Plan Table

Image: Life and AD/D Plan Table page

This example illustrates the fields and controls on the Life and AD/D Plan Table page. You can find definitions for the fields and controls later on this page.

Life and AD/D Plan Table

Plan Type: 20 Life
Benefit Plan: BP0004 Basic Life Plan

Life/ADD Plans Find | View All First 1 of 1 Last

*Effective Date: 01/01/1980 + -

Coverage Group Code: B001 + - ☐ Discriminatory Life

Coverage Type

☒ Plan Level Formula ID: KDUMMYLIF + -

☐ Employee Level

Insured Person Type

☒ Employee ☐ Dependent Specific Coverages?

☐ Dependents

Life/ADD Plans

Coverage Group Code

(Optional) Enables you to define a maximum benefit amount for a group of life and AD/D plan types. Coverage group codes are defined on the Life and AD/D Coverage Groups page.

You might do this if employees can choose more than one life plan type—perhaps life and AD/D—but the total benefit for the combined plans cannot exceed a specific amount. The coverage group code maximum applies to all the life and AD/D benefit plans that you associate with a coverage group code and one benefit program.

Discriminatory Life

Select this field for the system not to deduct any coverage amounts from the U.S. imputed income calculation.

Coverage Type

Plan Level

Select to indicate that coverage for this plan is determined by a specific formula ID.

Employee Level

Select to indicate that coverage for this plan is specified by the flat amount on the enrollment page.

Formula ID

Select from the available coverage formula IDs.

Insured Person Type

Employee

Select to indicate that the person insured by this plan is the employee.

On the enrollment page, this directs the system to collect beneficiary allocations.

Dependents

Select to indicate that the persons insured by this plan are the employee's dependents and not the employee.

On the enrollment page, this directs the system to collect a list of covered individuals.

Dependent Specific Coverages?

Select to indicate that each covered dependent will have an individual coverage amount entered for him or her on the enrollment page.

If this option is not selected, then a single coverage amount is specified and each covered person receives this same amount of coverage.

Restricting Insurance Coverage

You can associate coverage formulas that include coverage minimum and maximum amounts with a life benefit program and benefit plan combination. When you do this, the system verifies that coverage for participants doesn't exceed those minimums and maximums, regardless of the coverage that you enter on the Life and AD/D Plan table. This enables you to restrict the amount of coverage for an individual life plan.

You can use the Coverage Group Code page to also restrict the amount of coverage across all life insurance plans in which the employee enrolls. For example, you define a coverage group code with a 500,000 USD maximum, then enter that coverage group code for a supplemental life plan and a group life plan, and associate both benefit plans with a benefit program. If you enrolled an employee in both of these plans, the system would ensure that the employee's total coverage by both plans does not exceed 500,000 USD.

If the maximum is exceeded, the system reduces the total coverage to meet the coverage maximum. When the system processes deductions, it accumulates the coverage amounts and begins reducing coverage when the coverage group maximum is reached. The system processes plans in order of deduction priority. If more than one plan has the same priority, it processes in increasing plan type order.

(USF) Understanding Option A - Standard FEGLI Plan Calculations

PeopleSoft Payroll for North America calculates coverage and premiums for the Option A - Standard FEGLI optional life plan in accordance with federal regulations. The Option A - Standard plan provides at least 10,000 USD in additional coverage, depending upon the employee's rate of pay.

When an employee's annual rate of pay is more than the sum of the annual rate of basic pay for Level II Executive Schedule positions under 5 U.S.C. 5313, plus 10,000 USD, Option A coverage automatically increases. The amount then becomes the difference between the employee's annual rate of pay and the maximum allowable Basic Insurance Amount (BIA). Calculations are included in the Calculation Rules Table for calculation rule ID *OPTA*.

The maximum allowable BIA appears in the Maximum Benefits Base field on the Calculation Rules Table page. PeopleSoft Payroll for North America uses this information to calculate coverage and premium amounts.

Setting Up Disability Plans

To set up disability plans, use the Disability Plan Table (DISABILITY_PLN_TBL) component.

This section lists prerequisites and discusses how to enter disability plans details.

Page Used to Set Up Disability Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Disability Plan Table	DISABILITY_PLN_TBL	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Disability Plan Table, Disability Plan Table	Define details for disability benefit plans.

Prerequisites

Insurance companies typically quote disability benefits in monthly terms (as opposed to life insurance benefits, which are quoted in annual terms). Define the amount of covered salary that forms the basis for a disability plan on the Coverage Formula Table page.

See Coverage Formula Table page

Disability Plan Table Page

Use the Disability Plan Table page (DISABILITY_PLN_TBL) to define details for disability benefit plans.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Disability Plan Table, Disability Plan Table

Image: Disability Plan Table page

This example illustrates the fields and controls on the Disability Plan Table page. You can find definitions for the fields and controls later on this page.

Disability Plan Table

Plan Type: 30 Short-Term Disability
 Benefit Plan: BP0067 STD-Salary Replacement %

Disability Plans Find | View All First 1 of 1 Last

*Effective Date: 01/01/1994 31 + -

*Formula ID: KDUMMYDIS

*Salary Replacement %: 80.000 ☒ Use as Base for Premium Calc

Salary Replacement % (salary replacement percentage)

Enter the percent of employee salary that this plan will replace, limited to the maximum monthly benefit.

Use as Base for Premium Calc (use as base for premium calculation)

Select for the system to use the employee covered salaries to calculate disability plan premiums. When this option is selected, disability plan premium calculations are based on the salary replacement percentage of the employee's disability benefit rather than the employee's covered salary. This amount is still limited to the specified maximum monthly benefit.

Calculation of Disability Plan Coverage

Similar to life insurance, disability plans use a coverage formula to determine the covered salary against which the premium rate is applied. Whereas life insurance plans typically calculate coverage in annual terms, disability plans typically calculate salary replacement coverage in monthly terms. You typically create a very simple formula for disability plans:

Base/12

You may want to round the base salary or final result. You could use the maximum benefit base to cap the employee's covered annual salary before the calculation, or you could use the coverage maximums to cap the resulting covered monthly salary.

The final monthly salary could be subject to either a percent-of-base type rate or a rate-per-unit type rate. Unless Use Salary Replacement as Base is selected, the rate takes into account the salary replacement percentage. This means that you would have a different rate for 50% replacement than you do for 66% replacement because the base represents only the covered salary and not the actual replace salary. However, if Use Salary Replacement as Base is selected, then the coverage base already accounts for the replacement percentage and a single set of rate would probably be used. The treatment and presentation of this plan is determined by your own business practice.

Setting Up Savings Plans

To set up savings plans, use the Savings Plan Table (SAVINGS_PLAN_TBL) component.

This section discusses how to:

- Define employee investment limits.
- Define employer match or other contributions.
- Set up employee rollover options.

Pages Used to Set Up Savings Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Employee Limit on Investments	SAVINGS_PLAN_TBL1	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Savings Plan Table, Employee Limit on Investments	Define employee investment limits.
Employer Contribution/Match	SAVINGS_PLAN_TBL2	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Savings Plan Table, Employer Contribution/Match	Define employer contributions.
Rollover of Funds	SAVINGS_PLAN_TBL5	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Savings Plan Table, Rollover of Funds	Set up employee rollover options.

Employee Limit on Investments Page

Use the Employee Limit on Investments page (SAVINGS_PLAN_TBL1) to define employee investment limits.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Savings Plan Table, Employee Limit on Investments

Image: Employee Limit on Investments page

This example illustrates the fields and controls on the Employee Limit on Investments page. You can find definitions for the fields and controls later on this page.

Employee Limit on Investments		Employer Contribution/Match		Rollover of Funds	
Plan Type:	40	401(k)			
Benefit Plan:	BD401A	Savings Service Step ER Match			
Savings Plan Information					
*Effective Date:	01/01/2005	*Use Special Accumulator:	BH1		
*Plan Contribution:	EE Contribution Required				
Limits on Employee Investments					
Employee YTD Earning Threshold:	\$0.00				
Up-To-Threshold Limit (Percent of Earnings)					
Total Investment:	50.000	Before-Tax:	50.000	After-Tax:	50.000
Over-Threshold Limit (Percent of Earnings)					
Total Investment:		Before-Tax:		After-Tax:	
Rules for Highly Compensated Employee Investments					
Investment Limited to (Percent of Earnings)					
Total Investment:	0.000	Before-Tax:		After-Tax:	
Investment Reduced by (Percentage)					
		Before-Tax:		After-Tax:	
Investments					
*Investment Option	*Description				
1	Asset Allocation				

Use Special Accumulator

Enter a special accumulator to identify eligible earnings for calculating employee deductions. Special accumulators are set up using the Special Accumulator Table.

Plan Contribution

Select who will be a contributor to this savings plan:

EE Contribution Optional (employee contribution optional): You might use this for plans that feature an employer nonmatching contribution, with voluntary additional employee contributions. You might also use it for a zero-contribution enrollment plan allowing for rollover funds.

EE Contribution Required (employee contribution required): This option enables employer matching.

Employer Only (No EE) (employer only [no employee]): You could use this to establish an employer-funded savings benefit.

Limits on Employee Investments

Employee YTD Earning Threshold (employee year-to-date earning threshold)	This amount is used to determine when the over-threshold limit applies. If this amount is left at zero, the up-to-threshold limits apply to all levels of earnings.
Up-To-Threshold Limit (Percent of Earnings)	Enter the before-tax, after-tax, and total percentage of earnings that an employee can invest, as long as the employee year-to-date (YTD) earnings threshold has not been met.
Over-Threshold Limit (Percent of Earnings)	Enter the before-tax, after-tax, and total percentage amounts that an employee can invest after the YTD earnings threshold has been met.

Rules for Highly Compensated Employee Investments

In response to marginal or failed 401(k) and 401(m) nondiscrimination testing, you may need to reduce investments by highly compensated employees (HCEs) to comply with regulations. Enter the before-tax, after-tax, and total investment amounts that an employee can invest.

For example, you could set a lower 8% before-tax contribution cap on HCEs or set up a 2% reduction on elected contribution of each HCE to bring deferrals into compliance. Depending on the results of the nondiscrimination testing, you can adjust the limits throughout the year.

Investments

Enter a numerical identifier for each investment option and a description.

Note: U.S. federal government users enter an alphabetical identifier.

Employer Contribution/Match Page

Use the Employer Contribution/Match page (SAVINGS_PLAN_TBL2) to define employer contributions.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Savings Plan Table, Employer Contribution/Match

Image: Employer Contribution/Match page

This example illustrates the fields and controls on the Employer Contribution/Match page. You can find definitions for the fields and controls later on this page.

Employee YTD Earning Threshold (employee year-to-date earning threshold)

Displays the value enter on the Employee Limit on Investments page for this field.

Service Step Table ID

Use to link the rate of employer matching contributions to an employee's length of service.

If you enter a service step table ID, the system automatically selects Service Step Table option in the Before Tax Employer Contribution and After Tax Employer Contribution group boxes.

Before and After Tax Employer Contribution

Employer contribution refers to amount employer contributes towards employee's savings plan, either on a matching or nonmatching basis.

In a matching contribution, the employer's contribution is dependent or limited by employee's contribution in the savings plan.

Pct of Employee Investment (percent of employee investment) Select to enter the percentage the organization will match of an employee contribution in the Percent Match field. For example, if an organization matches 25% of an employee's contribution, the system will generate an employer match of 25 U.S. dollars (USD) for an employee with a 401k contribution of 100 USD.

Service Step Table Select to use a service step table that defines different levels of percent match of employee's earnings per length of service and deduction class. For example, the service step table specified After 24 Months of Service, the employer will matches 40% of up to 3% of Employee's Earnings and 60% of up to 8% of Earnings for Before Tax. Hence if employee had 36 months of service and had Before Tax contribution 10% of his Before Tax Earnings of \$1,000, then his first 3% of contribution is at 40% giving \$12 while the next 5% (8% less 3% already matched) is at 60% giving \$30. Hence, Employer Match Total is \$42.

In a nonmatching contribution, the employer's contribution is independent of employee's contribution and sets the contribution using the following options:

Flat Amount Per Pay Period Select and enter the dollar amount that the employer will contribute per pay period regardless of the amount an employee contributes in the Flat Amount field.

Pct of Special Accumulator (percent of special accumulator) Select and enter a percentage of an employer contribution in the Under Threshold field. A special accumulator is predefined total of certain earnings, a percentage of which is what employer will contribute.

You can also identify other options or enter additional information, such as contribution percentages and amounts, for employer matching and contribution.

Special Calculation Routine Select to have the system use a custom routine that is not supported by the standard PeopleSoft system. You must modify your COBOL programs.

Percent Matching Enter the percentage the organization will match of an employee contribution. This field appears when the Pct of Employee Investment option is selected.

Flat Amount Enter the dollar amount that the employer will contribute per pay period regardless of the amount an employee contributes. This field appears when the Flat Amount Per Pay Period option is selected.

Under Threshold Enter a percentage of an employer contribution. This field appears when the Pct of Special Accumulator option is selected.

Allow Negative Employer Match/Contribution Select to enable negative earnings to result in an employer match when the employee's contribution is negative. The system will not make a positive contribution when there are negative earnings present, this includes rollovers, flat dollar contribution

amounts, and excess credits. The earnings are used in the calculation, but only to generate running employer totals—the earnings do not interfere with the match-tier processing. The negative employer match/contribution resets to zero if not allowed.

Employer Limits on Non-Matching Contribution or Employee's Contribution Subject to Employer Match

Before Tax % of Earnings Limit, After Tax % of Earnings Limit, and Combined % of Earnings Limit

Enter a percentage of before, after, or combined earnings that will be matched by the employer contribution.

For example, if the Before Tax % of Earnings Limit field had 6%, then the employer would match contributions up to 6% of the employee's rate of contribution. If the employee only contributed 5%, only 5% would be matched.

Amount Per Pay Period Limit

Select a dollar amount upon which to apply the employer contribution.

Limit Employee Match

Select a method for calculating an employer's savings plan match. This can be done in two different ways: one limits the employee's contributions subject to matching after the employer match calculation and the other limits the employee contributions prior to calculating the employer match. Options are *After Employer Match* and *Before Employer Match*.

You can define the maximum amount that the employer contributes to a savings plan, on either a matching or nonmatching basis.

- When you are defining a nonmatching contribution plan, this data defines the actual amount an employer contributes.

For example, your organization might fund savings plans with after-tax dollars at 3% of the employee's earnings, up to 500 USD per pay period. You would enter 3% in the After-Tax % of Earnings Limit field and 500 in the Amount Per Pay Period Limit field.

- When you are defining a plan that has an employer match, this data defines the maximum amount of employee funds eligible for matching, rather than defining the amount of the employer match.

For example, your organization might match 50% of an employee's contributions, but only on a before-tax basis, up to 6% of earnings or 1,000 USD per pay period, whichever is less. In this example, you would enter 6% in the Before-Tax % of Earnings Limit field and 1000 in the Amount per Pay Period Limit field.

- Use the Combined % of Earnings Limit field to combine the limits for both before-tax and after-tax employer match.
- Use the Limit Employee Match field to specify whether the limit or reduction is applied before or after the employer match is calculated as described in the examples below.

This feature applies only for employer match calculations that use the Service Step Table.

Example: Limiting Employee Match Before or After Employer Match When Using the Service Step Table

This example uses the following data:

- Savings plan limit for employer contribution: 4.5%
- Service Step table:
 - Up to 3% of employee earnings: 100% match.
 - Up to 6% of employee earnings: 50% match.
- Employee enrollment:
 - Before-tax: 1%
 - After-tax: 6%
- Earnings: \$5225
- Before-tax deduction: 52.25
- After-tax deduction: \$315.50

After Employer Matching

The reduction (limit) of the employee contributions that are subject to matching *after* the match calculation is calculated like this:

- Before-tax employer match: \$52.25
(1% earnings x 100% match)
- Non-taxable Employer match: \$182.88

$$[(3\% \times 100\% \text{ match} = \$156.75) + (3\% \times 50\% \text{ match} = \$78.38)] = (4.5\% \times \$5225) = \$235.13 \text{ match}$$

$$\$235.13 - \$52.25 = \$182.88$$

Before Employer Matching

The reduction (limit) of the employee contributions subject to matching *before* the match calculation is calculated like this:

- Before-tax employer match: \$52.25
(1% earnings x 100% match)
- Non-taxable Employer match: \$169.82

$$[(4.5\% \text{ limit} - 1\% \text{ earnings used for before-tax} = 3.5\%) (3\% \times 100\% \text{ match} = \$156.75) + (.5\% \times 50\% = 13.06) = \$169.82$$

Calculating Thrift Savings Plan (TSP) Employer Match for Federal Benefits

This section contains information about employer match per employee contribution before-tax and after-tax calculation for Thrift Savings Plans including Roth 401(k).

Note: Use this TSP employer match calculation logic only if the deduction is for TSP EE Contrib-Agency Match, i.e., Reporting Code = 'TSP EE Contrib – Agency Match'.

To calculate the employer match using the Agency Matching Contribution formula, use the total of TSP and Roth 401(k) employee contribution amounts to determine the percentage of pay contributed. To calculate the employer match, the following rules apply:

1. The first 3% of contribution is 100% Employer Match.
2. The remaining contribution is 50% Employer Match.
3. Total contribution for matching cannot exceed 5%.

Set up the service step table to define before-tax and after-tax values. For more information, see *Setting Up Service Step Table*.

Thrift Savings Plan (TSP) Employer Match Calculation Example

In this example, the employee earnings is \$4000.

- Before-tax Employee Contribution = $\$4000 \times 2\% = \80
- After-tax Employee Contribution = $\$4000 \times 4\% = \160
- Non-taxable Before-tax Employer Match = $\$4000 \times 2\% \times 100\% = \80
- Non-taxable Employer Match = $\$4000 \times 1\% \times 100\% + \$4000 \times 2\% \times 50\% = \80

Rollover of Funds Page

Use the Rollover of Funds page (SAVINGS_PLAN_TBL5) to set up employee rollover options.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Savings Plan Table, Rollover of Funds

Image: Rollover of Funds page

This example illustrates the fields and controls on the Rollover of Funds page. You can find definitions for the fields and controls later on this page.

Note: Employer-only plans cannot receive rollovers from another plan, because rollovers are a form of employee contribution.

Rollover Options

Choose from the following:

None: Rollovers are not allowed.

Refund Excess Over Limit: Use with 403(b) plans, for which the limiting of employee contributions is more dynamic. In certain situations, an employee's contributions are not limited as quickly as they should be. On subsequent pay runs, the system may determine that the employee was allowed to contribute too much. This option enables the system to reduce prior contributions in excess of the current limit by creating a refund or negative deduction of the excess deferral amount.

Specified at Employee Level: Rollover amounts are based on information entered on the employee enrollment pages. The plan type, benefit plan, deduction code, and class for before-tax, after-tax, or both are made on employee enrollment records.

Specified at Plan Level: Rollover amounts are based on information entered on the benefit plan pages. Enter the plan type, benefit plan, deduction code, and class for before-tax, after-tax, or both.

Note: Specified at Plan Level and Specified at Employee Level require that the employee be enrolled in the specified rollover savings plan before the actual rollover event occurs during a payroll run. If you want a savings plan to receive rollover funds without active employee contributions, set up the plan as Employee Contribution Optional on the Employee Limit on Investments page and enroll employees with a zero contribution amount.

Before Tax Rollover and After Tax Rollover

Enter the plan type, benefit plan, deduction code, and class for the rollover options.

**Calculate Pct of Annual Rate
(calculate percentage of annual rate)**

Select to enable PeopleSoft Payroll Interface to calculate deductions for a savings plan. Payroll Interface estimates employee deductions using an employee's annual compensation rate.

This is considered an estimate because the annual rates and actual earnings, not available to PeopleSoft Payroll Interface, are not necessarily the same. Also, no year-to-date accumulators are available to apply regulatory limits.

With the exception of savings plan deductions, PeopleSoft Payroll Interface does not calculate deductions that are based on a percentage of an employee's earnings. To calculate such deductions, you need either PeopleSoft Payroll for North America or an appropriate interface with another payroll system.

Setting Up Thrift Savings Plans (TSP)

This topic contains set up specifications for Thrift Savings Plans (TSP) (Plan Type - 42) that includes the Roth 401(k) plan for federal employees.

For more information about Thrift Savings Plan (TSP) including Roth 401(k), see product documentation for *PeopleSoft HCM: Payroll for North America*.

Setting Up TSP Employer Match - FERS Plan

The following table contains the fields and values to set up the TSP Employer Match - FERS Plan:

Page Name	Section	Field Name	Value
Employee Limit on Investments	Limits on Employee Investments, Up To Threshold Limit (Percent of Earnings)	After-Tax	Enter the percentage of earnings which is the limit for contributing to Roth 401(k) plan.

<i>Page Name</i>	<i>Section</i>	<i>Field Name</i>	<i>Value</i>
Employer Contribution/Match	Employer Limits on Non-Matching Contribution or Employee's Contribution Subject to Employer Match	Combined % Earnings Limit	Enter the combined percentage earnings limit. This will be the combined earnings matching for TSP and Roth.
	Savings Plan Information	Service Step Table ID	Use the service step table defined for TSP - Roth 401(k) employer match.

Setting Up TSP No Match - CSRS Plan

The following table contains the fields and values to set up the TSP No Match - CSRS Plan:

<i>Page Name</i>	<i>Section</i>	<i>Field Name</i>	<i>Value</i>
Employee Limit on Investments	Limits on Employee Investments, Up To Threshold Limit (Percent of Earnings)	After-Tax	Enter the percentage of earnings.
Employer Contribution/Match	Employer Limits on Non-Matching Contribution or Employee's Contribution Subject to Employer Match	Combined % Earnings Limit	Leave this field empty as there is no employer match associated with this plan.
	Savings Plan Information	Service Step Table ID	Leave this field empty as there is no employer match associated with this plan.

Setting Up Leave Plans

To set up leave plans, use the Leave Plan Table (LEAVE_PLN_TBL) component.

This section discusses how to enter leave plan details.

Note: Before you begin, make sure that you have created earnings codes for the leave plans. The payroll tables summarized in this section are from PeopleSoft Payroll for North America. If your organization does not implement PeopleSoft Payroll for North America, work with your payroll department to ensure that the system can access the proper data for leave accrual processing.

Page Used to Set Up Leave Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Leave Plan Table	LEAVE_PLAN_TABLE	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Leave Plan Table, Leave Plan Table	Enter leave plan details.

Related Links

[Setting Up Calculation Rules](#)

Leave Plan Table Page

Use the Leave Plan Table page (LEAVE_PLAN_TABLE) to enter leave plan details.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Leave Plan Table, Leave Plan Table

Image: Leave Plan Table page (1 of 2)

This example illustrates the fields and controls on the Leave Plan Table page (1 of 2). You can find definitions for the fields and controls later on this page.

Leave Plan Table

Plan50Sick
Type:
BenefitBP0066Test Sick Leave
Plan:

Leave PlansFind | View All First1 of 1Last

*Effective Date:01/01/1980
☐ Balance Visible to EE for Self-Service

Accrual Process Date:

Accrual Calculation

*Service Units:Months

*Accrual Rate Units:Hours per Month

Award Frequency:First Run of Month

Automatic Accrual Processing

☐ Special Calculation Routine

*Max Leave Balance:80.000

☐ Service Calc at Year Begin

Max Leave Carryover:40.000

Manual Accrual Processing

☐ Pay in Lieu of Time Off

☒ Pay at Termination

Percent to Pay at Termination:100.00

☒ Allow Negative Balance

Max Negative Hours Allowed:

First Year Award ValuesCustomize | Find | View All | First1 of 1Last

*Employment Month	*Hours Earned	*Month Eligible
0		

112

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Image: Leave Plan Table page (2 of 2)

This example illustrates the fields and controls on the Leave Plan Table page (2 of 2). You can find definitions for the fields and controls later on this page.

Accrual Rate Values				
Customize Find View All First 1-3 of 3 Last				
After Service Interval	Unit Of Measure	*Accrue Hours At	Frequency	
0	Service Months	4.000000	Hours per Month	+ -
12	Service Months	6.000000	Hours per Month	+ -
48	Service Months	8.000000	Hours per Month	+ -

Service Bonus Values				
Customize Find View All First 1-3 of 3 Last				
After Service Interval	Unit Of Measure	*Award Bonus Hours		
0	Service Months	16.000000		+ -
60	Service Months	32.000000		+ -
120	Service Months	40.000000		+ -

Balance Visible to EE for Self-Service Select to enable employees to view their leave balances on the self-service transaction View Paycheck.
(balance visible to employee for self-service)

Accrual Process Date

Displays the date on which the leave accrual calculation process was last run.

Service Units

Select a unit by which length of service is calculated.

If you select *Months*, the system uses the service date on the employee employment record as the basis for accrued service.

If you select *Hours*, the system uses the actual hours from the appropriate earnings code maintained by PeopleSoft Payroll for North America.

Accrual Rate Units

The unit by which the accrual rate is quoted. Accrual awards are always measured in hours.

Hours Per Hour: Leave hours accrued on an hourly basis.

Hours Per Month: Leave hours accrued on a monthly basis.

Hours Per Pay Period: Leave hours accrued on a pay period basis. For US Federal users only. Defines the hours an employee will accrue per pay period.

Hours Per Week: Leave hours accrued on a weekly basis.

Hours Per Year: Leave hours accrued on a per year basis.

Award Frequency

Displays how often the leave accrual award is given to employees. This is determined by the accrual rate unit.

If the accrual rate unit is *Hours per Hour*, then the award frequency is Every Run.

If the accrual rate unit is *Hours per Month*, then the award frequency is First Run of Month.

If the accrual rate unit is *Hours per Year*, then the award frequency is First Run of Year.

Automatic Accrual Processing

Defines how leave hours are calculated.

Special Calculation Routine: means that your company has a custom calculation method.

Service Calc at Year Begin (service calculation at year begin): calculates leave hours according to length of service as of January 1 of the current year.

Max Leave Balance (maximum leave balance): defines the maximum leave hours that an employee can accrue. If no maximum exists, enter 9999.

Max Leave Carryover (maximum leave carryover): defines the maximum number of hours that an employee can carry from one calendar year to the next. If no maximum exists, enter 9999.

Manual Accrual Processing

The values that you enter here are for reference only; they do not affect leave accrual processing in any way.

First Year Award Values

For leave plans with annual accruals, use to define a leave plan in which, during the first year of service, leave hours are prorated based on the month that employment begins. This is available only when the leave plan's accrual rate unit is Hours Per Year.

Although the award frequency for annual accrual leave plans is First Run of Year, you will actually run the Leave Accrual process each month to process the first year award values exclusively. After the first year, employees receive awards only on the first run of the year, as planned.

Accrual Rate Values

Defines how your employees accrue leave time. This is tied directly to what you selected in the Service Units field.

Service Bonus Values

Defines how employees accrue bonus hours (in addition to regular leave accruals).

Setting Up FMLA Plans

To set up Family Medical Leave Act (FMLA) Plans, use the FMLA Plan Table (FMLA_PLAN_TBL) component.

This section lists prerequisites and discusses how to enter FMLA plan details.

Page Used to Set Up FMLA Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
FMLA Plan Table	FMLA_PLAN_TBL	Set Up HCM, Product Related, Base Benefits, FMLA (Family Medical LV), FMLA Plan Table, FMLA Plan Table	Enter FMLA plan details.

Related Links

[Understanding FMLA Plan Administration](#)

Prerequisites

The FMLA contains provisions regarding employer coverage, employee eligibility and entitlement, notice and certification, continuation of health benefits, and job restoration. Because an FMLA plan is an entitlement type of leave plan, it is managed separately from your other enrolled leave plans. Before you can set up FMLA plans, you must activate FMLA administration.

To activate FMLA administration:

1. Access the Product Specific page on the Installation Table.
2. Select FMLA Administration in the Benefits Functions group box.

This enables you to gain access to pages and fields for setting up and administering FMLA plan balances.

Related Links

"Product Specific Page (*PeopleSoft HCM 9.2: Application Fundamentals*)"

FMLA Plan Table Page

Use the FMLA Plan Table page (FMLA_PLAN_TBL) to enter FMLA plan details.

Navigation

Set Up HCM, Product Related, Base Benefits, FMLA (Family Medical LV), FMLA Plan Table, FMLA Plan Table

Image: FMLA Plan Table page

This example illustrates the fields and controls on the FMLA Plan Table page. You can find definitions for the fields and controls later on this page.

Calendar Type

Employees who are eligible for FMLA-protected leave are entitled to at least 12 weeks of leave during each 12-month FMLA year. Three different ways are available to define the FMLA year:

Fixed Calendar: Defines a 12-month period with a specific starting date (defined with the Begin Month and Begin Day fields). It can be the calendar year, fiscal year, or a 12-month period that is defined by state law. Employees with Fixed Calendar FMLA leave plans are entitled to the defined annual entitlement, less any FMLA-protected leave taken in the current fixed calendar year. The eligibility weeks for an FMLA request that is made using a fixed calendar year are reduced according to the date that the request is made.

Roll-Forward: Begins the FMLA year when employees take an FMLA-protected leave and ends 12 months later. Employees' next FMLA year begins with the first FMLA-protected leave they take after the first 12-month FMLA year has passed.

Roll-Backward: Counts the FMLA year backwards for 12 months from the time that employees take FMLA protected leave. If employees have taken FMLA leave in the year previous to their scheduled FMLA leave start date, that amount of leave is subtracted from their annual entitlement for the upcoming FMLA-protected leave request.

Annual Entitlement

Defines the number of weeks of FMLA-protected leave that employees are entitled to every FMLA year. You can define an entitlement of more than the government-mandated 12 weeks, but not less.

Minimum Service Weeks Required and Minimum Earned Hours Required

Use to define the length of service requirements that must be met before an employee is eligible for FMLA coverage. According to the standards set by the FMLA, the values for these fields cannot be greater than 52 weeks (12 months) and 1,250 hours, respectively.

Working any portion of a week counts as a full week of employment, which enables part-time and seasonal employees to meet this requirement. The weeks of employment are also not required to be consecutive, which means that employees who are rehired after a gap in employment may still meet this requirement. The Earned Hours Required field enables the definition of a specific number of hours (up to 1,250) that an employee must have worked for the company during the previous 52 weeks to qualify for FMLA coverage.

Use Standard or Payroll Hours

If you use PeopleSoft Payroll for North America, define how earned hours required and entitlement hours are calculated.

Std Hrs (standard hours): Calculates the earned hours using standard hours per work week. The system determines standard hours per work week from the employee Job record effective as of the leave begin date.

Pay Hrs (payroll hours): Calculates the standard hours using the actual hours worked per week during the 52 weeks prior to the request begin date. Provides more accuracy for employees who work an irregular schedule.

If payroll hours are used, you must establish an FMLA hours special accumulator to track FMLA hours. Special accumulators and their codes are defined through the Special Accumulator Table.

Note: If you do not use PeopleSoft Payroll for North America, the *Pay Hrs* option is not allowed.

Related Links

[Setting Up Special Accumulators](#)

Setting Up FSA Plans

To set up FSA plans, use the FSA Benefits Table (FSA_BENEFITS_TABLE) and HSA Excluded Coverages (HSA_EXCLUDED_CVRGS) components.

This section provides an overview of FSA plans and discusses how to:

- Enter FSA plan details.
- Enter HSA contribution limits.
- Define FSA Administration parameters.
- Define HSA excluded coverages.

Pages Used to Set Up FSA Plans and HSA Excluded Coverages

Page Name	Definition Name	Navigation	Usage
Spending Accounts	FSA_BENEFITS_TABLE	Set Up HCM, Product Related, Base Benefits, Plan Attributes, FSA Benefits Table, Spending Accounts	Define spending account plan details for US and Canada
HSA Contribution Limits	HSA_CONTRIB_LIMITS	Set Up HCM, Product Related, Base Benefits, Plan Attributes, FSA Benefits Table, HSA Contribution Limits	If you are using PeopleSoft Base Benefits, define the contribution limits for HSA plans. This page is used only with Benefits Administration.
FSA Admin Config	FSA_ADMIN_CONFIG	Set Up HCM, Product Related, Base Benefits, Plan Attributes, FSA Benefits Table, FSA Admin Config	Define the FSA Admin Configuration.
HSA Excluded Coverages	HSA_EXCLUDED_CVRGS	Set Up HCM, Product Related, Base Benefits, Program Structure, HSA Excluded Coverages	Define specific plans that will be excluded from coverage when one selects an HSA.

Understanding FSA Plans

The PeopleSoft system enables you to manage two types of spending accounts: Flexible spending accounts (FSAs) and Health savings accounts (HSAs).

Flexible spending accounts (FSAs) are before-tax employee savings plans that can be used for certain approved expenses, such as health care or dependent care. The following plan types are predefined for FSAs:

- Plan type 60 - FSA health care for U.S. companies.
- Plan type 61 - FSA dependent care for U.S. companies.
- Plan type 65 - FSA health care for Canadian companies.
- Plan type 66 - Canadian retirement counseling accounts.

HSAs are tax-advantaged accounts that enable eligible people to save money and subsequently use that money and any earnings from that money to pay for medical expenses tax-free. People are eligible to contribute to an HSA if they are covered under a high deductible health plan (HDHP).

The PeopleSoft system delivers the plan type 67 to use for HSAs, however any 6x plan type can be used. However, PeopleSoft does not recommend using 60, 61, 65 and 66, as these are delivered plan types for FSA Health and Dependent care for US and Canada.

Spending Accounts Page

Use the Spending Accounts page (FSA_BENEFITS_TABLE) to define spending account plan details for US and Canada.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, FSA Benefits Table, Spending Accounts

Image: Spending Accounts page

This example illustrates the fields and controls on the Spending Accounts page. You can find definitions for the fields and controls later on this page.

(CAN) Employer Carryforward Choice

Carry forward credit or claim amounts from one plan year to the next.

(CAN) Future Borrowing

Select to allow plan enrollees to spend FSA credits prior to their inclusion within the plan year.

Plan Management

Type of Account

Select whether the spending account is a Flexible Spending Account or a Health Savings Account.

If you are using PeopleSoft Benefits Administration, the system shows the HSA Contribution Limits page when you select *Health Savings Account*.

Plan Contribution

Indicate whether employees are expected to contribute to the plan by selecting one of the following options:

- *EE Contribution Optional*
- *EE Contribution Required*
- *Employer Only (No EE)*

Contribution Frequency

Select a frequency to define how you quote amounts for this spending account plan.

If, when you are entering an employee's election, an employee contribution percentage is specified in addition to an annual pledge, then it is specified in terms of this contribution frequency.

Balance ID

The system uses the pay period end date or check date and the schedule of balance periods associated with this balance ID to calculate deductions.

Employee Contribution

Minimum Annual Contribution

Enter the minimum contribution employees can make annually.

Maximum Annual Contribution

Enter the maximum contribution employees can make annually either here or on the HSA Contribution Limits page.

See Entering HSA Contribution Limits.

Catch-up Extension Amount

Enter the annual HSA catch-up extension amount as defined by the IRS.

Catch-up Contribution Age

Enter the age as of which employees can contribute the additional catch-up amount.

Employer Contribution

Employer Contribution

Indicate whether and how an employer matches contributions to this plan:

- **None:** No employer match is contributed.
- **Flat Amount:** Matches the employee contribution with a flat amount that you enter, up to a chosen percentage.
- **Percent of Employee Contribution:** Matches a percent of the employee contribution that you enter, up to a limit that you define.

- **Special Calculation Routine:** Uses a custom routine not supported by the standard PeopleSoft system.

Note: HSA plans only use the None and Flat Amount options.

Flat Contribution Amount

If you are using Benefits Administration, enter the employer contribution on the HSA Contribution Limits page only if the plan is an HSA plan. If the plan is an FSA, then enter the contribution amount on this page.

See Entering HSA Contribution Limits.

HSA Contribution Limits Page

Use the HSA Contribution Limits page (HSA_CONTRIB_LIMITS) to if you are using PeopleSoft Base Benefits, define the contribution limits for HSA plans.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, FSA Benefits Table, HSA Contribution Limits

Image: HSA Contribution Limits page

This example illustrates the fields and controls on the HSA Contribution Limits page. You can find definitions for the fields and controls later on this page.

Spending Accounts | **HSA Contribution Limits** | FSA Admin Config

Plan Type: 67 Health Savings Account
Benefit Plan: BNHSA Benefits HSA

Spending Account Setup Find | View All | First | 1 of 1 | Last

Effective Date: 01/01/2005
Employer Contrib Frequency: Monthly
Minimum Annual Contribution: 100.00

*Controlling Plan Type	*Controlling Benefit Plan	Description	*Coverage Code	Description	Maximum Annual Contribution	Employer Contribution Amount	Limit to %		
10	ZKSRHM	Kaiser HMO	1	Empl Only	4000.00			+	-
10	ZKSRHM	Kaiser HMO	12	EE+DP	4000.00			+	-
10	ZKSRHM	Kaiser HMO	14	Family-DP	4000.00			+	-
10	ZKSRHM	Kaiser HMO	2	EE+Spouse	4000.00			+	-
10	ZKSRHM	Kaiser HMO	3	Emp+Child	4000.00			+	-
10	ZKSRHM	Kaiser HMO	4	Family	4000.00			+	-

Employees can contribute to an HSA only if they are covered by an HDHP. Their contributions to an HSA are determined by the HDHP and the coverage code. For each controlling plan you enter, make sure that you include a row for each coverage code.

Contribution Controls

Controlling Plan Type	Select the benefit plan type whose membership controls eligibility for this plan.
Controlling Benefit Plan	Select the HDHP benefit plan whose membership controls eligibility for this plan.
Coverage Code	Select the plan's coverage code.
Maximum Annual Contribution	Enter the maximum annual amount that can be contributed to the HSA when an employee is covered by this plan and coverage code. This maximum is the total limit for both employee and employer contributions combined.
Employer Contribution Amount	Enter the amount that employers will contribute based on the Employer Contribution Frequency to the HSA for employees covered by this plan and coverage code.
Limit to % (limit to percent)	Leave blank because employer contributions must be nondiscriminatory.

FSA Admin Config Page

Use the FSA Admin Config page (FSA_ADMIN_CONFIG) to define the FSA Admin Configuration.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, FSA Benefits Table, FSA Admin Config

Image: FSA Admin Config page

This example illustrates the fields and controls on the FSA Admin Config page. You can find definitions for the fields and controls later on this page.

The screenshot displays the FSA Admin Config page with the following fields and controls:

- Plan Type:** 60 Flex Spending Health - U.S.
- Benefit Plan:** HCFS
- FSA Administration Setup** (Section Header)
- Effective Date:** 01/01/1980
- FSA Service From Date:** 01/01/1980 (with a calendar icon)
- Through:** 12/31/1980 (with a calendar icon)
- FSA Plan Year:** (empty field with a magnifying glass icon)
- FSA Minimum Check Amount:** (empty field with a calendar icon)
- ☐ Ignore Min Check Amt at Close

Use the following fields to determine the service dates that are valid for submitted claims:

FSA Service From Date	<p>This field by default displays the effective date of the plan and must be within the service date range to be paid under the plan.</p> <p>This value can also be longer than 12 months and overlap the service dates of other plans that the employer offers and in which the employee is enrolled.</p>
Through	<p>This field by default displays the date that is 12 months <i>after</i> the effective date of the plan.</p>
FSA Plan Year	<p>Select the year pertaining to this plan.</p>
FSA Minimum Check Amount (flexible spending account check amount)	<p>Enter a cost below which the system does not pay out any claims.</p>
Ignore Min Check Amt at Close (ignore minimum check amount at close)	<p>Select to close out the plan and process and pay out any approved, pending claims below the minimum amount. All claims are paid when the system runs the closure process for the benefit plan.</p> <hr/> <p>Note: If this option is not selected, all claims below the minimum amount remain unpaid. If the pending claim has a service date that overlaps two FSA plans in which an employee is enrolled, the system moves the claims to the second FSA plan until enough claims exist to meet the minimum check amount of the second FSA plan.</p> <hr/>

HSA Excluded Coverages Page

Use the HSA Excluded Coverages page (HSA_EXCLUDED_CVRGS) to define specific plans that will be excluded from coverage when one selects an HSA.

Navigation

Set Up HCM, Product Related, Base Benefits, Program Structure, HSA Excluded Coverages

If an employee enrolls in an HSA, he or she cannot enroll in some plans. These nonpermitted plans are defined in an HSA Excluded Coverages table by plan type and plan. This table validates all HSA enrollments through eBenefits and Benefits Administration.

Image: HSA Excluded Coverages page

This example illustrates the fields and controls on the HSA Excluded Coverages page. You can find definitions for the fields and controls later on this page.

Setting Up Retirement Plans

To set up a retirement plan for PERS (the California Public Employees Retirement System) or the U.S. federal government, use the Retirement Plan Table (RTRMNT_PLAN_TABLE) component.

This section discusses how to enter retirement plan details.

Page Used to Set Up Retirement Plans

Page Name	Definition Name	Navigation	Usage
Retirement Plan Table	RTRMNT_PLAN_TABLE	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Retirement Plan Table, Retirement Plan Table	Set up California PERS or U.S. federal government retirement plans.

Retirement Plan Page

Use the Retirement Plan Table page (RTRMNT_PLAN_TABLE) to set up California PERS or U.S. federal government retirement plans.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Retirement Plan Table, Retirement Plan Table

Image: Retirement Plan Table page

This example illustrates the fields and controls on the Retirement Plan Table page. You can find definitions for the fields and controls later on this page.

Retirement Plan Table

Plan Type: 70 PERS
Benefit Plan: KUPERS Public Employees Retirement

Retirement Plans

Find | View All First 1 of 1 Last

*Effective Date: 01/01/1980

Employer Contribution Percent:

Employee Contribution Percent: 1.000

Employer Contribution to Employee %

Use Special Accumulator Instead of Gross

☒ Coordinate with FICA

Employer Contribution to Employee % (employer contribution to employee percentage) Define how much the employer contributes to the employee's total contribution percentage.

Use Special Accumulator Instead of Gross To have the system use a special accumulator instead of the total gross, enter the desired accumulator code here.

To use a special accumulator, work with your payroll department to define the code and its detail. You can set up special accumulators—as many as you need—to process savings, retirement, and pension plans.

(USF) Federal Retirement Plans

Federal employees who are enrolled in FERS retirement plans are automatically enrolled in a TSP (Thrift Savings Plan) 1% Agency Contribution plan as well. In this plan, the employee's agency contributes 1% of the employee's basic pay for each pay period to the employee's TSP account. The TSP 1% Agency Contribution plan is predefined; its benefits do not take the place of those offered by other retirement plans. Employees enrolled in the TSP 1% Agency Contribution plan receive these contributions regardless of whether they contribute their own money to their TSP accounts.

Select *OASDI Offset* and *Subject to OASDI* for retirement plans that have employee contributions offset by employee contributions to OASDI. The system reduces employee retirement plan contributions by the OASDI contribution amount until the maximum OASDI/FICA contribution level is reached.

Related Links

[Setting Up Special Accumulators](#)

TSP Agency Basic Page

Setting Up Pension Plans

To set up pension plans, use the Pension Plan Table (PENS_PLAN_TABLE_US) component.

This section discusses how to enter US and Canadian pension plan details.

Note: Pension plans are also managed by PeopleSoft Pension Administration. However, the pension plan must be set up in the Manage Base Benefits business process.

Pages Used to Set Up Pension Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Pension Plan Table	PENS_PLAN_TABLE_US	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Pension Plan Table, Pension Plan Table	Enter details for U.S.-defined pension plans.
Pension Plan Table CAN	PENSION_PLAN_TABLE	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Pension Plan Table CAN, Pension Plan Table CAN	Enter details for Canadian-defined pension plans.

Pension Plan Table Page (USA)

Use the Pension Plan Table page (PENS_PLAN_TABLE_US) to enter details for U.S.-defined pension plans.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Pension Plan Table, Pension Plan Table

Image: Pension Plan Table page

This example illustrates the fields and controls on the Pension Plan Table page. You can find definitions for the fields and controls later on this page.

Pension Type

Select the type of pension plan. Valid values for U.S. pension plans are *Qualified* and *Non Qualified*.

Do not select *Defined Benefit* or *Money Purchase*, as these are valid only for Canadian pension plans.

Allow Contingent Beneficiaries

Select if the plan allows nonspouse beneficiaries. (If selected, the MDIB group box appears.)

Plan Yr Begins (Month/Day) (plan year begins [month/day]) and Plan Yr Ends (Month/Day) (plan year ends [month/day])

Specify the month and day when your plan year begins. A plan year normally ends the day before the next one begins. However, if you change your plan year, the row for the resulting short plan year will have nonconsecutive begin and end dates.

Be sure to include a row for a short plan year. Failure to do this can cause calculation errors. A short plan refers to the period of transition when a change occurs in the plan year. For example, assume a plan has a fiscal year starting from July 1 to June 31. Due to a business decision, the plan year changes to January 1 to December 31, beginning on January 1, 2004. The short plan year would be from July 1, 2003 to December 31, 2004.

MDIB Rule Should Apply (minimum distribution incidental benefit rule should apply)

Select if the MDIB rule applies.

MDIB Age Difference (minimum distribution incidental benefit age difference)

Enter an age difference.

Contributory Plans

You can incorporate U.S. pension plans (plan types 82 to 87) into a manual or automated benefit program only if the plan is defined as a contributory plan in which the employee contributes some portion of earnings to the plan.

Note: The system does not determine whether the rules that you set up meet Internal Revenue Code qualification standards. Such compliance is your responsibility.

Voluntary Contributions Allowed

No parameters exist for defining voluntary contribution rates; these are established on an employee-by-employee basis when you enroll employees in the plan.

Special Accumulator Code

Enter the code that tracks pensionable earnings.

Rates of Deduction

Enter contribution rates. You can have different rates above and below a threshold. For example, employees can contribute 2% of earnings up to 50,000 USD and 3% of earnings above that.

Enter the rate up to the threshold in the first Rates of Deduction field, the threshold in the next field, and the rate beyond the threshold in the final field.

If the threshold changes—for example, if you use the Taxable Wage Base (TWB) as the threshold—insert additional effective-dated rows to record the changes.

Pension Plan Table CAN Page

Use the Pension Plan Table CAN page (PENSION_PLAN_TABLE) to enter details for Canadian-defined pension plans.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Pension Plan Table CAN, Pension Plan Table CAN

Image: Pension Plan Table CAN

This example illustrates the fields and controls on the Pension Plan Table CAN. You can find definitions for the fields and controls later on this page.

Pension Plan Table CAN

Plan Type: 81 Supplementary Pension
Benefit Plan: BP0124 Supplementary Pension

Pension Plans
Find | View All First 1 of 1 Last

*Effective Date: 01/01/1980

Use Special Accumulator Instead of Gross

Accumulator: BH3

☐ Based on YTD

*Pension Plan Type: Money Purchase

☒ Voluntary Contributions Allowed

☒ Coordinate with CPP

Plan Registration Nbr: 0055778

Contribution Percent

*Rate Type: Slide Rate

Contributions Up To YMPE

Contributions Over YMPE

Employee %:
Employer %:

Employee %:
Employer %:

Contribution Percent
Customize | Find | View All | First 1-5 of 5 Last

Contribution Up to YMPE Contribution Over YMPE

Annual Rate	Employee %	Employer %		
50,000.000	5.100	6.100	+	-
75,000.000	5.200	6.200	+	-
100,000.000	5.300	6.300	+	-
200,000.000	5.400	6.400	+	-
300,000.000	5.500	6.500	+	-

Defined Benefit PA Factors

PA Pct for Earnings Under YMPE:
PA Pct for Earnings over YMPE:

PA Exclude Earnings From:
PA Exclude Earnings To:

PA Benefit Entitlement Ceiling:

PA Annual Base Hours:

Use Special Accumulator Instead of Gross

Enter the special accumulator code that you want the system to use in pension calculations.

The system normally performs pension plan calculations based on employee total gross. If you use a special accumulator, work with your payroll department to define the code and details. You can define several special accumulators—as many as you need—to process savings, retirement, and pension plans.

Based on YTD (based on year-to-date)

Select if you identified a special accumulator in the Use Special Accumulator Instead of Gross field.

The system will add the current period special accumulator earnings to the special accumulator year-to-date balance. If you do not select the check box, the system takes the special accumulator earnings for the current pay period and annualizes them based on the number of pay periods in the year.

Pension Plan Type

Select the type of pension plan. Valid values for Canadian pension plans are *Defined Benefit* and *Money Purchase*. Do not select either *Qualified* or *Non Qualified*, as these are valid only for U.S. pension plans.

Defined benefit calculates the pension credit and does not maintain a pension adjustment balance for the employee.

Defined benefit pension credits must be calculated based on the retirement benefits accrued by each employee, according to the provisions of the plan and the plan year. The system doesn't have the information to perform this calculation.

Money purchase calculates the pension credit for the current period as the total of all employee and employer contributions to the plan. The system posts the total of all pension credits for the period (an employee can be enrolled in more than one pension plan at the same time) to the Pension Adjustment Year-To-Date balance for the employee. If your pension plan has been defined as a money-purchase type, the Pension Adjustment (PA) amount is automatically calculated and maintained by the pay confirmation process and represents a combination of the employee and employer contributions.

Voluntary Contributions Allowed (voluntary contributions allowed)

Select to allow employees whom you enroll in the plan to make voluntary contributions above the amount that you define for the plan.

Coordinate with CCP (coordinate with Canadian Pension Plan)

Select to have the system reduce the calculated contribution amount for both the employee and the employer by the amount contributed to the Canada Pension Plan (CPP) for the current pay period.

Warning! When you define the pension contribution as a before-tax deduction, the system calculates the amount to deduct before it performs the normal tax calculation. When you select Coordinate with CPP, the system performs a special CPP/QPP calculation prior to calculation of the pension contribution. To ensure that CPP/QPP is correctly calculated, you or your payroll department must define the pension benefit deduction priority as lower (a higher number) than all taxable benefits.

Plan Registration Nbr (plan registration number)

Enter the registration number for the pension plan. The system uses the plan registration number for reporting on the T4 tax form at the end of the year.

Contribution Percent

Use this group box to define the employer and employee contribution percentages. The rate type that you select determines the information that you will need to provide.

Rate Type

Select a rate type. Valid values are *Fixed Rate*, *None*, and *Slide Rate*.

If you select *Fixed Rate*, the Pension Rate Table becomes available and you must enter the rates to use to process the employee and employer contributions. This is based on YTD earnings and should not be selected even if a special accumulator is used. If more than one set of rates apply, Oracle recommends that you use *Slide Rate*.

If you choose *None*, use the fields to the right to define the rates.

If you select *Slide Rate*, the Pension Rate Table becomes available and you must enter the rates to use to process the employee and employer contributions. To avoid setup problems, define your rates in both Contribution Up to YMPE and Contribution Over YMPE for each earnings limit and ensure that a ceiling earnings limit is entered that cannot be exceeded by annualizing an employee's pay. Otherwise, an error message is reported during the pay calculation run.

**Contribution Up To YMPE
(contribution and up to yearly
maximum pensionable earnings),
Contribution Over YMPE
(contribution and over yearly
maximum pensionable earnings),
Employer % (employer percent), and
Employee % (employee percent)**

To define rates that are not based on a table, select *None* in the Rate Type field and use *Contribution & Up to YMPE*, *Employee %*, and *Employer %*, and *Contribution & Over YMPE*, *Employee %*, and *Employer %*. YMPE is an amount set by the government upon which CPP/QPP contributions are made. It is a ceiling, and excess earnings on this ceiling are not subject to CPP/QPP contributions.

Annual Rate

To define fixed or sliding rates, select either *Fixed Rate* or *Sliding Rate* in the Rate Type field.

For example, you enter three sets of rates and you enter limits of \$20,000, \$60,000, and \$80,000 for the rates. When the system processes an employee pension contribution for pensions defined using fixed rates, it looks for the set of rates with the lowest associated earnings limit that is greater than employee annual earnings. If employee annual earnings exceed the highest earnings limit amount, the system uses the rates associated with the highest amount. When the system processes an employee pension contribution for pensions with sliding rates, it splits the earnings between different rate levels up to employee annual earnings.

Here is an example for fixed rates: An employee with annual earnings of \$50,000 uses rates associated with the \$20,000 earnings limit for the first \$20,000, and uses rates associated with the \$60,000 earnings limit for the remaining \$30,000.

Here is an example for sliding rates: an employee with annual earnings of \$50,000 uses the rates associated with the \$60,000 earnings limit. If the annual earnings for another employee are \$90,000, the system uses the rates associated with the \$80,000 earnings limit.

Defined Benefit PA Factors

If you want to use the SQR (Structured Query Report) program TAX104CN as a template Pension Adjustment Calculation routine, complete the Defined Benefit PA Factors group box.

TAX104CN calculates and loads the appropriate balance records. To complete the group box, contact your pension administrator or an appropriate governing office. The only optional field in this group box is PA Annual Base Hours. If this field is blank, the system applies the employee's standard work hours from the Job Data - Job Information page to perform the calculation.

If your pension plan has been defined as a money purchase type, the pension adjustment (PA) amount is automatically calculated and maintained by the pay confirmation process and represents a combination of the employee and employer contributions.

If you have pension plans set up as defined benefit plans, leave the pension adjustment amounts in the Defined Benefit PA Factors group box blank. Typically, you will generate the pension adjustment amounts using an external service provider, or perhaps an internal process, outside of the PeopleSoft application. When the information is calculated, you must import it into the PeopleSoft system.

Setting Up Vacation Buy/Sell Plans

To set up vacation buy/sell plans, use the Vacation Buy/Sell Table (VACATION_TABLE) component.

This section discusses how to enter vacation buy/sell plan details.

Page Used to Set Up Vacation Buy/Sell Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Vacation Buy/Sell Table	VACATION_TABLE	Set Up HCM, Product Related, Base Benefits, Plan Attributes, Vacation Buy/Sell Table, Vacation Buy/Sell Table	Enter details for vacation buy/sell plans.

Vacation Buy/Sell Table Page

Use the Vacation Buy/Sell Table page (VACATION_TABLE) to enter details for vacation buy/sell plans.

Navigation

Set Up HCM, Product Related, Base Benefits, Plan Attributes, Vacation Buy/Sell Table, Vacation Buy/Sell Table

Image: Vacation Buy/Sell Table page

This example illustrates the fields and controls on the Vacation Buy/Sell Table page. You can find definitions for the fields and controls later on this page.

Vacation Buy/Sell Table

Plan Type: 90 Vacation Buy
Benefit Plan: KUVCBY Vacation Buy

Vacation Plans Find | View All First 1 of 1 Last

*Effective Date: 01/01/1980

*Vacation Buy or Sell: Vacn Buy

*Accruals Earnings Type: VBY Vacation Buy

*Amount Frequency: M Monthly

Vacation Hours

Increment: 8.00 Minimum: 8.00 Maximum: 40.00

Vacation Amount

Percent of Salary: 2.000 Maximum: 1,000.00

With a vacation buy plan, employees can use earnings to gain more vacation days. With vacation sell, they contribute vacation hours for cash.

Vacation Buy or Sell

Select either *Vacn Buy* (vacation buy) or *Vacn Sell* (vacation sell), depending on the plan type.

Vacation Buy is predefined plan type 90; Vacation Sell is plan type 91.

Accruals Earnings Type

Select the earning type to use for this plan.

Accruals earning types are defined on the Earnings Table for PeopleSoft Payroll for North America.

When employees buy vacation, accrual balances increase. When employees sell vacation, accruals decrease.

Amount Frequency

Select the rate at which the system will store and calculate the value of vacation hours that an employee buys or sells. This field is independent of pay period.

When an employee buys or sells vacation, two kinds of data are affected: leave accruals and pay. The first time you process leave accruals after an employee buys or sells vacation hours,

you see current leave accrual balances, based on the total vacation hours bought or sold. However, for employee pay, PeopleSoft Payroll for North America spreads the vacation buy or sell amount over the remainder of the calendar year. This is done with the help of the amount frequency and accruals earnings type that you define.

Vacation Hours and Vacation Amount

When an employee buys or sells vacation, the system verifies that the amount is at least the minimum, not more than the maximum, and in the increment that you define. The system also verifies that the value of the hours bought or sold does not exceed the percent of salary or the maximum amount.

Related Links

[Understanding the Leave Process](#)

[Understanding the Vacation Buy/Sell Process](#)

Chapter 4

Building Base Benefit Programs

Understanding Benefit Programs

This section provides an overview of:

- Benefit programs.
- Plan types.
- Plans.
- Effective dates.

Related Links

[Defining Benefit Plans](#)

"Building Benefit Programs with the Benefit Program Table (*PeopleSoft HCM 9.2 Benefits Administration*)"

Understanding Benefit Programs

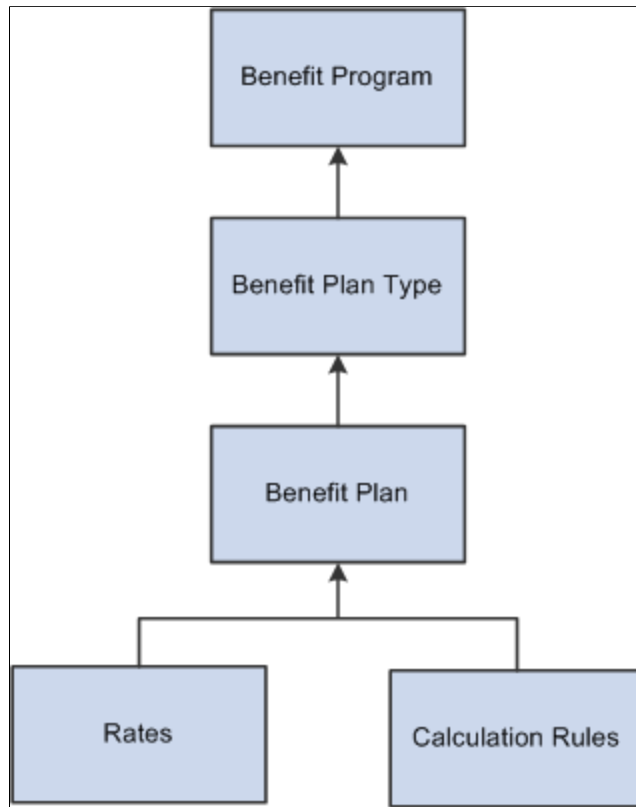
A benefit program is a collection of benefits that are offered to a group of employees. You may have multiple benefit programs if you offer different benefits to different groups of employees, charge different premiums, or administer enrollment rules differently for various employee groups.

Use the Benefit Program Table to establish a program for employees, link the appropriate plan types and benefit plans to it, and associate a variety of rules, such as rates, calculation rules, and dependent rules. The Benefit Program Table brings together all of the information that relates to a benefit program,

including whether to process enrollments manually or use PeopleSoft Benefits Administration automated enrollment.

Image: Building blocks for benefit programs

This diagram shows the building blocks for benefit programs:



Understanding Plan Types

Plan types are essential in a benefit program. They group individual plans or options that can be elected by an employee for a particular type of benefit, such as basic life insurance or medical.

Understanding Plans

Plans are the individual benefits into which an employee can be enrolled. A plan is always subordinate to a plan type. For example, you may offer employees a choice of four different medical plans, each from a different HMO (health maintenance organization) or plan provider. In this case, you would be offering four different plans in the medical plan type. Each plan has an associated deduction code, set of rates, and calculation rules.

Understanding Effective Dates

To keep your benefit program accurate and consistent, the benefit plans, calculation rules, and options must be individually effective-dated in a coherent, logical manner. If they aren't, your benefit program will be left open to a variety of errors.

Using effective dates is an efficient way for you to maintain accurate records of your benefits information. You can create historical records and enter future-dated information at your convenience, all because when you enter information, you also enter an effective date that is *synchronized* with the effective dates for the other elements of the benefit program.

Provider and Benefit Plan Effective Dates

Consider provider and benefit plan information. To set up a provider, enter its effective date on the Provider table. To set up a benefit plan, enter the plan's effective date and identify its provider on the Benefit Plan Table. The system checks that the provider is effective prior to or on the same date as the benefit plan; otherwise, the provider information cannot exist for the benefit plan.

Benefit Programs and Effective Dates

Things get more complicated when you associate a set of rates and calculation rules, which also have effective dates, with a benefit program and its associated benefit plans. The system reviews the effective dates of the program, the plan, the rates, and the calculation rules. The system can't check that you've set up the correct dates, because any date could possibly be correct. Instead, it goes by the benefit program.

The system looks for effective dates for the benefit program that you're working with and the set of benefit plans that you associate with that benefit program. A benefit program and benefit plan combination is effective based on both sets of dates.

Plans associated with a benefit program can't be in effect unless their effective dates are set on or before the effective date of the benefit program. Similarly, the rates and calculation rules that you associate with the plans can't be in effect unless their effective dates are set on or after the dates of their respective plans.

If a benefit program and benefit plan combination is not effective when you think it should be and your plan does not appear, check the effective dates of the benefit program and the benefit plan. The effective date of the benefit plan must be earlier or the same as the effective date of the benefit program.

Loading History: A Warning

When you're setting up tables, you must set effective dates to reflect when you want the data to go into effect. If you load employee history, make sure that you establish an early effective date. When preparing for a conversion, set all effective dates to the same date. Most tables also have a status, which you would normally leave as *Active*.

Building Benefit Programs

To define benefit programs, use the Benefit Program Table (BEN_PROG_DEFN) component.

This section discusses how to:

- Define basic benefit program information.
- Associate plan types with a benefit program.
- Link a benefit program and plan type to rate and calculation rules.

Pages Used to Build Benefit Programs

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Benefit Program Table - Benefit Program	BEN_PROG_DEFN1	Set Up HCM, Product Related, Base Benefits, Program Structure, Benefit Program Table, Benefit Program	Define basic benefit program information.
Benefit Program Table - Plan Type and Option	BEN_PROG_DEFN2	Set Up HCM, Product Related, Base Benefits, Program Structure, Benefit Program Table, Plan Type and Option	Link plan types to the benefit program and add important information about plan types.
Benefit Program Table - Cost	BEN_PROG_DEFN3	Set Up HCM, Product Related, Base Benefits, Program Structure, Benefit Program Table, Cost	Link a benefit program and plan type to rate and calculation rules.

Benefit Program Table - Benefit Program Page

Use the Benefit Program Table - Benefit Program page (BEN_PROG_DEFN1) to define basic benefit program information.

Navigation

Set Up HCM, Product Related, Base Benefits, Program Structure, Benefit Program Table, Benefit Program

Image: Benefit Program Table - Benefit Program page

This example illustrates the fields and controls on the Benefit Program Table - Benefit Program page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Benefit Program Table - Benefit Program' page. At the top, there are three tabs: 'Benefit Program' (selected), 'Plan Type and Option', and 'Cost'. The main content area is divided into several sections:

- Benefit Program:** B01
- Effective Date:** 01/01/2000
- *Description:** Benefits Full Time Program
- Short Description:** Ben FT
- Status as of Effective Date:** Active (dropdown)
- Program Type:** Automated
- Currency Code:** USD (with a search icon)
- COBRA:**
 - COBRA Surcharge %: ☐
 - COBRA Disabled Surcharge %: ☐
 - COBRA Contact ID: (with a search icon)
- Benefits Administration:**
 - Apply Excess Credits to: C (with a search icon) Cash
- Self-Service Configuration:**
 - ☒ Show Credits on Enrollment Form
 - ☐ Include Can Sales Tax on Form
 - ☐ Show Employer Costs on Form
 - ☐ Alert to Tax Impacts on Form
- FSA:**
 - Flexible Spending Acct Run ID: (with a search icon)
 - FSA Maximum Annual Pledge: 7000.00
- FMLA:**
 - FMLA Plan ID: KUB Roll-Bkwd
- Cost Freq on Enrollment Form:** Deduction (dropdown)
- Handbook URL ID:** (with a search icon)

Effective Date	The date the row in the table becomes effective. This date determines when you can view and change information.
Status as of Effective Date	Indicates whether a row in the table is <i>Active</i> or <i>Inactive</i> . Processes ignore benefit programs designated as <i>Inactive</i> , even if the effective date defines the program as current.
Program Type	The type of program can be <i>Manual</i> or <i>Automated</i> . If you are using only the Manage Base Benefits business process, this option is automatically set to <i>Manual</i> . If you activate Benefits Administration on the Installation Table, it is automatically set to <i>Automated</i> .
Currency Code	The type of currency that is used for this program's benefit and deduction calculations.

COBRA

This group box defines the rules regarding the surcharge amounts that your organization imposes on COBRA (Consolidate Omnibus Budget Reconciliation Act) plans.

COBRA Surcharge % (COBRA surcharge percentage)	Enter the percentage amount of the benefit cost that you want added to the benefit cost. For example, if the cost of medical coverage is 100 USD and the COBRA surcharge % is 30%, the cost for medical coverage for someone on COBRA would be 130 USD.
COBRA Disabled Surcharge % (COBRA disabled surcharge percentage)	If you want a different percentage amount for a disabled person, enter that percentage amount. If you leave the field blank, the surcharge amount entered in the COBRA Surcharge % field will be applied to a disabled person with COBRA coverage.

FSA

For FSA (flexible spending account) Administration users. Use this group box to set up your organization's business rules for flexible spending accounts.

Flexible Spending Acct Run ID (flexible spending account run ID)	For FSA Administration users. Enter the FSA run ID that you created on the FSA Run Table.
FSA Maximum Annual Pledge	Enter the maximum amount that an employee can contribute across all flexible spending accounts within the Benefit Program for a plan year.

FMLA

Use this group box to define the rules for processing under FMLA (Family Medical Leave Act).

FMLA Plan ID (FMLA plan identification)	Enter the FMLA plan ID that you created on the FMLA Plan Table.
--	---

Benefits Administration

This group box is available if Benefits Administration is activated on the Installation Table. If you are using flexible credits, it is used to define how the system will process excess flexible credit amounts when an employee does not designate a rollover election during benefit enrollment.

Apply Excess Credits to

The system can convert excess flexible credit amounts to:

C (Cash)

D (FSA-Dependent Care)

F (Forfeit Excess Credit)

H (FSA-Health Care)

R (FSA Retirement Counseling)

S (Savings-401(k))

Self-Service Configuration

Use this group box to define the rules for displaying and processing the PeopleSoft eBenefits application pages.

Show Credits on Enrollment Form (show credits on enrollment form)

Select if you want credit amounts to appear on the enrollment form. If you do not offer flexible credits, you may not want this heading to appear on the form.

Include Can Sales Tax on Form (include Canadian sales tax on form)

Select if you want Canadian sales tax information to appear on the eBenefits Enrollment Summary page.

Show Employer Costs on Form

Select to display the employer's contributions (costs) on the enrollment form

Alert to Tax Impacts on Form

Select to alert the employee to any potential tax impacts (due to the employer's contributions) on the enrollment form. Most typically, this would be if a T-Tax (imputed income) component existed.

Cost Freq on Enrollment Form (cost frequency on enrollment form)

This defines how the cost of the benefits appears on the enrollment form. Values are

Annual: Displays the costs as annual amounts.

Deduction: Displays the costs as per-pay-period amounts.

Handbook URL ID (handbook uniform resource locator identification)

Enter the URL ID that connects to your benefit handbook. URL IDs are set up in the URL Table.

Related Links

"Understanding Flexible Credits (*PeopleSoft HCM 9.2 Benefits Administration*)"

Benefit Program Table - Plan Type and Option Page

Use the Benefit Program Table - Plan Type and Option page (BEN_PROG_DEFN2) to link plan types to the benefit program and add important information about plan types.

Navigation

Set Up HCM, Product Related, Base Benefits, Program Structure, Benefit Program Table, Plan Type and Option

Image: Benefit Program Table - Plan Type and Option page: Eligibility tab

This example illustrates the fields and controls on the Benefit Program Table - Plan Type and Option page: Eligibility tab. You can find definitions for the fields and controls later on this page.

Benefit Program: B01 Effective Date: 01/01/2000

Plan Type Find | View All First 2 of 18 Last

*Plan Type: 10 Medical

DispPlnSeq: 10

Event Rules ID: B1X Benefits Health Plans

Waive Coverage: Always Allowed

Dependent Rules ID: ZP4DR

☒ HIPAA Plan

☒ COBRA Plan

Load Cross Plan Values

Self-Service Configuration

☒ Collect Dependent/Beneficiary ☒ Collect Fund Allocations ☒ Show if no choice

Handbook URL ID:

Option Customize | Find | View All First 1-5 of 42 Last

Optn ID	*Option Type	Benefit Plan	Covg Code	Deductn Cd	Option Code	Default Option	Opt Level	Option Seq	Geog Locn	Elig ID
1	Gen Credi			B10-09		<input type="checkbox"/>		1		
3	Option	BP0202	1	B10-09	11	<input type="checkbox"/>	1	3		B159
4	Option	BP0202	2	B10-09	12	<input type="checkbox"/>	1	4		B159
5	Option	BP0202	3	B10-09	13	<input type="checkbox"/>	1	5		B159
6	Option	BP0202	4	B10-09	14	<input type="checkbox"/>	1	6		B159

Plan Type

Select the plan type that you want to add or display.

DispPlnSeq (display plan sequence)

For Benefits Administration users. Indicates the order in which benefit plans appear. The default value is the plan type.

Event Rules ID

For Benefits Administration users. Select an event rule that you want to link to this benefit plan. Event rules are set up on the Event Rules Table.

Dependent Rules ID

Select the dependent rule to be used when determining dependent age limits for this plan type. This field appears only for 1X (health) plan types.

If you leave this field blank, the system uses the least restrictive options as the default limits:

- Dependent Age Limit: 99
- Student Age Limit: 99

- Exclude Disabled from Age Limit: Selected
- Dependent Ineligible if Married: Deselected

Note: To generate COBRA event triggers during COBRA overage processing, the system uses the most restrictive settings from the dependent rules used by all of the 1X plan types under the benefit program (for example, the lowest age limits). The system uses these settings only when creating the initial COBRA event triggers. When the system processes the triggers to generate COBRA enrollments, it correctly applies the rules for specific plan types.

HIPAA Plan (Health Insurance Portability and Accountability Act plan)

This check box applies only to health plans. Select this check box if you want to designate that this plan be included in the HIPAA Report.

Waive Coverage

For Benefits Administration users. Select the option that defines whether and when employees are permitted to waive coverage for this plan type.

COBRA Plan

Select to designate which health plan types (limited to Plan Types 1x and 60) are COBRA-qualified plan types. If you make this plan type COBRA-qualified, enter an option code for each of your plans. Otherwise, the Option Code field will be unavailable.

Load Cross Plan Values

Click to automatically supply the cross-plan data for every option in this plan type.

For Benefit Administration users only.

Self-Service Configuration

Use this group box to define the rules for displaying enrollment and plan information on the eBenefits pages.

Collect Dependent/Beneficiary

Select this check box when you want the system to collect information pertaining to dependents and benefits and display that information on the eBenefit Summary and the eBenefit Detail Information pages.

This works in conjunction with the Ignore Dep/Ben Edits check box on the Event Rules page.

Collect Fund Allocations

When this check box is selected, the system collects the information pertaining to savings plans and displays that information on the eBenefit Savings Summary and the Detail Information pages.

Show if no choice

This check box controls whether a plan type appears on the enrollment when the employee has no option to choose and cannot waive out of the option. A good example is paid

vacation. Employees receive the benefit, but you don't display it on the enrollment form.

If the check box is selected, the system displays the plan type in the enrollment form summary. When the check box is deselected, the system will not display the plan type.

Handbook URL

If you have a special handbook for this plan type, you can enter the URL ID. URL IDs are set up in the URL Table.

Option – Eligibility Tab

Enter the options that are available to the employee for the designated plan type in this section.

Optn ID (option ID)

As you define options for each of the plan types that are offered, the system automatically enters the option ID.

Optn Type (option type)

Each option row needs to have an option type designation. The Manage Base Benefits business process enables you to select from the following list of values:

O: At least one option is required per plan type, except for plan type 01. Plan type 01 is used only for Benefits Administration. It is also called the *Program* level of the benefits program and is used only by programs that run in conjunction with Benefits Administration.

G: Designates that this option is a general credit and used only in Benefits Administration.

P: This is used only for plan type 01. It designates that this is a program and is required for automated benefit programs. Only one *P* row is allowed per benefit program.

W: Designates that waiving coverage is an option and is used only in Benefits Administration.

Benefit Plan

Enter the code for a benefit plan that was defined on the Benefit Plan Table. If it is something other than a health plan, provide added detail in one of the various benefit plan detail pages.

Covrg Code (coverage code)

This applies only to health plan types. Indicate the level of coverage for health plans. This is a required field for those plan types. Coverage codes are defined on the Coverage Code Table.

Deductn Cd (deduction code)

Links the deduction code to the option.

Option Code

This field is available if the COBRA Plan check box is selected, or if this is a Benefits Administration (Automated) benefit program.

Default Option

Applies to Benefits Administration. Select this check box if you want this option to be used as the default when the Benefits

Administration process validates, loads, or finalizes participant elections.

The default option is the benefit plan option that is given to employees who do not make an election and is used if the associated event rule has a default method of *Option* or *Current Else Option*. Plan type defaults are used as substitutes for a participant's election when you finalize the event. Here are some guidelines for setting default options:

- Select a default option only for plan types 1x–3x, 5x, 7x, and 8x.
- For 2x plan types, do not define options that require coverage to be specified at the employee level as defaults.
- Only the *Waive Option Type* is allowed as a default for plan types 4x, 6x, and 9x.
- Options requiring proof should not be set as defaults, although it is an option.

Opt Level (option level)

Applies to Benefits Administration. Option level refers to the level of benefits coverage provided by the option. For example, suppose you're setting up a set of supplemental life options that will enable employees to choose a coverage of 1, 2, 3, or 4 times their annual salary. Set option level values for these options of 1, 2, 3, and 4, respectively.

The option level values are used by the system during event processing. The event rule, linked to the plan type to which these options belong, checks the option level when evaluating its change level rules. When an employee elects new coverage, the system compares the option level of the employee's new option election in that plan type with the employee's current option election. If the new election violates the associated event rule's Participate and Waive change level rules, the system rejects the employee's benefit option selection.

If, for example, the associated event rule's maximum number of change levels is 2, the system prevents employees from changing their coverage more than two option levels in either direction. Therefore, an employee with coverage equal to 1 times her annual salary would not be allowed to select coverage equal to 4 times her annual salary during open enrollment.

Option Seq (option sequence)

Applies to Benefits Administration. Indicates the order in which benefit options appear. The default value is the plan type.

Geog Locn (geographic location)

Applies to Benefits Administration. If you want to link a geographic location rule to this option, enter the code. Geographic location rules are set up on the Geographic Location Table.

Elig ID (eligibility ID)

Applies to Benefits Administration. If you want to link an eligibility rule to this option, enter the eligibility rule ID. Eligibility rules are created on the Eligibility Rules Table.

Option – Cross Plan Tab

This tab is only for Benefit Administration users.

Optn ID (option identification)

As you define options for each of the plan types that are offered, the system automatically enters the option ID.

Covrg Code (coverage code)

This applies only to health plan types. Indicate the level of coverage for health plans. It is a required field for those plan types. Coverage codes are defined on the Coverage Code Table.

Deductn Cd (deduction code)

Links the deduction code to the option.

Option Code

Displays the information created in the Eligibility section.

Cross Plan Type

If a cross-plan requirement exists for this option, enter the other plan type that must have an enrollment.

Cross Benefit Plan

This field is for automated benefit programs and indicates that enrollment in this option depends upon enrollment in a particular benefit plan entered in the Cross-Plan Type field.

Coverage Limit

This field is for automated benefit programs and indicates that coverage is limited to a percentage of the employee's coverage amount in the other plan type.

Check Dependents

This field is for automated benefit programs and tells the system to check the dependent's enrollment in this plan against the dependent enrollments in the other plan type.

The following chart shows you how to fill out the Plan Type and Option page for plan types 01 through 9x:

Field	Value for Plan Type 01	Value for Plan Types 1x–9x
Plan Type	01 (Plan type 01 not allowed within Base Benefits)	1x–9x
Display Plan Sequence	NA	Not allowed
Event Rules ID	NA	Not allowed
Waive Coverage	NA	Not allowed
COBRA Plan	NA	Allowed for plan types 1x and 6x
HIPAA Plan	NA	Allowed for 1x plan types

Field	Value for Plan Type 01	Value for Plan Types 1x–9x
Option Type	NA	O - Only option type allowed for plan types 1x–9x
Benefit Plan	NA	Required
Coverage Code	NA	Required for plan type 1x, Not allowed for plan types 2x–9x
Default Option Indicator	NA	Not allowed
Deduction Code	NA	Required
Option Sequence	NA	Not allowed
Option Code	NA	Not allowed, unless plan type 1x or 60 has been designated as a COBRA plan, in which case the option code is required.
Option Level	NA	Not allowed
Geographic Location Table ID	NA	Not allowed
Eligibility Rules ID	NA	Not allowed
Cross-PlanType	NA	Not allowed
Cross-Plan	NA	Not allowed
Cross-Plan Limit Percentage	NA	Not allowed
Cross-Plan Dependent	NA	Not allowed

Note: NA stands for "not applicable".

Related Links

"Manage Base Benefit Reports (*PeopleSoft HCM 9.2: Application Fundamentals*)"

[Enrolling Participants in Benefit Programs and Plans](#)

Benefit Program Table - Cost Page

Use the Benefit Program Table - Cost page (BEN_PROG_DEFN3) to link a benefit program and plan type to rate and calculation rules.

Navigation

Set Up HCM, Product Related, Base Benefits, Program Structure, Benefit Program Table, Cost

Image: Benefit Program Table - Cost page

This example illustrates the fields and controls on the Benefit Program Table - Cost page. You can find definitions for the fields and controls later on this page.

Benefit Program		Plan Type and Option		Cost																			
Benefit Program: B01		Effective Date: 01/01/2000																					
<div>Plan Type</div> <div>Plan Type: 01 Benefit Program</div> <div>Find View 1 First 1-18 of 18 Last</div>																							
<div>Option</div> <div>Option ID: 1 Option Type: Program</div> <div>Benefit Plan: Coverage Code:</div> <div>Find View 1 First 1-2 of 2 Last</div>																							
<div>Cost</div> <table border="1"> <thead> <tr> <th>Cost Type</th> <th>Cost ID</th> <th>Benefit Rate Type</th> <th>Rate ID</th> <th>Earnings Code</th> <th>Short Description</th> <th>Calc TblID</th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>						Cost Type	Cost ID	Benefit Rate Type	Rate ID	Earnings Code	Short Description	Calc TblID											
Cost Type	Cost ID	Benefit Rate Type	Rate ID	Earnings Code	Short Description	Calc TblID																	
<div>Option ID: 2 Option Type: General Credit</div> <div>Benefit Plan: Coverage Code:</div> <div>Find View All First 1 of 42 Last</div>																							
<div>Cost</div> <table border="1"> <thead> <tr> <th>Cost Type</th> <th>Cost ID</th> <th>Benefit Rate Type</th> <th>Rate ID</th> <th>Earnings Code</th> <th>Short Description</th> <th>Calc TblID</th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>Credit</td> <td>2</td> <td>Length of Service (Months)</td> <td>BKN2</td> <td>B22</td> <td>GenCredEm</td> <td>B022</td> <td></td> <td></td> </tr> </tbody> </table>						Cost Type	Cost ID	Benefit Rate Type	Rate ID	Earnings Code	Short Description	Calc TblID			Credit	2	Length of Service (Months)	BKN2	B22	GenCredEm	B022		
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Credit	2	Length of Service (Months)	BKN2	B22	GenCredEm	B022																	
<div>Plan Type: 10 Medical</div> <div>Option</div> <div>Option ID: 1 Option Type: General Credit</div> <div>Benefit Plan: Coverage Code:</div> <div>Find View All First 1 of 42 Last</div>																							
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Cost Type	Cost ID	Benefit Rate Type	Rate ID	Earnings Code	Short Description	Calc TblID																	
Credit	1	Flat Rate	B454	B23	MedCredEm	KNON																	

Cost

Use this group box to identify the rate and calculation rules for each benefit program and option combination.

Cost Type

Identifies the cost type for this plan type and benefit option combination. For Base Benefits, the value is always *Price*. The system calculates deductions for employees who select this option according to the deduction code linked to this benefit option.

For Benefits Administration, the value can be *Price* or *Credit*.

When you define a cost type as a credit, you must enter the appropriate earnings code. Use the earnings code to tell the system how to calculate the flexible credit earnings for a participant. Use a different earnings code for each benefit plan

type within a benefit program. The system assigns the frequency of the additional pay based on the deduction code that you identify for this benefit option.

Cost ID

When you enter a new cost row, this value is assigned automatically by the system and cannot be updated.

Benefit Rate Type

Identifies the type of rate (flat amount, age-graded, and so on) associated with this plan type and benefit option combination.

Rate ID (rate identification)

Identifies which specific rate table is linked with this plan type and benefit option combination. You need the identification number because you may have established multiple tables for a particular rate type. Only plan types 01 through 3X can use rates.

Earnings Code

Applies only to credits. Identifies the earning code that might be tied to the plan type.

Calc TblID (calculation table identification)

Identifies the calculation rule linked to the plan type and benefit option combination.

Rate Availability by Plan Type

This table lists the rates types that you use for each plan type:

<i>Plan Type</i>	<i>Flat Rate</i>	<i>Age-Graded</i>	<i>Service Rate</i>	<i>Percent of Salary</i>
01	For Benefit Administration only.	For Benefit Administration only.	For Benefit Administration only.	For Benefit Administration only.
1X	Yes	Yes	Yes	Yes
2X	Yes	Yes	Yes	Yes
3X	Yes	Yes	Yes	Yes
4X–9X	Not Used	Not Used	Not Used	Not Used

For some plan types, you will need to specify the proper Calculation Rules Table identification to ensure that the appropriate calculation rules are applied.

You don't have to indicate rates and calculation rules for all plan types. Certain rate types, on the other hand, require calculation rules.

Rate and Calculation Rule Requirements by Plan Type

This table lists the rate tables and calculation rules that you can use for different plan types:

<i>Plan Type</i>	<i>Rate Tables</i>	<i>Calculation Rules Table</i>
01	Required	Dependent on rate type.
1x	Required	Required
2x	Required	Required
3x	Required	Required
4x	No	Required
5x	No	Required (reserved for future use), but not applied during calculations.
6x	No	Not Used
7x	No	Not Used
8x	No	Not Used
9x	No	Not Used

Calculation Rule Requirements for Rate Types

Calculation rules are required for age-graded, flat-amount, salary-percentage, and service rate types.

Cloning Benefit Programs, Coverage Formulas, Eligibility Rules, Event Rules, or Life Event Templates

Use the Benefit Clone Utility (BN_CLONE) component to clone a benefit program, coverage formula, eligibility rule, event rule, or life event template.

Page Used to Clone Benefit Programs, Coverage Formulas, Eligibility Rules, Event Rules, or Life Event Templates

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Benefit Clone Utility	BN_CLONE	<p>Set Up HCM, Product Related, Base Benefits, Benefit Clone Utility, Benefit Clone Utility</p> <p>The Benefit Clone Utility is also available under:</p> <p>Set Up HCM, Product Related, eBenefits, Life Event, Benefit Clone Utility, Benefit Clone Utility</p>	<ul style="list-style-type: none"> Clone a benefit program. Create a copy of an existing benefit program. Give the duplicated program a new effective date and choose whether to give the program a new name. Clone a coverage formula. Create a copy of an existing coverage formula and give the duplicated formula a new name and effective date. Create a copy of an existing eligibility or event rule for Benefits Administration. Clone an existing life event template for eBenefits. Create a copy of an existing life event template. Give the duplicated life event template a new name and effective date and indicate whether the life event template is currently active.

Benefit Clone Utility Page

Use the Benefit Clone Utility (BN_CLONE) component to clone a benefit program, coverage formula, eligibility rule, event rule, or life event template

Navigation

Set Up HCM, Product Related, Base Benefits, Benefit Clone Utility, Benefit Clone Utility.

Image: Benefit Clone Utility page

This example illustrates the fields and controls on the Benefit Clone Utility page. You can find definitions for the fields and controls later on this page.

Benefit Clone Utility

*Object Type to Clone Benefit Program

Copy From ?

Benefit Programs				
Selected	Benefit Program	Description	Effective Date	Status
<input type="checkbox"/>	B01	Benefits Full Time Program	01/01/2000	Active
<input type="checkbox"/>	B01	BAS Eligibility 1	01/01/1998	Active
<input type="checkbox"/>	B01	BAS Eligibility 1	01/01/1990	Active
<input type="checkbox"/>	B02	Benefits Part Time Program	01/01/2000	Active
<input type="checkbox"/>	B02	BAS Eligibility 2	01/01/1990	Active
<input type="checkbox"/>	B03	BAS Eligibility 3	01/01/1990	Active
<input type="checkbox"/>	B04	BAS Eligibility 4	01/01/1990	Active
<input type="checkbox"/>	B05	MJ BAS Eligibility F/T	09/23/2010	Active
<input type="checkbox"/>	B05	MJ BAS Eligibility F/T	01/01/2000	Active
<input type="checkbox"/>	B05	MJ BAS Eligibility F/T	01/01/1994	Active

Copy To ?

Benefit Program

*Program Type Manual

Effective Date 31

Clone

Field and Control Definitions

Object Type to Clone

Select a value:

- *Benefit Program*
- *Coverage Formula*
- *Eligibility Rule* (Benefits Administration only)
- *Event Rule* (Benefits Administration only)
- *Life Event Template* (eBenefits)

Copy From**Selected**

Select the check box for the object (benefit program, coverage formula, eligibility rule, event rule, or life event template) that you want to clone.

Copy To

This group box enables you to define the name of the new object being created. The fields in this group box vary depending on the type of object you are cloning. You can specify the effective date for a benefit program, coverage formula, eligibility rule, or event rule. Additionally, for a benefit program object, you can specify whether the program type is automated or manual. For a life event template, you can select the life event type and specify whether the template is currently active.

Setting Up Additional Manage Base Benefits Features

Setting Up Benefits Billing

To set up benefits billing, use the Billing Parameters (BILLING_PARAMETERS) and Billing Calendar (BILLING_CALENDAR) components.

This section provides an overview of setting up Benefits Billing and discusses how to:

- Set up billing parameters.
- Set up payment due dates.

Pages Used to Set Up Benefits Billing

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Billing Parameter	BILL_PARMS	Set Up HCM, Product Related, Base Benefits, Billing, Billing Parameters, Billing Parameters	Set up billing parameters.
Define Calendar	BILL_CALENDAR	Set Up HCM, Product Related, Base Benefits, Billing, Define Calendar, Define Calendar	Set up begin, end, and payment due dates for individual billing periods. Also control billing statement printing and enter comments that appear on statements printed during a particular billing period.

Understanding Benefits Billing Set Up

Benefits Billing enables you to bill employees and dependents directly for benefit plan elections instead of paying through the payroll deduction process. Benefits Billing can be used for both regular and COBRA (Consolidated Omnibus Budget Reconciliation Act) benefits.

To set up Benefits Billing:

1. Set up the rules for billing on the Billing Parameter page.

You establish only one set of billing parameters for your entire system.

2. Set up the billing cycle using the Define Calendar page.

Billing Parameters Page

Use the Billing Parameter page (BILL_PARMS) to set up billing parameters.

Navigation

Set Up HCM, Product Related, Base Benefits, Billing, Billing Parameters, Billing Parameters

Image: Billing Parameter page

This example illustrates the fields and controls on the Billing Parameter page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Billing Parameters' page. At the top, there is a label '*Billing Frequency:' followed by a dropdown menu set to 'Monthly'. Below this, there is a section titled 'Due Dates' in a blue box. Under 'Due Dates', there are two panels: 'Regular Billing' and 'COBRA Billing'. The 'Regular Billing' panel has two input fields: 'Days Due:' with the value '25' and 'Days to Overdue:' with the value '5'. The 'COBRA Billing' panel has two input fields: 'Days Due:' with the value '30' and 'Days to Overdue:' with the value '1'.

Billing Frequency

Indicate how often to calculate billing amounts.

Days Due

Enter the number of days after the billing period begin date that the payment is due. This value is used to determine the default payment due date on the Define Calendar page.

Note: COBRA requirements mandate at least 30 days for COBRA billing.

Days to Overdue

Enter the number of days past the payment due date that qualify a payment as overdue.

Define Calendar Page

Use the Define Calendar page (BILL_CALENDAR) to set up begin, end, and payment due dates for individual billing periods.

Also control billing statement printing and enter comments that appear on statements printed during a particular billing period.

Navigation

Set Up HCM, Product Related, Base Benefits, Billing, Define Calendar, Define Calendar

Image: Define Calendar page

This example illustrates the fields and controls on the Define Calendar page. You can find definitions for the fields and controls later on this page.

Billing Period

When you first access the page, you must enter a billing period code that identifies the billing period that you're setting up the calendar for. The code can be any unique four-character combination. Oracle recommends the format YYYYMM as an identification code for monthly calendars.

Period Begin Date and Period End Date

The system uses the period begin date and the days due value set on the Billing Parameter page to calculate default payment due dates for regular and COBRA Benefits Billing processes.

The system uses the period end date to evaluate effective dates. The period end date is also used as the posting date for billing charges.

Note: The system does not edit the billing frequency to ensure that it matches the begin and end dates.

Payment Due and COBRA Payment Due

The system automatically sets Payment Due and COBRA Payment Due according to the days due values set in the Billing Parameters page and the period begin date that you've defined for this billing period. You can override the default dates if necessary.

Note: You cannot set a COBRA due date that is fewer than 30 days past the begin date.

Comment

(Optional) Enter text to appear on all of the billing statements sent out for this billing period.

Billing Calculation Run and Billing Statements Printed Indicates the current processing stage for this billing calendar.

Setting Up Internal Administrative Contact Information

To set up internal administrative contact information, use the Administrative Contacts (BENEF_ADM_CONTACTS) component.

This section discusses how to set up internal administrative contact information.

Page Used to Set Up Internal Administrative Contact Information

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Administrative Contacts	BENEF_ADM_CONTACTS	Set Up HCM, Product Related, Base Benefits, Plans and Providers, Administrative Contacts, Administrative Contacts	Record internal contacts for HIPAA and COBRA functions.

Understanding Internal Administrative Contact Information

The Administrative Contacts component is similar to the Vendor component. It is used to record internal contacts for HIPAA (Health Insurance Portability and Accountability Act) and COBRA functions. This internal contact structure enables you to identify a single contact person once and then to reference that contact multiple times throughout the Manage Base Benefits business process.

For HIPAA, the internal administrative contact component is used to record the HIPAA administrator that must be included on all printed HIPAA certificates. The COBRA function uses this component to populate COBRA notification letters.

The contacts that are entered on the Benefits Administrative Contacts page can then be referenced on the Benefits Plan Table page and the Benefit Program Definition page. Note that while only HIPAA and COBRA currently use these contacts, they can be used for all benefit plan types.

Note: HIPAA reports BEN022 and BEN023 now expect to find a HIPAA administrative contact printed on all certificates.

Administrative Contacts Page

Use the Administrative Contacts page (BENEF_ADM_CONTACTS) to record internal contacts for HIPAA and COBRA functions.

Navigation

Set Up HCM, Product Related, Base Benefits, Plans and Providers, Administrative Contacts, Administrative Contacts

Image: Administrative Contacts page

This example illustrates the fields and controls on the Administrative Contacts page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Administrative Contacts' page. At the top, there is a header with the title 'Administrative Contacts'. Below the header, there are two input fields: 'Benefits Contact ID' with the value '1' and '*Contact Description' which is empty. A 'Contact Details' section follows, containing several fields: '*Effective Date' (11/15/2004), '*Effective Status' (Active), '*Contact Name' (Thomas Edwards), 'Business Title' (Benefits Coordinator), 'Email Address' (tedwards@horseshoe.com), 'Country' (USA), and 'Address' (1993 Corn Street, Turnabout, CA 94577, USA). There is an 'Edit Address' button next to the address field. Below these fields are 'Phone' (707-455-2205) and 'Extension' (empty). At the bottom, there is a 'Child Support Indicator' section with three radio buttons: 'None' (selected), 'Primary', and 'Secondary'. Navigation links like 'Find', 'View All', 'First', '1 of 1', and 'Last' are visible at the top right of the contact details section.

Benefits Contact ID

Identifies the individual contact, and assigns the next available contact ID.

Contact Description

This is an alternative contact search description.

Contact Name

Identifies the name of the internal contact. This contact can be a person, a department, or another name. The contact name is unlimited.

Child Support Indicator

Indicate whether an employee is required by court order to provide benefits to dependents and/or beneficiaries. If so, indicate whether the employee is the primary or secondary provider.

Setting Up COBRA Administration

To set up COBRA administration, use the COBRA Event Rules (CBR_EVENT_RULES) component.

This section lists prerequisites and discusses how to set up COBRA Administration.

- Link COBRA to your benefit packages.
- Identify COBRA eligible benefit plans.
- Establish coverage codes for COBRA.
- Define COBRA-qualifying events.
- Identify COBRA events.

Pages Used to Set Up COBRA Administration

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Event Rules	COBRA_EVENT_RULES1	Set Up HCM, Product Related, Base Benefits, COBRA, Event Rules, Event Rules	Identify the events that result in the loss of health plan coverage for a qualified beneficiary.
Administrative Contacts (benefit administrative contacts)	BENEF_ADM_CONTACTS	Set Up HCM, Product Related, Base Benefits, Plans and Providers, Administrative Contacts, Administrative Contacts	Define the COBRA administrator's name and address. This information will print on COBRA notification, enrollment, and termination letters.

Prerequisites

Before you can set up COBRA Administration, complete the following steps.

1. Activate COBRA Administration on the Installation table.
 - a. Access the Installation Table - Product Specific page.
 - b. Select the COBRA Administration check box under the Benefits Functions group box.
2. Identify your company's COBRA administrator.

Identify your COBRA administrative personnel using the Benefits Administrative Contacts page. When you have entered this information, you can reference these COBRA administrators within each benefit program. This is the contact information (name and address) that will be included in printed COBRA notification letters.

Linking COBRA to Benefit Packages

To link COBRA to your benefit package, use the Benefit Program Table to identify:

- The age at which a dependent is no longer considered a dependent.
- The age at which a dependent is no longer considered a student.
- Whether a disabled person is excluded from the age limits.
- Whether dependents are ineligible for regular benefits, if married.

Also identify any extra charges that you want added to the cost of the benefits for both disabled and nondisabled persons.

Related Links

[Building Benefit Programs](#)

Identifying COBRA-Eligible Benefit Plans

To identify which benefit plans offered within a benefit program are eligible for COBRA administration, use the Benefit Program Table. When you design your benefit program, if you designate Health (1x) and FSA (60) (flexible spending account) plan types as COBRA-qualified plan types, you must designate an option code for those plan types. The system uses the option codes for the enrollment of employees into COBRA benefit plans. This restriction applies only if you do not use PeopleSoft Benefits Administration.

In general, only medical and health plan types (plan types 1x and 6x) are designated as COBRA-qualified plan types. However, the PeopleSoft application allows you to identify nonmedical plan types as COBRA-qualified plans if your organization's policy is to offer them to your employees as part of their COBRA coverage. You will need to modify the COBRA COBOL for plan types other than Medical (1x) and FSA (6x) plan types.

For COBRA, medical coverage is a core benefit. COBRA administration defines non-core benefits such as dental and vision care benefits. If your benefits program combines core and non-core benefits, you may have to offer COBRA participants a core option that is not available to other active employees.

Nonqualified medical, dental, and vision plans are not currently supported for COBRA coverage. You should not designate these plans as COBRA-qualified plans.

Related Links

[Building Benefit Programs](#)

Establishing Coverage Codes for COBRA

Coverage codes are created on the Coverage Code page. PeopleSoft software has four coverage codes that work for COBRA Administration. You can add more codes as required by your organization; however, the coverage codes for nonqualified dependents are not for use by COBRA Administration.

The COBRA Coverage Set field on the Coverage Code page is used during COBRA processing to determine the type of coverage to offer a participant. To indicate that a coverage code is to be used during COBRA processing, enter a two-digit code in the COBRA Coverage Set field.

Example:

Assume you have the following coverage codes:

- 1 – Employee only
- 2 – Employee + spouse
- 3 – Employee + dependents
- 4 – Family
- A – Employee only
- B – Employee + spouse
- C – Employee + dependents
- D – Family

You want COBRA processing to consider only coverage codes A, B, C, and D as eligible codes and you have decided that CO will represent COBRA eligible coverage codes. You will enter *CO* in the COBRA Coverage Set field for coverage codes A, B, C, and D.

Defining COBRA-Qualifying Events

Oracle's PeopleSoft application delivers COBRA Administration with the following qualifying events:

- Voluntary termination.
- Involuntary termination.
- Reduction of hours.
- Death of an employee.
- Divorce and legal separation.
- Loss of dependent status (due to marriage or arrival at the age limit for benefit coverage).
- Medicare eligibility.
- Retirement.

According to federal government guidelines, employees and spouses of employees who undergo voluntary or involuntary termination for gross misconduct are not eligible for COBRA coverage. The PeopleSoft application does not deliver COBRA Administration with the capability to differentiate between terminations for gross misconduct and other termination types, but you can set up action reason codes and add PeopleCode to have the system perform this function.

You should review the set of events provided, change them, and add new events as necessary based on the qualifying events that your organization recognizes. Then, for each valid event:

- Define when COBRA coverage begins and how long it will last.
- Define any grace periods.
- Define when the employee has to notify the organization of the event and when the organization has to notify the employee of COBRA benefits.
- Define the qualified beneficiaries for the event.

- Define the secondary event rules for the event.

If you want to set up additional COBRA event rule records for a particular COBRA event classification, insert a new row with a different effective date. The system will always use the record with the effective date that is closest to the current date.

You can set up COBRA event rule records with future effective dates. This allows you to have the definition of a COBRA event classification change on a predetermined date.

Determining COBRA Coverage Period

COBRA coverage begins the day following the last day of regular active coverage and generally extends for 18 or 36 months following a qualifying event. If an employee is terminated on the 15th, COBRA coverage begins on the 16th.

The determination of the COBRA period begin date depends on several factors. The first factor is whether the COBRA period includes alternative coverage. Alternative coverage is the grace period of continued active coverage beyond the date when an employee's active health coverage would normally terminate. Grace periods are provided either manually through the Manage Base Benefits business process or automatically with the Benefits Administration system.

Regardless of whether the COBRA period includes the alternative coverage, the COBRA coverage begin date is always set to the day following the last day of active coverage. The calculation of the COBRA coverage end date depends on the inclusion or exclusion of alternative coverage.

In the case of disabled COBRA participants, however, regulations allow an extension up to 11 months past the original 18 months for termination of employment and reduction in work hours events. You can extend the coverage for disabled participants by completing the Additional Months if Disabled field on the Event Rules page.

The date on which COBRA coverage ends is defined as the COBRA period begin date plus the number of months of coverage plus any additional months if disabled.

Providing Grace Periods

Situations may occur when you want to provide grace periods for your employees that begin on the day following the last day of regular coverage. During these grace periods, your organization pays all or part of the employee benefits premiums. Extending the termination dates of an individual's health benefit plans past the COBRA-qualifying event date sets grace periods. You can do this manually or arrange for it to happen automatically through PeopleSoft Benefits Administration processes. To provide a grace period, select the Include Alternative Coverage check box and specify when you want the COBRA period to begin, which will be the day after the grace period ends. The system includes grace periods in the COBRA coverage period. You can select to have COBRA begin on the day of the event, on the first day of the month after the event, or on the first day of the pay period after the event day.

If you do not want a grace period to be included in the COBRA period, do not select Include Alternate Coverage. The COBRA period begin date will be the same and COBRA coverage will start on the day after the grace period ends. This means that if your organization paid for all or part of the employee premiums during a grace period, the COBRA period does not begin until after the conclusion of alternative coverage. The length of continued coverage from the qualifying event is the length of the grace period plus 18 months of COBRA continuation coverage.

The system calculates the COBRA coverage end date according to a formula derived from the parameters defined in the COBRA event rules.

Here are examples showing how COBRA can calculate COBRA coverage end dates for an employee who experiences a COBRA qualifying event on March 15 and has a pay period on March 22, whose last day of active coverage is on June 30, and who is allowed 18 months of COBRA coverage.

<i>Last Day of Active Coverage</i>	<i>Include Alternative Coverage</i>	<i>COBRA Period Begins Code</i>	<i>COBRA Period Begin Date</i>	<i>COBRA Coverage Begin Date</i>	<i>COBRA Coverage End Date</i>
June 30	N	NA	July 1	July 1	December 31
June 30	Y	Event Date	March 15	July 1	September 14
June 30	Y	Month After	April 1	July 1	September 30
June 30	Y	Pay Period	March 22	July 1	September 21

The coverage end date will always be equivalent to the COBRA period begin date plus the months of coverage for each plan type, with two exceptions:

- For employees and spouses, COBRA coverage will end before the scheduled coverage end date on the date that the employee or spouse turns 65 and becomes eligible for Medicare.
- For dependents of employees who become eligible for Medicare, the COBRA coverage end date will be the latter of the COBRA period begin date or the employee's Medicare entitlement date plus 36 months.

Setting Rules for Secondary COBRA Events

Secondary COBRA-qualifying events are events that extend the amount of time a participant is eligible for COBRA coverage

An event must fulfill the following basic qualifications for it to qualify as a COBRA secondary event:

- The initial COBRA event must be a COBRA event classification associated with a change to an employee's job status, such as a reduction in hours, termination, or retirement.
- The employee and dependent must currently be participating in an active initial COBRA event and have COBRA coverage.
- The secondary event must be one of the COBRA event classifications that involves loss of coverage for the employee's dependent, such as divorce, marriage of dependent, overage dependent, death of employee, or a Medicare entitlement.

For example, suppose a married employee is terminated. The employee and his spouse will receive 18 months of COBRA coverage after the termination. If the employee and spouse divorce, the divorce would be considered a secondary event for the ex-spouse. If the divorce occurred before the termination, the termination would not be a secondary event for the ex-spouse.

The classification of the event determines the amount of time for which coverage is extended and the method by which coverage is extended. In the previous example, the secondary event would cause the coverage of the ex-spouse to be extended from 18 to 36 months from the coverage begin date of the initial event. In general, even with secondary events, a dependent's coverage cannot exceed 36 months.

The exception would be a case in which the secondary event is a Medicare entitlement. When a qualified COBRA beneficiary turns 65, and is Medicare entitled, COBRA coverage ceases. In this case, the system adds the 36 months to the COBRA event date (the Medicare entitled date), thereby extending the dependent's COBRA coverage past 36 months.

COBRA-Qualified Beneficiary

The following chart illustrates how the Benefit Lost Level and COBRA-Qualified Beneficiary relate to one another. For each COBRA Event Classification, it displays the Benefit Lost Level and COBRA-Qualified Beneficiaries typically associated with that event.

COBRA Event Classification	Benefit Lost Level	COBRA-Qualified Beneficiaries
TER, RET, RED, MIL	Employee	Employee, Spouse, Child
DEA, MED	Employee	Spouse, Child
OVG, DEP	Dependent	Child
DIV	Dependent	Ex-spouse

Related Links

"Defining Event Rules (*PeopleSoft HCM 9.2 Benefits Administration*)"

Event Rules Page

Use the Event Rules page (COBRA_EVENT_RULES1) to identify the events that result in the loss of health plan coverage for a qualified beneficiary.

Navigation

Set Up HCM, Product Related, Base Benefits, COBRA, Event Rules, Event Rules

Image: Event Rules page

This example illustrates the fields and controls on the Event Rules page. You can find definitions for the fields and controls later on this page.

Event Rules

COBRA Event Classification: TER

COBRA Event Rules Find | View All First < 1 of 1 Last

*Effective Date: 01/01/1980 Status: Active

*Description: Termination Short Description: Termination

Response Days Allowed: 60 ☐ Manual Events Allowed

Days to Notify of Event: 30 ☐ Waive COBRA Surcharge

Payment Grace Days: 45

Benefit Lost Level: Employee

COBRA Period Determination

Months of Coverage: 18 ☐ Include Alternative Coverage

Additional Months if Disabled: 11 ☐ Use BenAdmin Event Rules

COBRA Period Begins: On the Event Date

Secondary Event Rules

Secondary Event Role: Preceding Initial Event

Second Event Additional Months:

Secondary Event Add Mode: No Action

COBRA Qualified Dependents Customize 1-3 of 3

Covered Person Type		
Child		
Employee		
Spouse		

COBRA Event Rules

Effective Date

Enter or select the date on which this COBRA event goes into effect. The system always uses the record with the effective date that is closest to but not past the current date.

Status

Select whether this COBRA event is *Active* or *Inactive*.

Description

Enter a description of the COBRA event using up to 30 characters.

Short Description

Enter a brief description of the COBRA event using up to 10 characters.

Response Days Allowed

Specifies the maximum number of days that a beneficiary has to elect coverage from the date of notification. COBRA eligibility expires after the response period.

Days to Notify of Event

Identifies the number of days an employee or dependent has to notify the organization following a qualifying COBRA event.

Payment Grace Days

Enter the number of payment grace days employees will be given to submit a payment after they send in an election.

Manual Events Allowed	Select if you want to allow manual entry of the event.
Waive COBRA Surcharge	Select if, for this event classification, you want the system to disregard the COBRA surcharge percentages defined in the Benefit Program Table.
Benefit Lost Level	Define whether the COBRA event affects the employee only, the dependent only, or both. Values are <i>Employee</i> , <i>Dependent</i> (a COBRA-qualified beneficiary), and <i>neither</i> .
COBRA Period Determination	
Months of Coverage	Identifies how long coverage will extend for a particular qualifying event. COBRA coverage generally extends for 18 or 36 months following a qualifying event.
Additional Months if Disabled	Enter the extension for disabled participants. Regulations allow an extension up to 11 months past the original 18 months for termination of employment and reduction in work hours events for disabled participants.
Include Alternate Coverage	Select to set up a grace period.
COBRA Period Begins	<p>Select a value to define when COBRA coverage begins. Values are:</p> <p><i>On the Event Date:</i> The COBRA period begin date is the same as the day of the event. COBRA coverage actually begins the day after the event date or the day after the grace period ends.</p> <p><i>On Month-Begin After Event:</i> The COBRA begin date is on the first day of the month after the event.</p> <p><i>On PayPeriod Begin After Event:</i> The COBRA begin date is on the first day of the pay period after the event day.</p>
Secondary Event Rules	
Secondary Event Role	<p>Indicates whether the displayed COBRA event classification can be considered a secondary event. Values are:</p> <p><i>Succeeding Second Event (S):</i> This indicates that the COBRA event classification is a secondary event.</p> <p><i>Preceding Initial Event (P):</i> If this field has a value of <i>P</i>, then the other two secondary event rules fields will be unavailable for data entry.</p>
Second Event Additional Months	If Secondary Event Role is selected, the Second Event Additional Months field defines the number of months that the original COBRA coverage will be extended.

Secondary Event Add Mode

Defines whether the system adds second event additional months to the original coverage begin date of the initial event or to the COBRA event date of the secondary event.

In the event classifications that the PeopleSoft application delivers, the Death, Divorce, Married Dependent, and Overage Dependent events have a Second Event Add Mode value of *E* (Extended) while the Medicare Entitlement event has a Second Event Add Mode value of *A* (Added).

Note: For the *Divorce* COBRA Event Classification, the sole-defined COBRA qualified beneficiary is *X* (Ex-Spouse).

COBRA Qualified Dependents**Covered Person Type**

Select from the following values to define the relationship you have to the dependent.

Child, Domestic Partner, Employee, ExSpouse, Non-Qualified Dependent, Other Qualified Dependent, Spouse.

Setting Up HIPAA Tables

To set up HIPAA, use the EDI Trading Partners (BN_EDI_PARTNERS) and EDI 834 Transaction Map Table (BN_834_MAP_TBL) components.

This section discusses how to:

- Define EDI trading partners.
- Create transaction map tables.

Pages Used to Set Up HIPAA Tables

Page Name	Definition Name	Navigation	Usage
EDI Trading Partners	BN_EDI_PARTNERS	Set UP HCM, Product Related, Base Benefits, EDI Trading Partners, EDI Trading Partners	Define the information needed for the EDI Interchange Control segments for each partner receiving 834 transaction transmissions.
EDI 834 Transaction Map Table	BN_834_MAP_TBL	Set Up HCM, Product Related, Base Benefits, EDI 834 Transaction Map Table, EDI 834 Transaction Map Table	View the delivered code maps or set up new code maps for any new codes you have added to the system.

EDI Trading Partners Page

Use the EDI Trading Partners page (BN_EDI_PARTNERS) to define the information needed for the EDI Interchange Control segments for each partner receiving 834 transaction transmissions.

Navigation

Set UP HCM, Product Related, Base Benefits, EDI Trading Partners, EDI Trading Partners

Image: EDI Trading Partners page

This example illustrates the fields and controls on the EDI Trading Partners page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'EDI Trading Partners' page with the following fields and controls:

- Trading Partner ID:** EDI_100
- Trading Partner Information** (Header bar with Find, View All, First, 1 of 1, Last buttons)
- *Effective Date:** 06/17/2009 (with a calendar icon)
- *Name:** (Text input field)
- *External Partner ID:** (Text input field)
- *Internal Partner ID:** (Text input field)
- Application Receiver's Code:** (Text input field)
- Application Sender's Code:** (Text input field)
- ☐ **Use Alternate Group Number for Trans Set Identifier Code**
- Alternate Group Number:** (Text input field)
- ☐ **Security Password Required**
- Password:** (Text input field)
- Master Policy Number:** (Text input field)
- Subscriber Number:** (Dropdown menu showing EMPLID)
- Last Control Number:** 0
- *Field Separator:** *
- *Segment Terminator:** ~
- *Sub element Separator:** .
- *File Name:** (Text input field)
- File Directory:** (Text input field)

Name Enter the name of the EDI trading partner.

A trading partner can be one of your health care providers but doesn't have to be.

External Partner ID Identify the receiving partner.

This ID must be agreed upon by both the sender and receiver.

Internal Partner ID Identify the sending partner.

This ID must be agreed upon by both the sender and receiver.

Application Receiver's Code Enter a value.

Application Sender's Code Enter a value.

Use Alternate Group Number for Trans Set Identifier Code Select to enter a value in the Alternate Group Number field.

Alternate Group Number If you selected the Use Alternate Group Number for Trans Set Identifier Code field, then you must enter a value. The system

	uses the alternate group number in place of the external partner ID when creating the concatenated transaction set identifier code.
Security Password Required	Select to enter a value in the Password field. This indicates there is security information associated with the HIPAA file.
Password	If you selected the Security Password Required field, then you must enter a 10-character password. The system sets the ISA03 value to 01 in the ISA segment and then sends the password value in position ISA04.
Master Policy Number	Enter a value. In the header of the HIPAAA file, the system includes a new REF segment (Transaction Set Policy Number) with a reference ID qualifier of 38 along with the master policy number that you entered in this field.
Subscriber Number	Select from the following values: <i>EmplID:</i> The HIPAA process uses the emplID of the subscriber as the REF02 value in the REF*0F segment in loop 2000. <i>SSN</i> The HIPAA process uses the social security or national ID number of the subscriber instead of the emplID. The records for dependents also use the subscriber's ID number for the REF02 value. <hr/> Note: If you select <i>SSN</i> and the subscriber does not have a social security number, the file uses the emplID for that subscriber and his or her members' records. <hr/>
Last Control Number	The system increments this number with each transmission to the partner. Initially the control number is set to 0. You can manually reset the control number, if necessary.
Field Separator	Enter the character to use to separate fields in each segment of the EDI file. The default value is an asterisk (*), which is the value suggested by the reporting standard.
Segment Terminator	Enter the character to use to mark the end of each segment of the EDI file. The default value is a tilde (~), which is the value suggested by the standard.
Subelement Separator	Enter the character used to separate component data elements within a composite data structure of the EDI file.

The default value is a colon (:), which is the value suggested by the standard.

Note: This delimiter is not used in PeopleSoft-delivered 834 transaction segments.

File Name

Enter the name for the EDI file.

File Directory

Enter the directory where the file will be created.

If this field is left blank, the file will be created in the directory PS_SERVDIR.

EDI 834 Transaction Map Table Page

Use the EDI 834 Transaction Map Table page (BN_834_MAP_TBL) to view the delivered code maps or set up new code maps for any new codes you have added to the system.

Navigation

Set Up HCM, Product Related, Base Benefits, EDI 834 Transaction Map Table, EDI 834 Transaction Map Table

Some of the codes required by the ASC X12.834 Implementation Guide need to be mapped from PeopleSoft codes or customer-defined codes. Oracle delivers the mapping for existing PeopleSoft codes. If you add your own codes, you need to map those codes to EDI 834 codes.

Image: EDI 834 Transaction Map Table page

This example illustrates the fields and controls on the EDI 834 Transaction Map Table page. You can find definitions for the fields and controls later on this page.

EDI 834 Transaction Map Table

Field Name: Health Plan Type

Original Value: 10

EDI 834 Mapped Fields			
Find View All First 1 of 1 Last			
*Effective Date:	01/01/1900	<input checked="" type="checkbox"/> Default EDI Code	<input type="button" value="+"/> <input type="button" value="-"/>
Original Description:	Medical		
EDI 834 Value:	HLT		
*EDI 834 Description:	Health		

Default EDI Code (default electronic data interchange code)	Each plan type has one default EDI code used in the HIPAA file, for example, HLT for Plan Type 10.
	Note: If you do not enter a value in the EDI Insurance Line Code field on the Benefit Plan Table page, the system uses the mapped value for the plan type as the Default EDI Code value in the HIPAA file.
Original Description	The description of the code that is being mapped.
EDI 834 Value	The ASC X12.834 value corresponding to the PeopleSoft code or customer-defined code.
EDI 834 Description	The ASC X12.834 code description.

Setting Up Multiple Jobs

To set up multiple job features, use the Multiple Job Options (BAS_MJ_OPTIONS_PGP) component.

This section provides an overview of multiple jobs and discusses how to set up rules for multiple jobs.

Page Used to Set Up Multiple Jobs

Page Name	Definition Name	Navigation	Usage
Multiple Jobs Options	BAS_MJ_OPTIONS	Set Up HCM, Product Related, Base Benefits, Multiple Jobs Options, Multiple Jobs Options	Define employee-level multiple jobs options to use automatically whenever a new job is entered into the system, or whenever an existing job is rehired or terminated through the Administer Workforce pages.

Understanding Multiple Jobs

Many organizations have employees who work in more than one job at the same time. The system needs to know how to process these employees with more than one job. Rules need to be created to answer questions such as:

- Which jobs should contribute salary information for calculating deductions that are based on the employee's earnings?
- Which jobs should provide pay group information, hire date, and termination date?

Multiple Jobs Options Page

Use the Multiple Jobs Options page (BAS_MJ_OPTIONS) to define employee-level multiple jobs options to use automatically whenever a new job is entered into the system, or whenever an existing job is rehired or terminated through the Administer Workforce pages.

Navigation

Set Up HCM, Product Related, Base Benefits, Multiple Jobs Options, Multiple Jobs Options

Image: Multiple Jobs Options page

This example illustrates the fields and controls on the Multiple Jobs Options page. You can find definitions for the fields and controls later on this page.

Multiple Jobs Options

When a Job is Hired, Re-Hired or Added

Eligibility and Deductions

***Eligibility:** Include this Job

***Deductions:** Include this Job

If assigned to an existing Benefit Record

***Assign Primary Job:** No Change to Primary Job

When a Job Terminates

Eligibility and Deductions

***Eligibility:** Include this Job

***Deductions:** Exclude this Job

If the Primary Job terminates

***Re-Assign Primary Job:** Lowest Active Job

Explode activity triggers to all Benefit Records

☒ Job Triggers
 ☒ Passive Service Triggers
 ☒ Multi-Job Triggers

Workflow for Job Actions
[Customize](#) | [Find](#) | [View 100](#) | [First](#) | [1-2 of 272](#) | [Last](#)

Action	Reason Code	Effective Date	Description	Notify Benefits Administrator
ADD	CON	01/01/1900	Consultant	<input type="checkbox"/>
ADD	EBM	01/02/1900	Elected Board Member	<input type="checkbox"/>

When a Job is Hired, Re-Hired or Added

Eligibility and Deductions

Set default rules for whether new concurrent jobs are included or excluded during deduction processing and for Benefits Administration users to determine benefit eligibility.

If assigned to an existing Benefit Record

If an employee is hired into a concurrent job and the job is linked to an existing benefit record number, indicate whether the new job should be designated as the primary job.

When a Job Terminates

Eligibility and Deductions

Set default rules for whether terminated jobs should continue to contribute information during deduction processing and for Benefits Administration users to determine benefit eligibility.

If the Primary Job terminates

Indicate how to reassign the primary job designation if the terminating job is the primary job. Values are:

No Change: Don't reassign the primary job designation.

Lowest Active Job: Reassign the primary job designation to the lowest active employee record number. If no jobs are active, the

job with the lowest employee record number is designated as the primary job.

Highest Active Job: Reassign the primary job designation to the highest active employee record number. If no jobs are active, the job with the highest employee record number is designated as the primary job.

Explode activity triggers to all Benefit Records

Job Triggers, Passive Service Triggers, and Multi-Job Triggers (multiple job triggers)

For Benefits Administration users only.

Select these options when you have an eligibility rule that applies to all benefit programs and that crosses benefit record numbers.

For example, suppose that an employee holds four jobs, is enrolled in two benefit programs, and has two benefit records.

If the employee experiences a job data change for one job, and eligibility rules cross benefit records, an event must be created for all benefit records. A change to a job in benefit record A might affect the employee's eligibility for benefits in benefit record B if any eligibility rule in the benefit program for benefit record B contains a grouping method of *All Flagged*.

Setting Up Retroactive Benefits and Deductions

To set up retroactive benefits and deductions, use the Retro Ben/Ded Program (RETRO_DED_TABLE) and Retro Ben/Ded Mass Request (RETRODED_MASS_RQST) components.

This section lists prerequisites and discusses how to:

- Define retroactive benefit and deduction programs.
- Defining the parameters for mass retroactive benefit and deduction requests.

To set up retroactive benefits and deductions, you:

1. Activate retroactive benefits and deductions on the Installation page.
2. Define retroactive benefits and deductions programs.
3. If you are creating mass requests, define the retroactive benefit deduction selection criteria.

The Retroactive Benefit and Deduction Mass Request feature enables you to create a large number of requests using a batch process. This is necessary when you retroactively update system-level pages that affect multiple employees. Changes to the following system-level pages could generate mass retroactive benefit and deduction requests:

- Deduction Table.

- General Deduction Table.
- Most benefit plan design tables (such as the Life and AD/D Plan Table).
- Calculation Rules Table.
- All rate tables.

Note: Because the Retroactive Benefit and Deduction feature involves PeopleSoft Payroll for North America processes in the calculation of retroactive benefits and deductions and the loading of retroactive benefit and deduction totals to payroll, it is unavailable to those users who do not currently implement Payroll for North America

Deductions based on earnings (such as garnishments or savings plan deductions) are not included in the retroactive benefits and deductions process. They are handled as part of the Payroll for North America adjustment process.

Pages Used to Set Up Retroactive Benefit and Deduction Programs and Mass Requests

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Retro Ben/Ded Program (retroactive benefit/deduction program)	RETRODED_PGM_TBL	Set Up HCM, Product Related, Payroll for North America, Retroactive Payroll, Retro Ben/Ded Program, Retro Ben/Ded Program	Define high-level information for your organization's retroactive benefit and deduction programs.
Retro Ben/Ded Mass Request (retroactive benefit/deduction mass request)	RETRODED_MASS_RQST	Set Up HCM, Product Related, Payroll for North America, Retroactive Payroll, Retro Ben/Ded Mass Request, Retro Ben/Ded Mass Request	<p>Set up your mass retroactive benefit and deduction programs by assigning them a Mass Retro Request ID and by defining detailed information.</p> <p>To set up a new mass retroactive deduction and benefit program, enter a Mass Retro Request ID to open the page. The system uses the Mass Retro Request ID to generate mass retroactive benefit and deduction requests and to calculate the retroactive benefit and deduction earnings based on those requests.</p>

Prerequisites

Before you can define retroactive benefits and deduction programs, you must:

1. Activate retroactive benefits and deductions on the Installation table.

2. Set up core tables and benefit plans.

See *Setting Up and Installing PeopleSoft HCM (PeopleSoft HCM 9.2: Application Fundamentals)*.

See [Understanding the Core Tables for PeopleSoft Manage Base Benefits](#).

See [Defining Benefit Plans](#).

Retro Ben/Ded Program Page

Use the Retro Ben/Ded Program (retroactive benefit/deduction program) page (RETRODED_PGM_TBL) to define high-level information for your organization's retroactive benefit and deduction programs.

Navigation

Set Up HCM, Product Related, Payroll for North America, Retroactive Payroll, Retro Ben/Ded Program, Retro Ben/Ded Program

Image: Retro Ben/Ded Program page

This example illustrates the fields and controls on the Retro Ben/Ded Program page. You can find definitions for the fields and controls later on this page.

Retro Ben/Ded Program

Retro Program ID: KU1

Program Definition Find | View All First 1 of 1 Last

*Effective Date: 01/01/2000 *Status: Inactive

*Retro Program Type: Individual

*Description: US Individual Retro Ben/Ded

Short Description: US BenDed

Refunds Paysheet Processing

☐ Off Cycle

☐ Sep Check

Benefit/General Deductions Customize | Find | View All First 1-8 of 85 Last

Deductions Mass Retro Override

Plan Type	Company	Benefit Program	Benefit Plan	Covg Code	Dedn Code	Dedn Class	Base Flag	Job Flag		
Medical		KU1	KUHMO1				<input type="checkbox"/>	<input type="checkbox"/>	+	-
Medical		KU1	KUHMO2				<input type="checkbox"/>	<input type="checkbox"/>	+	-
Medical		KU1	KUHMO3				<input type="checkbox"/>	<input type="checkbox"/>	+	-
Medical		KU1	KUMED				<input type="checkbox"/>	<input type="checkbox"/>	+	-
Medical		KU1	KUMED1				<input type="checkbox"/>	<input type="checkbox"/>	+	-
Medical		KU1	KUMED2				<input type="checkbox"/>	<input type="checkbox"/>	+	-
Dental		KU1	KUDEN1				<input type="checkbox"/>	<input type="checkbox"/>	+	-
Dental		KU1	KUDEN2				<input type="checkbox"/>	<input type="checkbox"/>	+	-

Program Definition

Retro Program ID (retroactive program identification)

When you first select this page from the menu, enter a new or current Retro Program ID. This ID links the retroactive benefit and deduction requests to a specific retroactive benefit and deduction program, which helps the system identify which benefits or deductions are eligible for retroactive processing.

Retro Program Type (retroactive program type)

The system assigns each retroactive benefit and deduction program a Retro Program type of *Individual* or *Mass*.

Individual programs apply to all of the employees and companies in your database; therefore, define only one individual program for that database.

Mass programs apply to different groups of employees; therefore, you can define multiple mass programs with different Retro Program IDs for the same database.

Warning! If you change the Retro Program ID after retroactive benefit and deduction processing begins, improper retroactive benefit and deduction calculations will result.

Refunds Paysheet Processing**Off Cycle**

This check box enables you to manage your onetime deduction refunds. In the event of a refund, the Off-Cycle field controls whether the retroactive benefit and deduction calculation program will create new onetime deduction refunds as an off-cycle deduction or an on-cycle deduction.

Generally, use off-cycle or separate check processing of retroactive benefits and deductions only when you are processing retroactive pay in the same cycle as regular pay.

If you select Off-Cycle, the system treats each retroactive deduction associated with this program as an off-cycle onetime deduction refund, and delivers the refunds to your employees in checks that are separate from their regular paychecks.

If you do not select Off-Cycle, the system treats the retroactive deductions associated with the program as on-cycle deductions and combines employee refunds with their regular paychecks.

Note: When you load your retroactive benefits or deductions to employee paysheets, the On-Cycle or Off-Cycle selection for the Retro Ben/Ded Payroll Load run control must match the On-Cycle or Off-Cycle selection for this field.

Sep Check (separate check)

Select to indicate that the onetime deduction refund records associated with the retroactive benefit or deduction will be loaded to a separate check on the employee paysheets.

Deductions Tab**Base Flag**

Select to have the system process retroactive benefits or deductions when the request is triggered by a change in the annual benefits base rate.

Job Flag

Select to have the system process retroactive benefits or deductions for individual retroactive benefit and deduction

programs when the request is triggered by a change in the compensation rate or any of its related fields.

Mass Retro Override Tab

If you are setting up a mass retroactive benefit and deduction program, complete the Start Date Ovr for Mass Retro (start date override for mass retroactive benefit/deduction) and End Date Ovr for Mass Retro (end date override for mass retroactive benefit/deduction) fields to override the process start date and process end date for the requests that are created.

Refunds Versus Deductions

The system loads refunds to employee paysheets only. In situations in which the retroactive benefit and deduction process finds that employees owe additional payments, the system collects these funds through the PeopleSoft Payroll for North America arrears adjustment process. The system drops all employee retroactive benefits and deductions into the arrears balance using arrears adjustments. If enough money is available, the system collects the amounts during the current payroll run, according to the arrears rules that you defined for that deduction.

Related Links

[Understanding Retroactive Benefits and Deductions](#)

[Setting Up Deduction Codes](#)

Retro Ben/Ded Mass Request Page

Use the Retro Ben/Ded Mass Request (retroactive benefit/deduction mass request) page (RETRODED_MASS_RQST) to set up your mass retroactive benefit and deduction programs by assigning them a Mass Retro Request ID and by defining detailed information.

To set up a new mass retroactive deduction and benefit program, enter a Mass Retro Request ID to open the page. The system uses the Mass Retro Request ID to generate mass retroactive benefit and deduction requests and to calculate the retroactive benefit and deduction earnings based on those requests.

Navigation

Set Up HCM, Product Related, Payroll for North America, Retroactive Payroll, Retro Ben/Ded Mass Request, Retro Ben/Ded Mass Request

Image: Retro Ben/Ded Mass Request

This example illustrates the fields and controls on the Retro Ben/Ded Mass Request. You can find definitions for the fields and controls later on this page.

Retro Ben/Ded Mass Request

Mass Retro Request ID: 12 Description:

*Retro Ded Program ID:

*Process Start Date: *Process End Dt: 06/18/2007

*Selection Start Date: *Selection End Dt:

First Hire Date: Service Date:

Process Flag: Not Proc. ☐ Delete Request

Comments:

Selection Criteria Find | View All First 1 of 1 Last

*Company: Mass Sequence Number: 1 Full/Part Time:

Pay Group: Union Code: Reg/Temp:

Business Unit: Job Elig Cal Days: Employee Type:

Department: Un Cd Elig Cal Days: Employee Class:

Job Code: Locn Elig Cal Days: Pay Status:

Location Code:

Description

Enter a description that explains the purpose of this mass retroactive benefit and deduction ID.

Retro Ded Program ID (retroactive deduction benefit/deduction program ID)

Select an appropriate ID from the list. Only those retroactive benefit and deduction programs defined for *Mass* processing appear.

Process Start Date and Process End Dt (process end date)

Define the period during which the mass request calculates retroactive benefits or deductions. The process end date is automatically set to today's date when a new mass request ID is created. The process start date becomes the effective date of the generated requests, except for cases in which the selected employee begins to meet the criteria at some point after the start date, in which case that latter date is used as the effective date of the request.

Selection Start Date and Selection End Dt (selection end date)

During the processing period set up by the process start and end dates, the system searches employee records that are *active* between the selection start and selection end dates for the information specified in the Selection Criteria group box.

First Hire Date

(Optional) Use to select employees who have a hire date on the Employment Table that is before or equal to this date. If this field is blank, the system creates requests for employees who have a hire date before or equal to the selection end date.

Service Date	Functions the same way as the Hire Date field except that it refers back to the Employment Table's Service Date field.
Delete Request	Use this check box to stop the mass retro benefit and deduction process if you have not yet run the calculation process for your Mass Retro ID. When you run the Mass Retro batch process, it deletes every Mass Request ID and related request that has this check box selected and has not yet been through the calculation process.
Comments	Describe the need for the particular mass retroactive benefit and deduction program that you are creating.

Selection Criteria

The fields in this group box define the types of employees that the Mass Retro batch process looks for. You can set up multiple rows of selection criteria for your mass retroactive benefit and deduction program to open up a new one.

Company	Use this required field to indicate the company whose employees you want to process. You can have multiple rows of selection criteria with the same company if you want to change the values of the other fields.
Mass Sequence Number	Each time that you enter a new row of selection criteria, the mass sequence number will be incremented by one.
Business Unit	You must define a business unit before entering Department, Job Code, and Location Code values.
Department, Job Code, and Location Code	These fields are linked to the Business Unit field.
Reg/Temp (regular/temporary), Full/Part Time, Employee Type, Employee Class, and Pay Status	(Optional) Specify additional selection criteria using valid values from the list boxes.

Enter the other selection criteria as necessary. Values for all selection criteria fields that are blank will be selected by the system. If you want to use more than one of the available values of a particular field in your selection request, enter an additional row.

The three Elig Cal Days (eligible calculation days) fields are linked to the Job Code, Location Code, and Union Code fields. They each enable you to create selection requests for employees who have been assigned a specific job code, location, or union code for a specific number of days. For each Elig Cal Days field, the system looks at the effective date of the selected job record.

Entering Dependent and Beneficiary Information

Understanding Dependent and Beneficiary Information

Accurate dependent and beneficiary information—name, address, birth date, gender, and relationship—helps ensure that benefit claims are quickly and correctly processed.

By setting up dependent and beneficiary information, you can:

- Simplify benefit enrollment for dependents and beneficiaries.
- Review data and print reports.

Additionally, requirements such as a Qualified Medical Child Support Order (QMCSO) and Qualified Domestic Relations Order (QDRO) mandate that employees provide benefits to dependents and beneficiaries. When you enter a court order, the system checks whether a court order is tied to an employee record. If the system finds a court order, it checks whether any changes are allowed to the benefit enrollments. If changes are not allowed, then a warning appears.

When you set up dependent and beneficiary information, you need an employee identification number. So you need to enter employee administration data—personal information, compensation allowances, and job data—first.

You can view basic human resources information for employees by using personnel administration components such as Personal Data and Job Data in PeopleSoft HR or by viewing the Review HR/Job/Payroll Data page in the Manage Base Benefits business process. Employees can review, update, and add their own dependent and beneficiary information using the PeopleSoft eBenefits application pages.

Related Links

[Auditing Your Benefit Information](#)

"Adding a Person (*PeopleSoft HCM 9.2: Human Resources Administer Workforce*)"

"Reviewing Dependent and Beneficiary Information (*PeopleSoft HCM 9.2: eBenefits*)"

Entering Dependent and Beneficiary Information

You enter dependent and beneficiary information in the Dependent Information (DEPEND_BENEF) component of PeopleSoft HRAdminister Workforce and is fully documented in the *PeopleSoft HR 9.1 PeopleBook: Administer Workforce*.

Related Links

"Tracking Dependent and Beneficiary Data (*PeopleSoft HCM 9.2: Human Resources Administer Workforce*)"

Entering Dependent and Beneficiary Comments

Comments that you enter about dependents and beneficiaries are for your use only; they are not used by the system.

Page Used to Enter Dependent and Beneficiary Comments

Page Name	Definition Name	Navigation	Usage
Record Dep/Ben Comments	BENEFIT_COMMENTS	Benefits, Employee/ Dependent Information, Record Dep/Ben Comments, Record Dep/Ben Comments	Enter notes about a dependent or beneficiary.

Record Dep/Ben Comments Page

Use the Record Dep/Ben Comments page (BENEFIT_COMMENTS) to enter notes about a dependent or beneficiary.

Navigation

Benefits, Employee/Dependent Information, Record Dep/Ben Comments, Record Dep/Ben Comments

Action Date

Enter the date on which the comment becomes or became effective.

Comments By

Enter your name or the name of the person providing the information.

Updating Benefits Certifications

This section discusses how to update employee benefit certifications.

Page Used to Edit Benefits Certifications

Page Name	Definition Name	Navigation	Usage
Maintain Certifications	BN_CERTIF_PARTC	Benefits, Employee/ Dependent Information, Maintain Certifications, Maintain Certifications	Updating employee benefit certifications.

Maintain Certifications Page

Use the Maintain Certifications page (BN_CERTIF_PARTC) to updating employee benefit certifications.

Navigation

Benefits, Employee/Dependent Information, Maintain Certifications, Maintain Certifications

Image: Maintain Certifications page

This example illustrates the fields and controls on the Maintain Certifications page. You can find definitions for the fields and controls later on this page.

Maintain Certifications

Antonio Santos Employee ID: KU0010 Benefit Record: 0

Certificates Find | View All First 1 of 1 Last

*Certificate ID:

*Plan Type:

*Effective Date: 06/18/2009

Value: 0

*Status: Active

Expiration Date:

Certificate Maximum Value:

Dependent/Beneficiary ID

Dependent/Beneficiary ID:

Certificate ID

Enter the ID that represents a certificate created in the Certification Definition page.

Status

Select *Active* or *Inactive*.

Plan Type

Select from all available plan types for a participant's assigned benefit program with an associated certificate.

Effective Date

A date the system uses to calculate the length of time from this initial start date of a certificate.

Expiration Date

An informational field that you can use to enter the expiration date of the certificate.

Value

Enter the minimum value to allow to consider the certificate as complete or valid.

Certificate Maximum Value

Displays the maximum value of the certificate as defined in the Certificate Definition.

Dependent/Beneficiary ID

Select from all available dependents or beneficiaries for the participant.

(USF) Designating Beneficiaries for a Final Check

You can designate dependents or beneficiaries to receive an employee's final check in the event that the employee dies. This page is for informational purposes only; the system does not use the data entered on this page for benefits processing.

This section discusses how to specify beneficiaries for a final check.

Page Used to Designate Beneficiaries for a Final Check

Page Name	Definition Name	Navigation	Usage
Assign Final Check Beneficiary	GVT_FIN_CHK_BEN	Benefits, Employee/Dependent Information, Assign Final Check Beneficiary, Assign Final Check Beneficiary	Specify dependents or beneficiaries to receive an employee's final check.

Assign Final Check Beneficiary Page

Use the Assign Final Check Beneficiary page (GVT_FIN_CHK_BEN) to specify dependents or beneficiaries to receive an employee's final check.

Navigation

Benefits, Employee/Dependent Information, Assign Final Check Beneficiary, Assign Final Check Beneficiary

Image: Assign Final Check Beneficiary page

This example illustrates the fields and controls on the Assign Final Check Beneficiary page. You can find definitions for the fields and controls later on this page.

Assign Final Check Beneficiary

Antonio Santos EMP ID: KU0010 Empl Record: 0

Final Check Beneficiary Data Find | View All First 1 of 1 Last

*Effective Date: 06/18/2009

Benefit Information Find | View All First 1-2 of 2 Last

Dependent/Beneficiary ID	Percent of Benefit	Contingent
03 Sean Santos	<input type="text"/>	<input type="checkbox"/>
01 Megan Santos	<input type="text"/>	<input type="checkbox"/>

Total:

Percent of Benefit and Flat Amount Enter a flat amount or percentage for the beneficiary to receive from the final paycheck.

The total percentage for all beneficiaries should equal 100, unless you have contingent beneficiaries. The sum of the flat amounts cannot be greater than the paycheck.

Excess

If flat amounts are used, select to designate a beneficiary to receive any excess funds.

Contingent

Select if this dependent or beneficiary is not a primary beneficiary.

Amounts attributed to contingent beneficiaries must also add up to 100 percent.

Terminating Dependent Enrollments or Beneficiary Status

Remember that you enroll dependents and assign beneficiaries when you enroll employees. To terminate a dependent enrollment or beneficiary status, you must enter a row of data with the termination date (just as you do with employees). You make these changes on the enrollment page for the benefit in question, such as the Life & AD/D Benefits page. You do not make the changes in the Update Dependent/Beneficiary component.

If you try to delete dependent/beneficiary records on benefit enrollment pages that have court orders applied to them, the system informs you that the dependent or beneficiary has a court order that provides a legal right to benefit coverage.

Warning! If you delete dependent and beneficiary data using the Update Dependent/Beneficiary component, you'll destroy history. After you change enrollment data, the fact that the dependent or beneficiary data is available on the Update Dependent/Beneficiary component won't matter. In fact, it must remain to provide correct historical information.

Entering Court Orders and Spousal Waivers

Spouses, ex-spouses, and children of employees may have court orders that provide them with a legal right to benefit coverage from those employees. In addition, certain benefit plans may require that a spouse have a required minimum coverage amount.

You enter court orders on the Dependent/Beneficiary Riders page, and minimal spousal amounts on the Benefit Plan Table.

To track court-ordered benefits, print the Court Ordered Coverage Audit report.

Related Links

[Setting Up Deduction Codes](#)

Chapter 7

Enrolling Participants

Reviewing Employee Eligibility

Before you can enroll a participant, your human resources department must set up personnel administration data: personal information, compensation allowances, and job data.

This section discusses how to view personnel administration data.

Page Used to Review Employee Eligibility

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Review HR/Job/Payroll Data	EMPLOYEE_SUMMARY1	Benefits, Employee/ Dependent Information, Review HR/Job/Payroll Data, Review HR/Job/Payroll Data	View personnel administration data.

Review HR/Job/Payroll Data Page

Use the Review HR/Job/Payroll Data page (EMPLOYEE_SUMMARY1) to view personnel administration data.

Navigation

Benefits, Employee/Dependent Information, Review HR/Job/Payroll Data, Review HR/Job/Payroll Data

Image: Review HR/Job/Payroll Data page

This example illustrates the fields and controls on the Review HR/Job/Payroll Data page. You can find definitions for the fields and controls later on this page.

Review HR/Job/Payroll Data			
<u>Antonio Santos</u>		EMP	ID: KU0010 Empl Record: 0
Employee Summary Data			
Payroll Status:	Active		
Benefits Employee Status:	Active		
Full/Part Time:	Full-Time		
Regular/Temporary:	Regular		
Standard Hours:	40.00		
Work Period:	Weekly		
Pay Group:	US Weekly		
Company:	Global Business Institute 9999		
Employee Type:	Hourly		
Business Unit:	US006	GBI BU for US006	
Job Code:	170005	Assistant-Administrative	
Supervisor Level:			
Department:	10000	Human Resources	
Location Code:	KUNY00	Corporation Headquarters	
Annual Benefits Base Rate:			
National ID			
Country:	USA	NID Type:	PR SSN National ID: 578-29-0482
Gender:	Male		
Marital Status:	Married		
Significant Dates			
First Start Date:	09/12/1997	Service Date:	09/12/1997
Company Seniority Date:	09/12/1997	Last Start Date:	09/12/1997
Termination Date:		Date of Birth:	08/09/1972
Marital Status Date:	09/12/1997	Date of Death:	

Annual Benefits Base Rate

This field is blank if your organization uses a benefits base that is different from regular pay.

Set up the primary annual benefits base rate in the Annual Benefits Base Rate field on the Compensation page of the Job Data (JOB_DATA) component.

Add multiple annual benefits base rates (ABBRs) using the Update ABBR component.

Note: The only ABBR displayed on this page is the primary ABBR entered in the Job component for the employee.

Enrolling Participants in Benefit Programs and Plans

This section provides an overview of enrolling participants in Base Benefits and discusses how to:

- Enroll an employee in a benefit program.
- Enroll employees and dependents in health benefit plans.
- Enroll participants in life plans.
- Enter medicare information.
- Enter and maintain multiple annual benefits base rates.
- Enroll employees in disability benefit plans.
- Enroll in savings plans.
- Enroll in leave plans.
- Enroll in spending account plans.
- Enroll in retirement plans.
- Enroll in pension plans.
- Enroll in vacation benefits plans.
- Enroll in simple benefit plans.

Pages Used to Enroll Participants in Benefit Programs and Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Assign to Benefit Program	BEN_PROG_PARTICPTN	Benefits, Enroll In Benefits, Assign to Benefit Program, Assign to Benefit Program	Enroll the employee in the appropriate benefit program.
Health Benefits	HEALTH_BENEFITS1	Benefits, Enroll In Benefits, Health Benefits, Health Benefits	Enroll employees and dependents in health plan types: medical, dental, and vision.
Life and AD/D Benefits	LIFE_ADD_BENEF1	Benefits, Enroll In Benefits, Life and AD/D Benefits, Life and AD/D Benefits	Enroll participants in life plans and assign beneficiaries to those plans.

Page Name	Definition Name	Navigation	Usage
Benefits Personal Data	BN_PERSON_EFFDT	Benefits, Employee/Dependent Information, Benefits Personal Data, Benefits Personal Data	Enter Medicare information.
Update ABBRs (update annual benefit base rates)	BN_ANNL_BENEF_BASE	Benefits, Employee/Dependent Information, Update ABBRs, Update ABBRs	Enter and maintain multiple ABBRs for an employee.
Review ABBRs (review annual base benefit base rates)	BN_ANN_BENBASE_INQ	Benefits, Employee/Dependent Information, Review ABBRs, Review ABBRs	Review annual benefit base rate.
Disability Benefits	DISABILITY_BENEFIT	Benefits, Enroll in Benefits, Disability Benefits, Disability Benefits	Enroll employees in disability benefit plans.
Savings Plans	SAVINGS_PLANS1	Benefits, Enroll In Benefits, Savings Plans, Savings Plans	Enroll employees in savings benefit plans, assign beneficiaries, and identify investment elections.
Leave Plans	LEAVE_PLANS	Benefits, Enroll In Benefits, Leave Plans, Leave Plans	Enroll employees in leave plans.
Spending Accounts	FSA_BENEFITS	Benefits, Enroll In Benefits, Spending Accounts, Spending Accounts	Enroll employees in FSA plans.
Retirement Plans	RTRMNT_PLANS	Benefits, Enroll In Benefits, Retirement Plans, Retirement Plans	Enroll employees in Public Employees Retirement System (PERS) benefit plans.
USA-Pension Plans CAN-Pension Plans	PENSION_PLAN1	<ul style="list-style-type: none"> Benefits, Enroll In Benefits, USA-Pension Plans, USA-Pension Plans Benefits, Enroll in Benefits, CAN-Pension Plans, CAN-Pension Plans 	Enroll employees in benefit plans.
Vacation Benefits	VACATION_BENEFIT	Benefits, Enroll In Benefits, Vacation Benefits, Vacation Benefits	Enroll employees in vacation buy and sell plans.
Simple Benefits	SIMPLE_BENEFIT	Benefits, Enroll in Benefits, Simple Benefits, Simple Benefits	Maintain employee enrollment in simple benefit plans.

Understanding Enrolling Participants in Base Benefits

Using the Manage Base Benefits business process to enroll participants consists of two parts:

1. Enroll a participant in a benefit program.

Initially, an employee's benefit program assignment is assigned to the employee based on his or her employee pay group, but you can override this assignment at the employee level. Verify that the employee is enrolled in the correct benefit program, because you can enroll participants only in benefit plans that are associated with their assigned benefit program.

2. Using individual benefit pages, enroll the participant in all appropriate benefit plans within the benefit program.

When you enroll employees in health, life, savings, FSA (flexible spending account), and Canadian pension benefit plans, you also enroll dependents and assign beneficiaries.

Note: You should set up that data *before* you start enrollments. That way, for each dependent enrollment and beneficiary assignment, you can prompt for the data once rather than enter it several times.

Related Links

[Defining Benefit Plans](#)

"Setting Up Pay Groups (*PeopleSoft HCM 9.2: Payroll Interfacechan*)"

"Defining Pay Groups (*PeopleSoft HCM 9.2: Payroll for North America*)"

Assign to Benefit Program Page

Use the Assign to Benefit Program page (BEN_PROG_PARTICPTN) to enroll the employee in the appropriate benefit program.

Navigation

Benefits, Enroll In Benefits, Assign to Benefit Program, Assign to Benefit Program

Image: Assign to Benefit Program page

This example illustrates the fields and controls on the Assign to Benefit Program page. You can find definitions for the fields and controls later on this page.

Assign to Benefit Program

Antonio Santos Employee ID: KU0010 Benefit Record: 0

Benefit Program Participation		Find View All First 1 of 1 Last
*Effective Date:	01/01/1999	[+ -]
*Benefit Program:	KU1 GBI Master US Benefit Program	Currency Code: USD

Benefit Program

Enter the benefit program for the employee.

Set up a default benefit program on the Pay Group table. If you run a payroll before you enter a benefit program, payroll cannot process the employee.

You can override the default benefit programs with the correct benefit program.

Health Benefits Page

Use the Health Benefits page (HEALTH_BENEFITS1) to enroll employees and dependents in health plan types: medical, dental, and vision.

Navigation

Benefits, Enroll In Benefits, Health Benefits, Health Benefits

Image: Health Benefits page

This example illustrates the fields and controls on the Health Benefits page. You can find definitions for the fields and controls later on this page.

Health Benefits

Antonio Santos Employee ID: KU0010 Benefit Record: 0

Plan Type: 10 Medical

Coverage: *Coverage Begin Date: 05/01/2000 *Deduction Begin Date: 05/01/2000

Coverage Election: ☒ Elect ☐ Waive ☐ Terminate *Election Date: 08/04/2006

Benefit Program: GBI US FT

Benefit Plan: KUMED2 Basic Out-of-Network Medical Option Code: 24

Coverage Code: 4 Family

Health Provider ID: 578290482 ☐ Previously Seen

Employee Status: Active

Enroll All Dependents

ID	Name	Relationship to Employee	Health Provider ID	Prev Seen	Covered Person Type	Age Limit Flg
01	Santos, Megan	Spouse		<input type="checkbox"/>	Spouse	N
02	Santos, Marguerite	Child		<input type="checkbox"/>	Child	Y
03	Santos, Sean	Child		<input type="checkbox"/>	Child	Y
05	Santos, Samuel	Child		<input type="checkbox"/>	Child	Y

Health Provider ID

Tracks the primary care physician information for the employee's health provider. Enter the name of the employee's

doctor, an ID number, or any other format the health provider may require.

Previously Seen

Select to indicate that the employee is a current patient of the indicated physician.

Other Covrg (other coverage)

(USF) This check box indicates whether the dependent has another active insurance plan.

Insurance Name

(USF) Enter the name of the other insurance plan.

FEHB Ind (Federal Employee Health Benefits indicator)

(USF) This check box indicates whether the dependent is enrolled in FEHB.

Life and AD/D Benefits Page

Use the Life and AD/D Benefits page (LIFE_ADD_BENEF1) to enroll participants in life plans and assign beneficiaries to those plans.

Navigation

Benefits, Enroll In Benefits, Life and AD/D Benefits, Life and AD/D Benefits

Image: Life and AD/D Benefits page

This example illustrates the fields and controls on the Life and AD/D Benefits page. You can find definitions for the fields and controls later on this page.

Life and AD/D Benefits

Antonio Santos Employee ID: KU0010 Benefit Record: 0

Plan Type: 20 Life

Coverage: *Coverage Begin Date: 01/01/1999 *Deduction Begin Date: 01/01/1999

Coverage Election: ☒ Elect ☐ Waive ☐ Terminate *Election Date: 05/10/2000

Benefit Program: GBI US Pgm
Benefit Plan: KUBLIF Basic Life Plan
Option Code: 1

Coverage Options: Flat Amount:

Assign All Beneficiaries ☒ Smoker Payroll Status: Active

Dependent/Beneficiaries						
*ID	Name	Relationship	Percent of Benefit	Flat Amount	Excess	Contingent
01	Santos, Megan	Spouse	100		<input type="checkbox"/>	<input type="checkbox"/>
02	Santos, Marguerite	Child	25		<input type="checkbox"/>	<input checked="" type="checkbox"/>
03	Santos, Sean	Child	25		<input type="checkbox"/>	<input checked="" type="checkbox"/>
04	Santos, Carissa	Parent	50		<input type="checkbox"/>	<input checked="" type="checkbox"/>

Update Totals Total Primary Percent: 100 Total Contingent Percent: 100

Coverage

Smoker

Smoker is selected if you have indicated that the dependent is a smoker in the Dependent/Beneficiary - Personal Profile page.

On this page, the Smoker check box is a display-only field.

When Smoker is selected, the system uses the age-graded rates that have been defined for smokers when calculating the employee's life benefit cost.

Coverage Options

Use these group boxes to define plan coverage and the benefits base calculation rules.

These sections are active only if, in the Life and AD/D Plan Table page, you indicate that coverage should be *Specified in Employee Record*.

Flat Amount

Enter an amount.

Image: Flat Amount field opens to a Display in Other Currency page for the option of converting currency.

This example illustrates the fields and controls on the Flat Amount field opens to a Display in Other Currency page for the option of converting currency. . You can find definitions for the fields and controls later on this page.

Dependent/Beneficiaries**ID**

Select the beneficiary ID. The selection list includes all of the beneficiaries that you set up for the employee using the Dependent/Beneficiary Data pages. Only beneficiaries that you identified as *Beneficiary* or *Both* (beneficiary or dependent) can be selected.

To delete a dependent enrollment, position the cursor at the line for the dependent that you want to delete and click Delete.

Percent of Benefit and Flat Amount

Define the distribution benefit as a percent of benefit or a flat amount. If you enter a flat amount and more than one beneficiary, select one of the beneficiaries to receive any excess funds. Select the beneficiary by selecting the Excess check box.

Contingent

(Optional) Select to Indicate whether a beneficiary is primary or contingent (secondary to a primary beneficiary).

If you set up a primary beneficiary to receive 100 percent of the benefit, all other beneficiaries have Contingent selected.

Note: If you are working with a dependent life plan, add dependent IDs for all of the dependents that will be covered by the plan. In dependent life plans, the dependents are covered by life insurance, and the employee is the beneficiary.

If you have defined the coverage method of the life or AD/D plan that you're working with to be the sum of dependent coverage in the Life and AD/D Plan Table, the Percent of Benefit field is not available for entry.

The totals for all beneficiaries must equal 100 percent. If they do not, the system displays an error message and does not allow you to save your entries. To correct the entry, change one or more of the percentages so that the totals are equal to 100 percent.

Benefits Personal Data page

Use the Benefits Personal Data page (BN_PERSON_EFFDT) to enter Medicare information.

Navigation

Benefits, Employee/Dependent Information, Benefits Personal Data, Benefits Personal Data

Image: Benefits Personal Data page

This example illustrates the fields and controls on the Benefits Personal Data page. You can find definitions for the fields and controls later on this page.

Benefits Personal Data

Antonio Santos Person ID: KU0010

Benefits Personal Data

Highly Compensated Employee: Not Eligible for the Plan

*Highly Compensated Last Year: Eligible non-Officer, non 5%

Benefits Personal Data - Date Sensitive Find | View All First 1 of 1 Last

*Effective Date: 06/18/2009

Medicare Number:

☐ Medicare A Indicator Medicare Reason A:

☐ Medicare B Indicator Medicare Reason B:

☐ Medicare D Indicator Medicare Reason D:

Medicare Number

Enter the medicare number.

Medicare A Indicator

Select to indicate Enrollment in Medicare A.

Medicare Reason A

The administrator enters a description.

Medicare B Indicator

Select to indicate supplemental governmental insurance other than hospitalization costs

Medicare Reason B

The administrator enters a description.

Medicare D Indicator

Select to indicate enrollment in the Medicare D prescription drug plan.

Medicare Reason D

The administrator enters a description.

Related Links

"Setting Up Eligibility Rules (*PeopleSoft HCM 9.2 Benefits Administration*)"

Update ABBRs Page

Use the Update ABBRs (update annual benefit base rates) page (BN_ANNL_BENEF_BASE) to enter and maintain multiple ABBRs for an employee.

Navigation

Benefits, Employee/Dependent Information, Update ABBRs, Update ABBRs

Image: Update ABBRs page

This example illustrates the fields and controls on the Update ABBRs page. You can find definitions for the fields and controls later on this page.

Update ABBRs

Employee ID: KU0010 Empl Record: 0 Name: Antonio Santos

Annual Benefit Base Rates Find | View All First 1 of 1 Last

*Annual Benefits Base Rate Type:

Annual Benefit Base Rates Customize | First 1 of 1 Last

	*Effective Date	Annual Benefits Base Rate	Currency Code	Date of last update		
1	10/11/2007	<input type="text"/>		10/11/2007		

Annual Benefits Base Rate Type

Select the ABBR type.

ABBR types are defined on the Annl Benef Base Rt Type Tbl page.

Annual Benefits Base Rate

Enter the amount of the ABBR.

Note: The Primary ABBR can be set only in the Job component.

Disability Benefits Page

Use the Disability Benefits page (DISABILITY_BENEFIT) to enroll employees in disability benefit plans.

Navigation

Benefits, Enroll in Benefits, Disability Benefits, Disability Benefits

Image: Disability Benefits page

This example illustrates the fields and controls on the Disability Benefits page. You can find definitions for the fields and controls later on this page.

Disability Benefits			
Antonio Santos		Employee	ID: KU0010 Benefit Record: 0
<div>Plan Type</div> <div>Find View All First 1 of 2 Last</div>			
Plan Type:	30	Short-Term Disability	
<div>Coverage</div> <div>Find View All First 1 of 1 Last</div>			
*Coverage Begin Date:	01/01/1999	*Deduction Begin Date:	12/28/1998
<div>Coverage Election</div> <div> <input checked="" type="radio"/> Elect <input type="radio"/> Waive <input type="radio"/> Terminate </div> <div>*Election Date: 05/10/2000</div>			
Benefit Program:	KU2		
Benefit Plan:	KUSTD5		
Currency:		Option Code:	
		Payroll Status:	Active

Savings Plans Page

Use the Savings Plans page (SAVINGS_PLANS1) to enroll employees in savings benefit plans, assign beneficiaries, and identify investment elections.

Navigation

Benefits, Enroll In Benefits, Savings Plans, Savings Plans

Image: Savings Plans page

This example illustrates the fields and controls on the Savings Plans page. You can find definitions for the fields and controls later on this page.

Savings Plans

Antonio Santos Employee ID: KU0010 Benefit Record: 0

☐ Highly Compensated

Plan Type: 40 401(k) Find | View All First 1 of 3 Last

Coverage: Find | View All First 1 of 1 Last

*Coverage Begin Date: 01/01/2005 *Deduction Begin Date: 01/01/2005

Coverage Election

☒ Elect ☐ Waive ☐ Terminate *Election Date: 08/11/2006

Benefit Program: KU1 GBI US FT

Benefit Plan: KU401M 401(k) Employer Pct Match

Option Code: 1

Before Tax Investment		After Tax Investment	
Flat Amount:		Flat Amount:	
Percent of Earnings:	3.000	Percent of Earnings:	3.000
Annual Excess Credits:			

Assign All Beneficiaries Payroll Status: Active

Dependent/Beneficiaries						
ID	Name	Relationship to Employee	Beneficiary Percentage	Flat Amount	Excess	Contingent
01	Santos, Megan	Spouse	70		<input type="checkbox"/>	<input type="checkbox"/>
02	Santos, Marguerite	Child	10		<input type="checkbox"/>	<input type="checkbox"/>
03	Santos, Sean	Child	10		<input type="checkbox"/>	<input type="checkbox"/>
04	Santos, Carissa	Parent	10		<input type="checkbox"/>	<input type="checkbox"/>

Total Primary Percent: 100 Total Contingent Percent: Update Totals

Investments		
*Investment Option	Description	*Investment Percent
1	Money Market	25.00
2	Asset Allocation Fund	25.00
3	Bond Fund	25.00
4	Corporate Stock Fund	25.00

Total Investment Percentage: 100.00 Update Totals

Rollover Options

Rollover Options: Specified at Plan Level

Before Tax

Plan Type: Benefit Plan: Deduction Code: Deduction Class:

After Tax

Plan Type: Benefit Plan: Deduction Code: Deduction Class:

Before Tax Investment and After Tax Investment

Flat Amount and Percent of Earnings

Define investment contributions as a flat amount or percent of earnings.

The system compares the percent of earnings to the percent of gross limits for employee before-tax and after-tax investment values. The system also verifies that the sum of the before-tax and after-tax investment values does not exceed the overall investment limit percentage that you defined for the savings benefit plan on the Savings Plan Table.

Dependent/Beneficiaries

ID

Select the beneficiary ID. The selection list includes the beneficiaries that you set up for the employee using the Dependent/Beneficiary Information component.

To delete a beneficiary, position the cursor at the line for the beneficiary that you want to delete and click the Delete icon.

Beneficiary Percentage

Enter the percent of benefit for each beneficiary.

Note: The total for all beneficiaries must equal 100 percent. If it does not, the system displays an error message and does not allow you to save your entry. To correct the entry, change one or more of the percentages so that the total equals 100 percent.

Investments

Investment Option

Select an investment option and allocate an investment percentage. Investment percentages must total 100 percent before they can be saved in the system. If more than one investment option is associated with the chosen employee's savings plan, add more rows.

Rollover Options

You can enter rollover options only if Specified at Employee Level is selected on the Savings Plan Table - Rollover of Funds page.

Enter the plan type, benefit plan, deduction, and deduction class values for before tax and after tax rollovers.

Leave Plans Page

Use the Leave Plans page (LEAVE_PLANS) to enroll employees in leave plans.

Navigation

Benefits, Enroll In Benefits, Leave Plans, Leave Plans

Image: Leave Plans page

This example illustrates the fields and controls on the Leave Plans page. You can find definitions for the fields and controls later on this page.

Leave Plans

Antonio Santos Employee ID: KU0010 Benefit Record: 0

Plan Type: 50 Sick

Coverage

*Effective Date: 01/01/2000

Coverage Election: ☒ Elect ☐ Waive ☐ Terminate

*Election Date: 06/23/2000

Benefit Program: GBI US Fulltime Benefit Pgm

Benefit Plan: KUSICK GBI Sick Leave Plan

Currency: USD

Option Code: 1

Payroll Status: Active

Spending Accounts Page

Use the Spending Accounts page (FSA_BENEFITS) to enroll employees in FSA plans.

Navigation

Benefits, Enroll In Benefits, Spending Accounts, Spending Accounts

Image: Spending Accounts page

This example illustrates the fields and controls on the Spending Accounts page. You can find definitions for the fields and controls later on this page.

Spending Accounts

Claudia Gaston Employee ID: BDU0001 Benefit Record: 0

Plan Type: 60 Flex Spending Health - U.S.

Coverage

*Coverage Begin Date:

*Deduction Begin Date:

Coverage Election: ☒ Elect ☐ Waive ☐ Terminate

*Election Date: 06/21/2006

Benefit Program: Benefits Test: US F/T

Benefit Plan:

Option Code:

Contribution Level

Annual Pledge: \$0.00

Credit Rollover Included in Pledge: \$0.00

Empl Contribution Override:

Account Information

FSA Account Status: Active

Contributions YTD: \$0.00

Employee Status: Active

Note: The FSA Benefits (CAN) page is essentially the same as the page for U.S. users with two exceptions: It offers a choice between Canadian Health Care and Canadian Retirement Counseling plan types (plan types 65 and 66) instead of Health and Dependent Care plan types (plan types 60 and 61), and it displays a Carryforward option of either *Claim* or *Credit*, if applicable.

Contribution Level

Annual Pledge

Enter the employee's annual pledge amount.

Credit Rollover Included in Pledge

(Used by Benefits Administration) Displays the amount of the excess benefits credits that have been assigned to an FSA plan at the end of a plan year. The PeopleSoft Benefits Administration system automatically provides the credit rollover included in pledge from the previous year if you have designated that excess credits should be assigned to FSA plans in the Benefit Program Table.

Empl Contribution Override (employee contribution override)

If you do not implement PeopleSoft Payroll for North America or Payroll Interface, this is optional.

Enter a value to have the system use this amount to calculate the employee's spending account contribution.

Leave the field blank to have the system use the annual pledge amount to calculate the employee's spending account contribution.

Account Information

The system reflects the FSA account status of the employee's FSA plan, as well as the employee's FSA contributions YTD (contributions year-to-date).

Employees cannot transfer FSA funds from one account to another. As a rule, unused funds in an account at the end of a plan year are transferred to the employer.

Carryforward

This value appears for Canadian pension plans and is automatically set to the value that you entered for the employer carryforward choice in the FSA Benefits Table (Canada).

Retirement Plans Page

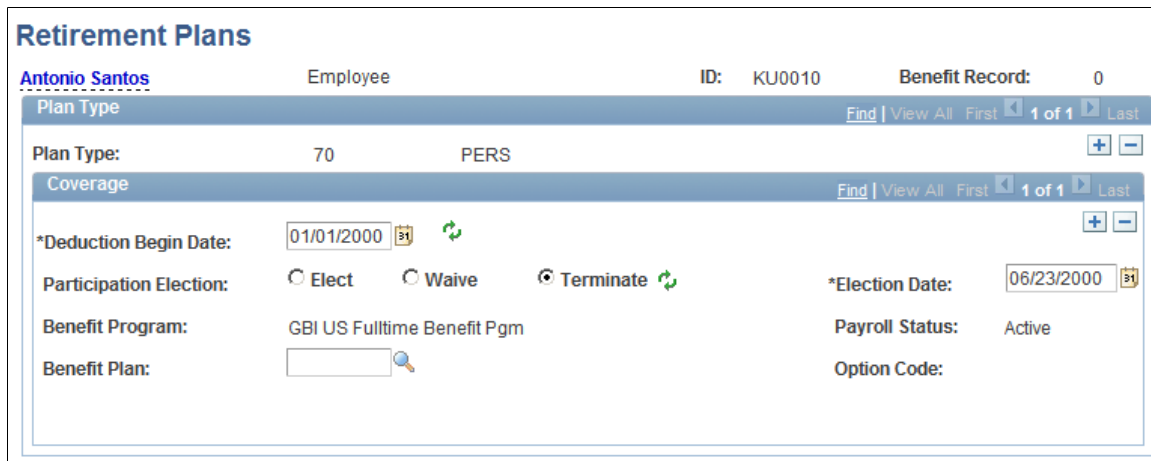
Use the Retirement Plans page (RTRMNT_PLANS) to enroll employees in Public Employees Retirement System (PERS) benefit plans.

Navigation

Benefits, Enroll In Benefits, Retirement Plans, Retirement Plans

Image: Retirement Plans page

This example illustrates the fields and controls on the Retirement Plans page. You can find definitions for the fields and controls later on this page.



Retirement Plans

Antonio Santos Employee ID: KU0010 Benefit Record: 0

Plan Type: 70 PERS

Coverage

*Deduction Begin Date: 01/01/2000

Participation Election: ☐ Elect ☐ Waive ☒ Terminate

*Election Date: 06/23/2000

Benefit Program: GBI US Fulltime Benefit Pgm

Payroll Status: Active

Benefit Plan:

Option Code:

USA-Pension Plans Page

Use the USA-Pension Plans page (PENSION_PLAN1) to enroll employees in benefit plans.

Navigation

- Benefits, Enroll In Benefits, USA-Pension Plans, USA-Pension Plans
- Benefits, Enroll in Benefits, CAN-Pension Plans, CAN-Pension Plans

For Canadian users, the plan types are 80 and 81. For U.S. users, the plan types are 82 through 87.

Image: USA Pension Plans page

This example illustrates the fields and controls on the USA Pension Plans page. You can find definitions for the fields and controls later on this page.

USA-Pension Plans

Antonio Santos Employee ID: KU0010 Benefit Record: 0

Plan Type Find | View All First 1 of 1 Last
Plan Type: 82 Pension Plan 1 - U.S. + -

Coverage Find | View All First 1 of 1 Last
*Deduction Begin Date: 01/01/2005 [31] *Election Date: 08/11/2006 [31] + -

Coverage Election
☒ Elect ☐ Waive ☐ Terminate [31]

Benefit Program: KU1 GBI US Fulltime Benefit Pgm
Benefit Plan: KUPENS [31] GBI Pension Plan
Option Code: 1

Voluntary Contributions
Flat Amount Contribution: \$100.00 Or Percent of Earnings: 0.000

Salary for Pension Calculation: Payroll Status: Active
Assign All Beneficiaries

Dependent/Beneficiaries Customize [31] 1-4 of 4

*ID	Name	Relationship to Employee	Percent of Benefit	Flat Amount	Excess	Contingent	
01	Santos, Megan	Spouse	25		<input type="checkbox"/>	<input type="checkbox"/>	-
02	Santos, Marguerite	Child	25		<input type="checkbox"/>	<input type="checkbox"/>	-
03	Santos, Sean	Child	25		<input type="checkbox"/>	<input type="checkbox"/>	-
04	Santos, Carissa	Parent	25		<input type="checkbox"/>	<input type="checkbox"/>	-

+ Update Totals Total Primary Percent: 100 Total Contingent Percent:

Voluntary Contributions

Enter an amount that the employee voluntarily contributes to the pension plan. This is an amount over the amount that you define in the Pension Plan Table. Enter a flat amount or a percent of earnings.

Salary for Pension Calculation

Use to calculate the pension using a salary other than the employee pay rate.

Dependent/Beneficiaries

ID

Select the beneficiary ID.

The selection list includes the beneficiaries that you set up for the employee using the dependent and beneficiary data pages.

To delete a beneficiary, position the cursor at the line for the beneficiary that you want to delete and click Delete.

Percent of Benefit and Flat Amount Define the distribution benefit as a percent of benefit or a flat amount. If you enter a flat amount and more than one beneficiary, select one of the beneficiaries to receive any excess funds. Select the beneficiary by selecting the Excess check box.

Note: The total for all beneficiaries must equal 100 percent. If it does not, the system displays an error message and does not allow you to save your entry. To correct the entry, change one or more of the percentages so that the total equals 100 percent.

Vacation Benefits Page

Use the Vacation Benefits page (VACATION_BENEFIT) to enroll employees in vacation buy and sell plans.

Navigation

Benefits, Enroll In Benefits, Vacation Benefits, Vacation Benefits

Image: Vacation Benefits page

This example illustrates the fields and controls on the Vacation Benefits page. You can find definitions for the fields and controls later on this page.

Vacation Benefits

Antonio Santos Employee ID: KU0010 Benefit Record: 0

Plan Type: 90 Vacation Buy

Coverage: *Deduction Begin Date: 01/01/2005

Coverage Election: ☐ Elect ☐ Waive ☒ Terminate *Election Date: 08/11/2005

Benefit Program: KU1 GBI US Fulltime Benefit Pgm

Benefit Plan: KUVCBY Vacation Buy Option Code: 1

Vacation Hours: 8.00 Calculate Vacation Buy or Sell: Vacn Buy

Vacation Buy Amount: 5.93 Monthly Goal Amount: 71.20

Vacation Sell Amount: Monthly Goal Balance: 24.66

☐ Vacation Accrual Processed Payroll Status: Active

When you define vacation buy or sell benefit plans with the Vacation Buy/Sell Table, you define increments for the vacation hours and minimum and maximum amounts that an employee can buy or sell.

Vacation Hours

The number of hours that an employee buys or sells for the full plan year (a 12-month period).

The vacation hours that you enter must be at least the minimum and not more than the maximum numbers of vacation hours that are defined in the Vacation Buy/Sell Table. In addition, they must be entered in the increment specified in the Vacation Buy/Sell Table.

Goal Balance

Updated by payroll. The system automatically stops deductions when the current goal balance equals the goal balance.

Vacation Accrual Processed

During the first payroll processing cycle of the plan year, PeopleSoft Payroll calculates bought and sold vacation earnings for each participant. The system automatically selects this check box.

You will not see the buy or sell transactions reflected in employee leave balances until after you perform leave accrual processing, at which time the system deselects the check box.

Note: If you change employee job data after the system performs vacation buy and sell processing, it does not update its calculations based on the new job data. For example, an employee is hired on September 1 and is paid monthly, at a rate of \$20.00 per hour. The employee wants to buy 40 hours of vacation. The system assumes a 12-month period in which to spread the deduction. It calculates the goal amount as \$800.00 (40 hours × \$20 an hour), and calculated the pay period deduction as \$66.67 (40 hours × \$20 an hour/12 monthly periods per year). However, the company wanted the vacation amount based on the hire date. Because 4 months remained in the year, September through December, the company manually calculated and entered \$200, or \$800 divided by 4.

Simple Benefits Page

Use the Simple Benefits page (SIMPLE_BENEFIT) to maintain employee enrollment in simple benefit plans.

Navigation

Benefits, Enroll in Benefits, Simple Benefits, Simple Benefits

Image: Simple Benefits page

This example illustrates the fields and controls on the Simple Benefits page. You can find definitions for the fields and controls later on this page.

No attributes are required for creating a simple benefit plan and costs are calculated from the Benefit Rate table.

(USF) Enrolling Employees in Benefit Programs and Plans

This section describes how to:

- (USF) Enroll participants into FEHB benefit programs and plans.
- Determine FEGLI (Federal Employees' Group Life Insurance) plan coverage, manage retirement coverage, and designate FICA status.
- Assign FEGLI benefits.
- Designate beneficiaries.
- Enroll employees in the TSP (Thrift Savings Plan) Agency automated contribution plan.
- Select investment options for TSP plans.

Pages Used to Enroll Employees in Benefit Programs and Plans Through the PAR

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Benefits/FEHB Data (benefits/federal employee health benefits data)	GVT_BENDATA_SEC	Workforce Administration, Job Information, HR Processing USF, Select the Job Data tab. Click the Benefits/FEHB Data link.	Enroll participants into benefit programs and plans.
FEGLI/Retirement Data/ FICA (federal employee government life insurance/ retirement data/Federal Insurance Contributions Act)	GVT_BENDATA1_SEC	Workforce Administration, Job Information, HR Processing USF Select the Job Data tab. Click the FEGLI/Retirement/ FICA link.	Select the participant's FEGLI plan coverage, manage the employee's retirement coverage, and designate FICA status.
FEGLI Assignments - Assignee (federal employee government life insurance - assignee)	GVT_ASSIGNEE	Benefits, Enroll in Benefits, Assign FEGLI Beneficiaries, Assignee	Designate one or more assignees for an employee's FEGLI benefits coverage. Each assignee is given a share of the total coverage being assigned. The total of these percentages must be 100.
FEGLI Assignments - Assignee Benef (federal employee government life insurance - assignee beneficiary)	GVT_ASSIGNEE_BEN	Benefits, Enroll In Benefits, Assign FEGLI Beneficiaries, Assignee Benef	Designate one or more beneficiaries. Use to assign the FEGLI benefits of U.S. federal government employees and retirees.

Page Name	Definition Name	Navigation	Usage
TSP Agency Basic (thrift savings plan - agency basic)	GVT_TSP_AUTO	Benefits, Enroll In Benefits, TSP Agency Basic, TSP Agency Basic	Enroll employees in the TSP Agency Automatic 1% Contribution plan.
TSP Investment Allocations (thrift savings plan investment allocations)	GVT_TSP_INVEST	Benefits, Enroll In Benefits, TSP Investment Allocations, TSP Investment Allocations	Select investment options.

Benefits/FEHB Data Page

Use the Benefits/FEHB Data (benefits/federal employee health benefits data) page (GVT_BENDATA_SEC) to enroll participants into benefit programs and plans.

Navigation

Workforce Administration, Job Information, HR Processing USF,

Select the Job Data tab.

Click the Benefits/FEHB Data link.

Image: Benefits/FEHB Data page

This example illustrates the fields and controls on the Benefits/FEHB Data page. You can find definitions for the fields and controls later on this page.

Benefits/FEHB Data

Benefits Control

Benefit Record Number: Benefits Employee Status: Active

BAS Group ID:

*Benefit Program: Federal Employees Pgm - Manual

FEHB Eligibility

☒ Permanent
☐ Continuing Coverage
☐ Temporary Appointment > 1 yr
☐ Temp Appt < 1yr + FedSvc > 1yr
☐ Not Eligible

FEHB Date

FEHB Date:

Eligibility

Elig Fld 1:
 Elig Fld 2:
 Elig Fld 3:
 Elig Fld 4:
 Elig Fld 5:
 Elig Fld 6:
 Elig Fld 7:
 Elig Fld 8:
 Elig Fld 9:

Benefits System

Benefits System:

Benefits Control

This group box is used for Benefits Administration processing.

BAS Group ID (benefits administration group identification)

Applies only if your benefits system uses Benefits Administration. Use it to link the participant to a particular benefits administration group for Benefits Administration processing later.

Benefit Program

Automatically set to the benefits program specified for the employee's pay group, which you specify in the Position Data page.

FEHB Eligibility

This group box identifies FEHB eligibility.

Permanent

Select this option for employees with a career conditional career appointment. Employees are eligible for FEHB if fields 1–9 in the Eligibility group box are also for users of Benefits Administration. Here you enter eligibility values that refer to eligibility configuration values in the Eligibility Rules Table.

Continuing Coverage

Select this option for employees who are transferring into a position from another agency without a break in service and for rehires with a break in service of fewer than 30 days. Employees are eligible for FEHB.

Temporary Appointment > 1 yr (temporary appointment greater than one year)

Select this option for an employee with a temporary appointment greater than one year. Employees are eligible for FEHB.

Temporary Appointment < 1 yr + FedSvc > 1 yr (temporary appointment less than one year plus federal service greater than one year)

Select this option for an employee with a temporary appointment less than one year and continuous service greater than one year. Employees with this eligibility pay the entire cost of their FEHB coverage.

Not Eligible

Select this option for employees who are ineligible for FEHB plan coverage. This option is most commonly used for employees with a temporary appointment of less than one year of service.

Eligibility

This group box is for Benefits Administration only. You enter the eligibility values that refer to the eligibility configuration values in the Eligibility Rules Table.

FEHB Date

This group box is for Federal users. Enter the future date on which an employee will be eligible for FEHB coverage. Typically, the FEHB Date is one year from the employee's hire date.

Benefits System

Benefits System

Select the appropriate benefits system. Select Not Managed in PeopleSoft (benefits managed by a system other than PeopleSoft) to filter out persons who have insufficient employment and job information to support benefit enrollment.

FEGLI/Retirement Data/FICA Page

Use the FEGLI/Retirement Data/FICA (federal employee government life insurance/retirement data/Federal Insurance Contributions Act) page (GVT_BENDATA1_SEC) to select the participant's FEGLI plan coverage, manage the employee's retirement coverage, and designate FICA status.

Navigation

Workforce Administration, Job Information, HR Processing USF

Select the Job Data tab.

Click the FEGLI/Retirement/FICA link.

Image: FEGLI/Retirement Data/FICA page

This example illustrates the fields and controls on the FEGLI/Retirement Data/FICA page. You can find definitions for the fields and controls later on this page.

FEGLI/Retirement Data/FICA	
FEGLI	
FEGLI Code:	<input type="text" value="C0"/>
Post 65 Basic Life Reduction:	<input type="text"/>
Coverage Amount:	<input type="text"/> <input type="checkbox"/> Living Benefits
Retirement	
Retirement Plan:	<input type="text" value="K"/> FERS and FICA
FERS Coverage:	<input type="text" value="Automatically Covered By FERS"/>
Previous Retirement Coverage:	<input type="text" value="Never Covered"/>
Annuitant Indicator:	<input type="text" value="9"/> Not Applicable
Annuity Commencement Date:	<input type="text"/>
CSRS Frozen Service:	<input type="text" value="0000"/>
FICA Status-Employee	
FICA Status-Employee:	<input type="text" value="Subject"/>

FEGLI

FEGLI Code

Use this field to select the participant's FEGLI (Federal Employees' Group Life Insurance) plan coverage, including termination, waiving coverage, and coverage ineligibility.

Only active employees are allowed to increase their FEGLI coverage.

You can assign beneficiaries and allocate survivor benefits through the Life and AD/D Benefits - Beneficiaries page.

Note: When you have two or more FEGLI-related transactions to process for one employee that are effective on the same date, each one must be processed separately. You must enter the first transaction and save it before inserting a row to process the second transaction. When a second transaction is entered without saving the first, the system returns a message reporting that the transaction will be canceled.

Federal employees may assign their FEGLI benefits to another person, trust, or settlement company that will pay them a cash amount for these benefits. Assignment of benefits is handled in the FEGLI Assignments page group of the Administer Base Benefits (USF) menu.

Post 65 Basic Life Reduction

After federal employees pass the age of 65, their basic life plan coverage may be reduced. In the *Post 65 Basic Life Reduction* field, determine whether the chosen employee's life plan value will be reduced by 50%, 75%, or not at all.

Living Benefits

Select for terminally ill federal employees and retirees who have a life expectancy of nine months or less. With living benefits, a full or partial payment of the FEGLI Basic Life Insurance benefit is paid directly to the employee or retiree.

When an employee's request for living benefits has been approved by OFEGLI, use the PAR pages to process the election. Select an NOA code of 805 (Elect Full Living Benefit) or 806 (Elect Partial Living Benefit).

When you select *Living Benefits* to process a partial living benefit, the *Coverage Amount* field becomes available.

After an employee selects living benefits, the only FEGLI code values available to the employee are *Terminate All* and *Waive All Life Insurance*.

Coverage Amount

Used for employees who elect a partial living benefit. In this field, enter the Basic Insurance Amount (BIA). This is the balance of the coverage amount remaining.

Retirement

Retirement Plan

Select the employee's retirement plan coverage. You can select from an entire list of available U.S. federal government retirement programs that may be available to your employees.

Whether your agency actually offers all of these programs depends upon whether you have set them up in the Retirement Plan Table and have associated them with the benefit programs that your agency offers.

FERS Coverage (federal employees retirement system coverage)

Indicate the level of FERS coverage allocated to employees who had federal retirement plan coverage prior to 1984.

Employees who had this coverage before 1984 can select from

the following values: *Elected Coverage Under FERS* or *Not Covered by FERS*.

All employees who started federal retirement coverage after 1984 have a FERS coverage of *Automatically Covered by FERS*.

Previous Retirement Coverage

Employees transferring from another agency previously covered by a federal benefit plan should select *Previously Covered*. If the employee was not covered, select *Never Covered*.

Annuitant Indicator

Use for employees who are being rehired from retirement. If a formerly retired employee is receiving an annuity such as a pension plan payment, his or her pay may be offset by that annuity amount.

Determine whether the retirement annuity is applicable to the employee's wages. Indicate the former employment status of the employee, regardless of whether the employee was a retired enlisted or military officer, and the employee's retirement plan type (CSRS or FERS).

Annuity Commencement Date

The date when retirement annuities for a retired or soon-to-be-retired employee began or will begin. The system uses this date when determining how to calculate agency contributions and employee deductions for FEHB and FEGLI during the employee's last pay period.

Note: When an employee has worked less than a full pay period, the PeopleSoft Payroll Pay Calculation process prorates FEHB and FEGLI deductions according to OPM (Office of Personnel Management) rules.

CSRS Frozen Service (civil service retirement system frozen service)

Frozen service is the U.S. federal government term for the total years and months of civilian and military service that is creditable in a CSRS component of an FERS employee (retirement plans FERS and FICA, FERS and FICA - Air Traffic Control, FERS and FICA - Special, and FERS and FICA - Reserve Tech), or in the case of a CSRS offset employee (retirement plans FICA + CSRS – Partial and FICA + CSRS Special – Partial), the service that would be covered in a CSRS component if the employee ever became covered by FERS.

In the CSRS Frozen Service field, enter this time span as a four-number code. The first and second positions indicate the number of years, while the third and fourth positions indicate the number of months. For example, a time span of five years and three months would be entered in CSRS Frozen Service as 0503.

FICA Status - Employee

Select the employee's FICA status. Select from the following values: *E – Exempt*, *M – Medicare only*, or *N – Subject*.

After you set up an employee's initial benefit program and plan enrollments through the PAR, you can proceed to the individual benefit pages to continue benefit plan enrollments, add plan coverage detail, enroll dependents, and assign beneficiaries. You can make changes in these pages without opening a PAR.

FEGLI Assignments - Assignee Page

Use the FEGLI Assignments - Assignee (federal employee government life insurance - assignee) page (GVT_ASSIGNEE) to designate one or more assignees for an employee's FEGLI benefits coverage.

Each assignee is given a share of the total coverage being assigned. The total of these percentages must be 100.

Navigation

Benefits, Enroll in Benefits, Assign FEGLI Beneficiaries, Assignee

Assignee Number	This number is designated automatically by the system; it automatically increases by one with each new assignee that you designate for an employee.
Assignee Name, National ID, and Assignee Share (Pct) (assignee share percentage)	Enter the information for each assignee. The total assignee shares for all assignees associated with an employee should equal 100.

After you enter assignee information and save it, the system selects the Assigned check box in the FEGLI - Elections page for coverage that has been assigned, with the exception of FEGLI plan options that have been Waived. When an employee elects a partial living benefit, the BIA is assigned along with any optional insurance. This is with the exception of Option C - Family coverage.

FEGLI Assignments - Assignee Benef Page

Use the FEGLI Assignments - Assignee Benef (federal employee government life insurance - assignee beneficiary) page (GVT_ASSIGNEE_BEN) to designate one or more beneficiaries.

Use to assign the FEGLI benefits of U.S. federal government employees and retirees.

Navigation

Benefits, Enroll In Benefits, Assign FEGLI Beneficiaries, Assignee Benef

Assignee Beneficiary Number	The system designates and automatically increases this number by one for each beneficiary added to an assignee.
Assignee Name, Relationship, and Benef Share (Pct) (benefit share percentage)	Enter the information for each assignee.
Same Address as Assignee	Select this check box if the beneficiary shares this information with the assignee. While this check box is selected, the Address and Phone Information fields are unavailable.

TSP Agency Basic Page

Use the TSP Agency Basic (thrift savings plan - agency basic) page (GVT_TSP_AUTO) to enroll employees in the TSP Agency Automatic 1% Contribution plan.

Navigation

Benefits, Enroll In Benefits, TSP Agency Basic, TSP Agency Basic

Image: TSP Agency Basic page

This example illustrates the fields and controls on the TSP Agency Basic page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'TSP Agency Basic' page for employee Rhonda Freed. The page includes the following fields and controls:

- Employee Information:** Name (Rhonda Freed), ID (B-BU144), and Benefit Record (0).
- *Plan Type:** A dropdown menu set to '7Z'.
- Participation Information:** A section with a search bar and navigation controls (Find, View All, First, 1 of 1, Last).
- *Effective Date:** A date field with a calendar icon.
- Participation Election:** Radio buttons for 'Elect' (selected), 'Waive', and 'Terminate' (disabled).
- *Elect Date:** A date field set to '06/18/2007' with a calendar icon.
- Benefit Plan:** A dropdown menu.
- Payroll Status:** A text field set to 'Active'.
- Benefit Program:** A text field set to 'MJ BAS Eligibility F/T'.
- Optn:** A text field.

Enter the effective date. By default, the system sets Participation Election to Elect and the elect date to the system date.

Use Benefit Plan to enroll the employee in the TSP 1% Agency Contribution plan. TSP 1% Agency Contribution plans have a plan type of 7Z.

Employees typically must complete a waiting period of two open seasons after their hire date before they can be enrolled in the TSP 1% Agency Contribution plan.

TSP Investment Allocations Page

Use the TSP Investment Allocations (thrift savings plan investment allocations) page (GVT_TSP_INVEST) to select investment options.

Navigation

Benefits, Enroll In Benefits, TSP Investment Allocations, TSP Investment Allocations

Image: TSP Investment Allocations page

This example illustrates the fields and controls on the TSP Investment Allocations page. You can find definitions for the fields and controls later on this page.

TSP Investment Allocations	
Rhonda Freed	EMP
ID: B-BU144	Empl Record: 0
Input Source	
Find View All First 1 of 1 Last	
*Effective Date: 06/18/2007	User Input
Investment Elections	
Find View All First 1-2 of 2 Last	
*Option	*Percent
C Common Stock - C Fund	60.00
S Thrift Savings Plan - S Fund	40.00
Total: 100.00	

Option

Enter the type of investment in which you are allocating an investment percentage. If more than one investment option is associated with the chosen employee's savings plan, you can add more rows.

Percent

Enter the investment percentage allocated for each option associated with this savings plan. Investment percentages must total 100 before they can be saved into the system.

Terminating Dependent Enrollments or Beneficiary Status

Remember that you enroll dependents and assign beneficiaries when you enroll employees. To terminate a dependent enrollment or beneficiary status, you must enter a row of data with the termination date (just as you do with employees). You make these changes on the benefit enrollment page for the benefit in question, such as Life & AD/D Benefits. You do not make the changes in the Dependent/Beneficiary Information component.

If you try to delete dependent/beneficiary records on benefit election pages that have court orders applied to them, the system informs you that the dependent or beneficiary has a court order that provides a legal right to benefit coverage.

Warning! If you delete dependent/beneficiary data using the Dependent/Beneficiary Information component, you will destroy history. After you change enrollment data, the fact that the dependent or beneficiary data is available in the Dependent/Beneficiary Information component won't matter. In fact, it must remain to provide correct historical information.

Generating HIPAA Certificates

This section discusses how to:

- Generate a HIPAA Certificate.
- View HIPAA letter printing dates.

Pages Used to Generate HIPAA Certificates

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
HIPAA	RUN_CTL_HIPAA	Benefits, Reports, Regulatory and Compliance, HIPAA, HIPAA	Run the report of the history of a former employee's group health coverage.
Notification Letters	BENEF_LETTER_HIST	Benefits, Review Employee Benefits, Notification Letters, Notification Letters	View HIPAA certificate printing for an employee and dependents.

HIPAA Page

Use the HIPAA page (RUN_CTL_HIPAA) to run the report of the history of a former employee's group health coverage.

Navigation

Benefits, Reports, Regulatory and Compliance, HIPAA, HIPAA

Image: HIPAA page

This example illustrates the fields and controls on the HIPAA page. You can find definitions for the fields and controls later on this page.

HIPAA

Run Control ID: PS [Report Manager](#) [Process Monitor](#) [Run](#)

From Date: If blank, defaults to '07/01/1996'.

Thru Date: If blank, defaults to today's date.

☐ Print Waived Coverage

From Date Enter the beginning date of the time period for which you want to generate HIPAA certificates.

Thru Date Enter the ending date of the time period for which you want to generate HIPAA certificates.

Notification Letters Page

Use the Notification Letters page (BENEF_LETTER_HIST) to view HIPAA certificate printing for an employee and dependents.

Navigation

Benefits, Review Employee Benefits, Notification Letters, Notification Letters

Image: Notification Letters page

This example illustrates the fields and controls on the Notification Letters page. You can find definitions for the fields and controls later on this page.

Notification Letters

[Susan Rogers](#) Person ID: KU0052

Printed Letters					
Date Letter Printed	Description	Dep/Benef	Name	Relation	Coverage End Date

Customize | First | 1 of 1 | Last

Reviewing Enrollments and Benefit Calculations

Auditing Your Benefit Information

PeopleSoft software uses the same set of audits for both the Manage Base Benefits business process and PeopleSoft Benefits Administration.

Audits identify errors that would occur when you try to process enrollments or changes. Some of these audits can be used at any time and others are for a specific purpose, such as eligibility analysis or enrollment.

If you review the audits, you see the importance of auditing your data and making corrections before you process enrollments.

These tables list the PeopleSoft HR audits and corrective actions. Perform these audits regardless of whether you use the PeopleSoft Manage Base Benefits business process or PeopleSoft Benefits Administration to enroll participants.

- Benefit Tables audit functions and corrective actions:

<i>Audit Functions</i>	<i>Corrective Actions</i>
Identifies vacation plans in the Benefit Program Table with an associated Calculation Rules code for which rounding rules are defined in the Calculation Rules Table.	Set up the Calculation Rules Table information without rounding rules.
Identifies health plans in the Benefit Program Table with a rate unit that is not set to <i>None</i> in the Rate Schedule Table.	Correct the information in the Rate Schedule Table.

- Employee Data audit functions and corrective actions:

<i>Audit Functions</i>	<i>Corrective Actions</i>
Identifies employees with personal data but no employment data.	Enter employee employment data.
Identifies employees with personal and employment data but no job data.	Enter employee job data.
Identifies employees with birth dates fewer than or equal to 16 years from the current date.	Verify that the employee should be 16 years old or less or correct the error.

- Enrollment Data audit functions and corrective actions:

<i>Audit Functions</i>	<i>Corrective Actions</i>
Identifies participants with a nonstandard dependent—not a spouse, child, parent—enrolled in health benefits.	Verify that the person should be a dependent or correct the error.
Identifies participants that work in the same company as their dependents, elect the same health coverage in the same plan type as their dependents, and cover the health benefits of their dependents. For the system to perform this check, enter both national IDs in employee personal data and dependent data.	Verify that the enrollments are correct or correct the error.
Identifies participants with dependents enrolled in health benefits who are older than 25 (or older than 19 and not a student), not a spouse, and not handicapped. The audit identifies persons who typically are not dependents.	Correct the dependent information.

Where Employee Benefits Data Is Controlled: PAR Versus the Individual Benefit Plan

The following table lists the plan types delivered with the Manage Base Benefits business process for the U.S. federal government and describes how benefit plan information is controlled by the PAR process and the individual benefit plan pages.

Note: Benefit plan enrollments for FEGLI and retirement are made in the PAR while benefit plan enrollments for FEHB, TSP, and leave are performed through the individual benefit plan pages.

<i>Plan Type</i>	<i>Benefits Data Controlled in PAR</i>	<i>Benefits Data Controlled in Individual Plan Pages</i>
FEHB	FEHB Eligibility	<ul style="list-style-type: none"> • Coverage begin and end date (elections). • Deduction begin and end date (elections). • Plan type (FEHB election). • Coverage code (elections). • Dependent ID (dependents).
FEGLI	FEGLI Coverage Living Benefits Enrollment	<ul style="list-style-type: none"> • FEGLI assignments (beneficiaries). • Percent of benefit allocation (beneficiaries).

Plan Type	Benefits Data Controlled in PAR	Benefits Data Controlled in Individual Plan Pages
TSP		<ul style="list-style-type: none"> • Coverage begin and end date (TSP employee/matching - elections). • Deduction begin and end data (TSP employee/matching - elections). • Benefit plan (TSP election, TSP employee/matching - elections). • Before tax investment (TSP employee/matching - elections). • After tax investment (TSP employee/matching - elections). • Investment option/investment percent (TSP employee/matching - investments). • Beneficiary ID/Percent of benefit (TSP employee/matching - beneficiaries).
Leave Plans		<ul style="list-style-type: none"> • Effective date, end date (leave plans). • Benefit plan (leave plan election, leave plans). • Grandfathered carryover (leave plans).
Retirement Plans	Retirement Plan FERS Coverage Annuitant Indicator Previous Retirement Coverage CSRS Annuity Offset Amount	TSP 1% Agency Contribution (TSP Agency Basic).

Note: PeopleSoft software provides audits for your benefit information. Some audits are specifically for the Manage Base Benefits business process and others are for Benefits Administration. After you enroll participants, check your data using the HR audits.

Viewing Current Benefits Summary Data

During regular payroll processing cycles, the system uses all relevant human resources, benefits, and payroll table information and employee data to process deductions and compensation.

Regardless of whether your organization uses PeopleSoft Payroll for North America or PeopleSoft Payroll Interface, you can view a summary of employee benefit data, including the most recent payroll deduction. You can view the Benefits Summary page.

This section provides an overview of benefits deduction calculations and lists the pages used to:

- View benefit enrollment summary.
- View benefit deduction summary.

Warning! The Benefits Summary USA (GPUS_BEN_SMRY) component is for use with GP-US only.

Pages Used to View Benefits Summary Data

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Benefit Enrollment Summary	BN_ENRL_SUMMARY	Benefits, Review Employee Benefits, Current Benefits Summary, Benefit Enrollment Summary	View benefit enrollment summaries for employees.
Benefit Deduction Summary	BN_DEDN_SUMMARY	Benefits, Review Employee Benefits, Current Benefits Summary, Benefit Deduction Summary	Display any deductions taken against those enrollments on the last run of either PeopleSoft North American Payroll or PeopleSoft Payroll Interface, as applicable to the employee.
Benefits Summary USA	GPUS_BEN_SMRY	Benefits, Review Employee Benefits, Benefits Summary USA, Benefits Summary USA	View GP-USA employee benefit summary information for a specified time period.

Benefits Deduction Calculations

As a general rule, each phase of deduction calculation (for example, maximum limit rules, annualize and deannualize, rate rules, and so forth) resolve to a precision of 2 decimals to represent cents.

For example, a monthly employee rate of 203.3692 will result in weekly deduction of 46.93 and a semi-monthly deduction of 101.69.

Employer Rate to use: 203.3692 is rounded to 2 decimal places to reflect the base dollar amount to calculate deduction, giving \$203.37.

This monthly rate of \$203.37 is annualized to \$2,440.44 ($203.37 \times 12 = 2,440.44$).

\$2,440.44 is deannualized by dividing by 24 ($2,440.44 / 24 = 101.685$).

\$101.685 is then rounded to 2 decimal places, equaling \$101.69.

Benefit Enrollment Summary Page

Use the Benefit Enrollment Summary page (BN_ENRL_SUMMARY) to view benefit enrollment summaries for employees.

Navigation

Benefits, Review Employee Benefits, Current Benefits Summary, Benefit Enrollment Summary

Image: Benefit Enrollment Summary page

This example illustrates the fields and controls on the Benefit Enrollment Summary page. You can find definitions for the fields and controls later on this page.

Benefit Enrollment Summary		Benefit Deduction Summary			
Claudia Gaston		Employee	ID: BDU0001		
			Benefit Record: 0		
			Primary Empl Record: 0		
Benefits System:	Base Benefits				
Benefit Program:	BU1 Benefits Test: US F/T				
Benefits Status:	Active				
Current Enrollments					
Plan Type	Coverage Election	Benefit Plan	Description	Coverage or Participation	Coverage Begin
Medical	Elect	BUHLT2	Health 2	Family	01/01/2005
Vision	Elect	BUVIS	Vision	Family	01/01/2005
Life	Elect	BUBLIF	BasicLife	\$50000	01/01/2005
Supplemental Life	Elect	BU4X	BenData: S	\$4 X Salary	01/01/2005
AD and D	Elect	BUADD	EE Level	\$10000	01/01/2005
Spousal Life	Elect	BUSPL	SpLifeNo	\$100000	01/01/2005
Short-Term Disability	Elect	BUSTD+	STD +	66.667% of Salary	01/01/2005
Long-Term Disability	Elect	BULTD+	LTD+	66.667% of Salary	01/01/2005
401(k)	Elect	BD401K	Ben401k	10% Before Tax	01/01/2005
Long-Term Care	Elect	BULTC	PruLTC	-----	01/01/2005
Legal Services	Elect	BULGL	Legal	-----	01/01/2005

Benefit Deduction Summary Page

Use the Benefit Deduction Summary page (BN_DEDN_SUMMARY) to display any deductions taken against those enrollments on the last run of either PeopleSoft North American Payroll or PeopleSoft Payroll Interface, as applicable to the employee.

Navigation

Benefits, Review Employee Benefits, Current Benefits Summary, Benefit Deduction Summary

Image: Benefit Deduction Summary page

This example illustrates the fields and controls on the Benefit Deduction Summary page. You can find definitions for the fields and controls later on this page.

Benefit Enrollment Summary

Benefit Deduction Summary

Claudia Gaston

Employee

ID: BDU0001

Benefit Record: 0

Primary Empl Record: 0

Payroll System:

Payroll for North America

Pay Group:

BU2 BenDed: US Biweekly

Payroll Status:

Active

Latest Deductions							
Plan Type	Benefit Plan	Dedn Code	Description	Class	Coverage Base	Last Deduction	Pay Period End
10	BUHLT2	BU10	Medical	Before-Tax		138.46	01/19/2005
				Nontaxable		23.08	01/19/2005
14	BUVIS	BU14	Vision	Before-Tax		15.00	01/19/2005
20	BUBLIF	BUBL	Basic Life	Nontaxable	50000.00	5.78	01/19/2005
21	BU4X	BUSL	SuppLife	Before-Tax	720000.00	114.87	01/19/2005
				Nontaxable	720000.00	118.89	01/19/2005
				Taxable	720000.00	30.92	01/19/2005
22	BUADD	BUADD	AD&D	Nontaxable	10000.00	1.16	01/19/2005
				Taxable	10000.00	0.46	01/19/2005
28	BUSPL	BUSPL	SpLife	Before-Tax	100000.00	17.22	01/19/2005
				Taxable	100000.00	6.92	01/19/2005
30	BUSTD+	BUSTD	STD	Before-Tax	15000.00	2.08	01/19/2005
31	BULTD+	BULTD	LTD	After-Tax	15000.00	46.15	01/19/2005
40	BD401K	BD401	401k	Before-Tax		692.31	01/19/2005
				PTax Ben		173.08	01/19/2005

Overriding the Frequency of Benefit Deductions

After the system has performed benefit deductions for your employees, you can override the frequency of a deduction amount for individual employees using the Set Max Arrears/Frequency Ovrdr page.

Page Used to Override the Frequency of Benefit Deductions

Page Name	Definition Name	Navigation	Usage
Set Max Arrears/Frequency Ovr (set maximum benefit arrears/frequency override)	BENEF_DED_OVERRIDE	Benefits, Enroll In Benefits, Set Max Arrears/Frequency Ovr, Set Max Arrears/Frequency Ovr	Manage overrides to benefit deduction frequency and arrears processing.

Set Max Arrears/Frequency Ovr Page

Use the Set Max Arrears/Frequency Ovr (set maximum benefit arrears/frequency override) page (BENEF_DED_OVERRIDE) to manage overrides to benefit deduction frequency and arrears processing.

Navigation

Benefits, Enroll In Benefits, Set Max Arrears/Frequency Ovr, Set Max Arrears/Frequency Ovr

Image: Set Max Arrears/Frequency Ovr page

This example illustrates the fields and controls on the Set Max Arrears/Frequency Ovr page. You can find definitions for the fields and controls later on this page.

Maximum Payback Amount and Maximum Arrears Payback

When employee net pay is insufficient to cover a deduction and deducting all appropriate amounts is not possible, the employee is in arrears. That is, the employee owes the employer for a deduction that could not be made.

Use these fields, to specify how the system handles the payback of arrears balances by indicating the maximum amount of arrears payback that can be taken out of an employee's paycheck.

Deduction Period

This group box enables you to override the deduction frequency. Normally, the frequency with which a deduction is taken from an employee's paycheck is controlled through the deduction table and paygroup.

Chapter 9

Performing Nondiscrimination Testing

Understanding Section 401 Nondiscrimination Tests

This section describes:

- Basic and Alternate Section 401(k) Tests.
- Basic and Alternate Section 401(m) Tests.
- The Aggregate Limit Test.

Important! PeopleSoft Benefits provides these nondiscrimination tests for your use as a template, not to be used for actual reporting.

Basic and Alternate Section 401(k) Tests

To be considered nondiscriminatory, a 401(k) plan must meet one of two tests:

- The basic Actual Deferral Percentage (ADP) test for Section 401(k) states that the average ADP for highly compensated employees (ADP_{HCE}) cannot be more than a certain percentage of the average ADP for employees who are not highly compensated (ADP_{NHCE}).
- The alternate ADP test requires that the ADP_{HCE} cannot exceed the ADP_{NHCE} by more than a certain percentage, provided that the difference between the ADP_{HCE} and the ADP_{NHCE} does not exceed a certain percentage point.

Basic and Alternate Section 401(m) Tests

The basic Actual Contribution Percentage (ACP) test for Section 401(m) states that the average ACP for highly compensated employees (ACP_{HCE}) cannot be more than a certain percentage of the average ACP for employees who are not highly compensated (ACP_{NHCE}).

According to the alternate 401(m) test, the ACP_{HCE} cannot exceed the ACP_{NHCE} by more than a certain percentage, provided that the difference between the ACP_{HCE} and the ACP_{NHCE} does not exceed a certain percentage point.

The Aggregate Limit Test

If both the ADP and ACP tests do not pass the basic percentage test and both pass the alternative percentage test, the system automatically runs the new Aggregate Limit test when you run the 401(k) or 401(m) NDT report. The Aggregate Limit Test indicates that the sum of the ADP and ACP for the entire group of eligible highly compensated employees cannot exceed the aggregate limit of the nonhighly compensated group, which is the greater of:

1. The sum of 1.25 times the greater of the ADP_{NHCE} or the ACP_{NHCE} *and* 1.25 times the greater of the ADP_{NHCE} or the ACP_{NHCE} **AND**
2. Two percentage points plus the lesser of the ADP_{NHCE} or the ACP_{NHCE} , with the exception that this cannot exceed two times the lesser of the ADP_{NHCE} or the ACP_{NHCE} **OR**
3. The sum of 1.25 times the lesser of the ADP_{NHCE} or the ACP_{NHCE} **AND**
4. Two percentage points plus the greater of the ADP_{NHCE} or the ACP_{NHCE} , with the exception that this cannot exceed two times the greater of the ADP_{NHCE} or the ACP_{NHCE} .

The results of the Aggregate Limit Test appear on the new Section 401 Results page after the 401(k) and 401(m) reports have been run. If you view the Section 401 Results page before you run one or both of these reports, the Aggregate Limit Test portion of the Section 401 Results page will be blank.

Defining Highly Compensated Earnings

Before you run a nondiscrimination test, define the highly compensated earnings to be processed in the nondiscrimination test.

To define highly compensated earnings:

1. Define a special accumulator for highly compensated earnings.
2. Link that special accumulator to the appropriate earnings.

Important! PeopleSoft software provides these nondiscrimination tests for your use as a template, not to used for actual reporting.

Related Links

"Establishing Earnings Programs (*PeopleSoft HCM 9.2: Payroll for North America*)"

Identifying Highly Compensated Employees

Now that the parameters for running nondiscrimination testing are defined, you must identify employees who are highly compensated employees (HCE) using the Job Data (JOB_DATA) component.

A highly compensated employee is defined as someone who meets one of the following criteria:

- Was a 5 percent (or more) owner of the organization at any time during the current or preceding year.
- Received more than an indexed compensation amount in the preceding year.
- Received more than an indexed compensation amount in the preceding year, and was among the most highly paid 20 percent of employees in the organization.

An active employee is not treated as highly compensated in the current (determination) year if that employee was not considered highly compensated in the preceding (look-back) year. This rule does not apply if the employee is a 5 percent (or more) owner. The system does not classify employees hired

during the current year as highly compensated employees unless they also are or were a 5 percent (or more) owner during the current year.

To identify employees who are 5 percent (or more) owners:

1. Access the Work Location - Employment Information: Employment Data page.
2. Select the Owns 5% (or More) of Company check box to identify an employee as a 5 percent or more owner.

For additional information, see *Increasing the Workforce (PeopleSoft HCM 9.2: Human Resources Administer Workforce)*.

Setting Up Test Criteria and Plans

To set up nondiscrimination testing, use the nondiscrimination testing (NDT_HCE_TABLE_GBL) component.

You can perform nondiscrimination tests for 401(k) and 401(m) plans. For 401(k), the system uses before-tax amounts. For 401(m), it uses after-tax amounts. Perform these tests according to IRS criteria that you define, for the benefit plans that you identify.

Important! PeopleSoft software provides these nondiscrimination tests for your use as a template, not to use for actual reporting.

Page Used to Set Up Test Criteria and Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Nondiscrimination Rules	NDT_HCE_TABLE	Set Up HCM, Product Related, Base Benefits, Nondiscrimination Rules, Nondiscrimination Rules	Define the regulatory rules governing your nondiscrimination testing.

Nondiscrimination Rules Page

Use the Nondiscrimination Rules page (NDT_HCE_TABLE) to define the regulatory rules governing your nondiscrimination testing.

Navigation

Set Up HCM, Product Related, Base Benefits, Nondiscrimination Rules, Nondiscrimination Rules

Image: Nondiscrimination Rules page

This example illustrates the fields and controls on the Nondiscrimination Rules page. You can find definitions for the fields and controls later on this page.

Nondiscrimination Rules

Nondiscrimination Test Table Find | View All First 1 of 1 Last

*Effective Date: 01/01/2000 31 + -

HCE Determination Criteria

*Compensation Method to be Used: Compensation in Top Percent ▼

Compensation Minimum: \$85,000.00 and in Top %: 20

Optional Exclusions: Minimum Age: Minimum Service Months:

ADP Calculation Criteria

*Annual Compensation Lmt (401a): \$170,000.00

*Annual Contribution Lmt (402g): \$10,500.00 Calculate using: Current Year ▼

401(k) and 401(m) NDT Rules

ADP for HCE is not more than ADP for other employees multiplied by 1.25

Excess of ADP for HCE over that of other employees is not greater than % 2.00

and ADP for HCE is not more than ADP for other employees multiplied by 2.00

Effective Date

Enter the effective date for your nondiscrimination test criteria. The effective date enables you to maintain a history of your test criteria.

HCE Determination Criteria

Compensation Minimum

Set up the system to account for all employees who have received the compensation minimum, or narrow the determination down to those employees who received the compensation minimum and who are among the most highly paid (top 20 percent) employees in your organization. You can change this percentage.

Minimum Age and Minimum Service Months

To set up your system to exclude certain employees from the determination of the top paid 20 percent of employees in your organization, enter the appropriate minimum age or minimum service months.

Because HCE determination is based upon the previous year's wages, the system refers to the end of the previous year when checking these values against the employee population. If you are running your nondiscrimination test for the current plan year and you've set a minimum age of 21, the top 20 percent group will be reduced by the number of employees who have not reached age 21 by the end of the previous plan year.

ADP Calculation Criteria

Annual Compensation Lmt (annual compensation limit) and Annual Contribution Lmt (annual contribution limit)

Enter the annual compensation limit and annual contribution limit for the test.

Calculate using

Select which year's data to use to perform the ADP and ACP calculations.

The options are *Current Year* and *Previous Year*.

Note: Because the nondiscrimination testing procedure for 401(k) and 401(m) plans includes compensation data from the preceding plan year, you should use the results of the tests to better forecast for the current year. This is because the preceding year compensation data is used to calculate actual deferral percentages and actual contribution percentages for the nonhighly compensated employee (NHCE) population, upon which nondiscrimination test results are based. With these figures defined, you can monitor your HCE population for compliance.

If you choose to perform nondiscrimination testing using previous year NHCE data, You do not need to perform NHCE forecasting; you need to forecast only HCE data and compare it to the previous year's data. You can still elect to test based on the current year's data, however, and use the forecasting feature to help monitor your plan's nondiscrimination status throughout the year.

Identifying Benefit Plans to Include in a Test

To identify benefit plans to include in NDT testing:

1. Access the Benefit Plan Table page.
2. Select the Include in Nondiscrimination Testing check box.

The test will include all of the benefit plans with this check box selected. This option is available for all savings plans.

Related Links

[Defining Benefit Plans](#)

Defining the Nondiscrimination Test Run Control

After you set up your special accumulators and their associated earnings, set up the parameters for your nondiscrimination test through run control pages.

Important! PeopleSoft software provides these nondiscrimination tests for your use as a template, not to use for actual reporting.

This section discusses how to

- Define special accumulators and company and pay group criteria.

- Define section 129 rules.

Pages Used to Define the Nondiscrimination Test Run Control

Page Name	Definition Name	Navigation	Usage
Define Test Information (Section 401 Testing)	RUNCTL_NDT	Benefits, Conduct Nondiscrimination Tsts, Section 401 Testing, Define Test Information, Define Test Information	Define the special accumulator that you want the system to use, and identify the company and pay group combinations that you want to include in your test.
Define Test Information (Section 129 Testing)	RUNCTL_129	Benefits, Conduct Nondiscrimination Tsts, Section 129 Testing, Define Test Information, Define Test Information	Define Section 129 rules to specify information that controls nondiscrimination testing for Section 129 dependent care reimbursement accounts.

Define Test Information (Section 401 Testing) Page

Use the Define Test Information (Section 401 Testing) page (RUNCTL_NDT) to define the special accumulator that you want the system to use, and identify the company and pay group combinations that you want to include in your test.

Navigation

Benefits, Conduct Nondiscrimination Tsts, Section 401 Testing, Define Test Information, Define Test Information

Image: Define Test Information page for Section 401 testing

This example illustrates the fields and controls on the Define Test Information page for Section 401 testing . You can find definitions for the fields and controls later on this page.

Define Test Information

***Plan Year Begin Date:**
***Month End Date:**
Year End Projection Percentage:
***Special Accumulator Code:** 401K Eligible Earnings
***Benefits Base:**
***Year Code:**

NDT Section 401				
*Company	Description	*Pay Group	Description	
<input type="text" value="GBI"/>	Global Business Institute 9999	<input type="text" value="KU1"/>	US Weekly	<input type="button" value="-"/>
<input type="text" value="GBI"/>	Global Business Institute 9999	<input type="text" value="KU2"/>	US Biweekly	<input type="button" value="-"/>
<input type="text" value="GBI"/>	Global Business Institute 9999	<input type="text" value="KU3"/>	US Semi-Monthly	<input type="button" value="-"/>
<input type="text" value="GBI"/>	Global Business Institute 9999	<input type="text" value="KU4"/>	US Monthly	<input type="button" value="-"/>
<input type="text" value="GBI"/>	Global Business Institute 9999	<input type="text" value="KU5"/>	US R & D Biweekly	<input type="button" value="-"/>
<input type="text" value="GBI"/>	Global Business Institute 9999	<input type="text" value="KU6"/>	US Fire Safety - Biweekly	<input type="button" value="-"/>
<input type="text" value="GBI"/>	Global Business Institute 9999	<input type="text" value="KU7"/>	US PI Wkly 1	<input type="button" value="-"/>
<input type="text" value="GBI"/>	Global Business Institute 9999	<input type="text" value="KU8"/>	US PI Wkly 2	<input type="button" value="-"/>

Plan Year Begin Date

Enter the first day of the plan year to use for the test.

Month End Date

Enter the month end date through which compensation data will be in the database.

Year End Projection Percentage

Enter the percentage that you want the system to use.

When testing for the current year, this field enables you to define whether the system projects until year-end.

Special Accumulator Code

Enter the special accumulator code for the test. The system uses this accumulator to determine employee compensation for the test.

Benefits Base

Enter the benefits base that you want to use, either *Annual Rate* or *Annual Benefits Base Rate*. For example, suppose that you initiate a test for the current year on May 31, but you want projections until the end of the plan year. You need to project for the remaining 7 of the total 12 months, which means that you enter 58.33% as the year end projection percentage.

Year Code

Indicate whether testing is for the *Current and Prior Plan Year*, the *Current Plan Year*, or the *Prior Year*. Because the rules for the determination of highly compensated employees determines HCE status based primarily upon the previous year's data, the system must gather salary information for the previous year and store it. When you run a Section 401 test for the first time in the testing year, always select *Current and Prior Plan Year* on the 401 NDT Run Control page. In subsequent testing throughout that year, you can select *Current Plan Year*.

NDT Section 401**Company and Pay Group**

Identify the companies and the pay groups that you want to include in this test.

Define Test Information (Section 129 Testing) Page

Use the Define Test Information (Section 129 Testing) page (RUNCTL_129) to define Section 129 rules to specify information that controls nondiscrimination testing for Section 129 dependent care reimbursement accounts.

Navigation

Benefits, Conduct Nondiscrimination Tsts, Section 129 Testing, Define Test Information, Define Test Information

Image: Define Test Information page for Section 129 testing

This example illustrates the fields and controls on the Define Test Information page for Section 129 testing . You can find definitions for the fields and controls later on this page.

Define Test Information

***Special Accumulator Code:** 401K Eligible Earnings
***Plan Year Begin Date:**
***Month End Date:**
Year End Projection Percentage:
***Benefits Base:** **Exclusion Min Service Months:**
***Year Code:** **Exclusion: Minimum Age:**

Employee Test Population			
*Company	Description	*Pay Group	Description
GBI	Global Business Institute 9999	KU1	US Weekly
GBI	Global Business Institute 9999	KU2	US Biweekly
GBI	Global Business Institute 9999	KU3	US Semi-Monthly
GBI	Global Business Institute 9999	KU4	US Monthly
GBI	Global Business Institute 9999	KU5	US R & D Biweekly

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▼ SLOB Criteria for the 55% Test

☒ Cafeteria Plan
Dollar Limit: **Minimum Employee Count:**

Single Line of Business Definition			
*Company	Description	*Pay Group	Description
GBI	Global Business Institute 9999	KU1	US Weekly
GBI	Global Business Institute 9999	KU2	US Biweekly
GBI	Global Business Institute 9999	KU3	US Semi-Monthly
GBI	Global Business Institute 9999	KU4	US Monthly
GBI	Global Business Institute 9999	KU5	US R & D Biweekly

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Special Accumulator Code

Enter the special accumulator code for the test. The system uses this accumulator to determine employee compensation for the test.

Plan Year Begin Date	Enter the beginning date for the plan year that you want to use for the test.
Month End Date	Enter the month end date through which compensation data will be in the database.
Benefits Base	Enter the benefits base—either <i>Annual Rt</i> or <i>Benef Base</i> .
Year Code	When you run a Section 129 test for the first time in the testing year, always select <i>Both Years</i> on the 129 NDT Define Test Information page. In subsequent testing throughout that year, you can select <i>Current Yr</i> . <hr/> Note: The system requires you to always select the <i>Both Years</i> option if you will be testing based on Separate Lines of Business (SLOB). You can choose from <i>Both Years</i> , <i>Current Yr</i> , or <i>Prior Yr</i> . <hr/>
Exclusion Min Service Months (exclusion minimum service months)	Enter the minimum length of service for employees included in the test.
Exclusion: Minimum Age	Enter the minimum age limit for the employees included in the test.
Employee Test Population	
Company and Pay Group	Identify the companies and the pay groups that you want to include in this test.
SLOB (Separate Line of Business) Criteria for the 55% Test	
Select the method of restricting the test population. You can restrict the test population by company and pay group or by SLOB.	
Cafeteria Plan	Select this check box if you have a flexible benefits or cafeteria plan.
Dollar Limit	Enter the earnings amount below which you want the system to exclude employees from the Section 129 test. Companies that have cafeteria plans typically set the dollar limit to \$25,000, but it can be set lower. If you set the limit to \$25,000, employees who earn less than that amount will be excluded from the Section 129 test.
Minimum Employee Count	Enter the minimum number of employees for a separate line of business to be included in the test.
Company and Pay Group	If you use SLOB, indicate the company and pay group that are associated with each separate line of business.

Running Nondiscrimination Tests

This section discusses how to:

- Determine the number of highly compensated employees.
- Run 401(k) and 401(m) testing.
- Run Section 129 nondiscrimination testing.

Important! PeopleSoft software provides these nondiscrimination tests for your use as a template, not to use for actual reporting.

Pages Used to Run Nondiscrimination Tests

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Identify Highly Comp Employees	RUNCTL_NDT001	<ul style="list-style-type: none"> • Benefits, Conduct Nondiscrimination Tsts, Section 129 Testing, Identify Highly Comp Employees, Identify Highly Comp Employees • Benefits, Conduct Nondiscrimination Tsts, Section 401 Testing, Identify Highly Comp Employees, Identify Highly Comp Employees 	Determine the number of highly compensated employees in your organization before you perform Section 401 or Section 129 nondiscrimination tests.
401(k) Nondiscrimination Test - Run Control	PRCSRUNCNTL	Benefits, Conduct Nondiscrimination Tsts, Section 401 Testing, 401(k) Nondiscrimination Test, Run Control	Initiate 401(k) testing.
NDT Section 401(m) Testing	PRCSRUNCNTL	Benefits, Conduct Nondiscrimination Tsts, Section 401 Testing, 401(m) Nondiscrimination Test, NDT Section 401(m) Testing	Run 401(m) testing.
Eligibility Cross Section Test	PRCSRUNCNTL	Benefits, Conduct Nondiscrimination Tsts, Section 129 Testing, Eligible Cross Section Test, Eligibility Cross Section Test	Run Section 129 nondiscrimination eligibility cross section testing.
55 Pct Average Benefits Test (55 percent average benefits test)	PRCSRUNCNTL	Benefits, Conduct Nondiscrimination Tsts, Section 129 Testing, 55% Pct Average Benefits Tests, 55 Pct Average Benefits Test	Run Section 129 nondiscrimination 55% average benefits testing.

Page Name	Definition Name	Navigation	Usage
Concentration Test	PRCSRUNCNTL	Benefits, Conduct Nondiscrimination Tsts, Section 129 Testing, Concentration Test, Concentration Test	Run Section 129 nondiscrimination concentration testing.

Identify Highly Comp Employees Page

Use the Identify Highly Comp Employees page (RUNCTL_NDT001) to determine the number of highly compensated employees in your organization before you perform Section 401 or Section 129 nondiscrimination tests.

Navigation

- Benefits, Conduct Nondiscrimination Tsts, Section 129 Testing, Identify Highly Comp Employees, Identify Highly Comp Employees
- Benefits, Conduct Nondiscrimination Tsts, Section 401 Testing, Identify Highly Comp Employees, Identify Highly Comp Employees

Image: Identify Highly Comp Employees page

This example illustrates the fields and controls on the Identify Highly Comp Employees page. You can find definitions for the fields and controls later on this page.

Identify Highly Comp Employees

Run Control ID: PS [Report Manager](#) [Process Monitor](#)

Report Request Parameter(s)

NDT Test Type:

Warning! Before determining the number of highly compensated employees, first set the nondiscrimination test parameters.

NDT Test Type

Choose from the following values: *Std NDT* (Standard Nondiscrimination Test, for Section 401 plans), *129 NDT* (Section 129 Nondiscrimination Test), and *129 SLOB* (Section 129 Separate Line of Business Nondiscrimination Test).

401(k) Nondiscrimination Test - Run Control Page and NDT Section 401(m) Testing Page

Use the 401(k) Nondiscrimination Test - Run Control page (PRCSRUNCNTL) to initiate 401(k) testing.

Navigation

Benefits, Conduct Nondiscrimination Tsts, Section 401 Testing, 401(k) Nondiscrimination Test, Run Control

Use the NDT Section 401(m) Testing page (PRCSRUNCNTL) to run 401(m) testing.

Navigation

Benefits, Conduct Nondiscrimination Tsts, Section 401 Testing, 401(m) Nondiscrimination Test, NDT Section 401(m) Testing

Warning! Before running a nondiscrimination test using this page, you must first set the nondiscrimination test parameters in the 401 NDT Run Control page and determine the number of highly compensated employees in the NDT 401 HCE Test page.

When the system performs a test, it uses the total eligible earnings for employees. For total earnings, the system uses the earnings balance for the special accumulator that you defined in the run control for this test.

If an employee works in multiple companies, the system accumulates earnings for all the companies that you marked for nondiscrimination testing.

Running Section 129 Nondiscrimination Testing

Access the appropriate Section 129 NDT page.

Note: For SLOB users, the SLOB rules apply only to the NDT 129 55% Average Benefits test. If you want to run this test for separate lines of business, use the following procedure:

To run the Section 129 SLOB testing process:

1. Run the Highly Compensated Employees determination test.

Select *129SLOB* as your NDT Test Type.

2. Run the NDT 129 55% Average Benefits test.
3. Rerun the Highly Compensated Employees Determination test.

This time, select *129NDT* as your NDT Test Type.

4. Run the remaining NDT 129 tests.

Warning! Before running a nondiscrimination test using this page, first set the nondiscrimination test parameters in the 129 NDT Define Test Information page and determine the number of highly compensated employees in the 129 Identify Highly Comp Employees page.

When the system performs a test, it uses the total eligible earnings for employees. For total earnings, the system uses the earnings balance for the special accumulator that you defined in the run control for this test.

If an employee works in multiple companies, the system accumulates earnings for all the companies that you marked for nondiscrimination testing.

Understanding Nondiscrimination Test Results

As a result of a nondiscrimination test, the system updates employee data for the current and previous year tests using these codes:

N	Indicates that an employee is not eligible for the plan.
E	Indicates a highly compensated employee who is not a 5 percent owner.
H	Indicates a highly compensated employee (who may be a 5 percent owner).

The Savings Plan election page displays the Highly Compensated check box for the current year only. The previous year's highly compensated flag is not available online.

Important! PeopleSoft software provides these nondiscrimination tests for your use as a template, not to use for actual reporting.

Viewing Nondiscrimination Test Results

This section discusses how to:

- View results for an individual.
- View Section 401 test results for all employees.
- View Section 129 test results for all employees.
- Run nondiscrimination test reports.

Important! PeopleSoft provides these nondiscrimination tests for your use as a template, not to use for actual reporting.

Pages Used to View Nondiscrimination Test Results

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Savings Plans	SAVINGS_PLANS1	Benefits, Enroll In Benefits, Savings Plans, Savings Plans	View nondiscrimination test results for an individual.

Page Name	Definition Name	Navigation	Usage
Review Test Results (for 401(k))	NDT_401_RESULTS	Benefits, Conduct Nondiscrimination Tsts, Section 401 Testing, Review Test Results, Review Test Results	View the nondiscrimination test results for all employees in 401(k) and 401(m) tests.
Review Test Results (for 129)	NDT_129_RESULTS	Benefits, Conduct Nondiscrimination Tsts, Section 129 Testing, Review Test Results, Review Test Results	View the nondiscrimination test results for all employees.

Savings Plan Page

Use the Savings Plans page (SAVINGS_PLANS1) to view nondiscrimination test results for an individual.

Navigation

Benefits, Enroll In Benefits, Savings Plans, Savings Plans

As a result of the last nondiscrimination test that you performed, the system indicates that an employee is highly compensated (based on the current year) by displaying the Highly Compensated indicator at the top of the page.

Related Links

[Enrolling Participants in Benefit Programs and Plans](#)

Review Test Results (for 401(k)) Page

Use the Review Test Results (for 401(k)) page (NDT_401_RESULTS) to view the nondiscrimination test results for all employees in 401(k) and 401(m) tests.

Navigation

Benefits, Conduct Nondiscrimination Tsts, Section 401 Testing, Review Test Results, Review Test Results

Image: Review Test Results page for 401(k)

This example illustrates the fields and controls on the Review Test Results page for 401(k). You can find definitions for the fields and controls later on this page.

Review Test Results			
NonDiscrimination test results for Plan Year beginning 01/01/2000 using data as of: 04/30/2000			
Testing performed using Current Year data for NHCE population.			
Employee Counts: HCE:	NHCE:		
	Actual Year-to-Date Results		Forecasted Year-End Results
	ADP 401(k)	ACP 401(m)	ADP 401(k) ACP 401(m)
Average for HCE:			
Average for NHCE:			
Basic 125% Test:			
Alternate 2% Test:			
Discriminatory Excess:			
Aggregate Limit Test:			

Average for HCE (average for highly compensated employees) and Average for NHCE (average for nonhighly compensated employees)

The system report the average actual contribution percentage (ACP) and actual deferral percentage (ADP) for highly compensated and nonhighly compensated employees.

Basic 125% Test and Alternate 2% Test

The systems automatically indicate a *Pass* or *Fail* status.

Discriminatory Excess

If both the Basic and Alternate 2% tests failed, the system displays the discriminatory excess percentage.

Aggregate Limit Test

If the system runs this test, it automatically indicates a *Passed* or *Failed* status.

Review Test Results (for 129) Page

Use the Review Test Results (for 129) page (NDT_129_RESULTS) to view the nondiscrimination test results for all employees.

Navigation

Benefits, Conduct Nondiscrimination Tsts, Section 129 Testing, Review Test Results, Review Test Results

Image: Review Test Results page for 129

This example illustrates the fields and controls on the Review Test Results page for 129. You can find definitions for the fields and controls later on this page.

Review Test Results		
NonDiscrimination test results for Plan Year beginning		01/01/2000 using data as of: 04/30/2000
Eligibility Cross-Section Test		
Eligible NHCE Count:	Unsafe Harbor Pct:	
Eligible HCE Count:	Safe Harbor Pct:	Test Result
NHCE LookUp Concentration (%):	Calculated Harbor Pct:	NOT RUN
55% Average Benefit Test		
Count: NHCE:	HCE:	<input type="checkbox"/> Separate Line of Business
Benefits:		Test Result
Avg Benefits:	Benefits Ratio (%):	FAILED
5% Owner Concentration Test		
Benefits Paid to 5% Owners:		Test Result
Total Benefits Paid:	Benefits Ratio (%):	NOT RUN

This page displays the results of the Eligibility Cross-Section test, the 55% Average Benefit test, and the 5% Owner Concentration test. If you elected to test for separate lines of business, the Separate Line of Business check box is selected.

Running Nondiscrimination Test Reports

You can produce nondiscrimination testing reports that provide a breakdown of the testing results by employee (divided into highly compensated employee and nonhighly compensated employee groups) as well as a display of the calculations involved in the test.

401 Nondiscrimination Testing reports also show the results of the Aggregate Limit test if test result conditions require this test to be performed.

Related Links

[Defining Benefit Plans](#)

Setting Limits to Comply with Test Results

To comply with the regulations governing nondiscrimination testing, you may need to change the savings limits for a highly compensated employee for a specific benefit plan. This applies only to 401(k) and 401(m) plans.

Related Links

[Savings Plans Page](#)

Chapter 10

Managing Savings Plans

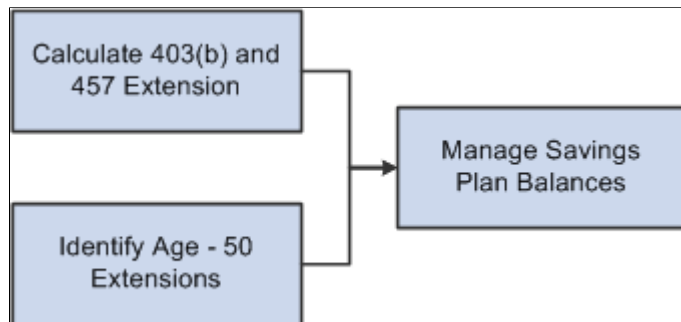
Understanding Savings Plan Management

The Savings Management (SAVINGS_PLANS) component enables the user to review, override, and extend government regulated limits for qualified savings plans. The Manage Base Benefits business process provides the following limit extensions and adjustments.

- 402(g) 15-year catch-up extension for 403(b) plans.
- 457 3-Yr catch-up extension for 457 eligible governmental plans.
- 402(g) Age-50 extension for 401(k) and 403(b) plans.
- 457 Age-50 extension for 457 eligible governmental plans.
- 401(a) eligible earnings adjustment for 401(k), 403(b), and 457 plans.
- 402(g) hardship withdrawal limit reduction.

Image: Savings management process flow

The following diagram illustrates the process flow for managing savings plans from calculating 403(b) and 457 extensions and identifying age-50 extensions:



For additional information, see:

[Setting Up Base Benefits Core Tables](#)

[Setting Up Limits for Qualified Savings Plans](#)

Calculating 403(b) and 457 Extensions

This section provides an overview of 403(b) and 457 extensions and discusses how to run the 403(b) and 457 extensions process.

Pages Used to Calculate 403(b) and 457 Extensions

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Calculate 403(b) Extensions	RUNCTL_SV403B	Benefits, Monitor Savings Pln Extensions, Calculate 403(b) Extensions, Calculate 403(b) Extensions	Calculate the company's or an individual employee's balances for service years, eligible earnings, and projected limits for the current or next year. Employees don't need to be enrolled in the 403(b) Savings Plan to run the Balance process. This process updates the Savings Management page.
Review 403(b) Process Messages	SV403_MESSAGES	Benefits, Monitor Savings Pln Extensions, Review 403(b) Process Messages, Review 403(b) Process Messages	Review any error message that was issued during the savings management process.

Understanding the 403(b) and 457 Extensions

The Savings Management Balances process updates year-to-date and life-to-date balances for 403(b) and 457 plans and records them on the Savings Management Balances page. The process uses the balances on the savings management record for the prior year and current year payroll YTD deduction balances to update the current year's record each time the process is run. It also uses the prior year's record to update the service year's LTD field. (The first run of each year is slightly different because it deselects some fields and carries over others.)

The Savings Management process does not update current year deduction balances on the Savings Management record until PeopleSoft Payroll for North America is confirmed and the process is run.

The Savings Management Balances process creates 403(b) limit extension records for all employees in the specified company in PeopleSoft Payroll for North America. The records produced are identified as Limit Type 402, Limit Extension Type A. If an employee has 15 or more years in a 403(b) plan, the limit extension amount (EXT_AMOUNT) is populated by the 402(g) Annual Cap Expansion amount on the 403(b) Limit Table. If the employee has fewer than 15 years of service, the EXT_AMOUNT is zero.

The Savings Management Balances process creates 457 limit extension records only if the employee had 457 balances in the previous year or, if this is the first year of enrollment in a 457 plan, after the first payroll is confirmed and the process is run. The records produced are identified as Limit Type 457, Limit Extension Type A. The EXT_AMOUNT is used in the calculation of the three-year catch-up. It is empty until the employee is eligible for the catch-up. You must manually enter the EXT_AMOUNT. The amount entered is the employee's underutilized amount that is applicable.

Note: PeopleSoft software requires you to maintain your own records for underutilization. When an employee is enrolled in more than one 457 plan, you must determine which plan's underutilized amount is used.

To monitor 403(b) and 457 plan balances:

1. Run the Calculate 403(b) Extensions process on the Savings Management Balances page (RUNCTL_SV403B) to create the initial 403(b) row. The process creates the initial row on the Savings Management page and is identified with a limit type of 402(g). The Exception Reason A — Catch Up Extend Limit is reflected.

The SavingsManagement row for 457 plans is identified with a limit type of 457. The Exception Reason A — Catch Up Extend Limit is reflected.

2. Make any adjustments that should be considered during the 403(b) calculation process using the 403(b) Adjustments page.
3. After each confirmed payroll period, run the Calculate 403(b) Extensions process on the Savings Management Balances page (RUNCTL_SV403B) to update balances for both the 403(b) and 457 plans.
4. Review employee contributions and deferrals on the 403(b) Adjustments page for 402(g) Catch-up Limit Extension Type.
5. Review 403(b) error messages.

This process creates a single 403(b) record for employees in PeopleSoft Payroll for North America. If an employee has fewer than 15 years of service, the extension amount is 0. If an employee has 15 years or more of service, the extension amount is equal to the 402(g) Annual Cap Expansion amount on the 403(b) Limit Table.

The process deselects the Ext Election (extend election) check box. If the election extension check box is selected at year-end, the process deselects it when run in the subsequent year.

Related Links

[Setting Up Limits for Qualified Savings Plans](#)

Calculate 403(b) Extensions Page

Use the Calculate 403(b) Extensions page (RUNCTL_SV403B) to calculate the company's or an individual employee's balances for service years, eligible earnings, and projected limits for the current or next year.

Employees don't need to be enrolled in the 403(b) Savings Plan to run the Balance process. This process updates the Savings Management page.

Navigation

Benefits, Monitor Savings Pln Extensions, Calculate 403(b) Extensions, Calculate 403(b) Extensions

Image: Calculate 403(b) Extensions page

This example illustrates the fields and controls on the Calculate 403(b) Extensions page. You can find definitions for the fields and controls later on this page.

Chk Point Interval in Minutes (check point interval in minutes)

Because this is a long process, you can indicate how often the system should save or update information to the database during the process.

In case of unexpected system failure, this could limit the amount of reprocessing that the system would have to repeat.

Restart Employee ID and Process to Restart

The system enters the last successful employee ID processed if the process did not successfully finish.

The Calculate 403(b) Extensions process deselects the *Ext Election* (extension election) field on the Limit Exceptions grid. You can manually reset this value.

The 402(g) catch-up record is generated for all employees in PeopleSoft Payroll for North America. If the employee has 15 or more years of service, the extension amount is supplied with the 402(g) cap expansion from the 403(b) Limit Table. If the employee has fewer than 15 years of service, the extension amount is zero.

The 457 catch-up record is produced only for employees in PeopleSoft Payroll for North America with a 457 record in the prior year or 457 deductions in the current year.

Identifying Age-50 Extensions

This section provides an overview of the Age-50 extension and describes how to run the Age-50 process.

Page Used to Identify Age-50 Extensions

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Identify Age-50 Extensions	BN_AGE50_RUNCTL	Benefits, Monitor Savings Pln Extensions, Identify Age-50 Extensions, Identify Age-50 Extensions	<ul style="list-style-type: none"> Identify employees in PeopleSoft Payroll for North America who are age 50 or more. Create Age-50 limit extension records that increase the 402(g) Savings Plan limits, 457 Savings Plan limits, or both for elective deferrals as authorized by IRS regulations. <p>You run the process separately for each limit type. For each limit type, a single Age-50 extension record is produced for each year for each eligible employee in PeopleSoft Payroll for North America. The process changes the election to <i>ON</i> by default.</p>

Understanding Age-50 Extension

The Age-50 process produces two types of age 50 limit extension types. The process assumes that an eligible employee has elected the age-50 extension. The election can be manually deselected on the Savings Management page.

- Select the 402(g) option for 401(k) plans, 403(b) plans, or both. One record with Limit Type 402, Limit Extension Type B is produced for any employee in PeopleSoft Payroll for North America who is age 50 or more by the date specified on the run control.
- Select the 457 option for 457 plans. One record with Limit Type 457, Type B is produced for any employee in PeopleSoft Payroll for North America who is age 50 or more by the date specified on the run control.

The Identify Age-50 Extensions process:

- Identifies the employees in PeopleSoft Payroll for North America who are age 50 or more.
- Creates Age-50 limit extension records that increase the 402(g) Savings Plan limits, the 457 Savings Plan limits, or both for elective deferrals as authorized by IRS regulations. The limit extension records are displayed on the Limit Exceptions grid.
- Always selects the Ext Election (extend election) field on the Limit Exceptions grid. This value can be reset manually.

Age-50 records can be added manually. For employees who are not eligible for either a catch-up or Age-50 extension, the added record can be used to enter either of the following override amounts:

- 402(g) limit amount override amount on the Age-50 402(g) row.

- 457 limit amount override amount on the Age-50 457 row.

To set up the Age 50 catch-up for an employee through the Savings Management table, enter the employee ID and add the extension limit (for example, \$5500). Alternatively, run the Identify Age 50 Extensions process for the appropriate annual cap expansion amount.

Running the Age-50 Process

Use the Identify Age-50 Extensions page (BN_AGE50_RUNCTL) to you run the process separately for each limit type.

For each limit type, a single Age-50 extension record is produced for each year for each eligible employee in PeopleSoft Payroll for North America. The process changes the election to ON by default.

Navigation

Benefits, Monitor Savings Pln Extensions, Identify Age-50 Extensions, Identify Age-50 Extensions

Image: Identify Age-50 Extensions page

This example illustrates the fields and controls on the Identify Age-50 Extensions page. You can find definitions for the fields and controls later on this page.

As Of Date

Enter the last day of the calendar year for which the Age-50 extension applies.

402(g) Limit and 457 Limit

Select the limit extension that you want to run.

Two separate runs are required to produce each type of the Age-50 limit extension.

Annl Cap Expsn (annual cap expansion)

Enter the amount specified by the IRS.

Managing Savings Plan Limits

This section provides an overview of Managing Savings Plan Limits and discusses how to:

- Review and update savings plan limits.
- Monitor 403(b) balances.
- Monitor 457 catch-up limit extensions.

Pages Used to Manage Savings Plan Limits

Page Name	Definition Name	Navigation	Usage
Savings Management	SAVINGS_MGT_EE	Benefits, Enroll in Benefits, Savings Management	Review and update savings plan limits. Manage the 402(g), 457 and 401(a) limit extensions and overrides for 401(k), 403(b), and 457 Savings Plans. Suspend savings plan contribution.
403(b) Adjustments	SAVINGS_MGT_EE_SP1	Click the 403(b) link on the Savings Management page.	Monitor 403(b) balances. Review the year-to-date (YTD) and life-to-date (LTD) balances from the 403(b) process, enter contributions made at another company, and review an employee's contribution amount for each applicable limit.
457 Adjustments	SAVINGS_MGT_EE_SP2	Click the 457 link on the Savings Management page.	Monitor the 457 catch-up limit extension and election for 457 plans.

Understanding Managing Savings Plan Limits

Use the Savings Management page to:

- Review 402(g) and 457 limit extension amounts.
- Manually enter 402(g), 457, and 401(a) limit extension amounts.
- Override limits.
- Manage hardship withdrawals.

Review 402(g) and 457 Limit Extension Amounts

Two processes are used to automatically generate 402(g) and 457 limit extension amounts. The processes can be run for a company's employee population or for an individual employee. These are the processes:

- The Calculate 403(b) Extensions process generates *both* the 402(g) catch-up records *and* the 457 catch-up records when it runs.
- The Identify Age-50 Extension process generates *either* the 402(g) age extension *or* the 457 age-50 extension.

These system-calculated limits appear in the Limit Extensions group box on the Savings Management page.

Manually Enter 402(g), 457, and 401(a) Limit Extension Amounts

You can manually enter 401(a), 402(g), and 457 limit extension amounts by adding a row to the Limit Extensions group box on the Savings Management page. Enter the company, calendar year, limit type, and exception reason.

- If you select 401(a) as the limit type, the system automatically supplies Adjust Eligible Earnings YTD as the exception reason and you enter the adjustment amount.
- If you select 402(g) as the limit type and *A*, *B*, or *H* as the exception reason, enter the adjustment amount.

Note: Use the 403(b) link to review and adjust data related to the catch-up limit.

- If you select 457 as the limit type and *A* or *B* as the exception reason, enter the adjustment amount.

Note: Use the 457 link to review and adjust data related to the catch-up limit.

Managing Overrides

The override capability on the Savings Management page affects only those deductions that are subject to the 402(g) or 457 limits. The override function works like this for the two limits:

- The EXT_ELECTION must be selected (ON) for the override to be recognized.
- When the Age-50 process or the Calculate 403(b) Extensions process is run for a subsequent year, the override amount will be cleared.
- The override fields on the SAVINGS_MGT_EE records are used instead of the 402(g) regular/extended limit, the 457 regular/extended limit, or both.
- If the employee is *not* age 50, the client can manually add an Age-50 record to enter an override.

Multiple Expenses

- You cannot use the 403(b) catch-up and the Age-50 403(b) extension in the same year. If an extension exists on both 402 Limit Type records, the sum of the extensions will apply to 403(b) plans.
- You cannot use the 3-year 457 catch-up and the Age-50 457 extension in the same year. If a value is in the Extension Override, then this value is used; otherwise, the limit is calculated as the *higher* of the three-year catch-up amount and the age-50 extension amount.

Example: Determining 3-Year Catch-Up Limit for 403(b) Plan

The following example illustrates limit determination when both 403(b) limit extension type records are selected. The example uses the following parameters:

- Calendar Year 2006
- 403(b) 15 Year Catch up extension \$3,000
- Age-50 extension \$5,000
- 402(g) Maximum Yearly Deduction \$15,000

The 403(b) 15 Year catch up and the Age-50 extension can be used in the same year if the employee is eligible. If an extension exists on both 402 Limit Type records, the sum of the extensions applies to 403(b) plans. If the employee qualifies for both extensions then $(15,000 + 5,000 + 3,000) = \$23,000$.

Example: Determining the 3-Year Catch-Up Limit for 457 Plans

The following example illustrates limit determination when both 457 limit extension type (catch-up) records are selected. The example uses the following parameters:

- Calendar Year: 2006
- 457 Limit: \$15,000
- Max. Annual 457 Catch-up: \$30,000
- Age-50 extension: \$5,000
- Employee's Underutilized 457 Amount: \$10,000

To determine the 3-Year Catch-up Limit, the system:

1. Determines the lesser of:
 - Regular 457 Limit + Underutilized Amount (for example, $\$15,000 + \$10,000 = \$25,000$)
 - Max. Annual 457 Catch-up (\$30,000)
2. Determines the higher of the 3-Year Catch-up Limit versus the Age-50 Catch-up Limit:
 - The result from Step 1 (for example, \$25,000) (This record is represented by LIMIT_TYPE 402, LIMIT_EXT_TYPE A.)
 - Regular 457 Limit + Age 50 extension (for example, $\$15,000 + \$5,000 = \$20,000$) (This record is represented by LIMIT_TYPE 402, LIMIT_EXT_TYPE B.)
3. The higher record, \$25,000, in Step 2 is the override that is used when both catch-up records are selected.

Manage Hardship Withdrawals

IRS regulations require that employee contributions be suspended for a period following a hardship withdrawal from a qualified savings plan. To set the parameters for the employee contribution's suspension due to hardship, use the Contribution Suspension group box on the Savings Management page.

Use the 402(g) limit type with the Hardship - Reduce Limit exception reason to adjust the 402(g) limit following a hardship withdrawal. Regulations require that the 402(g) dollar limit be reduced by the amount contributed to the plan by the participant prior to the hardship withdrawal.

Savings Management Page

Use the Savings Management page (SAVINGS_MGT_EE) to review and update savings plan limits.

Manage the 402(g), 457 and 401(a) limit extensions and overrides for 401(k), 403(b), and 457 Savings Plans. Suspend savings plan contribution.

Navigation

Benefits, Enroll in Benefits, Savings Management

Image: Savings Management page

This example illustrates the fields and controls on the Savings Management page. You can find definitions for the fields and controls later on this page.

Savings Management
Carmichael Espinosa

Person ID: KU0015

Limit Exceptions											
*Company	*Calendar Year	*Limit Type	*Exception Reason	Description	Extension Election	Adjustment Amount	Limit Amount Override	403(b) Adjust	457 Adjust	Updated on	User ID
GBI	2002	402(g)	B	Age 50 - Extend Limit	<input checked="" type="checkbox"/>	1,000.00				10/29/2002	SYS
GBI	2006	402(g)	A	Catch Up - Extend Limit	<input type="checkbox"/>			403(b) Adjust			
GBI	2006	402(g)	H	Hardship - Reduce Limit	<input type="checkbox"/>						
GBI	2006	457	A	Catch Up - Extend Limit	<input type="checkbox"/>				457 Adjust		
GBI	2006	457	B	Age 50 - Extend Limit	<input type="checkbox"/>						
GBI	2006	401(a)	E	Adjust Eligible Earnings YTD	<input type="checkbox"/>						

Contribution Suspension									
Ben Record	*Plan Type	Benefit Plan	*Start Date	*End Date	Before Tax	After Tax	NonTaxable BTax Benefit	NonTaxable Benefit	Taxable Benefit
0					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Limit Exceptions

Company

Select the company.

Calendar Year

Select the calendar year.

Limit Type

Select the limit type you want to adjust. Choose from:

- 401(a)
- 402(g)
- 457

Exception Reason and Description

Select the reason for the exception. Choose from:

- *A*: This option is available if you select *402(g)* or *457* in the Limit Type field. The Description field is automatically populated with *Catch Up Extend Limit*.
- *B*: This option is available if you select *402(g)* or *457* in the Limit Type field. The Description field is automatically populated with *Age 50 Extend Limit*.
- *E*: This option automatically appears if you select *401(a)* in the Limit Type field. The Description field is automatically populated with *Adjust Eligible Earnings Year to Date*.

To give flexibility in controlling the eligible earnings, the Limit Type *401(a)* Adjust Eligible Earnings YTD amount can be used to adjust the eligible earnings accumulated in a positive or negative amount. The special accumulators used to define eligible earnings for the purpose of measuring the *401(a)* limit begin accumulating at the start of the calendar year regardless of whether the employee is contributing.

- *H*: This option is available if you select *402(g)* in the Limit Type field. The Description field is automatically populated with *Hardship Reduce Limit*.

Extension Election

If applicable, select this check box.

Adjustment Amount (extension amount)

For *403(b)* plans, the amount displayed here represents the 15-year catch-up extension amount as generated by the *403(b)* process. This field is used as an extension to the *402(g)* limit when you select the Extension Election check box. The system uses the lesser of the following three:

- The amount entered in the *402(g)* Annual Cap Expansion field located on the *403(b)* Limit Table.
- The amount entered in the *402(g)* Lifetime Cap Expansion field located on the *403(b)* Limit Table minus the amount in the Life-To-Date *402(g)* Cap Used field displayed on the *403(b)* Balances page.
- The employee's years of service multiplied by the amount entered in the *402(g)* Per Year Max Average field located on the *403(b)* Limit Table, minus the amount in the Life-To-Date Employee Elective Deferrals *402(g)* field displayed on the *403(b)* Balances page.

For *457* plans, the underutilized *457* plan amount for previous years is entered here. The amount represents the three-year catch-up extension amount.

Limit Amount Override

The override amount entered here is used instead of the *402(g)* limit or extended *402(g)* limit if the Ext Election option is selected. It will apply to only *403(b)* plans.

Updated On

The system enters a date when new information is saved.

User ID

The system identifies the user who updated the information.
Options are:

- *SYS*, which indicates that either the Age-50, 403(b), or 457 processes created the record.
- *<user ID>*, which indicates that a user manually added the row.

Contribution Suspension**Ben Record (benefit record) Plan Type, and Benefit Plan**

Select the desired plan type and benefit plan for which contributions should be suspended.

Start Date and End Date

Indicate the dates through which to suspend the contributions.
Indicate the tax class for which to suspend contributions.

Before Tax

Select to suspend the employee's before tax contribution.

After Tax

Select to suspend the employee's after tax contribution.

NonTaxable Btax Benefit

Select to suspend the employer before-tax contribution.

Nontaxable Benefit

Select to suspend the employer after tax contribution.

Taxable Benefit

Select to suspend the employer after-tax contribution.

403(b) Adjustments Page

Use the 403(b) Adjustments page (SAVINGS_MGT_EE_SP1) to monitor 403(b) balances.

Review the year-to-date (YTD) and life-to-date (LTD) balances from the 403(b) process, enter contributions made at another company, and review an employee's contribution amount for each applicable limit.

Navigation

Click the 403(b) link on the Savings Management page.

Image: 403(b) Adjustments page

This example illustrates the fields and controls on the 403(b) Adjustments page. You can find definitions for the fields and controls later on this page.

403(b) Adjustments

Company: GBI Global Business Institute 9999

Calendar Year: 2006

Limit Type: 402(g) Limit

***Exception Reason:** Catch Up - Extend Limit

Balance Information		
	Year-to-Date	Life-to-Date
Elective Employee Deferrals(402(g))	\$0.00	\$0.00
Non-Elective Employee Deferrals	\$0.00	\$0.00
Other Employer Contributions	\$0.00	\$0.00
Total Deferrals	\$0.00	\$0.00
Includible Earnings	\$0.00	
402(g) Cap Extension Used	\$0.00	\$0.00

Balance Adjustments (This Company Only)

Elective Deferrals Adjustment:

Vesting Adjustments:

Non-Elective Deferrals Adjustment

Employer Contribution Adjustment

General / Alternative Election Data (all Applicable Companies)

☐ **Override Service Years Calc**

Service Years YTD:

Service Years LTD:

Employer Contribution Maximum: \$0.00

Termination Date:

Current Year Contribution Subject to 415 Limit: \$0.00

OK

Cancel

Balance Information

The system displays the YTD and LTD balances calculated from the 403(b) process.

Balance Adjustments (This Company Only)

Use to enter employee contributions from a previous employer. The Calculate 403(b) Extensions process uses these figures.

Elective Deferrals Adjustment, Non-Elective Deferrals Adjustment, and Employee Contribution Adjustment Enter the new amounts. Both the Calculate 403(b) Extensions and Pay Calculations processes use these fields.

Vesting Adjustments

Enter the new amount. Both the Calculate 403(b) Extensions and Pay Calculations processes use these fields. A vesting adjustment is considered to be a type of employer contribution,

so it is used along with the Employer Contribution YTD/LTD balance fields and the Employee Contribution Adjustment field.

General / Alternative Election Data (all Applicable Companies)

Override Service Years Calc (override service years calculation)	Select this check box if you need to override the system-calculated Service Years YTD field, the Service Year LTD field, or both displayed on the 403(b) Projections page.
Employer Contribution Maximum	This is the amount entered in the Maximum Yearly Deduction field located on the 415(c) Limit Table.
Termination Date (403[b] expected termination date)	If applicable, enter the date.
Current Year Contribution Subject to 415 Limit	This is the year-to-date deductions that are subject to the 415(c) limit.

457 Adjustments Page

Use the 457 Adjustments page (SAVINGS_MGT_EE_SP2) to monitor the 457 catch-up limit extension and election for 457 plans.

Navigation

Click the 457 link on the Savings Management page.

Image: 457 Adjustments page

This example illustrates the fields and controls on the 457 Adjustments page. You can find definitions for the fields and controls later on this page.

457 Adjustments

Company: GBI Global Business Institute 9999 Calendar Year: 2006

Limit Type: 457 Limit *Exception Reason: Catch Up - Extend Limit

457 Adjustments

Other Employer Deferrals:

Balance Information

457 YTD Deferrals: 457 LTD Deferrals:

OK Cancel

457 Adjustments

This group box appears if you selected 457 in the Savings Plan Type field.

Other Employer Deferrals	The amount deferred to a 457 plan with another employer during the years of service with the current employer.
---------------------------------	--

457 YTD Deferrals and 457 LTD Deferrals

457 year-to-date and life-to-date balances are automatically maintained as part of the 403(b) Extensions process for those employees who are enrolled in both a 457 and 403(b) plan.

Chapter 11

Managing FMLA Plans

Understanding FMLA Plan Administration

The FMLA (Family Medical Leave Act) protects benefits and job restoration for employees who take a leave from work to care for themselves or family members. This law went into effect August 5, 1993, and contains provisions regarding employer coverage, employee eligibility and entitlement, notice and certification, continuation of health benefits, and job restoration.

Before you can actively manage FMLA information for your workforce, you must first activate FMLA Administration and then define your organization's FMLA plans. Use the Installation Table and the FMLA Plan Table to perform these steps. You also need to associate FMLA plans with benefit programs, using the Benefit Program Table.

After setting up your plans, use their parameters to determine employee eligibility and to calculate available FMLA leave.

Related Links

[Setting Up FMLA Plans](#)

[Building Benefit Programs](#)

Entering FMLA Leave Requests

This section describes how to:

- Request employee leaves.
- Monitor leave requests.

Page Used to Enter FMLA Leave Requests

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Request/Authorize/Track Leave - Request	FMLA_LV_REQUEST1	Benefits, Track FMLA (Family Medical Lv), Request/Authorize/Track Leave, Request	Request employee leaves for FMLA.

Request/Authorize/Track Leave - Request Page

Use the Request/Authorize/Track Leave - Request page (FMLA_LV_REQUEST1) to request employee leaves for FMLA.

Navigation

Benefits, Track FMLA (Family Medical Lv), Request/Authorize/Track Leave, Request

Image: Request/Authorize/Track Leave - Request page

This example illustrates the fields and controls on the Request/Authorize/Track Leave - Request page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Request' tab of the FMLA Leave system. At the top, the user 'Antonio Santos' and 'Person ID: KU0010' are shown. Below this, the 'FMLA Request ID' is 001. The 'Request' section contains several input fields: '*Request Date' (03/01/2000), '*Return Date' (07/15/2000), 'Time Requested' (16), and '*Leave Reason' (Employee Illness). There are also radio buttons for 'Expected/Open' (selected) and 'Actual/Completed'. Other fields include 'Begin Date' (03/15/2000), 'Time Requested (Units)' (Days), and 'Leave Type' (Irregular Intermittent). The 'Approval' section shows 'Approval Status' (Approved), 'Reason Denied', 'Approver' (KU0011), 'Apprvl Dt' (03/01/2000), and 'Leave Canceled On'.

FMLA Request ID

Displays an initial default value of *NEW*.

When the request is saved, the system assigns a unique FMLA request ID. IDs are assigned sequentially from 001 to 999 within each employee record.

Note: If you are processing employees with multiple jobs, FMLA Administration does not use the employee record number. The system tracks FMLA leave requests by individual employees rather than by job.

Request

Request Date

Enter the date on which the employee made the FMLA-protected leave request.

Begin Date

Enter the first day of the employee's requested leave.

The system uses the begin date for any record that requires an effective date. Because eligibility and entitlement can be affected when the begin date is edited, you cannot edit it after the request's approval status changes to approved or denied, or after the request has been completed or canceled.

To change the begin date after one of these events has taken place, change the approval status back to open, edit the begin date, recalculate eligibility and entitlement with the Leave

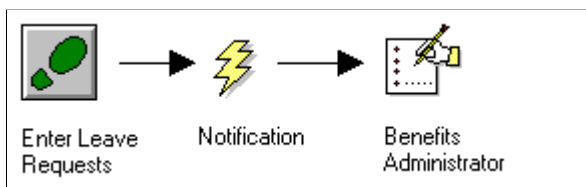
	Request Eligibility page, and manually adjust the leave schedule.
Return Date	<p>Enter the date that the employee is scheduled to return from leave.</p> <p>The return date is not the day of FMLA protected leave, but rather the first day of work after the leave is completed.</p> <p>Select <i>Expected/Open</i> if the request is still pending or in process.</p> <p>Select <i>Actual/Completed</i> when the employee actually returns from leave.</p>
Time Requested	For reference only.
Leave Reason	Select the appropriate leave reason. You can add more valid reasons through the PeopleTools Data Designer.
Leave Type	<p>Indicate the regularity of the requested leave.</p> <ul style="list-style-type: none"> • <i>Continuous</i> leave is unbroken full-day leave. • <i>Irregular</i> leave is broken, but on a regular schedule, for example, every Thursday and Friday for eight weeks. • <i>Irregular/Intermittent</i> leave involves unspecified times during the planned leave period. <hr/> <p>Note: If you change this field after the leave is completed, the system displays a warning.</p> <hr/>
Approval	
Approval Status	<p>Specify whether the leave request is approved. When you select <i>Approved</i> or <i>Denied</i>, the system automatically populates the Approver and Apprvl Dt (approval date) fields with your employee ID and the current date.</p> <hr/> <p>Note: You can approve requests for employees determined to be ineligible for FMLA coverage.</p> <hr/>
Leave Canceled On	<p>If the employee cancels the leave request before taking any leave, enter the date of this decision.</p> <p>Do not use this field to indicate denial of the request or to record the employee's early return from leave.</p>

Monitoring Leave Requests

Use the Monitor Leave Requests Workflow process to locate new requests and notify the benefits administrator through email. This flowchart illustrates the Monitor Leave Requests Workflow process:

Image: Monitor Leave Requests Workflow

This example illustrates the fields and controls on the Monitor Leave Requests Workflow. You can find definitions for the fields and controls later on this page.



For additional information, see product documentation for *PeopleTools: Workflow Technology*.

Determining FMLA Eligibility

The system uses data from a selected FMLA plan, the leave request, and job and payroll information to determine leave eligibility.

This section discusses how to determine eligibility.

Page Used to Determine FMLA Eligibility

Page Name	Definition Name	Navigation	Usage
Request/Authorize/Track Leave - Eligibility	FMLA_LV_REQUEST2	Benefits, Track FMLA (Family Medical Lv), Request/Authorize/Track Leave, Eligibility	Determine FMLA leave eligibility and entitlement.

Request/Authorize/Track Leave - Eligibility Page

Use the Request/Authorize/Track Leave - Eligibility page (FMLA_LV_REQUEST2) to determine FMLA leave eligibility and entitlement.

Navigation

Benefits, Track FMLA (Family Medical Lv), Request/Authorize/Track Leave, Eligibility

Image: Request/Authorize/Track Leave - Eligibility page

This example illustrates the fields and controls on the Request/Authorize/Track Leave - Eligibility page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Request/Authorize/Track Leave - Eligibility' page for Antonio Santos (Person ID: KU0010). The page has tabs for Request, Eligibility, History, and Activity. The 'Request' tab is active, showing details for Request ID 001, Begin Date 03/15/2000, Return Date 07/15/2000, Reason Empl III, and Status Expected/Open. Below this, the 'Eligibility and Entitlement' section is expanded, showing 'Eligibility Determination' with FMLA Eligible Hours (2092) and FMLA Eligible Serv Weeks (132), both marked as 'Eligible'. The 'Entitlement Determination' section shows 'Date of Last Determination' as 03/01/2000, 'Weeks = Std-Hours' as 12.0 / 480.0, and 'As of Expected Return Date'. The 'Job and Employment Data' section shows 'Original Hire Date' as 09/12/1997, 'Std Hours / Week' as 40.00, and 'Officer Code' as None.

FMLA Plan ID (family medical leave act plan ID) Attach the FMLA leave request to a specific FMLA leave plan. The system uses the parameters defined for the chosen plan to calculate eligibility and entitlement values. You cannot edit the FMLA plan ID after the request has been approved, denied, completed, or canceled.

If you change the FMLA plan ID when the approval status is open and the Eligibility and Entitlement process has been run, the system resets all fields associated with eligibility and entitlement to their default values and displays a warning informing you that eligibility and entitlement must be reexamined.

Note: The default value for this field comes from the employee's benefit program, but you can override it here.

Eligibility and Entitlement

Click to start the eligibility and entitlement processes. These processes use employee job history, the FMLA plan parameters, payroll data, and data on the Request page to populate all of the other fields on the Eligibility page with the exception of the Std Hours Override (standard hours override) field.

Note: The Eligibility and Entitlement button is not available if the prerequisite data for the leave request is not entered in the Request page. It is also unavailable if the request's status is completed, denied, or canceled.

FMLA Eligible Hours

Displays the results of the system's determination of the employee's FMLA eligible hours. The eligible hours are defined as the hours worked during the 52 weeks before the leave effective date. Depending on the rules that you've established for the associated FMLA plan, the system determines the eligible hours using either the employee's job history or Payroll data.

The system selects the Eligible check box if the employee has worked enough hours to be eligible for FMLA protected leave.

Note: The Eligible check boxes are indicators of the system's determination based on the FMLA Plan rules, and are informational only. They have no effect on your ability to approve or deny the request.

FMLA Eligible Serv Weeks (family leave medical act eligible service weeks)

Displays the results of the system's determination of the employee's FMLA-eligible service weeks. FMLA Administration determines service weeks using information from the employee's job history and the rules for the associated FMLA plan. The system selects the Eligible check box if the employee has worked enough service weeks to be eligible for FMLA-protected leave.

As of Requested Begin Dt (as of requested begin date)

Displays the weeks and hours of FMLA protected leave that the employee is entitled to as of the leave's begin date.

To determine whether the request can be approved, compare the values displayed here to the time requested in the employee's FMLA-protected leave request.

As of Expected Return Date

Displays the weeks and standard hours of entitled protected leave remaining for the employee in the current FMLA year as of the leave's expected return date. This value is used only for leaves requested under plans that use a Roll-Backward calendar year.

The Roll-Backward calendar is dynamic, such that a leave request using it might appear to demand denial based on an apparent lack of entitlement; however, the employee in question could gain the necessary entitlement during the course of the leave.

Original Hire Date, Officer Code, and Stnd Hours / Week (standard hours per week)

The Job and Employment Data group box displays basic job and employment information for the employee as of the leave effective date and the last determination of eligibility and entitlement.

Std Hours Override (standard hours override)

The system enters the average number of hours that the employee works per workweek as of the leave effective date in the Std Hours/Week field.

Sometimes system-computed standard hours values are not accurate. Employees often request leave well in advance of their leave's begin date, and part-time employees' weekly hours can be variable.

Enter a new value when appropriate.

Note: This field does not affect eligibility or entitlement—the system uses it only to more accurately convert between the display of weeks and hours.

Related Links

[Setting Up FMLA Plans](#)

Scheduling FMLA Leave

This section describes how to:

- Schedule FMLA leave manually.
- Schedule repeating weekly leaves.
- Designate paid leave days for repeating schedules.

Pages Used to Schedule FMLA Leave

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Request/Authorize/Track Leave - History	FMLA_LV_HISTORY	Benefits, Track FMLA (Family Medical Lv), Request/Authorize/Track Leave, History	Schedule and track FMLA leave.
Schedule Leave Days	FMLA_LV_SCHED_DLG	Click Schedule Leave on the History page.	Create repeating weekly FMLA schedules.
Mark Paid Leave	FMLA_LV_PAID_DLG	Click Mark Paid Leave on the History page.	After creating a repeating schedule, designate specific days as paid leave.

Request/Authorize/Track Leave - History Page

Use the Request/Authorize/Track Leave - History page (FMLA_LV_HISTORY) to schedule and track FMLA leave.

Navigation

Benefits, Track FMLA (Family Medical Lv), Request/Authorize/Track Leave, History

Image: Request/Authorize/Track Leave - History page

This example illustrates the fields and controls on the Request/Authorize/Track Leave - History page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Request/Authorize/Track Leave - History' page for Antonio Santos (Person ID: KU0010). The page has tabs for Request, Eligibility, History, and Activity. The 'Request' tab is active, showing details for Request ID 001, Begin Date 03/15/2000, Return Date 07/15/2000, Reason: Empl III, and Status: Expected/Open. Below this are two summary tables: 'Leave Summary' and 'Entitlement Summary'. The 'Leave Summary' shows 3.6 weeks and 144.0 hours scheduled and taken. The 'Entitlement Summary' shows 12.0 weeks and 480.0 hours as of leave begin, with 8.4 weeks and 336.0 hours remaining today. At the bottom, there is a 'History' table with columns for Week Begin Date, days of the week (Sunday through Saturday), and Total Hrs. The table shows three rows of scheduled leave starting on 03/12/2000, 03/19/2000, and 03/26/2000, each for 8.0 hours. There are also links for 'Schedule Leave' and 'Mark Paid Leave'.

Leave Summary	
Scheduled:	Weeks: 3.6 Hours: 144.0
Taken Today:	Weeks: 3.6 Hours: 144.0

Entitlement Summary	
As of Leave Begin:	Weeks: 12.0 Hours: 480.0
Remaining Today:	Weeks: 8.4 Hours: 336.0

*Week Begin Date	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Total Hrs
03/12/2000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	8.00	<input type="checkbox"/>	8.0
03/19/2000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	8.00	<input type="checkbox"/>	8.0
03/26/2000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	8.00	<input type="checkbox"/>	8.0

Leave Summary

Tracks the weeks and hours of FMLA-protected leave that are scheduled and that have already been taken.

Entitlement Summary

Tracks the total amount of FMLA protected leave that the employee is entitled to (for all leaves, including the current one).

Schedule Leave

Click to create template schedules for repeating leave.

Mark Paid Leave

Click to designate a portion of the FMLA leave as paid leave.

Week Begin Date and Sunday through Saturday

Enter the start date of each scheduled week of FMLA-protected leave and the hours of leave for each leave day.

To specify certain days of leave as paid leave, select the check box for those days. (Some employers require that employees use paid leave before they can use FMLA leave.)

Note: You can use these fields to manually schedule a leave request on a day-by-day basis. You can also use it to adjust a previously scheduled leave. If you use the automatic scheduling capabilities of the Schedule Leave Days and Mark Paid Leave pages (discussed later in this section), keep in mind that the system overwrites previously scheduled days with the template schedules that you design through these pages.

Schedule Leave Days Page

Use the Schedule Leave Days page (FMLA_LV_SCHED_DLG) to create repeating weekly FMLA schedules.

Navigation

Click Schedule Leave on the History page.

Image: Schedule Leave Days page

This example illustrates the fields and controls on the Schedule Leave Days page. You can find definitions for the fields and controls later on this page.

Schedule Leave Days

Scheduling Information							
	Sun	Mon	Tue	Wed	Thu	Fri	Sat
Weekly Template	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Repeat Template From	<input type="text"/>		31	Through	<input type="text"/>		31
Repeat Template For	Every Week <input type="button" value="v"/>						

Current Schedule Summary		
	Weeks	Hours
Entitlement:	12.0	480.0
Scheduled:	3.6	144.0
Unscheduled:	8.4	336.0

OK Cancel

Weekly Template

Enter the number of hours for each day in the schedule.

Repeat Template From and Through

Enter the begin and end dates during which you want the schedule to repeat. The leave request's scheduled begin and return dates are the defaults.

Repeat Template For

Select a time period for the schedule to repeat. If you select an option other than *Every Week*, you can plan the intervening weeks with separate rounds of scheduling.

For example, if you have an employee with four weeks of FMLA leave, you can schedule the first and third weeks with one Schedule Leave Days template that repeats for every other week and starts on the first day of the first week. You can then schedule the second and fourth weeks with another template.

Entitlement

Displays the weeks and hours of FMLA leave that the employee is entitled to.

Scheduled

Displays FMLA leave hours currently scheduled for the employee.

Unscheduled

Displays FMLA leave weeks and hours remaining for the employee. This value can be negative if more hours are scheduled than are available to the employee.

Mark Paid Leave Page

Use the Mark Paid Leave page (FMLA_LV_PAID_DLG) to after creating a repeating schedule, designate specific days as paid leave.

Navigation

Click Mark Paid Leave on the History page.

Image: Mark Paid Leave page

This example illustrates the fields and controls on the Mark Paid Leave page. You can find definitions for the fields and controls later on this page.

Mark Paid Leave

Summary of Paid Leave Accrued			
Plan Type	Description	Accrual Date	Hours Accrued
50	Sick	03/12/2000	2.000000

Mark First Day(s) as Paid Leave

Number of Days: Hour Equivalent:

OK Cancel

Summary of Paid Leave Accrued

Displays the various leave plan types and the hours accrued by the employee.

The accrual date is current as of, and based on, the date when the employee's FMLA leave request was submitted, which means that the Hours Accrued values might be inaccurate by the begin date of the leave.

Mark first (mark first [number of] scheduled days [number of hours] as paid leave)

Enter the number of days to be considered as part of the employee's paid leave.

The system assumes that the paid leave days are substituted only for the beginning of the leave.

Only whole eight-hour days can be marked as paid leave days. The number of scheduled hours represented by those days can be compared with the leave accrual balances, which are always

calculated as hours. When you enter a certain number of days in this field, the number of hours that they represent appears in the (Hrs) box.

When you return to the History page, days that you selected as paid leave days have their check boxes selected.

Tracking FMLA Activity

You can record significant events that affect FMLA leaves, such as employee inquiries, requests for extensions, and the sending and receiving of notices and medical documents. This information is for reference only; it does not affect processing.

Page Used to Track FMLA Activity

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Request/Authorize/Track Leave - Activity	FMLA_LV_ACTIVITY	Benefits, Track FMLA (Family Medical Lv), Request/Authorize/Track Leave, Activity	Log events that affect an FMLA leave.

Request/Authorize/Track Leave - Activity Page

Use the Request/Authorize/Track Leave - Activity page (FMLA_LV_ACTIVITY) to log events that affect an FMLA leave.

Navigation

Benefits, Track FMLA (Family Medical Lv), Request/Authorize/Track Leave, Activity

Image: Request/Authorize/Track Leave - Activity page

This example illustrates the fields and controls on the Request/Authorize/Track Leave - Activity page. You can find definitions for the fields and controls later on this page.

Antonio Santos Person ID: KU0010

Request Find | View All First 1 of 1 Last

Request ID: 001 Begin Date: 03/15/2000 Return Date: 07/15/2000 + -

Reason: Empl III *Status: Expected/Open

Leave Summary				Entitlement Summary			
Scheduled:	Weeks:	3.6	Hours:	144.0	As of Leave Begin:	Weeks:	12.0
Taken Today:	Weeks:	3.6	Hours:	144.0	Remaining Today:	Weeks:	8.4
						Hours:	336.0

Activity Customize | Find | View 1 First 1-3 of 3 Last

*Activity Date	*Activity Type	Follow up Required	Long Description		
05/15/2000	MedCertif	<input checked="" type="checkbox"/>	Requested confirmation of ongoing treatments	[+]	[-]
03/01/2000	MedCertif	<input type="checkbox"/>	Requested physician's certification of E's	[+]	[-]
03/01/2000	NOL Sent	<input type="checkbox"/>		[+]	[-]

Activity Date and Activity Type

More than one activity type can occur on the same date, but only one type can be entered per dated row.

You can add or change activity types with the PeopleTools Data Designer.

Follow Up Required

Specify whether the activity requires follow-up action (as in the case of requests for medical certification, for example).

Note: This is informational. You can create queries against this field to report upcoming events.

Managing Leave Plans

Understanding the Leave Process

The purpose of leave accrual processing is to maintain employee leave balances. When you initiate leave accrual processing, the system calculates the leave accrual award and the resulting leave balance.

To properly process leave accruals, the system needs to obtain information from your payroll system, including hours taken, hours adjusted, hours bought, hours sold, and service hours for each employee involved in the process. If your organization implements PeopleSoft Payroll for North America, the system gets this information from Payroll for North America during the normal run of the process.

The system processes leave accruals depending on how you define your leave accrual plans. You can base how you want employees to earn leave on one of two things: the length of the service they've provided to your organization or the total number of hours they've worked.

You can accrue leave based on length of service without using payroll data because the system refers to the Employment Data Table for each employee's service date. However, if you're planning to accrue leave based on service hours, your system needs to obtain data from your payroll application to determine how many hours a particular employee has worked.

Related Links

[Setting Up Leave Plans](#)

[Understanding the Vacation Buy/Sell Process](#)

Processing Leave Accruals

To process leave accruals:

1. Set up operating parameters for an accrual cycle on the Calculate Periodic Accrual run control page.
2. Run the Leave Accrual process with the Process Scheduler.

Page Used to Process Leave Accruals

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Calculate Periodic Accrual	RUNCTL_ACCRUAL	Benefits, Manage Leave Accruals, Calculate Periodic Accruals, Calculate Periodic Accrual	Set up the operating parameters for an accrual cycle.

Calculate Periodic Accrual Page

Use the Calculate Periodic Accrual page (RUNCTL_ACCRUAL) to set up the operating parameters for an accrual cycle.

Navigation

Benefits, Manage Leave Accruals, Calculate Periodic Accruals, Calculate Periodic Accrual

Image: Calculate Periodic Accrual page

This example illustrates the fields and controls on the Calculate Periodic Accrual page. You can find definitions for the fields and controls later on this page.

Run Control ID

The system prompts you to enter an existing ID or add a new one

Company

Enter the company that you want to process. You can leave this field blank, which means that employees in all companies will be processed for the specified plan type and benefit plan.

Plan Type and Benefit Plan

Enter the plan type and benefit plan that you want to process.

Last Process Date

Displays the date that you last ran the Leave Accrual process for this plan type and benefit plan.

Accrual Process Date

Date upon which you want the leave accrual calculations to be based. The system automatically sets the accrual process date to the current date, but you can change it as long as the date that you enter is later than the last process date.

Process leave accruals for a given company, plan type, and benefit plan for a maximum of once per accrual process date and for a minimum of once per the award frequency that you've defined on the Leave Plan Table. The leave accrued is awarded only once per the award frequency for each employee participating in the plan as of the accrual process date, but all other leave processing—the maintenance of the leave taken, leave adjusted, leave bought, and leave sold balances—takes place each time that you run the Leave Accrual process.

Note: The Leave Accrual process processes all employees who are currently enrolled in the selected plan type and benefit plan. It does not check the employment status of the employee.

For example, suppose your leave balance available is 10 hours, your unprocessed leave taken is 8 hours, your award frequency is the first run of the month, your last process date is November 1, 2003, and you run the Leave Accrual process with an accrual process date of November 15, 2003. In this case, no leave is awarded, but the unprocessed leave taken is moved from unprocessed data to the leave accrual balances and the resulting leave balance available is 2 hours.

Viewing Employee Balances

View the results of leave accrual processing for an employee using the Leave Accrual page. You can print a report. Employees can also view their leave balances through PeopleSoft ePay or the PeopleSoft HCM Portal Pack 9.1 PeopleBook

See *PeopleSoft ePay 9.1 PeopleBook*

See *PeopleSoft HCM Portal Pack 9.1 PeopleBook*.

Page Used to View Employee Balances

Page Name	Definition Name	Navigation	Usage
Review Accrual Balances	LEAVE_ACCRUALS	Benefits, Manage Leave Accruals, Review Accrual Balances, Review Accrual Balances	View employee leave balances.

Review Accrual Balances Page

Use the Review Accrual Balances page (LEAVE_ACCRUALS) to view employee leave balances.

Navigation

Benefits, Manage Leave Accruals, Review Accrual Balances, Review Accrual Balances

Image: Review Accrual Balances page

This example illustrates the fields and controls on the Review Accrual Balances page. You can find definitions for the fields and controls later on this page.

Review Accrual Balances	
Antonio Santos Employee	ID: KU0010 Benefit Record: 0
Leave Accruals Find View All First 1 of 98 Last	
Company: GBI Global Business Institute 9999	Benefit Program: KU1 GBI US Fulltime Benefit Pgm
Plan Type: Sick	Currency: USD
Accrual Date: 12/31/2003	
Accrual Balances - Hours	Service Data
Carried over from previous year: 40.000000	Service Date: 09/12/1997
Earned Year-to-Date: 40.000000	Service Hours:
Taken Year-to-Date:	
Adjusted Year-to-Date:	Unprocessed Data
Bought Year-to-Date:	Hours Taken:
Sold Year-to-Date:	Hours Adjusted:
	Hours Bought:
Accrual Totals	Hours Sold:
Hours Balance: 80.000000	Service Hours:
Hours Value: 712.00	

Accrual Date

The last date that you initiated a leave accrual for this employee.

Accrual Balances - Hours

Carried over from previous year

This field is updated only during the first run of the year.

Earned Year-to-Date

Maintains the accrued leave awarded and is updated only once per award frequency. If you find that you need to modify the earned year-to-date balance, use the earnings code that you defined to adjust the accrual balance on Earnings Table 4.

Taken Year-to-Date, Adjusted Year-to-Date, Bought Year-to-Date, and Sold Year-to-Date

Updated each time the Leave Accrual process is run and values are moved from the corresponding *Unprocessed Data* fields.

Accrual Totals

Hours Balance

The employee's current accrued time available.

The system calculates the Hours Balance by totalling:

(Carried Over from Previous Year) + (Earned Year-to-Date) – (Taken Year-to-Date) + (Adjusted Year-to-Date) + (Bought Year-to-Date) – (Sold Year-to-Date) – (Unprocessed Hours Taken) + (Unprocessed Hours Adjusted) + (Unprocessed Hours Bought) – (Unprocessed Hours Sold).

Hours Value

Current monetary value of the hours balance.

The system calculates the hours value, or value of the unused hours, by multiplying the leave balance by the current hourly rate for hourly employees or the hourly equivalent for salaried employees.

Service Data**Service Date**

The date used for length-of-service calculations when the service unit is defined as *Months*.

Service Hours

The number of hours used for length-of-service calculations when the service unit is defined as *Hours*.

Unprocessed Data

This group box displays the hours that you have not yet processed using the accrual process. These are hours passed from the Payroll Pay Confirmation process since the accrual date.

**Hours Taken, Hours Adjusted,
Hours Bought, and Hours Sold**

Updated each time the Pay Confirmation process is run.

Service Hours

The Pay Confirmation process updates the service hours only when the employee is participating in the leave plan as of the pay end date.

Managing Vacation Buy/Sell Plans

Understanding the Vacation Buy/Sell Process

This section outlines the vacation buy/sell process, which enables employees to buy or sell vacation time.

To process vacation buy/sell earnings:

1. Enroll eligible employees into vacation buy/sell benefit plans using the Vacation Benefits page and enter their vacation buy and sell elections.

Employees normally elect to buy or sell vacation once at the beginning of the plan year. When an employee buys vacation, your PeopleSoft HR system spreads the cost over the plan year, deducting from employee pay based on the frequency that you define on the Deduction Table. The same is true for vacation sell. The system refunds the money over the plan year according to the frequency that you define.

2. Use PeopleSoft Payroll for North America during the first payroll cycle of the plan year to calculate the effect of the buy or sell transaction on the employee's leave balance.
3. Run the Leave Accrual process, which updates employee leave balances with the results of the buy or sell transaction.

Working with Mid-Year Buy or Sell Transactions

In the Manage Base Benefits business process, if an employee elects to enroll in a Vacation Buy/Sell plan at a time other than the beginning of the plan year, you have to calculate the buy or sell amount to be deducted from or added to employee pay, spread out over the remainder of the plan year. Enter this amount in the Vacation Benefits page.

In PeopleSoft Benefits Administration, the system calculates the per-pay-period amount based on the beginning of the plan year. If an employee buys or sells vacation at another time, you need to calculate the buy or sell amount to be deducted from or added to employee pay, spread out over the remainder of the plan year. Then override the amount calculated by the system on the Vacation Benefits page.

Related Links

[Setting Up Vacation Buy/Sell Plans](#)

[Setting Up Deduction Codes](#)

[Understanding the Leave Process](#)

"Understanding the Benefits Administration Preparation Process (*PeopleSoft HCM 9.2 Benefits Administration*)"

Reviewing Vacation Buy/Sell Calculation Results

This section describes how to:

- Review Leave Accrual processing status.
- View the number of vacation hours bought or sold.

Page Used to Review Vacation Buy/Sell Calculation Results

Page Name	Definition Name	Navigation	Usage
Vacation Benefits	VACATION_BENEFIT	Benefits, Enroll In Benefits, Vacation Benefits, Vacation Benefits	Review leave accrual processing status for a specific employee.

Related Links

[Auditing Your Benefit Information](#)

Vacation Benefits Page

Use the Vacation Benefits page (VACATION_BENEFIT) to review leave accrual processing status for a specific employee.

Navigation

Benefits, Enroll In Benefits, Vacation Benefits, Vacation Benefits

Image: Vacation Benefits page

This example illustrates the fields and controls on the Vacation Benefits page. You can find definitions for the fields and controls later on this page.

Vacation Benefits

Antonio Santos Employee ID: KU0010 Benefit Record: 0

Plan Type 90 Vacation Buy

Coverage *Deduction Begin Date: 01/01/2005

Coverage Election ☐ Elect ☐ Waive ☒ Terminate *Election Date: 06/23/2005

Benefit Program: KU1 GBI US Fulltime Benefit Pgm

Benefit Plan: KUVCBY

Vacation Hours: 8.00 **Calculate**

Vacation Buy Amount: 5.93

Vacation Sell Amount:

☐ Vacation Accrual Processed

Option Code:

Vacation Buy or Sell:

Goal Amount: 71.20

Goal Balance: 24.66

Payroll Status: Active

When you enroll an employee, the system deselects the Vacation Accrual Processed check box. Vacation Accrual Processed is selected after payroll has been processed for the employee and the Leave Accrual process has been run.

Viewing the Number of Vacation Hours Bought or Sold

During the first payroll run for the employee after enrollment, PeopleSoft Payroll for North America creates other earnings data for the total vacation bought or sold hours. This data is used for accrual purposes.

You can view the other earnings data on the employee's paysheet in the Manage Payroll Process page. For example, if an employee sells 10 hours of vacation, Payroll for North America creates other earnings data to reflect the 10 hours, using the earnings code that you defined in the Vacation Buy/Sell Table for the vacation sell benefit plan.

Note: You can also see the total number of hours bought and sold using the Benefits Summary page.

Related Links

"Understanding Compensation Rates (*PeopleSoft HCM 9.2: Payroll for North America*)"

Chapter 14

Managing Benefits Billing

Understanding Benefits Billing

You use Benefits Billing to process billing for employees and dependents who are charged directly for benefit plan elections instead of paying through payroll deductions. You can use Benefits Billing for either regular benefits or COBRA benefits.

Prerequisite

Before you begin using the Benefits Billing feature, set up the rules for benefits billing.

Related Links

[Setting Up Benefits Billing](#)

[Understanding the Core Tables for PeopleSoft Manage Base Benefits](#)

Understanding the Billing Calculation Process

The Billing Calculation process creates billing charge records for a selected billing calendar. Each billing charge record contains charge information for an individual plan type and billing period combination.

The system queries the Manage Base Benefits business process tables to determine the coverage that is in effect for the plan type as of the end date of the billing calendar. It passes the coverage code and other relevant data to the Deduction Calculation (DedCalc) programs. The DedCalc programs calculate the billing amount using normal rules, which the billing system then modifies based on the rate qualifier.

Rerunning the Billing Calculation Process

Just as with PeopleSoft Payroll for North America, you can rerun billing calculations repeatedly for a given billing calendar until you print billing statements for that calendar. The system backs out any previously calculated charges as well as any open credit that it applied previously to the charges.

The system cannot reprocess charges for a billing calendar if you have made adjustments to the charges or have applied payments.

Retroactive Processing

When retroactive processing is called for, the Billing Calculation process compares:

- Billing calendar dates.
- The date that billing begins for the plan type.

- The dates of any charge records for the plan type.

The system then generates retroactive billing charge records for all previous billing periods that:

- Have passed since the billing begin date.
- Do not have billing charge records.

Billing Calculation Limitations

The Billing Calculation process calculates payments based on employee enrollments in the Manage Base Benefits business process tables and the rates that are specified in benefit programs. The Billing Calculation process does not:

- Include any offset from credits when calculating deductions.

You enter flat amounts into Billing Enrollment records to simulate the use of credits.

- Calculate imputed income or any other taxable or nontaxable benefit calculations.

The process calculates before-tax and after-tax deduction classifications only.

- Bill benefit programs, leave plans, and vacation buy and sell plans (plan types 01, 5x, and 9x).
- Update any deduction or other payroll balances.

You have to do this manually. Not making these adjustments can affect imputed income reporting, savings plan limit testing, and flexible savings plan (FSA) claims processing.

- Check group limits on life and AD/D insurance plans.

The Billing Calculation process bills only flat-dollar amounts for savings plans, FSA plans, retirement plans, and pension plans (plan types 4x, 6x, 7x, and 8x).

Enrolling Participants

This section discusses how to:

- Locate eligible participants.
- Enroll participants automatically.
- Enroll participants manually.
- Override Benefits Billing parameters for individual enrollees.

Pages Used to Enroll Participants

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Enroll in Billing	BILL_ENROLL	Benefits, Benefits Billing, Manage Acct Status and Balance, Enroll in Billing, Enroll in Billing	Enroll participants manually into the Benefits Billing system and update previous enrollments.
Request Hold/Alternate Address	BILL_EMPL_PARM	Benefits, Benefits Billing, Manage Acct Status and Balance, Request Hold/Alternate Address, Request Hold/Alternate Address	Override Benefits Billing parameters at the individual enrollee level. Set up comments, designate an alternate address, or hold statements for an individual enrollee.

Locating Eligible Participants

A typical Benefits Billing population might include:

- Employees on leave without pay.
- Employees on reduced schedules who do not earn enough to pay for coverage.
- Retirees who continue company-sponsored coverage.
- Terminated employees who are enrolled in COBRA.
- Dependents of employees who are enrolled in COBRA.
- Survivors of deceased employees and retirees.

Note: Dependents of active employees cannot be billed through Benefits Billing. Only dependents who have been given a nonemployee status through COBRA processing or some other means can be enrolled in Benefits Billing.

Enrolling Participants Automatically

If you currently implement PeopleSoft Benefits Administration, you can use Event Maintenance processes to locate employees and dependents who are eligible for Benefits Billing and enroll them into the Benefits Billing system.

You can also use COBRA Administration processes to locate and enroll COBRA participants who are eligible for Benefits Billing.

Related Links

[Understanding COBRA Management](#)

"Defining Event Rules (*PeopleSoft HCM 9.2 Benefits Administration*)"

Enroll in Billing Page

Use the Enroll in Billing page (BILL_ENROLL) to enroll participants manually into the Benefits Billing system and update previous enrollments.

Navigation

Benefits, Benefits Billing, Manage Acct Status and Balance, Enroll in Billing, Enroll in Billing

Image: Enroll in Billing page

This example illustrates the fields and controls on the Enroll in Billing page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Enroll in Billing' page for employee Antonio Santos (ID: KU0010). The page is divided into three main sections: Billing Enrollment Details, COBRA Details, and Deduction Calculation per Billing Period. Each section has a 'Find' button and a 'View All' button. The Billing Enrollment Details section includes a field for *Plan Type. The COBRA Details section includes a field for COBRA Event ID. The Deduction Calculation per Billing Period section includes fields for *Effective Date (06/19/2007), *Billing Status (Active), Event Identification (Manual), *Billing Reason (Manual), and a section for Percent Calculation (checked) and Flat Amount (unchecked). The Percent Calculation section includes fields for Rate Percent (0) and Percent Based On (Employee Rate). The Flat Amount section includes a field for Amount (0.00).

ID

When you first enter the Enroll in Billing page, you are prompted for the ID of the employee whom you want to enroll or update.

You cannot create Benefits Billing enrollment records that cover all of an employee's multiple jobs at once.

Plan Type

You can enter multiple plan types, including:

- Simultaneous active and COBRA billing enrollments.
- Multiple COBRA billing enrollments.

COBRA Event ID

This value is automatically assigned by the COBRA batch process, but can also be entered. The value is 0 for non-COBRA billing.

Effective Date

You can have multiple effective-dated billing records for COBRA and non-COBRA events.

Event Identification

Indicates whether the record was created through Event Maintenance or Open Enrollment. This field is for records created through Benefits Administration processes only.

Billing Status

Controls billing calculations for each plan type. Values are:

Active: You are billing the employee for this plan type.

Hold Billing: Charges are not calculated for this plan type. If you change the status to *Active* after *Hold Billing* has been in effect, the system retroactively calculates charges the next time that you run the Benefits Billing calculation process. While *Hold Billing* is selected for a particular plan type, the system continues to bill for other plan types and continues to produce statements.

Inactive: You are no longer billing for this plan type.

Billing Reason

Identifies the source of the billing entry and the reason that the employee is being billed; for reference only. Values are:

Manual: Indicates that you are entering the billing enrollment record manually.

Arrears: Indicates that the participant cannot gross enough to cover deductions.

If the system has automatically enrolled the employee or dependent into Benefits Billing through Benefits Administration or COBRA batch processes, the system selects either Benefits Administration or COBRA to identify the process by which this enrollment record was entered into the system.

Percent Calculation and Flat Amount

Determine how to modify the deduction calculation for billing purposes.

Select Percent Calculation to use the system deduction calculation routines with either the normal *Employee Rate* or the *Total Rate* to calculate the amount that is owed for each billing period. The system then multiplies the calculated value by the percentage that is entered. The percentage can be more than 100 percent.

Select Flat Amount to add a dollar amount to the amount calculated by the Percent Calculation settings. If the Percent Calculation check box is deselected, the system bills the employee the flat amount for each billing period. The amount can be a negative number.

Related Links

[Setting Up Calculation Rules](#)

Request Hold/Alternate Address Page

Use the Request Hold/Alternate Address page (BILL_EMPL_PARM) to override Benefits Billing parameters at the individual enrollee level.

Set up comments, designate an alternate address, or hold statements for an individual enrollee.

Navigation

Benefits, Benefits Billing, Manage Acct Status and Balance, Request Hold/Alternate Address, Request Hold/Alternate Address

Image: Request Hold/Alternate Address

This example illustrates the fields and controls on the Request Hold/Alternate Address. You can find definitions for the fields and controls later on this page.

Request Hold/Alternate Address

Antonio Santos Person ID: KU0010

Billing Override Parameters Find | View All First 1 of 1 Last

Effective Date: 08/26/2009

Country:

Address: [Edit Address](#)

☐ Hold Billing

☐ Hold Statements

Comment:

[Print Comments](#)

- ☒ On Every Statement
- ☐ Just One Statement

Effective Date

You can enter more than one set of override parameters per employee and order them by effective date.

Hold Billing

Select to halt billing for all plan types.

When you hold billing and run the Benefits Billing calculation process, the system retroactively calculates charges that occurred during the period that the hold was in effect.

Hold Statements

Select to stop printing billing statements for this employee.

Comments

Enter comments that are to be printed on upcoming billing statements for this employee.

Calculating Charges

This section discusses how to:

- Calculate charges automatically.

- Calculate charges manually for individual participants.

Pages Used to Calculate Charges

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Calculate Periodic Charges	BILL_RUNCTL	Benefits, Benefits Billing, Calculate and Review Charges, Calculate Periodic Charges, Calculate Periodic Charges	Calculate charges automatically and create billing charge records for a selected billing calendar.
Enter Manual Charges	BILL_CHRG_ADD	Benefits, Benefits Billing, Calculate and Review Charges, Enter Manual Charges, Enter Manual Charges	Manually post new billing charge records to the system.

Calculate Periodic Charges Page

Use the Calculate Periodic Charges page (BILL_RUNCTL) to calculate charges automatically and create billing charge records for a selected billing calendar.

Navigation

Benefits, Benefits Billing, Calculate and Review Charges, Calculate Periodic Charges, Calculate Periodic Charges

Image: Calculate Periodic Charges page

This example illustrates the fields and controls on the Calculate Periodic Charges page. You can find definitions for the fields and controls later on this page.

Calculate Periodic Charges

Run Control ID: 1 [Report Manager](#) [Process Monitor](#) [Run](#)

Process Date: 08/26/2009

*Billing Period: to

*Payment Due:

*COBRA Payment Due:

Restart Information

Chk Point Interval in Minutes: 0

Billing Processing Phase: R Ready

Restart Position

Empl ID:

Empl Record: 0

Payment ID:

Billing Period

Select the period that indicates the billing calendar for which you want to calculate benefits billing charges.

Note: You can rerun the Billing Calculation process until you run the Statement Print process for that billing period.

Payment Due and COBRA Payment Due Automatically populated with the dates that were defined for this billing calendar. These fields can be modified if necessary.

Enter Manual Charges Page

Use the Enter Manual Charges page (BILL_CHRG_ADD) to manually post new billing charge records to the system.

Navigation

Benefits, Benefits Billing, Calculate and Review Charges, Enter Manual Charges, Enter Manual Charges

Image: Enter Manual Charges page

This example illustrates the fields and controls on the Enter Manual Charges page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Enter Manual Charges' page with the following fields and values:

- Manual Charge Entry** (Section Header)
- *Empl ID:** KU0013 (Daisy Lopez)
- *Billing Period:** 2002 (02/01/2000 to 02/29/2000)
- *Plan Type:** 10 (Medical)
- Benefit Record:** 0
- CBR Evt ID:** (Empty)
- Benefit Program:** B04 (BAS Elig 4)
- Benefit Plan:** BP0201 (TMED)
- Coverage Code:** 1 (Employee Only)
- Deduction Code:** B10-18 (Med Deduct)
- *Sales Tax:** None
- *Date Due:** 02/26/2000
- Date Overdue:** 03/02/2000
- Billing Reason:** Arrears
- Billing Source:** Manual
- Date Entered:** 06/19/2009
- Charge:** 0.00
- Save and Add Next** (Button)

You can use this page to enter a partial charge for an employee's first billing period and start automatic billing for that employee in the next billing period. You can also use this page to enter a partial month billing for the last billing period.

If you enter a Billing Charge record manually for an employee, the Billing Calculation process does not automatically calculate the same billing period's charges for that employee. The Billing Calculation process never deletes manual charge entries, even when the process is rerun for a particular billing period.

Empl ID (employee ID) Enter the ID of the employee who is to be billed.

Billing Period Select the billing period from the billing calendar.

Plan Type

Determine the plan type.

(CAN) Sales Tax

Canadian users can enter charges that represent various Canadian sales taxes that are associated with another benefits charge.

For example, a billing charge for a medical plan may have a Canadian sales tax associated with it. You enter this tax as a separate charge and identify the type of sales tax here.

This field can be used for the Goods and Services Tax (GST), the Provincial Sales Tax (PST), the Provincial Premium Tax (PPT), the Provincial Sales Tax on Insurance (PSTI), and the Harmonized Sales Tax (HST).

Note: You always enter sales tax as a separate charge, because this is the only way that the system can track it. On all Benefits Billing pages dealing with benefits charges, sales tax charges are identified with their sales tax type.

Charge

Enter the total charge for this employee and billing period.

Note: After you save a charge entry record, you cannot directly change the amount of that charge. Any changes must be made through the Adjust Charges page.

Reviewing Charges

This section discusses how to:

- Review individual charge records.
- Review charge details.
- Review charges by billing period.
- Review charges by plan type.

Pages Used to Review Charges

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Charge	BILL_CHRG_VW	Benefits, Benefits Billing, Calculate and Review Charges, Review Charge Details, Charge	View how Benefits Billing charges and payments add up for a selected employee.
Charge Detail	BILL_CHRG_DET	Benefits, Benefits Billing, Calculate and Review Charges, Review Charge Details, Charge Detail	View details of charge actions.

Page Name	Definition Name	Navigation	Usage
Rvw Charge Smry by Bill Period	BILL_CSUM_BY_BPER	Benefits, Benefits Billing, Calculate and Review Charges, Rvw Charge Smry by Bill Period, Rvw Charge Smry by Bill Period	Arranges a participant's charges by billing period.
Rvw Charge Smry by Plan Type (review charge summary by plan type)	BILL_CSUM_BY_PTYPE	Benefits, Benefits Billing, Calculate and Review Charges, Rvw Charge Smry by Plan Type, Rvw Charge Smry by Plan Type	Arranges a participant's charges by plan type.

Charge Page

Use the Charge page (BILL_CHRG_VW) to view how Benefits Billing charges and payments add up for a selected employee.

Navigation

Benefits, Benefits Billing, Calculate and Review Charges, Review Charge Details, Charge

Image: Charge page

This example illustrates the fields and controls on the Charge page. You can find definitions for the fields and controls later on this page.

Charge		Charge Detail	
<u>Daisy Lopez</u>		Person ID:	KU0013
Billing Period:	2001 01/01/2000 to: 01/31/2000	Benefit Record:	0
Plan Type:	AD and D	Charge ID:	5
		CBR Evt ID:	
Benefit Program:	KU1 GBI US FT	Coverage Code:	
Benefit Plan:	KUAD25 ADD 25K	Deduction Code:	KUAD99 AD&D Flat
*Date Due:	01/26/2000	Charge:	2.00
Date Overdue:	01/31/2000	Charge Adjustments:	(+) 0.00
Billing Reason:	Manual Entry	Total Charge:	(=) 2.00
Billing Source:	Automated	Payment:	(-) 0.00
Date Entered:	07/21/2000	Payment Adjustments:	(+) 0.00
Print Period:		Amount Due:	(=) 2.00

Charge ID

A system-generated number that ensures the uniqueness of Billing Charge records.

CBR Evt ID (COBRA event ID)

If this coverage is calculated under COBRA, provides a link to the COBRA event.

Benefit Program, Coverage Code, Benefit Plan, and Deduction Code	Obtained from the participant's Manage Base Benefits business process records. These fields can be used to trace back to the rate tables and calculation rules that created the charge.
(CAN) Sales Tax	Appears if the charge is for Canadian sales tax associated with another benefits charge.
Date Due and Date Overdue	You can modify these dates.
Print Period	Indicates the begin and end dates of the billing calendar during which the statement for this charge was sent.
Charge	The original charge calculated by the batch calculation process or entered in the Charge Entry page.
Charge Adjustments	From the Adjust Charges page.
Payment Adjustments	From the Adjust Payments page.

Note: Participants who are billed for more than one billing period, or who are billed for more than one plan type, employee record number, or COBRA event within a particular billing period, have multiple billing charge records.

Charge Detail Page

Use the Charge Detail page (BILL_CHRG_DET) to view details of charge actions.

Navigation

Benefits, Benefits Billing, Calculate and Review Charges, Review Charge Details, Charge Detail

Image: Charge Detail page

This example illustrates the fields and controls on the Charge Detail page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Charge Detail' page for a participant named Daisy Lopez. The page is divided into several sections:

- Header:** 'Charge' and 'Charge Detail' tabs. Below the tabs, the participant's name 'Daisy Lopez' is displayed, followed by 'Person ID: KU0013'.
- Billing Information:**
 - Billing Period:** 2001 01/01/2000 to 01/31/2000
 - Plan Type:** 22
 - AD and D:** (likely a typo for 'AD and D' or 'AD and D')
 - Benefit Record:** 0
 - Charge ID:** 5
 - CBR Evt ID:** (likely a typo for 'CBR Evt ID')
- Charge Summary:**
 - Charge:** 2.00
 - Charge Adjustments:** 0.00
 - Total Charge:** 2.00
 - Payment:** 0.00
 - Payment Adjustments:** 0.00
 - Amount Due:** 2.00
- Bill Details Table:**

Posting Date	Action Type	Charge	Charge Adjustments	Payment	Payment Adjustments
01/31/2000	Charge	2.00			

Posting Date

Displays the dates on which actions were entered into the system.

For detail records with an action type of *Charge*, the posting date is the last day of the billing cycle.

Action Type

Possible actions include *Charge*, *Charge Adjustment*, *Payment*, and *Payment Adjustment*.

Charge

The amount of the original charge. If the charge is associated with a Canadian sales tax, the sales tax type (GST, PST, PSTI, and so on) is indicated as well.

Rvw Charge Smry by Bill Period Page

Use the Rvw Charge Smry by Bill Period page (BILL_CSUM_BY_BPER) to arrange a participant's charges by billing period.

Navigation

Benefits, Benefits Billing, Calculate and Review Charges, Rvw Charge Smry by Bill Period, Rvw Charge Smry by Bill Period

Image: Rvw Charge Smry by Bill Period page

This example illustrates the fields and controls on the Rvw Charge Smry by Bill Period page. You can find definitions for the fields and controls later on this page.

Rvw Charge Smry by Bill Period

[Daisy Lopez](#)
Person ID: KU0013

Billing Period: 2001 01/01/2000 to: 01/31/2000
Benefit Record: 0

Charge Summary by Bill Period							
Plan Type	Plan Type	Charge ID	COBRA Event ID	Sales Tax Type	Original Charge	Adjustment	Total Charge
10	Medical	1		None	\$123.00	\$0.00	\$123.00
11	Dental	2		None	\$26.00	\$0.00	\$26.00
20	Life	3		None	\$32.50	\$0.00	\$32.50
21	Supplemental Life	4		None	\$6.40	\$0.00	\$6.40
22	AD and D	5		None	\$2.00	\$0.00	\$2.00

(CAN) If the charge is associated with a Canadian sales tax, the sales tax type (GST, PST, PSTI, and so on) is indicated before the Charge column.

Rvw Charge Smry by Plan Type Page

Use the Rvw Charge Smry by Plan Type (review charge summary by plan type) page (BILL_CSUM_BY_PTYPE) to arrange a participant's charges by plan type.

Navigation

Benefits, Benefits Billing, Calculate and Review Charges, Rvw Charge Smry by Plan Type, Rvw Charge Smry by Plan Type

Image: Rvw Charge Smry by Plan Type page

This example illustrates the fields and controls on the Rvw Charge Smry by Plan Type page. You can find definitions for the fields and controls later on this page.

Rvw Charge Smry by Plan Type
 Daisy Lopez Person ID: KU0013

Plan Type: 10 / Fed Employee Health Benefits Benefit Record: 0

Charge Summary by Bill Period							
Billing Period	Begin Date	End Date	Charge ID	COBRA Event ID	Charge	Adjustment	Total Chrg
2001	01/01/2000	01/31/2000	1		123.00	0.00	123.00
2002	02/01/2000	02/29/2000	21		123.00	0.00	123.00
2003	03/01/2000	03/31/2000	16		123.00	0.00	123.00
2004	04/01/2000	04/30/2000	11		123.00	0.00	123.00

(CAN) If the charge is associated with a Canadian sales tax, the system displays the sales tax type (GST, PST, PSTI, and so on) of the charge to the left of the charge amount.

Generating Benefits Billing Statements

This section discusses how to:

- Print and reprint billing statements.
- Establish print IDs for reprinting statements.

Pages Used to Generate Benefits Billing Statements

Page Name	Definition Name	Navigation	Usage
Print Billing Statement	RUNCTL_BEN040	Benefits, Benefits Billing, Create Statements, Print Billing Statement, Print Billing Statement	Print and reprint billing statements.
Reprint Statement	BILL_PRINT	Benefits, Benefits Billing, Create Statements, Reprint Statement, Reprint Statement	Set up print IDs and select employees for reprinting billing statements.

Print Billing Statement Page

Use the Print Billing Statement page (RUNCTL_BEN040) to print and reprint billing statements.

Navigation

Benefits, Benefits Billing, Create Statements, Print Billing Statement, Print Billing Statement

Image: Print Billing Statement page

This example illustrates the fields and controls on the Print Billing Statement page. You can find definitions for the fields and controls later on this page.

Billing Period

Select from the billing calendar.

Statement Date and Date for determining Past Due

Used to determine which charges are overdue. The system totals charges with due dates prior to the as of date and prints the total as the amount overdue. This date has been kept separate because some organizations bill in advance for benefits.

Reprint and Print ID

If you want to reprint statements, select the Reprint check box and enter a print ID. You define reprint report print IDs in the Reprint Statement page, which is explained in the following section.

The printed statements include all plan types that are being billed. They do not differentiate between COBRA and non-COBRA charges, and they don't itemize the charges by an employee's different employee record numbers (if the employee has multiple jobs). The inclusion of a due date on each item allows for separate due dates for COBRA coverage.

Billing statements can also include statement comments. You can use the Billing Parameter page to create global comments that appear on the statements of all employees who are participating in a given billing calendar, and you can use the Employee Override page to compose a statement comment that appears on an individual employee's billing statement.

Note: The system produces statements only for individuals who have active billing enrollments or outstanding balances in the Benefits Billing system. The system does not print statements for employees who are inactive with a zero balance or who have *Hold Statements* selected in the Employee Override page. If a charge that is displayed on the statement is subject to a Canadian sales tax, the system displays the charge's sales tax type (GST, PST, PSTI, and so on) to the left of the charge amount.

Reprint Statement Page

Use the Reprint Statement page (BILL_PRINT) to set up print IDs and select employees for reprinting billing statements.

Navigation

Benefits, Benefits Billing, Create Statements, Reprint Statement, Reprint Statement

Image: Reprint Statement page

This example illustrates the fields and controls on the Reprint Statement page. You can find definitions for the fields and controls later on this page.

Billing Period

Select from the billing calendar.

Empl ID (employee ID)

Select the employees for whom Billing Statements need to be reprinted.

Processing Payments

This section discusses how to:

- Log payments.
- Review payment history.

Pages Used to Process Payments

Page Name	Definition Name	Navigation	Usage
Allocate Payments to Charges	BILL_PAYMENT	Benefits, Benefits Billing, Apply Payments, Allocate Payments to Charges, Allocate Payments to Charges	Log individual payments from a participant and allocate those payments to separate charges.
Review Payments/Details	BILL_PAYMENT_VW	Benefits, Benefits Billing, Apply Payments, Review Payments/Details, Review Payments/Details	Examine charge payment history for a selected participant.

Page Name	Definition Name	Navigation	Usage
Review Summary by Post Date	BILL_PSUM_BY_PTDT	Benefits, Benefits Billing, Apply Payments, Review Summary by Post Date, Review Summary by Post Date	Review payments for a specific participant, arranged by posting date.

Allocate Payments to Charges Page

Use the Allocate Payments to Charges page (BILL_PAYMENT) to log individual payments from a participant and allocate those payments to separate charges.

Navigation

Benefits, Benefits Billing, Apply Payments, Allocate Payments to Charges, Allocate Payments to Charges

Image: Allocate Payments to Charges page

This example illustrates the fields and controls on the Allocate Payments to Charges page. You can find definitions for the fields and controls later on this page.

Posting Sequence

Provided by the system. Enables you to enter multiple payments on the same day.

Form of Payment

You can enter either *Check* or *Cash*, but the interface value can be set automatically only by the Billing Calculation batch process.

Payment

Enter the total payment that the employee provides. The system automatically allocates this payment to the oldest charges. You can redistribute the payment among the participant's various charges manually in the Allocations group box.

Allocation Details

This group box enables you to manually distribute the payment to the various open charges. When a charge is paid in full, it is closed by the system and does not appear the next time that you access the Allocate Payments to Charges page. If, after

allocation of the payment, a charge is *not* paid in full, it remains open for the next payment.

Applied to Open Credit

If payment exceeds the total amount owed, the system posts the excess payment here.

Note: The system does not allow participants to have payments applied to open credit as long as they have unpaid charges.

Note: Any changes to saved payment entries must be made through the Adjust Payments page.

Review Payments/Details Page

Use the Review Payments/Details page (BILL_PAYMENT_VW) to examine charge payment history for a selected participant.

Navigation

Benefits, Benefits Billing, Apply Payments, Review Payments/Details, Review Payments/Details

Image: Review Payments/Details page

This example illustrates the fields and controls on the Review Payments/Details page. You can find definitions for the fields and controls later on this page.

Review Payment/Details

[Daisy Lopez](#)
Person ID: KU0013

Posting Date: 07/21/2000
Posting Sequence: 1
Form of Payment: Check

Entry Date: 07/21/2000
Print Period:
Payment ID: 2

Payment: 150.00
Payment Adjustments: 0.00
Actual Payment: 150.00

Payment Review								
Date Due	Billing Period	Plan Type	COBRA Event ID	Benefit Plan	Covg Cd	Total Charge	Amount Due	Total Amount Paid
01/26/2000	2001	Medical		HMO Plan 2	1	123.00	0.00	123.00
01/26/2000	2001	Dental		Std Dental	1	26.00	0.00	26.00
01/26/2000	2001	Life		Basic Life		32.50	31.50	1.00

Posting Date

The date when the payment was actually applied to the charges.

Entry Date

The date when you entered the payment record into the system.

Print Period

The billing period during which information about this payment appeared on the participant's billing statement.

Payment

The payment amount that was originally entered.

Payment Adjustments

Adjustments made on the Adjust Payments page.

Actual Payment

The amount of the payment that was actually applied against charges. This value is identical to either the Payment or Payment Adjustments value, except in cases in which overpayments were applied to open credit.

Adjusting Charges and Payments

This section discusses how to:

- Make adjustments to charges.
- Make adjustments to payments.

Pages Used to Adjust Charges and Payments

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Adjust Charges	BILL_CHRG_ADJUST	Benefits, Benefits Billing, Make Adjustments, Adjust Charges, Adjust Charges	Adjust charges on existing Billing Charge records.
Adjust Payments	BILL_PAY_ADJUST	Benefits, Benefits Billing, Make Adjustments, Adjust Payments, Adjust Payments	Adjust payments to existing Billing Payment records.
Review Adjustment Summary	BILL_ADJ_SMRY	Benefits, Benefits Billing, Make Adjustments, Review Adjustment Summary, Review Adjustment Summary	View a summary of all adjustments for a participant.

Adjust Charges Page

Use the Adjust Charges page (BILL_CHRG_ADJUST) to adjust charges on existing Billing Charge records.

Navigation

Benefits, Benefits Billing, Make Adjustments, Adjust Charges, Adjust Charges

Image: Adjust Charges page

This example illustrates the fields and controls on the Adjust Charges page. You can find definitions for the fields and controls later on this page.

Adjust Charges

Daisy Lopez Person ID: KU0013

Charge Adjustments					
Find View All First 1 of 1 Last					
Billing Period:	2003	03/01/2000 to	03/31/2000	Benefit Record:	0
Plan Type:	10	Medical		COBRA Event ID:	
Charge:	123.00	Charge Adjustments:	0.00		
Payment:	0.00	Payment Adjustments:	0.00	Net:	123.00

Adjustment Details					
Customize Find First 1 of 1 Last					
Posting Date	Action Type	Charge Amount	Charge Adjustments	Payment Amount	Pmt Adjust
04/30/2000	Charge	123.00			

Post Date: 06/19/2009 31 Adjustment Description:

Post Seq: 1

Adj Amt: 0.00

Note: You can apply only one charge adjustment at a time.

Post Date

Automatically set to today's date, but you can change it.

Post Seq (post sequence)

Assigned by the system. Enables you to schedule more than one charge adjustment for a particular post period.

Adj Amt (adjustment amount)

Enter the amount of the adjustment.

If you increase the charge, the system checks to determine whether the participant has any overpayments allocated to open credits. It applies open credits to the increase in the charge, updates the charge balance, and inserts detail rows showing the allocation of the open credits to the charge.

If you reduce a charge that has already been paid, you end up with an overpayment. The system checks to determine whether the employee has other open charges. If open charges exist, the system requests that you make a payment adjustment. If no open charges exist, the system selects a payment that is allocated to the charge that is being adjusted and automatically creates a payment adjustment that reduces the payment. The system then increases the open credit balance by the amount by which the payment was reduced.

Adjustment Description

Enter the reason for the adjustment.

Adjust Payments Page

Use the Adjust Payments page (BILL_PAY_ADJUST) to adjust payments to existing Billing Payment records.

Navigation

Benefits, Benefits Billing, Make Adjustments, Adjust Payments, Adjust Payments

Image: Adjust Payments page

This example illustrates the fields and controls on the Adjust Payments page. You can find definitions for the fields and controls later on this page.

Adjust Payments

Daisy Lopez
Person ID: KU0013

Posting Date: 07/21/2000 Posting Sequence: 1 Form of Payment: Check
Entry Date: 07/21/2000 Print Period: Payment ID: 2

Payment: 150.00 Payment Adjustments: 0.00 Total Payment: 150.00

Post Date: 06/19/2007 Adjustment Description:
Post Seq: 1 Payment Change: 0.00

Net Adjustment: 0.00

Adjustment Distribution										Customize Find View All		First	1-4 of 20	Last
Date Due	Billing Period	Plan Type	COBRA Event ID	Benefit Plan	Benefit Plan	Covg Cd	Amount Due	Amount Paid	Payment Adjustments					
01/26/2000	2001	Medical		KUHMO2	HMO Plan 2	1	0.00	123.00						
01/26/2000	2001	Dental		KUDEN1	Std Dental	1	0.00	26.00						
01/26/2000	2001	Life		KUBLIF	Basic Life		31.50	1.00						
01/26/2000	2001	Supp Life		KUSL1X	1x Salary		6.40	0.00						

Payment Adjustments

Distribute the total adjustment among the charges.

Post Date

Automatically set to today's date, but you can change it.

Post Seq (post sequence)

Assigned by the system. Enables you to schedule more than one charge adjustment for a particular post period.

Payment Change

Enter the total adjustment.

Net Adjustment

The net adjustment is equal to the payment change if the adjustment increases the payment or if no open credit is associated with the payment.

If the payment adjustment reduces the payment or an open credit exists, the Net Adjustment field represents the amount that is left over when the open credit has been used up, which then has to be subtracted from charges.

The system requires that the value in the Net Adjustment field equal the value in the Net Adjustment Allocated field, except when the total adjustment exceeds the total due on charges.

In this case, as with payments, the system requires that all the charges are paid off, and it applies the remainder to open credit.

Adjustment Distribution

You can redistribute the payment to other charges through the adjustment.

Reviewing Charge and Payment History

You can view a history of all payments, charges, and remaining balances for an employee.

This section discusses how to review employee billing history.

Page Used to Review Charge and Payment History

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Review Employee Balances	BILL_BLNC_SMRY	Benefits, Benefits Billing, Manage Acct Status and Balance, Review Employee Balances, Review Employee Balances	View charges, payments, and grand totals that are associated with an individual employee.

Review Employee Balances Page

Use the Review Employee Balances page (BILL_BLNC_SMRY) to view charges, payments, and grand totals that are associated with an individual employee.

Navigation

Benefits, Benefits Billing, Manage Acct Status and Balance, Review Employee Balances, Review Employee Balances

Image: Review Employee Balances page

This example illustrates the fields and controls on the Review Employee Balances page. You can find definitions for the fields and controls later on this page.

Review Employee Balances

Daisy Lopez

Person ID: KU0013

Grand Totals

Charges:

\$759.60

Payments:

\$150.00

Amount Due:

\$609.60

Employee Balance Review

Customize

Find

View All

First

1-10 of 20

Last

Billing Period	Plan Type	Plan Type	Total Charge	Total Payment	Amount Due
2001	11	Dental	\$26.00	\$26.00	\$0.00
2001	22	AD and D	\$2.00	\$0.00	\$2.00
2004	22	AD and D	\$2.00	\$0.00	\$2.00
2003	10	Medical	\$123.00	\$0.00	\$123.00
2003	11	Dental	\$26.00	\$0.00	\$26.00
2003	20	Life	\$32.50	\$0.00	\$32.50
2002	11	Dental	\$26.00	\$0.00	\$26.00
2002	20	Life	\$32.50	\$0.00	\$32.50
2001	21	Supplemental Life	\$6.40	\$0.00	\$6.40
2004	21	Supplemental Life	\$6.40	\$0.00	\$6.40

The charge and payment values that appear are accounted for in all adjustments previously posted against them. The Net column displays the balance that is still outstanding for each charge and payment combination.

Note: Canadian sales tax types (GST, PST, PSTI, and so on) appear to the left of the charge listing when appropriate.

The Grand Total field adds the Total Charge, Total Payment, and Net columns.

Managing COBRA

Understanding COBRA Management

The system searches the database for COBRA qualifying events that you define and identifies those employees and dependents who are eligible for COBRA benefits. When these qualified beneficiaries are identified, you can retrieve data through the COBRA pages and track the qualifying event, the calculated coverage begin and end dates, and COBRA status for each beneficiary. You can also enter health and flexible spending account (FSA) benefit elections.

To administer COBRA:

1. Perform PeopleSoft HR functions as usual.

For example, terminate employees, retire employees, and record marital status changes. These functions trigger COBRA activity.

2. Run the COBRA process to identify qualifying events and beneficiaries.
3. Generate COBRA notification letters and enrollment forms.
4. Enter COBRA elections or coverage waivers.
5. Manage COBRA benefits for nonemployee COBRA beneficiaries and their dependents.
6. Terminate COBRA enrollment for beneficiaries who lose their coverage due to lack of payment or voluntary termination.

Determining Qualified COBRA Events

This section provides an overview of COBRA events and discusses how to:

- Add, delete, and review COBRA events.
- Override initial and secondary COBRA events.

Page Used to Determine Qualified COBRA Events

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Review COBRA Triggers	COBRA_ACTIVITY	Benefits, Administer COBRA Benefits, Manage Automated Participation, Review COBRA Triggers, Review COBRA Triggers	Add, delete, and review COBRA events.

Understanding COBRA Events

A qualified COBRA event:

- Is defined in the COBRA Event Rules Table.
- Results in a recognized loss of coverage in a health or FSA plan.

In the Manage Base Benefits business process, qualifying events are triggered through PeopleCode. In PeopleSoft Benefits Administration, qualifying events are triggered after Event Maintenance has been finalized. The exception (for both the Manage Base Benefits business process and Benefits Administration) is overage dependent processing. Overage dependent events are identified during the COBRA batch process and are automatically adjusted.

Information about triggered events is transferred to the COBRA Activity table for further analysis during the COBRA process.

Two types of COBRA events exist: initial and secondary. An initial event is the first qualifying event for an employee.

Understanding Initial COBRA Events

The following table shows the initial qualifying COBRA events that PeopleSoft software delivers, the user actions that trigger them, and the potential COBRA beneficiaries of each event.

Qualifying COBRA Event	User Action Trigger	Potential COBRA Beneficiaries
Loss of eligibility due to termination	Employee status changes to <i>Terminated</i> or <i>Suspended</i> .	Employee and dependents
Loss of eligibility due to reduction in hours	Employee status remains <i>Active</i> ; standard hours decrease.	Employee and dependents
Loss of eligibility due to retirement	Employee status changes to <i>Retired</i> .	Employee and dependents
Loss of eligibility due to military leave	<i>Leave of Absence/Military Leave</i> action/ action reason combination is entered for the employee.	Employee and dependents
Death of employee	Employee status changes to <i>Deceased</i> or a Family Status Change/Death action/ action reason combination is entered for an employee.	All dependents
Divorce	Marital status changes to <i>Divorced</i> ; spouse becomes ex-spouse.	Spouse
Marriage of dependent	Dependent marital status changes to <i>Married</i> .	Individual dependent

Qualifying COBRA Event	User Action Trigger	Potential COBRA Beneficiaries
Dependent reaches coverage age limit (overage dependent)	No user action; current age of dependent exceeds maximum dependent age or maximum student dependent age. (The system checks whether the dependent is a student.)	Individual dependent
Employee becomes entitled to Medicare	Medicare entitlement date is entered.	All dependents

Note: According to federal government guidelines, employees who undergo voluntary or involuntary termination for gross misconduct and their spouses are not eligible for COBRA coverage. COBRA Administration does not differentiate between terminations for gross misconduct and other termination types, but you can set up action reason codes and add PeopleCode to perform this function.

Understanding Secondary COBRA Events

Secondary events extend coverage eligibility and are limited to dependents who are currently enrolled in COBRA coverage. A secondary event must meet the following requirements:

- The dependent must currently be enrolled in COBRA coverage as a result of an initial COBRA event.
- The initial COBRA event must be associated with a change to an employee's job status (such as a reduction in hours, termination, or retirement), and the secondary event must involve loss of coverage for the employee's dependent (such as divorce, marriage of dependent, overage dependent, death of employee, or a Medicare entitlement).

The triggers for secondary events are identical to the triggers for initial events, with one exception: If the initial event is a termination and the secondary event is a death, you must use the delivered action/action reason combination of FSC/DEA (family status change/death) to successfully process the event.

Related Links

[Processing Enrollments for Secondary Events](#)

Review COBRA Triggers Page

Use the Review COBRA Triggers page (COBRA_ACTIVITY) to add, delete, and review COBRA events.

Navigation

Benefits, Administer COBRA Benefits, Manage Automated Participation, Review COBRA Triggers, Review COBRA Triggers

Image: Review COBRA Triggers page: Activity tab

This example illustrates the fields and controls on the Review COBRA Triggers page: Activity tab. You can find definitions for the fields and controls later on this page.

Review COBRA Triggers								
COBRA Activity								
Activity		Coverage Override						
*Empl ID	Empl Record	Name	*Event Date	*CBR Action	*Activity Source	Ben Record	BAS Sched ID	BAS Event ID
B-PERMOD101	0	Don Johnson	12/29/2002	TER	Job Data	0		
B-PMIB100	0	Carleen Torres	12/31/2002	TER	BenAdmin	0	BEM109	2
B-PMIBPOI	0	Carlos Torres	12/31/2002	TER	BenAdmin	0	BEM113	2
FDG966	0	Dalal Shannon	02/01/1997	TER	Job Data	0		
FDG969	0	Dennis Shockley	02/01/1997	TER	Job Data	0		
FRG705	0	Sebastian Abel	11/10/1996	TER	Job Data	0		
FRG723	0	Andy Zigler	11/10/1996	TER	Job Data	0		
FRG725	0	Jorge Talevares	01/18/1997	TER	Job Data	0		
FRG726	0	Penny Grieves	01/18/1997	TER	Job Data	0		

Image: Review COBRA Triggers page: Coverage Override tab

This example illustrates the fields and controls on the Review COBRA Triggers page: Coverage Override tab. You can find definitions for the fields and controls later on this page.

Review COBRA Triggers								
COBRA Activity								
Activity		Coverage Override						
*Empl ID	Empl Record	Name	*Event Date	*CBR Action	Coverage Override	Alt Covg Term Date	Coverage As-Of Date	
B-PERMOD101	0	Don Johnson	12/29/2002	TER	<input type="checkbox"/>			
B-PMIB100	0	Carleen Torres	12/31/2002	TER	<input type="checkbox"/>			
B-PMIBPOI	0	Carlos Torres	12/31/2002	TER	<input type="checkbox"/>			
FDG966	0	Dalal Shannon	02/01/1997	TER	<input type="checkbox"/>			
FDG969	0	Dennis Shockley	02/01/1997	TER	<input type="checkbox"/>			
FRG705	0	Sebastian Abel	11/10/1996	TER	<input type="checkbox"/>			
FRG723	0	Andy Zigler	11/10/1996	TER	<input type="checkbox"/>			
FRG725	0	Jorge Talevares	01/18/1997	TER	<input type="checkbox"/>			
FRG726	0	Penny Grieves	01/18/1997	TER	<input type="checkbox"/>			

Activity Tab

CBR Action (COBRA action)

Describes the type of event that took place.

Activity Source

Indicates who or what initiated the event.

Ben Record (benefit record number)

Indicates the benefit record affected by the event.

Coverage Override Tab

Coverage Override	Select when to use an alternate coverage termination date to determine qualified COBRA coverage.
Alt Covrg Term Date (alternate coverage termination date)	The date when coverage was previously terminated. Required when the coverage override flag is selected.
Coverage As-Of Date	The date that coverage should begin if the event is fully qualified. The system sets the COBRA event date if this field is left blank.

Related Links

"Understanding Eligibility Rules (*PeopleSoft HCM 9.2 Benefits Administration*)"

"Understanding Event Rules (*PeopleSoft HCM 9.2 Benefits Administration*)"

"Event Maintenance (*PeopleSoft HCM 9.2 Benefits Administration*)"

Overriding Initial and Secondary COBRA Events

The system assumes that the date of the event and the date that an employee's benefits terminate are the same, which is not always true.

For example, suppose that an employee drops spousal coverage during Open Enrollment and then later gets divorced. The benefit plan must make COBRA coverage available upon receiving notice of the divorce.

Another example is when an employee decides not to return to work from FMLA. COBRA coverage becomes available on the day human resources is notified that the employee is not returning, not on the last day of the FMLA, unless notification is made on the last day of FMLA. The maximum coverage period usually begins on that day also.

For the COBRA process to recognize these events as enabling dependents to become eligible for COBRA benefits, you must enter the event directly into the COBRA Activity Table.

You cannot enter an event unless you have selected Manual Events Allowed in the COBRA Event Rules Table for the COBRA event classification. You may want to add a new COBRA event classification to identify these specific situations. COBRA events triggered through the Manage Base Benefits business process or Benefits Administration can be deleted on the COBRA Activity Table, but not modified. COBRA events that you enter through the Review COBRA Triggers page can be changed.

Running the COBRA Process

This section provides an overview of the COBRA process and describes how to:

- Run the COBRA process.
- Understand COBRA event status.
- Assign qualified COBRA beneficiaries to benefit programs.

- Determine eligible plan types.
- Determine eligible options.
- Calculate COBRA costs for eligible options.
- Process secondary qualified COBRA events.
- View error messages.

Pages Used to Run the COBRA Process

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Qualify Event/Proc Enrollment	COBRA_RUNCTL	Benefits, Administer COBRA Benefits, Manage Automated Participation, Qualify Event/Proc Enrollment, Qualify Event/Proc Enrollment	Run the COBRA process to determine eligibility.
Review Processing Messages	COBRA_MESSAGES	Benefits, Administer COBRA Benefits, Review Processing Results, Review Processing Message, Review Processing Messages	View error messages for the COBRA process.

Understanding the COBRA Process

The COBRA process determines employee and dependent eligibility for COBRA benefit coverage.

For an employee or dependent to become a qualified COBRA beneficiary, the following two requirements must be met:

- The person must have experienced a qualifying event.
- The person must have had health coverage on the day of the qualifying event and have lost coverage.

If these requirements are met, the COBRA process assigns the beneficiary a benefit program and allows that person to elect COBRA health coverage.

Qualify Event/Proc Enrollment Page

Use the Qualify Event/Proc Enrollment page (COBRA_RUNCTL) to run the COBRA process to determine eligibility.

Navigation

Benefits, Administer COBRA Benefits, Manage Automated Participation, Qualify Event/Proc Enrollment, Qualify Event/Proc Enrollment

Image: Qualify Event/Proc Enrollment page

This example illustrates the fields and controls on the Qualify Event/Proc Enrollment page. You can find definitions for the fields and controls later on this page.

COBRA Processing Phase

Displays the phase where processing begins. Possible phases are:

Ready: Ready for processing.

Overage: Processing overage dependents.

Activity: Processing COBRA activity.

Qualify: Processing qualifying events.

Participant: Processing COBRA participants.

Complete: Processing completed.

Process Overage Dependents

Deselect to skip overage-dependent processing during the batch run.

Chk Point Interval in Minutes (check point interval in minutes)

Enter the number of minutes before the process commits information that's been processed so far and updates the information displayed in the Restart Position group box.

If an error occurs, the system restarts the process from the last checkpoint.

Restart Position

Displays the position from which to restart the COBRA process if a system failure occurs.

Note: On the Process Scheduler page, select COBRA Admin & Non Employee to run the COBRA process. This runs both the COBRA Administration and COBRA Non Employee processes. The COBRA Administration process takes the relevant data and puts it into a temporary table. The COBRA Non Employee process writes the data to the correct PERSON table.

Understanding COBRA Event Status

When a COBRA event has been triggered (online or through Benefits Administration), the COBRA process determines whether any employees or dependents have lost health coverage due to the event. The system considers these people to be qualified COBRA beneficiaries.

Each person analyzed by the COBRA process receives three status values:

- Event qualification status
- Initial event status
- Secondary event status

The event qualification status identifies participants who qualify for COBRA. Statuses are:

- Not COBRA Qualified
- COBRA Qualify Error
- COBRA Qualified
- Qualify Pending
- Unprocessed

The initial event status tracks the process of the event in the COBRA cycle; it also marks whether participants have their options prepared and are notified of coverage. Values include:

- Qualify Pending
- Qualified
- Not Qualified
- Options Prepared
- Notified
- Election Entered
- Election Error
- Election Enrolled
- Enrollment Complete

The secondary event status is always Not Qualified unless the COBRA participant has been affected by a secondary event, such as divorce or Medicare entitlement. Other values include:

- Qualify Pending

- Qualified
- Notified
- Coverage Extended

When a participant is enrolled in COBRA coverage through an initial event and a qualified secondary event occurs for all of that participant's plans, the system sets the participant's initial event status to Not Qualified.

In the case of a combination event, which acts as an initial event for certain plans and as a secondary event for other plans, plans for which the combination event acts as a secondary event are set to Not Qualified. Initial event status values for plans for which the combination event acts as an initial event are set to appropriate levels for the current stage of processing.

Status values for initial and secondary events are updated as participants move through the COBRA process.

Related Links

[Processing Enrollments for Secondary Events](#)

Assigning Qualified COBRA Beneficiaries to Benefit Programs

Benefit program assignment differs for COBRA events initiated by the Manage Base Benefits business process and Benefits Administration.

If a COBRA event is initiated by the Manage Base Benefits business process, the system assigns each qualified beneficiary to the benefit program that the employee was enrolled in on the day prior to the COBRA event date.

If the event is processed through Benefits Administration, then the system determines benefit program eligibility for each qualified COBRA beneficiary, using the eligibility parameters from the latest run of Benefits Administration and the qualified beneficiary's ZIP code. If no benefit programs satisfy this eligibility criteria, then the participant is assigned to the benefit program enrolled in on the day prior to the COBRA event date.

Note: This process occurs only for qualified participants who have a COBRA process status of Open For Processing (as opposed to Closed or Void).

Related Links

[Update Event Status Page](#)

"Event Maintenance (*PeopleSoft HCM 9.2 Benefits Administration*)"

Determining Eligible Plan Types

Beneficiaries are eligible for all COBRA-qualified group health plan types that they were enrolled in prior to the COBRA event. This includes all Health (1x) plan types and the Health FSA (60) plan type.

For a plan type within a specific benefit program to be designated as COBRA-qualified, you must select the COBRA Plan check box in the Plan Type and Option page of the Benefit Program Table (BEN_PROG_DEFN) component.

Determining Eligible Options

Eligible options are determined for each qualified plan type.

For employees, spouses, and ex-spouses, eligible options must satisfy the following criteria:

- The benefit plan associated with the options matches the benefit plan of the lost coverage of the employee, spouse, or ex-spouse.
- The coverage code has the COBRA Coverage Set field selected and is less than or equal to the coverage code of the lost coverage of the employee, spouse, or ex-spouse.
- For spouses and ex-spouses, the coverage code selected cannot require a spouse or ex-spouse (Spouse Only Coverage codes).

For all dependents besides spouses and ex-spouses, eligible options must satisfy the following criteria:

- The benefit plan associated with the options matches the benefit plan of the dependent's lost coverage.
- The dependent's coverage code is Employee Only (Dependent Only Coverage).

Note: The Employee Only code is used when the dependent is covered on his or her own and as a dependent of the COBRA participant. The code is used to indicate the coverage of a single person.

Benefits Administration uses a different method to determine option eligibility.

Related Links

"Event Maintenance (*PeopleSoft HCM 9.2 Benefits Administration*)"

Calculating COBRA Costs for Eligible Options

This section describes how to calculate COBRA costs.

To calculate COBRA cost:

1. Access the Benefit Program page.
2. Enter the COBRA percentage amount.

The COBRA process calculates a charge for each option using the sum of the total coverage costs (employee plus employer) multiplied by the surcharge defined here.

3. Enter the COBRA disabled surcharge percentage.

If the COBRA participant is disabled, the COBRA cost for months 19–29 is multiplied by this surcharge.

When you select Waive COBRA Surcharge on the COBRA Event Rules Table page for a specific COBRA event classification, the system does not add the COBRA surcharge or disabled surcharge.

COBRA charges are sent to the COBRA beneficiary through Benefits Billing processes, as long as Benefits Billing is selected in the Products page of the Installation table.

Related Links

[Understanding Benefits Billing](#)

Processing Secondary COBRA Qualifying Events

Although COBRA governmental regulations describe how secondary COBRA qualifying events should be processed, PeopleSoft event rules allow for a different implementation of secondary event processing.

Three fields defined on the Event Rules page are used to process secondary events.

Secondary Event Role	Identifies whether the event can be considered a secondary event.
Second Event Additional Months	Defines by how many months the secondary event extends a COBRA participant's coverage.
Secondary Event Add Mode	Defines whether the additional months extend the coverage 36 months from the coverage begin date of the initial event or add 36 months to the COBRA event date of the secondary event.

Related Links

[Setting Up COBRA Administration](#)

[Processing Enrollments for Secondary Events](#)

Review Processing Messages Page

Use the Review Processing Messages page (COBRA_MESSAGES) to view error messages for the COBRA process.

Navigation

Benefits, Administer COBRA Benefits, Review Processing Results, Review Processing Messages, Review Processing Messages

Benefit Rcd# (benefit record number) The employee benefit record number that was affected by the COBRA event.

CBR Evt ID (COBRA event identification) The identification number assigned to the event by the COBRA process.

Dep/Benef (dependents/beneficiaries) Any relevant dependents or beneficiaries.

Creating Notification Letters

This section describes how to:

- Determine notification recipients.

- Print notification letters for initial events.
- Print notification letters for secondary events.
- Reprint notification letters.

Pages Used to Create Notification Letters

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Create Initial Letter	RUNCTL_CBR001	Benefits, Administer COBRA Benefits, Manage Automated Participation, Create Initial Letter, Create Initial Letter	Print notification letters for initial COBRA events.
Create Secondary Letter	RUNCTL_CBR002	Benefits, Administer COBRA Benefits, Manage Automated Participation, Create Secondary Letter, Create Secondary Letter	Print notification letters for secondary COBRA events.
Reprint Selected Letters	CBR_PRT	Benefits, Administer COBRA Benefits, Manage Automated Participation, Reprint Selected Letters, Reprint Selected Letters	Select parameters for reprinting notification letters.

Related Links

[Processing Enrollments for Secondary Events](#)

"Manage Base Benefit Reports (*PeopleSoft HCM 9.2: Application Fundamentals*)"

Determining Notification Recipients

The qualifying event determines recipients.

- For termination, reduction in hours, and retirement events, the employee and each covered dependent are notified.
- When Medicare entitlement or death of employee events occur, each dependent is notified.
- When divorce or loss of dependent status events occur, the spouse or dependent is notified.

Create Initial Letter Page

Use the Create Initial Letter page (RUNCTL_CBR001) to print notification letters for initial COBRA events.

Navigation

Benefits, Administer COBRA Benefits, Manage Automated Participation, Create Initial Letter, Create Initial Letter

Image: Create Initial Letter page

This example illustrates the fields and controls on the Create Initial Letter page. You can find definitions for the fields and controls later on this page.

The Initial Notification Letter process generates letters for COBRA participants with an initial event status of *Options Prepared* and an event status of *Open For Processing*. Letters include:

- The nature of the qualifying event and the date it occurred.
- The last day of active coverage of the qualified participant's original benefit plans.
- The plan types for which the qualified participant is eligible to receive COBRA coverage.
- The COBRA coverage start and end dates for each plan, and the response date by which the qualified participant must notify you of his or her elections.

Along with each initial notification letter, the system prints a COBRA continuation coverage election form for employees to record their elections.

When an initial notification letter is generated for a qualified COBRA participant, the initial event status is changed to *Notified*. The election end date is calculated for each plan type as 60 days past the latter of the notification date (on the notification letter) or the loss of coverage.

As Of Date	Enter the date for the time period during which you want the letters.
'Reprint' Appears on Letters	Select this check box if you want the word <i>Reprint</i> to appear on the letter.
Reprint ID	Select the ID number associated with the letters that you want reprinted.

Create Secondary Letter Page

Use the Create Secondary Letter page (RUNCTL_CBR002) to print notification letters for secondary COBRA events.

Navigation

Benefits, Administer COBRA Benefits, Manage Automated Participation, Create Secondary Letter, Create Secondary Letter

The process for printing notification letters for secondary events is identical to that for initial events.

The secondary notification letter includes information about how long COBRA coverage is to be extended.

Recipients have their secondary event status changed to *Notified* and their process status changed to *Closed for Processing*.

Reprint Selected Letters Page

Use the Reprint Selected Letters page (CBR_PRT) to select parameters for reprinting notification letters.

Navigation

Benefits, Administer COBRA Benefits, Manage Automated Participation, Reprint Selected Letters, Reprint Selected Letters

Image: Reprint Selected Letters page

This example illustrates the fields and controls on the Reprint Selected Letters page. You can find definitions for the fields and controls later on this page.

Reprint Selected Letters

Print ID: 12

☐ Reprint All Participants

Notification Date

From Date: 02/14/1985 End Date: 11/18/2002

*Empl ID	Name	Ben Record	COBRA Event ID	Dep/Benef	Dependent Name	Reprint all Dependents
KU0116	Martha Stankowski	0	0	00		<input type="checkbox"/>

This page enables you to reprint all letters, or to select specific employees for whom letters are to be reprinted. You can specify a notification date range during which the reprint is to occur.

Entering COBRA Elections and Waivers

This section provides an overview of COBRA elections and describes how to:

- Record COBRA elections and waivers.

- Validate and enroll elections.

Page Used to Enter COBRA Elections and Waivers

Page Name	Definition Name	Navigation	Usage
Participant Elections	COBRA_PARTIC_ENT1	Benefits, Administer COBRA Benefits, Manage Automated Participation, Participant Elections, Participant Elections	Record elections or waivers for COBRA coverage.

Understanding COBRA Elections

Qualified COBRA beneficiaries can:

- Select COBRA for one or more plan types.
- Waive COBRA for all plan types.
- Revoke a waiver for one or more plan types.

Unlike PeopleSoft Benefits Administration, COBRA participants can make enrollment decisions at the plan type level.

Employees and dependents are individually qualified for COBRA coverage, and they can choose to be covered as individuals.

For example, suppose that an employee with Employee plus Spouse coverage terminates employment and loses all regular health coverage. The employee and the spouse both qualify for COBRA coverage. The spouse can select either to be covered individually as a nonemployee (even if the employee doesn't elect coverage), she can continue to be covered under the employee as a dependent, or the spouse may elect Spouse Only coverage (if the terminated employee coverage code is set to *Spouse Allowed*).

When you enter elections for qualified COBRA participants, the system sets their initial event status to *Election Entered*.

Related Links

[Managing Nonemployee COBRA Participants](#)

Participant Elections Page

Use the Participant Elections page (COBRA_PARTIC_ENT1) to record elections or waivers for COBRA coverage.

Navigation

Benefits, Administer COBRA Benefits, Manage Automated Participation, Participant Elections, Participant Elections

COBRA Election

Indicate whether to elect or waive COBRA coverage.

To revoke a waiver of coverage, change the selection from *Waive* to *Elect*. Waivers cannot be revoked after the election end date.

The current date is automatically set as the election, waive, or revoke date, although you can override that value for elections.

Participant Enrollment

Plan Type Enter the elected option choices.

Covrg Begin (coverage begin), Covrg End (coverage end), and Election End Predetermined by the COBRA process.

COBRA Election Elect or waive each plan type.

The election or waive date is automatically set, although you can override the election date.

Provider ID For health plan types. Enter the name of the employee's doctor, an ID number, or any other format that the health provider requires.

Previously Seen Select to indicate that the employee is a current patient of the indicated physician.

When an election has been entered, validated, and enrolled, the election cannot be changed without COBRA reprocessing. After reprocessing, you can add new elections or make election changes if the coverage election date is on or before the coverage election end date and if the elections have not been entered.

Validating and Enrolling Elections

The system reviews plan type, option code, and dependent/beneficiary elections made for qualified COBRA participants when their elections are saved. If all of the plan types for which the participant is eligible reflect either an enrollment election or waiver, the system changes the participant's initial event status to *Enrollment Completed* and the process status to *Closed for Processing*. If some of the plans have not had an entry made, the participant's initial event status changes to *Enrolled* (for the specific plan), and the process status remains *Open for Processing*. In either case, if errors are discovered, the initial event status becomes *Election Error*.

Enrollment in Health or FSA Plans

If the initial election status is Enrollment Completed or Enrolled, you can access health plan information, FSA plan information, or both in the Health Benefits and FSA Benefits pages.

Creation of Non-Employee Status

If a dependent elects individual COBRA coverage or has a qualifying second event, then that nonemployee participant receives a system-generated employee ID.

Notification to Billing System

If Benefits Billing is selected in the Installation Table, the system enrolls validated and enrolled COBRA participants in the Benefits Billing system for elected plan types. The system also sends COBRA surcharges and coverage start and end dates to Benefits Billing.

Validation and Enrollment Error Messages

You can view error messages generated during validation and enrollment either by using the Process Messages page or by running the COBRA Error report. Each time the COBRA validation and enrollment process runs, it deletes error messages from the previous run.

Related Links

[Managing Nonemployee COBRA Participants](#)

[Review Processing Messages Page](#)

[Understanding Benefits Billing](#)

Processing Enrollments for Secondary Events

This section discusses:

- Initial event status and secondary events.
- How to identify differences in individual and dependent coverage processing.
- Combination events.

Understanding Initial Event Status and Secondary Events

When a secondary event is processed, the system reviews the initial event to determine whether its event status is *Closed to Processing* (indicating that the participant has made an election or waived COBRA coverage). If the initial event is not closed, the secondary event's status becomes *Qualified Pending*. The secondary event needs to be reprocessed after the initial event is closed.

This can happen if dependents are covered under an employee's coverage instead of electing independent coverage.

For example, suppose that an employee and her dependents become qualified beneficiaries after she terminates her employment. She enrolls in family coverage for plan types 10 and 11—covering herself, her spouse, and her daughter—and waives plan type 14. As a result, the terminated employee's record is *Closed to Processing*. But the dependents' records remain *Open for Processing* because they have not independently elected or waived coverage.

To close an initial event and reprocess a secondary event:

1. A secondary event, such as a divorce or dependent marriage, occurs.

The HR system processes the personnel action.

The COBRA process evaluates the secondary event and returns a status of *Qualified Pending*.

2. Determine whether the initial event is still *Open for Processing* because the dependent records were not waived or enrolled.
3. Waive or elect options for the dependents and run the COBRA process to close the initial event.
4. Use the Update Event Status page to reprocess the secondary event by changing the reprocess status for the secondary event to *Qualify Event*.

After you run the COBRA process again, the secondary event should be set to *Qualified*.

Related Links

[Update Event Status Page](#)

Identifying Differences in Individual and Dependent Coverage Processing

When secondary events occur, COBRA participants with individual coverage are processed differently from those with dependent coverage.

For participants with individual coverage, a secondary event extends coverage according to the defined secondary event rules. The system deletes the existing termination record, reinserts it into the coverage begin date, and sets an effective date equal to the extended COBRA coverage end date + 1. The COBRA enrollment status is set to *Coverage Extended*.

Participants with dependent coverage are switched to individual COBRA participants, with individual COBRA coverage as of the COBRA event date of the secondary event. The system automatically establishes the participant as a nonemployee in the system and enrolls the participant in employee-only coverage for all plan types that meet this condition. The employee-only coverage is within the same benefit plan under which the participant was covered as a dependent under COBRA. The coverage end date is calculated based on the predefined secondary event rules, and the COBRA enrollment status is set to *Coverage Inserted*.

Understanding Combination Events

A COBRA event can be an initial event for one plan type and a secondary event for another type. For example, suppose that an employee has Employee Plus Spouse coverage for plan types 10, 11, and 14. Then the employee transfers and both the employee and the spouse lose coverage under plan types 11 and 14; they are eligible for 18 months of COBRA coverage for these types, and they elect this coverage.

The employee divorces while the COBRA coverage is still active. The divorce event causes the employee's ex-spouse to lose coverage for plan type 10 (an initial event); the ex-spouse is qualified for 36 months of COBRA coverage under that plan. The divorce is also a secondary event for plan types 11 and 14; the ex-spouse is qualified to have coverage extended for those plans for up to 36 months from the original coverage begin date.

The two events—the initial event for plan type 10 and the secondary event for plan types 11 and 14—progress through the COBRA system independently in terms of notification letters and ongoing status indicators.

Managing COBRA Events

This section describes how to:

- Review COBRA event summaries.
- Adjust and reprocess COBRA events.

Pages Used to Manage COBRA Events

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Review Event Summary	COBRA_EVENT_INQ1	Benefits, Administer COBRA Benefits, Review Processing Results, Review Event Summary, Review Event Summary	Review information about COBRA events and associated beneficiaries.
Update Event Status	COBRA_STATUS1	Benefits, Administer COBRA Benefits, Manage Automated Participation, Update Event Status, Update Event Status	Adjust, reprocess, and void COBRA events.

Review Event Summary Page

Use the Review Event Summary page (COBRA_EVENT_INQ1) to review information about COBRA events and associated beneficiaries.

Navigation

Benefits, Administer COBRA Benefits, Review Processing Results, Review Event Summary, Review Event Summary

Image: Review Event Summary

This example illustrates the fields and controls on the Review Event Summary. You can find definitions for the fields and controls later on this page.

Review Event Summary			
George Mapin		Empl ID: KU0115	Ben Record: 0
Event Status		Find View All First 1 of 1 Last	
CBR Evt ID: 1	Empl Record: 0	Event Process Status: Closed for Processing	
Event Date: 03/01/2000	BAS Evt ID: 1	Event Qualification Status: COBRA Qualified	
Event Class: Termination	BAS Sched ID: KUEM	Event Reprocess Indicator: Normal Processing	
Employee/Dependent Status		Find View All First 1 of 4 Last	
Dep/Benef: 00 George Mapin	Relationship: Employee		
COBRA Election: Elect	COBRA Emplid: KU0115		
Processing Information			
Qualification Status: COBRA Qualified	Initial Event Status: Enrollment Complete		
Process Status: Closed	Secondary Event Status: Not Qualified		
Reproc Indicator: Normal Processing	BAS Assign Status: Program Elig Assign None		
Benefit Program: GBI US Fulltime Benefit Pgm			
▼ Date Information			
Election: 03/15/2000	Notifications Dates		
Waive:	Initial:		Second:
Revoke:	Term Notification:		
Plan Status			
Find View All First 1 of 3 Last			
Plan Type: 10	Covg Election: Elect	Option Code: 34	Benefit Plan: KUMED
Covg Cd: 4	Family	Covg Begin: 03/01/2000	End: 08/31/2001
Event/Status Information			
Process Status: Closed for Processing	Event Type: Initial COBRA Event		
Reprocess Indicator: Normal Processing	Initial Event ID:		
Enrollment Status: Enrolled	Second Event ID:		
▼ Date Information			
Elect: 03/15/2000	Waive:	Term Date:	
Elect End: 04/30/2000	Revoke:	Termination Reason: Not Terminated	

Update Event Status Page

Use the Update Event Status page (COBRA_STATUS1) to adjust, reprocess, and void COBRA events.

Navigation

Benefits, Administer COBRA Benefits, Manage Automated Participation, Update Event Status, Update Event Status

Image: Update Event Status page

This example illustrates the fields and controls on the Update Event Status page. You can find definitions for the fields and controls later on this page.

Update Event Status

Martha Stankowski Empl ID: KU0116

Event Status Find | View All First 1 of 1 Last

Event ID: 1 Event Date: 07/15/2000

Empl Record: 0 Event Class: Overage

Qualification Status: COBRA Qualified Ben Record: 0

*Process Status: Closed for Processing *Reprocess Indicator: Normal Processing

☐ COBRA Event Conflict ☐ BAS Data Change

Employee/Dependent Status Find | View All First 1 of 1 Last

Dep/Benef: 02 Richard Stankowski *Process Status: Closed for Processing

Qualification Status: COBRA Qualified *Reprocess Indicator: Normal Processing

Initial Event Status: Enrollment Complete Second Event Status: Not Qualified

Plan Status Customize | Find | First 1-2 of 2 Last

Plan Type	Event Type	COBRA Election	Initial Event ID	Second Event ID	*Process Status	Enrollment Status	*Reprocess Indicator
10	Initial COBRA Event	Elect			Closed	Enrolled	Normal Processing
11	Initial COBRA Event	Elect			Closed	Enrolled	Normal Processing

Reprocess Indicator

Set to reprocess a COBRA phase for events, participants, or plan types.

To reprocess any level, the process status for that level and any above it must be *Open for Processing*.

You cannot reprocess initial events that have secondary events dependent on them.

At the event level, you can reprocess to *Prepare Options* only if the *Option Prepare* phase has already been completed.

At the participant plan type level, you can select *Elect Options* only when the plan type is Enrolled and the associated event is an initial event.

After choosing a value, run the COBRA process again to reprocess to the selected status.

COBRA Event Conflict

Selected if the system determines that two or more events are in conflict with each other. An example is when an event is entered and processed, and another event is entered with an earlier date than the first event.

The system sends a message to the COBRA administrator to analyze the conflicting events and take any appropriate actions.

Voiding Events, Participants, or Benefit Plans

When you enter *Void Event* in the Reprocess Indicator at the COBRA event level, the system ignores all reprocessing indicators at the lower levels. It voids all COBRA participants and participant plans, and deletes all enrolled COBRA benefits. Notification is sent to the Benefits Billing system to terminate billing for voided participants.

When you enter *Void Event* in the Reprocess Indicator at the COBRA participant level, the result is similar to voiding a COBRA event. The COBRA participant record and all COBRA benefit plan records associated with that participant are deleted. Benefits Billing is notified to terminate billing.

Voiding a COBRA benefit plan associated with an initial event deletes all enrolled COBRA benefit records associated with that plan; Benefits Billing is notified to terminate billing. Voiding a COBRA benefit plan associated with a secondary event readjusts termination dates back to those associated with the initial plan; Benefits Billing is notified to adjust billing.

Qualifying Events

When you enter *Qualify Event* in the Reprocess Indicator at the COBRA event level, all reprocessing indicators at the lower levels are ignored. All enrolled COBRA benefits are deleted.

Preparing and Electing Options

When you set Prepare Options at the event level, if a participant or plan has a Reprocess Indicator setting of *Void Event*, that reprocessing command takes precedence over Prepare Options. For example, if you want to void the COBRA election plan type 10 and prepare options for plan type 11, the system first voids plan type 10 and then prepares options for plan type 11.

Prepare Options reprocessing deletes all of the plan, option, and dependent records associated with that event. Benefits Billing is notified to terminate billing.

Related Links

[Running the COBRA Process](#)

Managing COBRA Benefit Plan and Dependent Information

This section describes how to:

- Change benefit programs.
- View and update health plan elections.
- Enroll dependents in health plans.
- View and update FSA enrollments.

Pages Used to Manage COBRA Benefit Plan and Dependent Information

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Assign to Benefit Program	COBRA_PROG_PARTIC1	Benefits, Administer COBRA Benefits, Enroll in Benefits, Assign to Benefit Program, Assign to Benefit Program	Change benefit program participation for Open Enrollment and Family Status Change events.
Election	COBRA_HEALTH1	Benefits, Administer COBRA Benefits, Enroll in Benefits, Health Benefits, Election	View or update health plan elections and enroll dependents.
FSA Benefits (flexible spending account benefits)	COBRA_FSA	Benefits, Administer COBRA Benefits, Enroll in Benefits, FSA Benefits, FSA Benefits	View or update FSA enrollments.
Name	COBRA_DEP_BENEF1	Benefits, Administer COBRA Benefits, Maintain COBRA Non-Employees, Modify Dependent/Beneficiaries, Name	Add or Update COBRA dependent/beneficiary information.
Address	COBRA_DEP_ADDR	Benefits, Administer COBRA Benefits, Maintain COBRA Non-Employees, Modify Dependent/Beneficiaries, Address	Enter or update COBRA dependent address information.
Personal Profile	COBRA_DEP_BENEF2	Benefits, Administer COBRA Benefits, Maintain COBRA Non-Employees, Modify Dependent/Beneficiaries, Personal Profile	Enter or update COBRA dependent details.

Assign to Benefit Program Page

Use the Assign to Benefit Program page (COBRA_PROG_PARTIC1) to change benefit program participation for Open Enrollment and Family Status Change events.

Navigation

Benefits, Administer COBRA Benefits, Enroll in Benefits, Assign to Benefit Program, Assign to Benefit Program

Image: Assign to Benefit Program page

This example illustrates the fields and controls on the Assign to Benefit Program page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Assign to Benefit Program' page. At the top, the title 'Assign to Benefit Program' is displayed. Below the title, the employee's name 'Antonio Santos' is shown, followed by 'Employee'. To the right, the 'ID' is 'KU0010' and the 'Benefit Record' count is '0'. Below this, there is a section titled 'Benefit Program Participation'. This section includes a search bar with 'Find | View All' and a pagination indicator '1 of 1'. The main content area displays the following information: '*Effective Date:' with a date picker set to '01/01/1999', '*Benefit Program:' with a dropdown menu showing 'KU1' and a magnifying glass icon, and 'Currency Code:' set to 'USD'. The background of the main content area is light blue.

Health Benefits Page

Use the Health Benefits page (COBRA_HEALTH1) to view or update health plan elections and enroll dependents.

Navigation

Benefits, Administer COBRA Benefits, Enroll in Benefits, Health Benefits, Health Benefits

Image: Health Benefits page

This example illustrates the fields and controls on the Health Benefits page . You can find definitions for the fields and controls later on this page.

Health Benefits

George Mapin Employee ID: KU0115 Benefit Record: 0

Plan Type: 10 Medical COBRA Event ID: 1

Coverage

*Coverage Begin Date: 09/01/2001

*Coverage Election: Terminate *Election Date: 03/15/2000

Description: KU1 GBI US Fulltime Benefit Pgm Currency: USD

Benefit Plan:

Coverage Code:

Health Provider ID:

Option: ☐ Previously Seen

Dependent/Beneficiaries				
*ID	Name	Relationship to Employee	Health Provider ID	Previously Seen
02	Mapin, Michelle	Child		<input type="checkbox"/>
03	Mapin, Jonathan	Child		<input type="checkbox"/>

Coverage Begin Date

Automatically set to the date in the Review Event Summary page.

Coverage Election

Displays whether the COBRA health benefit record in view is currently elected or terminated.

Coverage Code

When you exit this field, the system calculates the monthly cost of the benefit.

Health Provider ID

Enter the name of the employee's doctor, an ID number, or any other information that the health provider may require.

Previously Seen

Indicate that the employee is a current patient of the indicated physician. This field becomes available when a health provider ID is entered.

HIPAA Report Date (Emp) (Health Insurance Portability and Accountability Act report date [employee])

Displays the date that the employee's certificate of creditable health coverage was printed if health coverage was terminated.

These certificates are guaranteed to employees by HIPAA of 1996; you can print them by running HIPAA reports.

Note: The certificate of creditable coverage lists all group health coverage that an employee had for the 18 month period prior to the date on which coverage ended.

Dependent/Beneficiaries

ID

Select a dependent ID to add available beneficiaries to the selected COBRA plan.

Health Provider ID

Enter the name of the dependent's doctor, an ID number, or any other information that the health provider may require.

Previously Seen

Select to indicate that the dependent is a current patient of the indicated physician. This check box becomes available when a health provider ID is entered.

FSA Benefits Page

Use the FSA Benefits (flexible spending account benefits) page (COBRA_FSA) to view or update FSA enrollments.

Navigation

Benefits, Administer COBRA Benefits, Enroll in Benefits, FSA Benefits, FSA Benefits

Image: FSA Benefits page

This example illustrates the fields and controls on the FSA Benefits page. You can find definitions for the fields and controls later on this page.

FSA Benefits

[George Mapin](#) Employee ID: KU0115 Benefit Record: 0

Plan Type: 60 Flex Spending Health - U.S. COBRA Event ID: 1

Coverage [Find](#) [View All](#) [First](#) **1 of 1** [Last](#)

*Coverage Election: Election Date: 03/15/2000

Coverage Begin Date: 09/01/2001

Benefit Plan: OptionCd:

Contribution Level	Account Information
Annual Pledge:	FSA Account Status: Terminated
Empl Contribution:	Contributions YTD:

Description: KU1 GBI US Fulltime Benefit Pgm

Use the Coverage Election field to view and change whether the displayed COBRA FSA plan is elected or terminated.

Name Page

Use the Name page (COBRA_DEP_BENEF1) to add or Update COBRA dependent/beneficiary information.

Navigation

Benefits, Administer COBRA Benefits, Maintain COBRA Non-Employees, Modify Dependent/Beneficiaries, Name

Image: Name page

This example illustrates the fields and controls on the Name page. You can find definitions for the fields and controls later on this page.

Managing Nonemployee COBRA Participants

When a COBRA-qualified dependent selects individual coverage after an initial event or undergoes an event that involves a loss of dependent status, that dependent becomes a nonemployee COBRA participant. Nonemployee COBRA participants can declare their own dependents and change benefit programs and plans.

This section describes how to:

- Maintain nonemployee identity and department security information.
- Convert nonemployee individual coverage to dependent coverage.

Note: When you add a nonemployee through COBRA, the nonemployee record may not show in other PeopleSoft HR processes until the Refresh Personal Data process is run.

Pages Used to Manage Non-Employee COBRA Participants

Page Name	Definition Name	Navigation	Usage
Name and Contact	PERSONAL_DATA1	Benefits, Administer COBRA Benefits, Maintain COBRA Non-Employees, Modify Personal Information, Name and Contact	Update COBRA-qualified dependent name and biographical information.

Page Name	Definition Name	Navigation	Usage
Medicare and Job	CBR_PERSONAL_DATA3	Benefits, Administer COBRA Benefits, Maintain COBRA Non-Employees, Modify Personal Information, Medicare and Job	Maintain department security information for nonemployee COBRA participants.

Related Links

"Adding a Person (*PeopleSoft HCM 9.2: Human Resources Administer Workforce*)"

"Understanding Job Data (*PeopleSoft HCM 9.2: Human Resources Administer Workforce*)"

Medicare and Job Page

Use the Medicare and Job page (CBR_PERSONAL_DATA3) to maintain department security information for nonemployee COBRA participants.

Navigation

Benefits, Administer COBRA Benefits, Maintain COBRA Non-Employees, Modify Personal Information, Medicare and Job

Image: Medicare and Job page

This example illustrates the fields and controls on the Medicare and Job page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Medicare and Job' page for Nicole Cage (Person ID: C10002). The page is divided into several sections:

- Medicare Data:** Includes fields for 'Effective Date' (10/11/2004) and 'Date Entitled to Medicare'. Navigation controls like 'Customize', 'Find', 'View All', 'First', '1 of 1', and 'Last' are present.
- Employment Information:** Displays 'Empl Record' (0), 'Benefit Record Number' (0), 'Service Date' (01/01/2001), and 'Organizational Relationship' (POI). It also includes navigation controls.
- Job Information:** A table showing job details:

Effective Date	Business Unit	Department
06/12/2004	GBIBU	11000

Employment Information

During the COBRA processing, automatically populated with the original data of the employee that experienced the COBRA event.

Job Information

Review and update a historical record of the nonemployee participant's business unit and department affiliations.

Converting Nonemployee Individual Coverage to Dependent Coverage

When a spouse or ex-spouse COBRA participant enrolls in individual nonemployee COBRA coverage, the child dependents of the employee are inherited as dependents for the nonemployee.

All nonemployee participants are assigned a unique system-generated employee ID for which the numbering convention is established in the Installation Table. All dependents of the nonemployee participant also have this new COBRA employee ID, along with their original dependent/beneficiary ID.

When a secondary event occurs, however, all of an employee's dependents become independently covered. For example, a former employee elects COBRA coverage for himself, his spouse, and his child. He later dies, which qualifies as a secondary event for the dependents. The spouse and child become nonemployee participants, and are assigned IDs as shown in the following table.

<i>Person</i>	<i>Employee ID</i>	<i>Dependent/Beneficiary ID</i>
Employee (Deceased)	Z001	00
Spouse (Nonemployee)	C00015	01
Child (Nonemployee)	C00016	02

If no further action is taken, the system creates COBRA health benefit records with employee-only coverage for the spouse and dependents, with their COBRA coverage extended to a total of 36 months from the initial event.

However, the spouse can also enroll the children as dependents under her coverage. To change from independent coverage for nonemployees to dependent coverage, follow these steps.

To convert nonemployee independent coverage to nonemployee dependent coverage:

1. Access the COBRA health benefit record for the nonemployee who is remaining independently covered.
2. Change the coverage code to an appropriate coverage code for dependent coverage for the plan types affected and add the dependent IDs to cover.
3. Access the Participation Termination page and create Voluntary Termination records for the dependents who are now covered under the spouse. The termination date for each termination record should be the same date that dependent coverage begins.

Related Links

[Managing COBRA Benefit Plan and Dependent Information](#)

Terminating COBRA Coverage

COBRA can be terminated:

- Automatically, when the COBRA coverage end date is reached.

- Manually, when the COBRA participant fails to pay bills or requests to terminate coverage ahead of the scheduled end date.

This section describes how to:

- Terminate COBRA coverage manually.
- Send termination letters.

Pages Used to Terminate COBRA Coverage

Page Name	Definition Name	Navigation	Usage
Terminate Participant	COBRA_PARTIC_TERM	Benefits, Administer COBRA Benefits, Terminate COBRA Coverage, Terminate Participant, Terminate Participant	Terminate COBRA coverage manually if bills are unpaid or on participant's request.
Create Termination Letter	RUNCTL_CBR003	Benefits, Administer COBRA Benefits, Terminate COBRA Coverage, Create Termination Letter, Create Termination Letter	Send letters to COBRA participants with plans about to expire.

Terminate Participant Page

Use the Terminate Participant page (COBRA_PARTIC_TERM) to terminate COBRA coverage manually if bills are unpaid or on participant's request.

Navigation

Benefits, Administer COBRA Benefits, Terminate COBRA Coverage, Terminate Participant, Terminate Participant

Image: Terminate Participant page

This example illustrates the fields and controls on the Terminate Participant page. You can find definitions for the fields and controls later on this page.

Terminate Participant

George Mapin Empl ID: KU0115 Ben Record: 0 COBRA Event ID: 1

Dep/Benef: 00 George Mapin COBRA Emplid: KU0115

COBRA Election: Elect Election Date: 03/15/2000

Participant Elections							
Plan Type	COBRA Election	Election Date	Coverage Begin Date	Coverage End Date	COBRA Enrollment Status	Termination Date	Termination Reason
10	Elect	03/15/2000	03/01/2000	08/31/2001	Enrolled	<input type="text"/> 31	Not Terminated
11	Elect	03/15/2000	03/01/2000	08/31/2001	Enrolled	<input type="text"/> 31	Not Terminated
60	Elect	03/15/2000	03/01/2000	08/31/2001	Enrolled	<input type="text"/> 31	Not Terminated

For each plan type to terminate, enter the termination date and reason.

When you save this page, the coverage end date of the enrolled plan type is adjusted to the termination date.

Create Termination Letter Page

Use the Create Termination Letter page (RUNCTL_CBR003) to send letters to COBRA participants with plans about to expire.

Navigation

Benefits, Administer COBRA Benefits, Terminate COBRA Coverage, Create Termination Letter, Create Termination Letter

Image: Create Termination Letter page

This example illustrates the fields and controls on the Create Termination Letter page. You can find definitions for the fields and controls later on this page.

Create Termination Letter

Run Control ID: PS [Report Manager](#) [Process Monitor](#) [Run](#)

Start Date:

End Date:

Reprint Parameters

☐ Reprint Letter

☐ 'Reprint' Appears on Letters

Reprint ID:

Termination letters are printed for employees whose coverage ends during the period between the start and end dates.

Related Links

"Generating Form Letters for PeopleSoft Human Resources (*PeopleSoft HCM 9.2: Application Fundamentals*)"

"Manage Base Benefit Reports (*PeopleSoft HCM 9.2: Application Fundamentals*)"

Enrolling Participants in COBRA Coverage Manually

You can bypass the automated COBRA Administration batch process and force the system to enroll employees and dependents into COBRA health benefits.

When you bypass the batch process, participants do not require COBRA-qualifying events in order to be eligible for COBRA benefits. Instead, the system automatically gives all participants enrolled in COBRA coverage through these pages a COBRA event ID of 900.

Note: When you manually enroll an employee or dependent in COBRA coverage, subsequent COBRA processing, such as secondary event processing, is not aware of the manually enrolled COBRA coverage. When you add a nonemployee through COBRA, the nonemployee record may not show in other HR processes until the Refresh Personal Data process is run.

Warning! Because these pages ignore the processing rules that regulate COBRA coverage eligibility, manually process COBRA coverage only after careful consideration.

This section describes how to:

- Change employee dependents to non-employees manually.
- Assign participants to benefit programs manually.
- Enroll participants in benefit plans manually.

Pages Used to Enroll Participants in COBRA Coverage Manually

Page Name	Definition Name	Navigation	Usage
Create COBRA Non-Employee	CBR_MANUAL_ENT1	Benefits, Administer COBRA Benefits, Maintain COBRA Non-Employees, Create COBRA Non-Employee, Create COBRA Non-Employee	Manually establish an employee dependent as a nonemployee in preparation for manually enrolling the dependent into COBRA coverage.
Assign Benefit Program	CBR_MANUAL_PARTIC1	Benefits, Administer COBRA Benefits, Enroll Manually, Assign Benefit Program, Assign Benefit Program	Manually assign an employee or COBRA nonemployee to a benefit program or make changes to that manual assignment over time.
Select Health Benefits	CBR_MANUAL_HEALTH1	Benefits, Administer COBRA Benefits, Enroll Manually, Select Health Benefits, Select Health Benefits	Enroll participants in COBRA health benefits manually.

Related Links

[Managing COBRA Benefit Plan and Dependent Information](#)

[Managing Nonemployee COBRA Participants](#)

"Adding a Person (*PeopleSoft HCM 9.2: Human Resources Administer Workforce*)"

Create COBRA Non-Employee Page

Use the Create COBRA Non-Employee page (CBR_MANUAL_ENT1) to manually establish an employee dependent as a nonemployee in preparation for manually enrolling the dependent into COBRA coverage.

Navigation

Benefits, Administer COBRA Benefits, Maintain COBRA Non-Employees, Create COBRA Non-Employee, Create COBRA Non-Employee

Image: Create COBRA Non-Employee page

This example illustrates the fields and controls on the Create COBRA Non-Employee page. You can find definitions for the fields and controls later on this page.

Empl ID (employee ID) and Empl Record (employee record number)

Enter the ID and employee record number for the employee whom the nonemployee participant is a dependent or beneficiary of.

Dep/Benef (dependent/beneficiary)

Enter the dependent or beneficiary number.

COBRA Event Date

Enter the event date for the nonemployee participant's COBRA enrollment.

Save and Add Next

Click to save the information. A message appears informing you of the COBRA employee ID assigned to this person.

Note the new employee ID for the COBRA nonemployee and click OK.

Assign Benefit Program Page

Use the Assign Benefit Program page (CBR_MANUAL_PARTIC1) to manually assign an employee or COBRA nonemployee to a benefit program or make changes to that manual assignment over time.

Navigation

Benefits, Administer COBRA Benefits, Enroll Manually, Assign Benefit Program, Assign Benefit Program

Image: Assign Benefit Program page

This example illustrates the fields and controls on the Assign Benefit Program page. You can find definitions for the fields and controls later on this page.

Assign Benefit Program			
Douglas Lewis	Employee	ID: KU0001	Benefit Record: 0
Benefit Program Participation			
*Effective Date:	08/26/2009	COBRA Event ID:	900
*Benefit Program:		Currency Code:	

The benefit program is assigned a COBRA event ID of 900.

Select Health Benefits Page

Use the Select Health Benefits page (CBR_MANUAL_HEALTH1) to enroll participants in COBRA health benefits manually.

Navigation

Benefits, Administer COBRA Benefits, Enroll Manually, Select Health Benefits, Select Health Benefits

Image: Select Health Benefits page

This example illustrates the fields and controls on the Select Health Benefits page. You can find definitions for the fields and controls later on this page.

Select Health Benefits								
Douglas Lewis	Employee	ID: KU0001	Benefit Record: 0					
Plan Type								
*Plan Type:		COBRA Event ID:	900					
Coverage								
*Coverage Begin Date:		*Coverage Election:	Elect	*Election Date:	08/26/2009			
Benefit Program:		Benefit Plan:		Currency:				
Coverage Code:		Health Provider ID:		Option:	Previously Seen			
Dependent/Beneficiaries								
*ID	Name	Relationship to Employee	Health Provider ID	Previously Seen				

The health benefit record of the selected participant receives a COBRA event ID of 900.

Coverage Begin Date

Automatically set to the current date.

Coverage Election	Elect or terminate a benefit plan.
Option	The system displays the COBRA option that goes with the selected benefit plan.
Health Provider ID	Enter the name of the employee's doctor, an ID number, or any other format that the health provider may require.
Previously Seen	Select to indicate that the employee is a current patient of the indicated physician. Becomes available when you enter a health provider ID.
HIPAA Report Date (Emp)	Displays the date on which the employee's certificate of creditable health coverage was printed if health coverage was terminated. These certificates are guaranteed to employees by the Health Insurance and Accountability Act of 1996, and you can print them by running HIPAA reports.
<hr/> Note: The certificate of creditable coverage lists all group health coverage that an employee had for the 12-month period prior to the date that coverage ended. <hr/>	
Dependent/Beneficiaries	
ID	Select the dependent ID to add available beneficiaries to the selected COBRA participant/plan type/benefit plan combination.
Relationship to Employee	Identifies the dependent's relationship to the participant.
Health Provider ID	Enter the name of the employee's doctor, an ID number, or any other information that the health provider may require.
Previously Seen	Select to indicate that the employee is a current patient of the indicated physician. Becomes available when you enter a health provider ID.

Processing Retroactive Benefits and Deductions

Understanding Retroactive Benefits and Deductions

A retroactive change to employee or benefit-related data has an effective date prior to the last confirmed pay end date of the employee's pay group. Retroactive changes to benefits and deductions might become necessary for a variety of reasons: late paperwork, data-entry errors, modified union contracts, and changes to employment laws, for example.

The Manage Base Benefits business process retroactive benefits and deduction features flag these events internally and create retroactive benefit/deduction requests that you can view by employee.

Triggers for individual events and mass events are defined differently. The system identifies individual events without any user intervention, while mass event processing occurs only by user request.

Note: Retroactive benefits and deductions features are available only if you also use PeopleSoft Payroll for North America. Payroll for North America processes are used to calculate retroactive benefits and deductions and to load retroactive benefit/deduction totals to payroll.

Triggering Individual Retroactive Benefit/Deduction Requests

Retroactive changes to the following pages and fields trigger PeopleCode to automatically generate an individual retroactive benefit/deduction request:

<i>Application Component</i>	<i>Page</i>	<i>Trigger Fields</i>
Employee Pay Data	General Deduction Data	All fields
Enroll in Benefits	Health Benefits	All fields
Enroll in Benefits	Life AD/D Elections	All fields
Enroll in Benefits	Disability Benefits	All fields
Enroll in Benefits	Vacation Benefits	All fields
Enroll in Benefits	Assign to Benefit Program	All fields
Job Data	Work Location	Effective Date

<i>Application Component</i>	<i>Page</i>	<i>Trigger Fields</i>
Job Data	Compensation	Compensation Rate Frequency Change Amount Change Percent
Job Data	Benefit Program Participation	All fields

Note: With PeopleSoft Benefits Administration, retroactive changes can be made to the preceding pages and fields as a result of open enrollment or event maintenance. System requests for retroactive benefits and deductions are created exactly as if you had made these changes manually.

(USF) Pages That Trigger Individual Retroactive Benefit and Deduction Requests

<i>Application Component</i>	<i>Page</i>	<i>Trigger Fields</i>
Employee Pay Data (USF)	General Deduction Data	All fields
Enroll in Benefits	Health Benefits	All fields
	FEGLI Benefits	All fields
	Assign to Benefit Program	All fields
HR Processing USF	Data Control	Effective Date
	Compensation	Pay Rate Determinant Pay Basis Base Pay FEGLI Base
Current Job	Compensation	Compensation Rate Frequency Change Amount Change Percent
	Benefit Program Participation	All fields

Triggering Mass Retroactive Benefit/Deduction Requests

This section discusses how to:

- Create a mass retroactive benefit/deduction program and define the request.
- Run the Mass Retro Request (mass retroactive request) process.

Page Used to Trigger Mass Retroactive Benefit/Deduction Requests

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Process Mass Retro Deductions (process mass retroactive deductions)	RUNCTL_RTRODEDMASS	Payroll for North America, Retroactive Payroll, Retro Benefits/Deductions, Process and Review Requests, Process Mass Retro Deductions, Process Mass Retro Deductions	Generates mass retroactive benefit/deduction requests.

Related Links

[Setting Up Retroactive Benefits and Deductions](#)

Setting Up Mass Retroactive Benefit/Deduction Programs and Requests

Use the Process Mass Retro Deductions (process mass retroactive deductions) page (RUNCTL_RTRODEDMASS) to set up:

- A mass retroactive benefit/deduction program.
- A mass retroactive benefit/deduction request with selection criteria that involve the benefit program changes.

Related Links

[Setting Up Retroactive Benefits and Deductions](#)

Process Mass Retro Deductions Page

Use the Process Mass Retro Deductions (process mass retroactive deductions) page (RUNCTL_RTRODEDMASS) to generate mass retroactive benefit/deduction requests.

Navigation

Payroll for North America, Retroactive Payroll, Retro Benefits/Deductions, Process and Review Requests, Process Mass Retro Deductions, Process Mass Retro Deductions

Pay Calendar Validation

Select to validate that the selected employees are hired into a confirmed pay calendar before generating a request for them.

Deselect to select employees who have been placed in a special pay group that does not yet have a confirmed pay calendar.

Handling Duplicate Requests

A request that affects the same retroactive benefit/deduction information as a previous request is considered a duplicate request.

The duplicate resolution process differs, depending upon the types of request that are involved (individual or mass) and the status of the initial request.

Individual Requests

When the system encounters duplicate individual requests with different effective dates, it attempts to use the earlier date for processing.

When a duplicate individual request is triggered and the initial individual request is not processed, the system compares the effective dates of the two requests, keeps the request with the earlier effective date, and deletes the request with the later effective date.

If the initial request is calculated, the system compares the effective dates of the two requests, marks the previously calculated request *Not OK to Process*, sets the status to *Invalidated*, sets the Duplicate Flag to *Y* (yes), and gives the new request the earlier of the two effective dates.

Mass Requests

For duplicate mass requests, the system checks the status of the initial request. If it is *Not Processed* or *Calculated*, the system marks both requests *Not OK To Process*. You will have to examine both requests and decide which one to run.

This process is also followed when one of the duplicate requests (either the original or the duplicate) is an individual request, while the other is a mass request.

Related Links

[Reviewing and Updating Calculated Retroactive Benefits and Deductions](#)

Viewing Retroactive Benefit/Deduction Requests

This section discusses how to view retroactive requests.

You can view requests by employee, mass retroactive request ID, effective date, or process status.

Page Used to View Retroactive Benefit/Deduction Requests

Page Name	Definition Name	Navigation	Usage
Retro Ben/Ded Request Summary (retroactive benefit/deduction request summary)	RETRODED_RQST_SUMM	Payroll for North America, Retroactive Payroll, Retro Benefits/Deductions, Process and Review Requests, Retro Ben/Ded Request Summary	View retroactive benefit/deduction requests by employee, mass retro request ID, effective date, or process status.

Retro Ben/Ded Request Summary Page

Use the Retro Ben/Ded Request Summary (retroactive benefit/deduction request summary) page (RETRODED_RQST_SUMM) to view retroactive benefit/deduction requests by employee, mass retro request ID, effective date, or process status.

Navigation

Payroll for North America, Retroactive Payroll, Retro Benefits/Deductions, Process and Review Requests, Retro Ben/Ded Request Summary

Image: Retro Ben/Ded Request Summary page

This example illustrates the fields and controls on the Retro Ben/Ded Request Summary page. You can find definitions for the fields and controls later on this page.

Retro Ben/Ded Request Summary			
Employee ID:	FRG7125	Renee LaPerle	
Employment Record Number:	0		
<div> Retroactive Deduction Requests Summary Find View All First 1 of 1 Last </div>			
Retro Effective Date:	01/01/1993	Process End Date:	09/17/2001
Retro Request Type:	Ben Ded	Mass Request ID:	
Retro Program ID:	KU1	Duplicate Flag:	N
Retro Ded Sequence Number:	123936	Process Flag:	Not Proc
Plan Type:	20	Deduction Code:	
Benefit Plan:	FBASLF		

Retro Effective Date (retroactive effective date) and Process End Date

Displays the date range for which retroactive benefits and deductions will be calculated.

Retro Request Type (retroactive request type)

Displays the action that generated the request. Possible types include:

- *Benefit Deduction*
- *General Deduction*
- *Job Change*
- *Mass Request*

Retro Program ID (retroactive program ID)

The retroactive benefit/deduction program against which the request is validated.

Only one retroactive program ID is defined for individual requests, which are generated online. If the employee was selected through a mass request, the mass request's mass request ID is displayed.

Duplicate Flag

Automatically set to *Y* when a duplicate request is identified.

**Retro Ded Sequence Number
(retroactive sequence number)**

A system-assigned sequence number that links retroactive benefit/deduction requests with actual retroactive benefits and deductions.

Process Flag

Indicates the processing status of the request. Values include:

- *Not processed:* The request has been triggered but no processing has occurred.
- *Extracted:* The request is in the middle of the calculation process.
- *Calculated:* The request has been successfully run through the calculation process.
- *Loaded to Payroll:*
- *Invalidated:* The request is a duplicate individual request.
- *Rejected:* The request cannot be processed because it contains incorrect data.

Plan Type

Appears only for requests that involve changes to general deduction and benefit plan information.

Deduction Code

Appears only for requests that involve changes to general deduction information.

Benefit Plan

Appears only for benefit plan-related requests.

Related Links

[Setting Up Retroactive Benefits and Deductions](#)

Calculating Retroactive Benefits and Deductions

For each pay period after the process start date through the process end date, the retroactive benefit/deduction calculation process determines:

- The original benefit and deduction amounts.
- The retroactive benefit and deduction amounts.

This section discusses how to:

- Run the Retroactive Benefits and Deductions process.
- View error messages.

Pages Used to Calculate Retroactive Benefits and Deductions

Page Name	Definition Name	Navigation	Usage
Calculate Retroactive Ben/Deds (calculate retroactive benefit/deduction)	RUNCTL_RTRODEDALC	Payroll for North America, Retroactive Payroll, Retro Benefits/Deductions, Process and Review Requests, Calculate Retroactive Ben/Deds, Calculate Retroactive Ben/Deds	Run the Retroactive Benefits and Deductions Process. Set up calculation processes for individual and mass retroactive benefit/deduction requests.
Retro Benefit/Ded Messages (retroactive benefit/deduction process)	RETRODED_MESSAGES	Payroll for North America, Retroactive Payroll, Retro Benefits/Deduction, Process and Review Requests, Retro Benefit/Ded Messages, Retro Benefit/Ded Messages	View error messages for the calculation process.

Calculate Retroactive Ben/Deds Page

Use the Calculate Retroactive Ben/Deds (calculate retroactive benefit/deduction) page (RUNCTL_RTRODEDALC) to run the Retroactive Benefits and Deductions Process.

Set up calculation processes for individual and mass retroactive benefit/deduction requests.

Navigation

Payroll for North America, Retroactive Payroll, Retro Benefits/Deductions, Process and Review Requests, Calculate Retroactive Ben/Deds, Calculate Retroactive Ben/Deds

Image: Retro Ben/Ded Calculation page

This example illustrates the fields and controls on the Retro Ben/Ded Calculation page. You can find definitions for the fields and controls later on this page.

Retro Ben/Ded Calculation

Calculate Retroactive Ben/Deds

Run Control ID: 1 [Report Manager](#) [Process Monitor](#) Run

Request Parameters

☐ Individual

Run

☐ All

or

Empl ID:

or

☐ Mass

Run

☐ All

or

Mass Request ID:

Individual and Mass

Select to run an individual or a mass request. You can run all requests or a request that is associated with a specific ID.

To process several individual employees, set up multiple run controls for each, and then run the process several times.

Viewing Error Messages

Use the Retro Benefit/Ded Messages (retroactive benefit/deduction process) page (RETRODED_MESSAGES) to view error messages for the calculation process.

Navigation

Payroll for North America, Retroactive Payroll, Retro Benefits/Deduction, Process and Review Requests, Retro Benefit/Ded Messages, Retro Benefit/Ded Messages

Image: Retro Benefit/Ded Messages page

This example illustrates the fields and controls on the Retro Benefit/Ded Messages page. You can find definitions for the fields and controls later on this page.

Retro Benefit/Ded Messages

Employee ID:

Employment Record Number: 0 Retro Ded Sequence Number: 123908

Company:

Pay Group:

Page Number: 0 Line Number: 0

Pay End Date: 01/01/2000 Separate Check Number: 0

☐ Off Cycle

Messages Find | View All First 1 of 1 Last

Message ID: 008203

Description: Request is rejected. No data available to do Retro Deductions.

Message Data: J

You can select specific sets of error messages on this page. The system prompts you to search for error messages based on aspects of the retroactive benefit/deduction requests involved. For example, you can select error messages for retroactive benefit/deduction requests that have a specific company, pay group, and pay end date combination.

Reviewing and Updating Calculated Retroactive Benefits and Deductions

This section discusses how to review calculation details and override deduction totals.

Pages Used to Review and Update Calculated Retroactive Benefits and Deductions

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Update Retro Ded Calc Results (update retroactive deduction calculation results)	RETRODED_DEDS	Payroll for North America, Retroactive Payroll, Retro Benefits/Deductions, Process and Review Requests, Update Retro Ded Calc Results, Update Retro Ded Calc Results	Review individual line items for each pay end date and override deduction amounts.
Retro Benefit/Ded Calc Summary (retroactive benefit/deduction calculation summary)	RETRODED_SUMMARY	Payroll for North America, Retroactive Payroll, Retro Benefits/Deductions, Process and Review Requests, Retro Benefit/Ded Calc Summary, Retro Benefit/Ded Calc Summary	View summaries of calculation totals.

Update Retro Ded Calc Results Page

Use the Update Retro Ded Calc Results (update retroactive deduction calculation results) page (RETRODED_DEDS) to review individual line items for each pay end date and override deduction amounts.

Navigation

Payroll for North America, Retroactive Payroll, Retro Benefits/Deductions, Process and Review Requests, Update Retro Ded Calc Results, Update Retro Ded Calc Results

Image: Update Retro Ded Calc Results page

This example illustrates the fields and controls on the Update Retro Ded Calc Results page. You can find definitions for the fields and controls later on this page.

Update Retro Ded Calc Results			
Employee ID:	B-R1ADCOV1	Jan retadcov1	
Employment Record Number:	0	Retro Ded Effective Date:	12/31/1998
Retro Ded Sequence Number:	1	Mass Retro Request ID:	
Duplicate Flag:	N	Status:	Not Processed
<div> Retroactive Deduction Data Find View All First 1 of 1 Last </div>			
Company:	Benefit Program:		
Pay Group:	Plan Type:		
Pay End Date:	Benefit Plan:		
Page Number:	0	Coverage Code:	
Line Number:	0	Deduction Code:	
Override Ded Amount:	<input type="text"/>	Deduction Class:	
<input type="checkbox"/> OK To Process		Old Ded Amount:	0.00
<input type="checkbox"/> Off Cycle		New Ded Amount:	0.00
<input type="checkbox"/> Exception		Retro Ded Amount:	0.00

Retroactive Deduction Data

Results are broken up by pay end date and then further by deduction code and deduction classification.

Use the scroll arrow to see calculations for each available combination.

Pay End Date

Derived from the employee's actual pay history, unless none exists. This can happen if the employee is a retroactive hire, for example. In these cases, the pay end date from the appropriate pay calendar is used.

Company, Pay Group, Benefit Program, Plan Type, Benefit Plan, Coverage Code, Deduction Code, Page Number (page number), Line Number (line number), and Off Cycle

Populated with values from the employee's actual pay history.

If the employee has no pay history, the data is obtained from the job row that are effective for the selected record's pay end date.

The page number and line number are set to zero, and the Off Cycle box is deselected.

Old Ded Amount (old deduction amount)

Shows the actual amount that was calculated in the displayed pay period for the displayed deduction code and deduction class.

New Ded Amount (new deduction amount)

Shows the amount that should have been deducted, according to the current data.

Override Ded Amount (override deduction amount)

Enter a new amount to override the value in the New Ded Amount field.

Note: For you to enter a new amount, the request must have reached *Calculation* status, but cannot have reached *Loaded* status.

Retro Ded Amount (retroactive deduction amount)

Displays the difference between the new and old deductions.

Exception

Selected for duplicate requests.

OK To Process

Selected for all requests that aren't duplicates.

Note: Use the scroll arrow to see calculation amounts for each deduction code/deduction classification combination.

Related Links

[Handling Duplicate Requests](#)

Loading Calculations into PeopleSoft Payroll for North America

This section discusses how to:

- Run the payroll load process.
- Process payroll for retroactive benefits and deductions.

Page Used to Load Calculations into Payroll for North America

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Load Retro Deds to Paysheets (load retroactive deductions paysheets)	RUNCTL_RTRODEDPSHT	Payroll for North America, Retroactive Payroll, Retro Benefits/Deductions, Process and Review Requests, Load Retro Deds to Paysheets, Load Retro Deds to Paysheets	Load retroactive benefit/deduction calculations into payroll.

Load Retro Deds to Paysheets Page

Use the Load Retro Deds to Paysheets (load retroactive deductions paysheets) page (RUNCTL_RTRODEDPSHT) to load retroactive benefit/deduction calculations into payroll.

Navigation

Payroll for North America, Retroactive Payroll, Retro Benefits/Deductions, Process and Review Requests, Load Retro Deds to Paysheets, Load Retro Deds to Paysheets

Warning! Before loading retroactive benefits and deductions to payroll, you must run Paysheet Creation to create paysheets with normal pay for this period.

You can load employee retroactive benefit calculations by pay run ID or by company, pay group, and pay end date. You can use either method to process an off-cycle pay run; use the pay run ID method for on-cycle pay runs.

Note: Off-cycle payroll runs are generally used to handle one-time deduction refunds, and the resulting refunds are delivered on separate checks from the regular payroll checks that employees receive. You should process off-cycle retroactive benefits and deductions in conjunction with off-cycle retroactive pay processing. When you load benefits or deductions for a particular retroactive benefit/deduction program to Payroll for North America, the on-cycle or off-cycle selection here must match the selection for the same program on the Retroactive Benefit/Deduction Table.

Use the same pay run ID that you set up in your regular on-cycle or off-cycle pay run. The employees included under the ID must:

- Have already had their retroactive benefits and deductions calculated through the Retroactive Benefit/Deduction Calculation process.
- Have at least one of their calculated benefits and deductions marked *OK To Process* on the Retro Ben/Ded Calc page.

For the company, pay group, or pay end date method, if you leave a field empty, the system processes all appropriate values for that field during the load.

Select Process Terminated Employees to have paysheets created for employees who are receiving refunds and have been retroactively terminated.

For additional information, see product documentation for .

Setting Up Retroactive Benefits and Deductions

PeopleTools: PeopleSoft Process Scheduler

PeopleSoft HCM: Global Payroll US

Processing Payroll for Retroactive Benefits and Deductions

After calculations are loaded, Payroll for North America processes the retroactive benefits and deductions in the next payroll cycle. Retroactive benefits and deductions owed to the employer are collected by way of arrears processing. You can use the arrears processing pages to control how much money is deducted from employee paychecks.

For on-cycle processing, retroactive benefits that are owed to the employee are reimbursed in the next paycheck. If you have used off-cycle processing, refunds are delivered in a separate check.

Note: You can use the Retro Ben/Ded Review page to review the results of the Retroactive Benefit/Deduction Payroll Load process at any time after it has been run. The status of the request is *Loaded to Payroll*.

Related Links

[Setting Up Retroactive Benefits and Deductions](#)

Managing Multiple Jobs

Understanding Multiple Jobs

Many organizations have employees who work in more than one job at the same time. Calculating benefit deductions and determining benefit eligibility requires special considerations. When an employee is hired into another position, use the Add New Assignment page in PeopleSoft HRAdminister Workforce. Each job is assigned an employee record number and a benefit record number.

A benefit record number is used to group several jobs together for benefit purposes. Each employee record number is unique. However, a benefit record number can be assigned to multiple employee record numbers.

- If the new job entitles the employee to a new set of concurrent benefits, use a new benefit record number.
- If the job does not entitle the employee to new benefits, use an existing benefit record number.

Each benefit record number must have a designated primary job. The primary job is used to process benefit information.

- Service and termination dates are pulled from the primary job and used by the deduction processes.
- During deduction processing, the primary job determines when to take a deduction from an employee's check.

Warning! Do not confuse the benefits-related primary job with the primary/secondary job indicator found on the Job Data pages. These are separate fields with very different functionality.

For PeopleSoft Benefits Administration, the primary job is used to:

- Supply company and BAS (Benefits Administration) group data to the processing schedule. If a schedule specifies a particular BAS group ID, then the BAS group ID of the primary job within a benefit record number determines whether that employee's benefit record number is processed by the schedule.
- Determine how credits (additional pay) for benefits are paid.

You need to determine how to group jobs for calculating benefit deductions or determining benefit eligibility. For example, suppose a professor has three jobs:

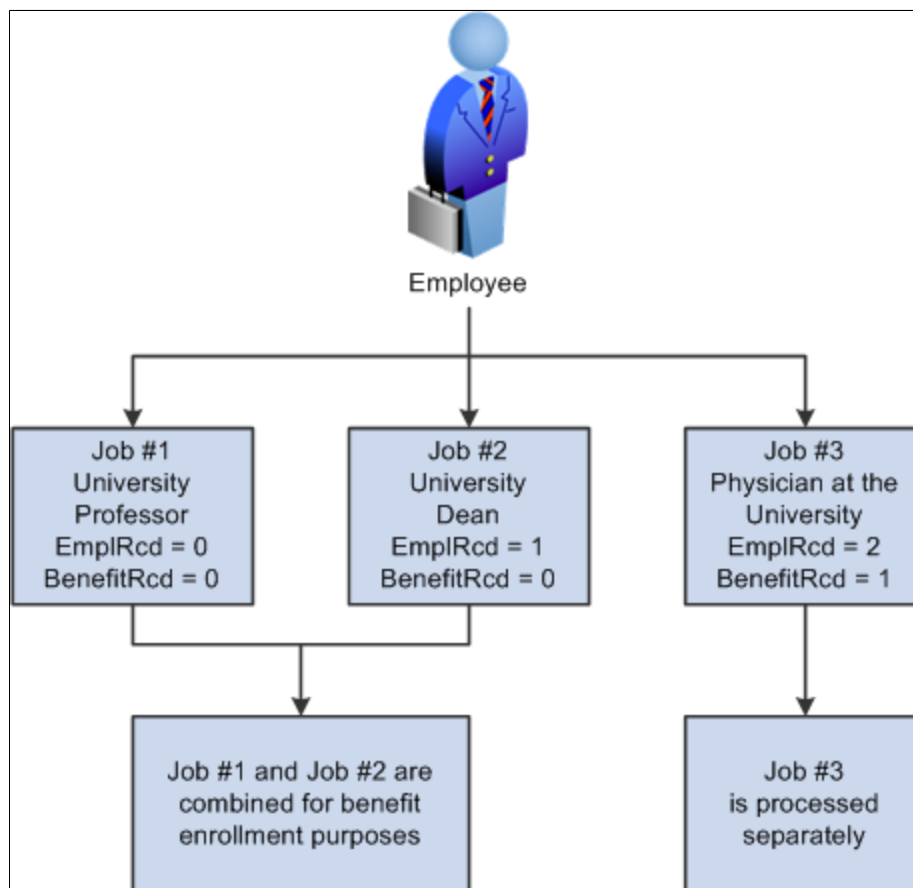
- A dean at a college.
- A faculty member at the college.
- A physician at a hospital associated with the college.

When the professor's job data is entered in Administer Workforce, the system updates the Primary Jobs Table with information regarding the relationships between jobs and their benefit groups. For example, the following attributes might result:

Job Description	Employee Record Number	Benefit Record	Primary Job Indicator	Include for Eligibility	Include for Deductions
Professor	0	0	Yes	Yes	Yes
Dean	1	0	No	Yes	Yes
Physician	2	1	Yes	Yes	Yes

Image: An employee with three jobs and two benefit records

The following diagram shows the employee's three jobs and two benefit records. The first two jobs as a university professor and university dean share the same benefit record number for benefit enrollment purposes. However, the third job as a physician at the university has its own unique benefit record number and therefore the job is processed separately from the other jobs. Each benefit record corresponds to a set of benefits enrollments:



You can set default rules on the Multiple Jobs Options page to determine the setting and management of these options automatically for hires, rehires, and terminations.

Related Links

"Understanding Job Data (*PeopleSoft HCM 9.2: Human Resources Administer Workforce*)"

Setting Up Multiple Jobs

Changing Benefit Record Numbers

You should not change the benefit record number of an existing job. Doing so invalidates primary job indicators on the effective-dated Primary Jobs Table and can result in erroneous processing within PeopleSoft Benefits Administration and PeopleSoft Payroll for North America, which may lead to abnormal termination of some processes.

Whenever changes are made through the Job Data, Assign Additional Jobs, or Current Job components, the system verifies that only one job within a given benefit record is designated as the primary job. If the system finds a problem, such as no primary job or multiple primary jobs, a worklist entry tells the benefits administrator to run the Primary Jobs Audit Report.

Related Links

[Setting Up Multiple Jobs](#)

Understanding Multiple Jobs Data and Calculating Deductions

To calculate deductions for multiple jobs, the system needs to know the job or jobs that contribute salary information for calculating the deduction or coverage amount for salary-based plans.

To keep track of this information, the system uses the:

- Primary Jobs Table, which is automatically maintained whenever a job is added using the Add New Assignment page or whenever a job is terminated or rehired. This table indicates whether a job is:
 - Included for Benefits Administration eligibility processing.
 - Included in the deduction calculation.
 - The primary job.
- Multiple Jobs Options Table, which holds the rules that are used to automatically set the flags in the Primary Jobs Table in response to a hire, rehire, or termination. You can override these flags on the Primary Jobs Maintenance page.
- Calculation rules, which specify how to add the employee's jobs together during the deduction calculation process.

Even if Multiple Jobs processing is not enabled, the system populates the Primary Jobs Table whenever employees are hired; you then have a base of primary job data if you later decide to enable multiple jobs.

Understanding Calculation Rules

The calculation rules specify how to group jobs when calculating deductions based on an employee's salary. The following fields determine deduction or coverage amounts for salary-based plans:

- Combine Salaries check box on the Calculation Rules Table.
- Grouping Method on the Calculation Rules Table.

- Deductions check box on the Primary Jobs Table.

The primary job indicator controls the frequency of benefit deductions. Nonearnings-based benefit deductions (for example, rate-based deductions) are taken only when the primary job for the enrollment's benefit record is part of the payroll calculation. Benefit deductions that are based upon actual employee earnings, such as savings, retirement, or pension plans, are taken according to how those plans are set up, or in some cases, according to the contribution method—either percentage of earnings or a flat amount—that the employee selects upon enrollment.

- If the contribution is based on a percentage, the deduction is taken on every check that the employee receives for all jobs for the benefit record associated with the enrollment.
- If the contribution is based on a flat amount, the deduction is taken only when the primary job for the enrollment benefit record is paid.

Summary of Calculating Deductions by Plan Type

Here's how these fields are used to calculate coverage and deductions for each plan type series:

Plan Type	Basis/Type	Usage
Health (1x)	Flat amount or compensation rate based	To calculate the premium if a Salary Rate Table is specified, salaries are combined using the Include flag for each job along with the combination parameters on the Calculation Rule Table.
Life/AD&D (2x)	Flat amount or compensation rate based	<p>If the coverage is based upon a factor of salary, salaries are combined using the Include flag for each job along with the combination parameters on the Calculation Rule Table.</p> <p>If a Salary Rate table is specified, salaries are combined using the Include Flag along with the combination parameters on the calculation rule to calculate the premium.</p>
Disability (3x)	Flat amount or compensation rate based	<p>To determine coverage, salaries are combined using the Include flag for each job along with the combination parameters on the Calculation Rule Table.</p> <p>To calculate the premium if a Salary Rate table is specified, salaries are combined using the Include flag for each job along with the combination parameters on the Calculation Rule Table.</p>

Plan Type	Basis/Type	Usage
Savings (4x)	Flat amount or earnings-based	<p>The combination parameters on the Calculation Rules Table, as well as the Include for Deductions flag, are ignored: all jobs within the benefit record contribute earnings to the deduction and limits.</p> <p>Savings plans contributions can be expressed as either a flat amount or a percentage of eligible earnings (controlled by special accumulators). Contributions expressed as a percentage of eligible earnings are calculated based upon the earnings from all jobs in the enrollment's benefit record number in the current check, without regard to the setting of the Include flag for each job. Limits are determined using year-to-date deductions across all benefit record numbers.</p>
Leave (5x)	NA	Not applicable. No coverage amount or deduction exists with these plans.
FSA (6x)	Flat amount	Not applicable. No coverage amount exists, and deductions are not based upon salary or earnings.
Retirement (7x)	Earnings-based	<p>The combination parameters on the Calculation Rules Table, as well as the Include for Deductions flag, are ignored: all jobs within the benefit record contribute earnings to the deduction.</p> <p>Contributions are expressed as a percentage of eligible earnings (controlled by a special accumulator), and are calculated based upon the earnings from all jobs in the enrollment's benefit record number, in the current check. Limits (if any) are determined using year-to-date deductions across all benefit record numbers.</p>

Plan Type	Basis/Type	Usage
Pension (8x)	Earnings-based	<p>The combination parameters on the Calculation Rules Table, as well as the Include for Deductions flag, are ignored: all jobs within the benefit record contribute earnings to the deduction.</p> <p>Contributions expressed as a percentage of eligible earnings are calculated based upon the earnings from all jobs in the enrollment's benefit record number, in the current check. Limits (if any) are determined using year-to-date deductions across all benefit record numbers.</p>
Vacation Buy/Sell (9x)	CompRate-based	<p>Calculation rules are not used for these plans, and the Include flag is ignored. The cost is calculated by adding compensation rates across all active jobs in the enrollment's benefit record number.</p>

Related Links

[Setting Up Benefit Rates](#)

[Setting Up Calculation Rules](#)

Changing Primary Job Data

Changing an employee's primary job indicator, Include for Eligibility flag, or Include for Deductions flag can affect deduction calculation and processing, and benefit eligibility in Benefits Administration. This section describes how to:

- Change primary job data.
- View job details.

Pages Used to Change Primary Job Data

Page Name	Definition Name	Navigation	Usage
Maintain Flags	BN_PRIJOBS_MAINT	Benefits, Maintain Primary Jobs, Maintain Flags, Maintain Flags	<p>Change primary job data.</p> <p>Change an employee's primary job indicator, the Include Eligibility check box, and the Include Deductions check box manually.</p>

Page Name	Definition Name	Navigation	Usage
Job Data	BN_ADDL_DATA_INQ	Click the Detail link on the Maintain Flags page.	View job details.

Maintain Flags Page

Use the Maintain Flags page (BN_PRIJOBS_MAINT) to change primary job data.

Change an employee's primary job indicator, the Include Eligibility check box, and the Include Deductions check box manually.

Navigation

Benefits, Maintain Primary Jobs, Maintain Flags, Maintain Flags

Image: Maintain Flags page

This example illustrates the fields and controls on the Maintain Flags page. You can find definitions for the fields and controls later on this page.

Maintain Flags

Employee ID: B-BU131 [Maxine Ratliff](#)

Currently Displayed Effective Date set: 04/01/1999

Browse Primary Job History by Effective Date [Insert New Effdt](#)

Primary Job Flags Maintenance						
Job Data	Employment Record	*Effective Date	Benefit Record Number	Primary Job	Include Eligibility	Include Deductions
Detail	0	04/01/1999	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Because the settings on this page are critical to the proper operation of Benefits Administration and Payroll for North America, PeopleCode handles most of the data manipulation in the database.

Use the forward and reverse buttons to navigate through the different effective dates for which changes to the primary jobs data have occurred. Add a new row to change the primary job designation. When you save the page, PeopleCode turns off the primary job designation of the previously designated job and ensures that all of the rules for data integrity are maintained.

Job Data and Detail

Click Detail to view additional job information in the Job Data page.

Primary Job

Select this check box if you want this job to be flagged as the primary job for this benefit record number.

Include Eligibility

For Benefits Administration only. Select this check box if you want this job to be included by the system when it is determining the employee's benefit eligibility.

Include Deductions

Select this check box if you want this job to be included when the system calculates the employee's benefit deduction and coverage.

Note: If you change the Primary Job or the Include Deductions check boxes and Retro Deductions is activated, the system creates a retro/deduction trigger.

Job Data Page

Use the Job Data page (BN_ADDDL_DATA_INQ) to view job details.

Navigation

Click the Detail link on the Maintain Flags page.

Image: Job Data page

This example illustrates the fields and controls on the Job Data page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Job Data' page with a table of employee information. The table has a header 'Additional Job Data' and contains the following data:

Additional Job Data			
Empl ID:	B-BU131	Maxine Ratliff	
Empl Record:	0	Effective Date:	04/01/1999
Standard Hours:	40.00	FTE:	1.000000
Payroll Status:	Active		
Position:			
Department:	B009		
Location Code:	B-001		
Job Code:	B1007		
Ben Status:	Active		
Benefits System:	Benefits Administration		

The system displays the job information that is in effect as of the effective date of the selected primary jobs entry.

Automatically Building Primary Job Data for Multiple Employees

When you have established your employee population in the database (Personal Data, Employment, and Job tables have been loaded), use the Primary Job Utility to automatically build primary job data for one or more employees. Run the Primary Job Utility process whenever you add a new employee population to the database through an external interface or database load (such as when implementing employee groups in phases or acquiring another company).

Note: When you upgrade your PeopleSoft database from a previous release, the primary jobs information is automatically built as part of the upgrade process. You do not need to run this utility after an upgrade unless you have added more employees to the database through an external interface after performing the upgrade.

Page Used to Automatically Build Primary Job Data for Multiple Employees

Page Name	Definition Name	Navigation	Usage
Rebuild Primary Job Flags	RUNCTL_BEN500	Benefits, Maintain Primary Jobs, Rebuild Primary Job Flags, Rebuild Primary Job Flags	Automatically build primary job information for multiple employees.

Rebuild Primary Job Flags Page

Use the Rebuild Primary Job Flags page (RUNCTL_BEN500) to automatically build primary job information for multiple employees.

Navigation

Benefits, Maintain Primary Jobs, Rebuild Primary Job Flags, Rebuild Primary Job Flags

Image: Rebuild Primary Job Flags page

This example illustrates the fields and controls on the Rebuild Primary Job Flags page. You can find definitions for the fields and controls later on this page.

Rebuild Primary Job Flags

Run Control ID: PS [Report Manager](#) [Process Monitor](#)

Report Request Parameter(s)

*Run Option:

Employee List [Customize](#) | [Find](#) | [View All](#) | [First](#) 1 of 1 [Last](#)

Empl ID	Name
KU0010	Antonio Santos

When the utility runs, it deletes all existing data for the indicated employee population (if any) in the Primary Jobs Table. For each employee, the utility makes a new effective-dated entry, assigning the primary job designation to the lowest active employee record within a benefit record number. Primary job information is created for a time span bounded by the earliest and latest job effective dates for all jobs within a benefit record. If all jobs within a benefit record are terminated, the job with the lowest employee record is designated as the primary job.

Warning! All manual changes made through the Maintain Flags page for the selected employee population are lost, because the complete primary job history is rebuilt for each employee.

Run Option

Specify which employees to process:

Employees Specified in List: Enter one or more employee IDs in the Employee List group box.

All Employees in the Database: Select to specify that all employees in the Personal Data Table be processed.

Employees in a PS Table: Enter the name of any PeopleSoft table that is defined in the Application Designer and contains an Employee ID field.

Employees in a non-PS Table: Enter the name of a non-PeopleSoft table that contains an Employee ID field.

Sending Benefit Information

Understanding Benefit Data Extraction

Just as you provide information to employees regarding provider benefit plans, you also provide information to benefit providers about employee changes to plan elections.

Similarly, federal agencies send changes in health plan elections to the OPM.

To send benefits data to providers:

1. Specify the information to extract from your database.
2. Run the Enrollment Reporting Snapshot program to extract the data and write it to the snapshot tables: BN_SNAP_PER, BN_SNAP_JOB, and BN_SNAP_PLAN.

Run this step only once per reporting period and generate all of your extracts from a single snapshot.

3. Create carrier extract files for your benefit providers.
4. Review the data in the snapshot tables or review a log that contains the date and time that the Enrollment Reporting Snapshot, Carrier Extract, and Carrier Interface Supplier Integration processes were run.

Specifying the Data to Extract

To define what data to extract, use the Enrollment Snapshot Options (BN_SNAP_CONFIG) component.

You can extract benefit plan types and other benefit-related information, such as deduction amounts, life and disability coverages, implied terminations, and the original enrollment date.

Page Used to Specify Data to Extract

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Enrollment Snapshot Options	BN_SNAP_CONFIG	Set Up , Product Related, Base Benefits, Enrollment Snapshot Options, Enrollment Snapshot Options	Define data to extract from the database.

Enrollment Snapshot Options Page

Use the Enrollment Snapshot Options page (BN_SNAP_CONFIG) to define data to extract from the database.

Navigation

Set Up , Product Related, Base Benefits, Enrollment Snapshot Options, Enrollment Snapshot Options

Image: Enrollment Snapshot Options page

This example illustrates the fields and controls on the Enrollment Snapshot Options page. You can find definitions for the fields and controls later on this page.

Plan Types to Include		
<input checked="" type="checkbox"/> Health	<input checked="" type="checkbox"/> Life	<input checked="" type="checkbox"/> FSA
<input checked="" type="checkbox"/> Savings	<input checked="" type="checkbox"/> Pension	<input checked="" type="checkbox"/> Vacation Buy/Sell
<input checked="" type="checkbox"/> Retirement	<input checked="" type="checkbox"/> Disability	<input checked="" type="checkbox"/> Simple Benefits

Processing Options	
<input checked="" type="checkbox"/> Include Payroll Deduction Info	<input checked="" type="checkbox"/> Calculate Life/Disability Covg
<input checked="" type="checkbox"/> Include Implied Terminations	<input checked="" type="checkbox"/> Include Original Enrollment Dt
<input checked="" type="checkbox"/> Include Implied Dependent Term	

Plan Types to Include

Select only the plan types for which you will create a carrier interface extract or transmit data to the Carrier Interface Supplier Integration process in eBenefits.

Note: If you are running the snapshot process only to create a HIPAA EDI 834 file, check only the Health plan type to reduce the time necessary to populate the Snapshot tables.

Include Payroll Deduction Info (include payroll deduction information)

Select to include the last deduction taken and the pay end date for each enrollment.

Calculate Life/Disability Covg (calculate life/disability coverage)

Select to have the Enrollment Reporting Snapshot process calculate coverage for life and disability plans using the plan definitions, calculation rules, and employee's compensation rate or annual benefits base rate.

Include Implied Term (include implied terminations)

Select to include enrollments that are no longer valid because the participant has enrolled in another plan type, waived coverage, or transitioned to COBRA coverage.

Note: You use this field whenever a carrier requires a positive notification of a termination or plan change (some carriers can detect these events simply by noticing a previous enrollment record).

Include Implied Dependent Terminations

Select to create termination records for dependents in the snapshot and report them in the HIPPA file when a termination of an employee also terminated the dependent or when new coverage has been added for an employee and a dependent who was previously covered has been dropped from coverage.

Note: You must select Include Implied Employee Terminations, for Include Implied Dependent Termination to be functional.

Include Original Enrollment Dt (include original enrollment date)

Select to include an employee's earliest continuous enrollment date in the current, active plan. This applies only to employee-level enrollments and allocations. Changes to coverage codes in health plans, employee-specified coverage amounts in life and disability plans, or employee contribution amounts do not constitute a change in plan.

This field applies to employees and dependents.

Running the Enrollment Reporting Snapshot Process

The Enrollment Reporting Snapshot process extracts data that you specified on the Enrollment Snapshot Options page from the database and writes it to the BN_SNAP_PER, BN_SNAP_JOB, and BN_SNAP_PLAN tables. Each time that you run the Enrollment Reporting Snapshot process, the data in the snapshot tables is cleared and new information is written.

Every time that the Enrollment Reporting Snapshot or Carrier Report processes are run, a record is written to the BN_SNAP_HIST table. You can review this log using the Review Interface Run History page.

This section provides an overview of database record layout details and lists the pages used to run the Enrollment Reporting Snapshot process.

Pages Used to Run the Enrollment Reporting Snapshot Process

Page Name	Definition Name	Navigation	Usage
Refresh Benefits Snapshot	RUN_BEN100A	Benefits, Interface with Providers, Refresh Benefits Snapshot, Refresh Benefits Snapshot	Extract information from the Manage Base Benefits business process tables into the BN_SNAP_PER, BN_SNAP_JOB, and BN_SNAP_PLAN tables.
Review Interface Run History	BN_SNAP_HIST	Benefits, Interface with Provider, Review Interface Run History, Review Interface Run History	Review a history of when the Enrollment Reporting Snapshot, the Carrier Interface Extract, and the Carrier Interface Supplier Integration processes were run.
Snapshot History Detail	BN_SNAP_HIST_DTL	Click Detail on the Review Interface Run History page.	Review additional information about the history record.

Page Name	Definition Name	Navigation	Usage
Review Snapshot Information	BN_SNAP_PLAN	Benefits, Interface with Provides, Review Snapshot Information, Review Snapshot Information	Review the information extracted by the Enrollment Reporting Snapshot process.
Enrollment Snapshot Detail	BN_SNAP_PLAN_DTL	Click Detail on the Review Snapshot Information page.	View additional information regarding the selected enrollment or allocation record.

Understanding Database Record Layout Details

The following tables are populated by the Enrollment Reporting Snapshot process and used by the Carrier Interface Extract and Carrier Interface Supplier Integration processes. You need this information when creating a provider-specific SQR (Structured Query Report) extract.

BN_SNAP_PER

This table includes one row per participant (employee, dependent/beneficiary, and so on).

COLUMN	KEY	NOTES
EMPLID	Yes	
DEPENDENT_BENEF	Yes	Blank for employees. Populated for dependents and beneficiaries.
EFFDT	No	The as of date specified by the user on the run control page. The value is the same for every row. Simplifies finding related displays and descriptions.
NAME		
LAST_NAME	Alt	
FIRST_NAME		
NAME_PREFIX		
NATIONAL_ID		Primary national ID. If the employee, dependent, or beneficiary has not been assigned a primary ID, the field is blank.
BIRTHDATE		
SEX		
RELATIONSHIP		Blank for employees.

COLUMN	KEY	NOTES
SMOKER		Y/N
STUDENT		Y/N; Applies only to dependents and beneficiaries.
STUDENT_STATUS_DT		Applies only to dependents and beneficiaries.
DISABLED		Applies only to dependents and beneficiaries.
COUNTRY		
ADDRESS1		
ADDRESS2		
ADDRESS3		
ADDRESS4		
CITY		
NUM1		
NUM2		
HOUSE_TYPE		
ADDR_FIELD1		
ADDR_FIELD2		
ADDR_FIELD3		
COUNTY		
STATE		
POSTAL		
GEO_CODE		
IN_CITY_LIMIT		
HOME_PHONE		

BN_SNAP_JOB

One row exists per employee/benefit record/COBRA event; no rows exists for dependents and beneficiaries. For an employee with multiple jobs, all job-related information is taken from the employee's primary job for the indicated benefit record.

COLUMN	KEY	NOTES
EMPLID	Yes	
BENEFIT_RCD_NBR	Yes	
COBRA_EVENT_ID	Yes	Nonzero indicates a COBRA enrollment event for this employee ID/benefit record number.
EMPL_RCD		Primary job as of the date entered on the run control page.
JOB_EFFDT		Effective date of the primary job on the Job table.
JOB_EFFSEQ		Effective sequence of the primary job on the Job table.
EFFDT	No	The as of date entered on the run control page. The value is the same for every row. Simplifies finding related displays and descriptions.
SERVICE_DT		Primary job.
BENEFIT_PROGRAM		
COMPANY		Primary job.
PAYGROUP		Primary job.
CURRENCY_CD		Currency code for the benefit program.
PAY_SYSTEM_FLG		Primary job.

BN_SNAP_PLAN

This table includes one row per employee-level enrollment and one row per dependent/beneficiary attachment to an enrollment.

Column	Key	Notes
EMPLID	Yes	

Column	Key	Notes
DEPENDENT_BENEF	Yes	A blank entry indicates an employee-level enrollment. A populated entry indicates a dependent enrollment or beneficiary allocation.
BENEFIT_RCD_NBR	Yes	This is the EMPL_RCD field from the enrollment pages.
COBRA_EVENT_ID	Yes	A nonzero entry indicates a COBRA event for this employee ID/benefit record number.
PLAN_TYPE	Yes	
COVERAGE_ELECT	Yes	Must be a key to allow for implied terminations.
EFFDT	No	The as of date specified by the user on the run control page. The value is the same for every row. Simplifies finding related displays and descriptions.
BENEFIT_PLAN		<p>Coverage_Elect = <i>E</i> indicates an enrollment.</p> <p>Coverage_Elect = <i>T</i> indicates that the plan is being terminated.</p> <p>If the field is blank and Coverage_Elect = <i>T</i> or <i>W</i>, no prior enrollment was made.</p>
SETID		Comes from the benefit plan definition. It is blank for 7x plans.
VENDOR_ID		Blank for 7x plans.
GROUP_NBR		Blank for 7x plans.
COVRG_CD		Applies only to 1x plan types.
LIFE_ADD_COVRG		Applies only to 2x plan types. This is the code from the plan definition, not an employee enrollment.
COVERAGE_BEGIN_DT		For enrollments, indicates the first day of coverage. For terminations, indicates the first day that coverage is no longer in effect.

Column	Key	Notes
COVERAGE_ELECT_DT		Indicates the date that this enrollment/termination was entered into the system.
DEDUCTION_BEGIN_DT		For enrollments, indicates the first day that deductions and credits are in effect. For terminations, it indicates the first day that deductions and credits should stop.
ENROLLMENT_DT		Original enrollment date (coverage begin date) for this plan. This is the earliest continuous coverage begin date for this same benefit plan, ignoring change of coverage code (1x) and coverage amount (2x). Populated only if the INCLUDE_ORIG option is selected, and only for employee-level rows where COVERAGE_ELECT = E.
ANNUAL_PLEDGE		Applies only to 6x plan types.
FLAT_DED_AMT		Applies only to 4x plan types. Zero if employee is not contributing on a before-tax basis or contributing a percent of earnings.
PCT_GROSS		Applies only to 4x plan types. Zero if employee is not contributing on a before-tax basis or contributing a flat amount.
FLAT_DED_AMT_ATA		Applies only to 4x plan types. Zero if employee is not contributing on an after-tax basis or contributing a percent of earnings.
PCT_GROSS_ATA		Applies only to 4x plan types. Zero if employee is not contributing on an after-tax basis or contributing a flat amount.
VOLUNTARY_AMT		Applies only to 8x plan types. Zero if employee is not contributing a voluntary flat amount or is contributing a voluntary percentage of earnings.
VOLUNTARY_PCT		Applies only to 8x plan types. Zero if employee is not contributing a voluntary percentage of earnings or is contributing a voluntary flat amount.
VACN_HOURS		Applies only to 9x plan types.

Column	Key	Notes
VACN_BUY_FLAT_AMT		Applies only to 9x plan types.
VACN_SELL_FLAT_AMT		Applies only to 9x plan types.
BENEF_PCT		Applies only to beneficiary records in plan types 2x, 4x, or 8x. Zero if the beneficiary allocation is a flat amount.
FLAT_AMOUNT		See the Flat Amount Field section following this table.
FACTOR_XSALARY		Applies only to employee records for 2x plan types. This field contains the salary factor coverage defined at either the plan level or employee level.
EXCESS		Applies only to 2x, nondependent plans and 8x dependent records. A Y in the field indicates that this beneficiary is to receive any excess benefit distribution.
CONTINGENT		Applies only to 2x, nondependent plans and 8x dependent records. A Y in the field indicates that this beneficiary is a contingent beneficiary.
DED_CUR		The amount of the last payroll deduction calculated for this enrollment. This field is populated only if INCLUDE_DED is selected.
PAY_END_DT		The pay end date of the last payroll deduction calculated for this enrollment. This field is populated only if INCLUDE_DED is selected.
CALCULATED_BASE		Applies only to 2x and 3x plans and only if Calculate Life/Disability Covg is selected on the Enrollment Snapshot Options page. This field is zero for dependents and beneficiaries and for employees with Sum of Dependent Coverage elections for Dependent Life/AD&D plans. This field indicates the calculated benefit amount with respect to coverage minimum/maximum, multiple jobs, and rounding rules for coverage purposes.

Column	Key	Notes
PREMIUM_BASE		Applies only to 2x and 3x plans and only if Calculate Life/Disability Covg is selected on the Enrollment Snapshot Options page. This field is zero for dependents and beneficiaries and for employees with Sum of Dependent Coverage elections for dependent life/AD&D plans. This field indicates the calculated benefit amount with respect to coverage minimum/maximum, multiple jobs, and rounding rules for premium purposes.
HLTH_PROVIDER_ID		Applies only to 1x plan types. This field indicates the primary care physician.
PREVIOUSLY_SEEN		Applies only to 1x plan types.
DEPBEN_RIDER_FLG		Applies only to dependents and beneficiaries. <i>Y</i> indicates that this coverage or allocation is court-ordered.
CALC_RULES_ID		Applies only to 2x and 3x plans and only if Calculate Life/Disability/Covg is selected on the Enrollment Snapshot Options page. Identifies the calculation rule the system uses when calculating CALCULATED_BASE and PREMIUM_BASE.

Understanding the Flat Amount Field

This field has different meanings depending upon the plan type and the plan context. In general, this field represents a flat amount of Life/AD&D coverage defined at either the plan level or the employee level; the amount may be in addition to a factor of salary coverage. For dependent life and dependent AD&D plans, it may represent the amount of coverage elected for a particular dependent. For nondependent Life/AD&D plans and pension plans, it may represent a flat amount beneficiary allocation.

The following tables show how this field is used in each context.

Nondependent Life/AD&D Plan Types

This table shows how the Flat Amount field is used with nondependent Life and ADD plan types. Each column represents the value of LIFE_ADD_COVRG, which defines how the coverage is calculated for a plan.

Participant	Flat Amount	Flat + Factor	EE-Specified	Special Calc	Sum of Dependents
Employee	Flat amount of coverage	Flat amount portion of total coverage	Flat amount, or flat amount portion of total coverage	Zero (not applicable)	Zero (not applicable)
Dependent/Beneficiary	Beneficiary allocation (if flat amount)	Beneficiary allocation (if flat amount)	Beneficiary allocation (if flat amount)	Beneficiary allocation (if flat amount)	Zero (not applicable)

Dependent Life and Dependent AD&D

This table shows how the Flat Amount field is used with dependent Life and ADD plan types:

Participant	Flat Amount	Flat + Factor	EE-Specified	Special Calc	Sum of Dependents
Employee	Flat amount of coverage for each dependent	Flat amount portion of total coverage for each dependent	Flat amount, or flat amount portion of total coverage for each dependent	Zero (not applicable)	Zero
Dependent/Beneficiary	Zero (not applicable)	Zero (not applicable)	Zero (not applicable)	Zero (not applicable)	This dependent's coverage

Pension Plans

This table shows how the Flat Amount field is used with pension plan types:

Participant	Description
Employee	Zero (not applicable)
Dependent/Beneficiary	Beneficiary allocation (if flat amount allocation is elected)

Sending Data to Benefit Providers

After the Enrollment Reporting Snapshot process runs, you can send enrollment data to your plan providers. Two ways are available to send this information:

- Run the appropriate SQR Provider Extract process to create a provider extract file.
- Use the PeopleSoft Carrier Reporting process in eBenefits.

This section discusses how to:

- Create SQR provider extracts.

- Run carrier extracts.

Related Links

"Integrating eBenefits with eBenX (*PeopleSoft HCM 9.2: eBenefits*)"

Creating SQR Provider Extracts

Provider requirements for enrollment data are seldom the same. The Manage Base Benefits business process includes a set of sample extract SQR programs that you can modify for your providers. Each sample SQR program:

- Includes a realistic set of data fields for the associated plan types.
- Records a history of each run.
- Sends an enrollment termination to a provider only once.

After determining file layout requirements for your providers, clone the appropriate sample SQR program for each file layout that you need and make any necessary changes using the database record layout information for BN_SNAP_PER, BN_SNAP_JOB, and BN_SNAP_PLAN.

Four sample SQRs and two library files are available:

- BEN102.SQR: sample file extract for health (1x) plans.
- BEN103.SQR: sample file extract for life/AD&D (2x) plans.
- BEN104.SQR: sample file extract for disability (3x) plans.
- BEN105.SQR: sample file extract for savings (4x) plans.
- BENCRFNC.SQC: library of useful functions for developing provider extracts.
- BENCRTBL.SQC: library of internal cache tables.

Running Carrier Extracts

Create a flat file that can be sent to your plan providers. Use the Health, Life/ADD, Disability, and Savings Extract pages, or your own provider-specific pages to do this.

If you rerun an extract because of a problem and have set up the extract to send terminations only once, delete the run history record for this extract on the Review Interface Run History page before running the extract again.

Sending HIPAA Data to Providers

The Health Insurance and Portability and Accountability Act of 1996 (HIPAA) requires a standard electronic exchange of administrative and financial health care transactions between health care providers and plans. The PeopleSoft HIPAA EDI File Create process enables you to send these HIPAA 843 transactions.

Before you can send HIPAA data to providers, you must:

1. Identify the providers on the Benefits EDI Trading Partners page who will be receiving the transaction transmission.
2. Use the Benefits EDI 834 Transaction Map Table page to convert PeopleSoft code values to the values required by the reporting standard.
3. Run the Refresh Benefit Snapshot process to populate the Benefit Snapshot extract tables with the health plan data required to create the 834 transactions.

This section discusses how to create the HIPAA EDI 834 file.

See [Setting Up HIPAA Tables](#).

Page Used to Create the HIPAA EDI 834 File

<i>Page Name</i>	<i>Definition Name</i>	<i>Navigation</i>	<i>Usage</i>
Create HIPAA EDI 834 File	RUNCTL_BEN834	Benefits, Interface with Providers, Create HIPAA EDI 834 File, Create HIPAA EDI 834 File	Create the HIPAA EDI 834 file. Identify the EDI trading partner, vendors, plan types, and companies to include in the transmission and run the process to create the 834 transactions.

Create HIPAA EDI 834 File Page

Use the Create HIPAA EDI 834 File page (RUNCTL_BEN834) to create the HIPAA EDI 834 file.

Identify the EDI trading partner, vendors, plan types, and companies to include in the transmission and run the process to create the 834 transactions.

Navigation

Benefits, Interface with Providers, Create HIPAA EDI 834 File, Create HIPAA EDI 834 File



Image: Create HIPAA EDI 834 File page

This example illustrates the fields and controls on the Create HIPAA EDI 834 File page. You can find definitions for the fields and controls later on this page.


Create HIPAA EDI 834 File

Run Control ID: PS [Report Manager](#) [Process Monitor](#) [Run](#)

As Of Date: 01/01/2001






File Effective Date:  File Enrollment Date: 

☐ Acknowledgement Requested ☐ Test File





EDI Partner ID: 

File Type:





☐ Include All Vendors

Vendor (Payer) Selection						Customize Find  First 1 of 1 Last	
*Set ID	*Vendor ID	Short Vendor Name	Vendor Name	National Provider ID	Federal EIN		
<input type="text"/> 	<input type="text"/> 						

☐ Include All Companies

Company (Sponsor) Selection			Customize Find  First 1 of 1 Last	
*Company	Description	Federal EIN		
<input type="text"/> 				

☐ Include All Plan Types

Plan Type (Insurance Line) Selection			Customize Find  First 1 of 1 Last	
*Plan Type	Description			
<input type="text"/> 				

To run the Create HIPAA EDI 834 File process, you must enter an EDI partner ID and at least one vendor, company, and plan type.

As Of Date

Displays the last date that the Enrollment Reporting Snapshot was run.

Note: You should not the snapshot using the current date as the As of Date.

File Effective Date

Enter a value that the system sends in a DTP segment (which includes a date qualifier of 007).

File Enrollment Date

Enter a value that the system sends in a DTP segment (which includes the date qualifier of 382).

Acknowledgement Requested

Select to request an acknowledgement from the EDI trading partner.

EDI Partner ID

Enter the trading partner for the transmission.

EDI partner IDs are defined on the EDI Transaction Partner table.

File Type

Select from one of the following values in this drop-down menu:

- *Full File Audit:* Select this value to create a file that contains records for all employees, including terminations. This file type is the default.
- *Full File Replacement:* Select this value to create a file that contains current records only as of the date of the file. The system drops all terminated employees from the file. This file is typically used in conjunction with Update Only files and is sent to verify synchronization between the two systems.
- *Update Only File:* Select this value to create a file that contains only those records in which changes have occurred to either the employee or any of his or her dependents' records that are relevant to the vendor and can be tracked in through the use of effective dates.

Note: When you select *Update Only File*, the following fields appear and are required: From Date and To Date.

From Date and To Date

This date range filters the change records to include only those employee records that had changes within the date range.

Benefit enrollment records use the Election Date as the date that the change was made. This allows for backdated changes in addition to future changes to be included in the file.

The system sets the to date automatically to the snapshot as of date.

Include All Vendors

Select to include all vendors who are classified as providers on the Provider/Vendor table and also appear on the Snapshot Plan table.

Vendor ID

Enter the vendor to be included in the transmission.

Valid values are only those vendors who are classified as providers on the Provider/Vendor table and also appear on the Snapshot Plan table.

Note: The providers selected for the 834 transaction must have a valid Federal Employer Identification Number (FEIN) entered on the Provider/Vendor table. The system generates a warning if the FEIN is missing for one or more providers.

Include All Companies

Select to include all companies who appear both on the Company table and on the Snapshot Plan table.

Company

Enter the company (employer) to be included in the transmission.

Valid values are only those vendors who appear both on the Company table and on the Snapshot Plan table.

Note: The companies selected for the 834 transaction must have a valid FEIN entered on the Company table. The system generates a warning message if the FEIN is missing for one or more companies.

Include All Plan Types

Select to include all plan types that appear on the Snapshot Plan table.

Plan Type

Enter the plan type to be included in the transmission.

Valid values are only those plan types that appear on the Snapshot Plan table.

**Max Members in Transaction Set
(maximum members in transaction set)**

Specify the maximum number of members to be included in each transaction set of the transmission.

The default value is 10,000, which is the maximum allowed by the reporting standard, but you can set a lower number not less than 100.

Related Links

[Setting Up HIPAA Tables](#)

(USF) Sending FEHB Data to the OPM

Federal agencies must send quarterly reports to major FEHB providers. These reports enable the provider to compare their enrollment records with the agency's records.

To send FEHB data to the OPM:

1. Identify the employees and dependents who are enrolled in Medicare A, Medicare B, and Champus plans using the Benefits Personal Data and the Federal Medicare Flags pages.
2. Run the FEHB Carrier Interface process to extract the data and write it to the extract file.

Note: The run control ID cannot be more than 8 characters.

Note: You must specify at least one plan or select the All Plans check box.

3. Send the data by running the Transmit Enrollments to eBenX.

4. Review the data using the Review FEHB Interface History page or the FEHB Reconciliation report.

Pages Used Send FEHB Data to the OPM

Page Name	Definition Name	Navigation	Usage
FEHB Carrier Interface	GVT_RUN_FGBN001	Benefits, Interface with Providers, FEHB Carrier Interface, FEHB Carrier Interface	Extract data to send to the OPM.
Transmit Enrollments to eBenX (transmit enrollments to electronic benefits transmission)	BN_M_RUN_CNTL	Benefits, Interface with Providers, Transmit Enrollments to eBenX, Transmit Enrollments to eBenX	Sends data to the OPM.
Review FEHB Interface History	GVT_INT_HIST	Benefits, Interface with Providers, Review FEHB Interface History, Review FEHB Interface History	Review data in the extract file.

Related Links

[Benefits/FEHB Data Page](#)

