ORACLE
INSURANCE

Oracle Insurance Compliance Tracker

Release Notes

version 6.6

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OVERVIEW

These *Release Notes* describe the new and enhanced features of Oracle Insurance Compliance Tracker version 6.6, and resolved issues.

For installation instructions, please refer to the installation guides.

Note For information about known issues and limitations, supported software, media package contents, and the server URLs for SERFF and the Regulatory Specialist Update Service, please refer to the *ReadMe* file for this release.

ABOUT TRACKER

Tracker facilitates the critical operations of product development, filing and implementation. Tracker makes it easy to:

- Design, evaluate and deliver products
- Create, standardize, and centralize forms and other documents
- Share and manage business processes
- Automate filing preparation and submission
- Produce operational and management reports

Developing products requires the collaboration of many departments. Tracker facilitates this collaboration by providing:

- A platform to facilitate effective working relationships
- Integration with SERFF (System for Electronic Rate and Form Filings)
- Automated regulatory filing research and preparation capabilities through an online knowledge base of up-to-date statutory filing information
- A centralized repository for filing storage and retrieval
- Management reporting that presents an up-to-date status of rate, rule, and form filings, and allows the measurement of product-development processes and speed to market

By implementing Tracker, companies can:

- Get products to market more quickly
- Reduce product development time
- Reduce filing time
- Eliminate inefficient, manual processes
- Improve labor productivity and lower operating costs
- Minimize the risk of market-conduct audit fines

NEW FEATURES AND ENHANCEMENTS

The following describes the new features and enhancements in this version of Tracker. For more information, please contact *Oracle Support*.

- Tracker now supports additional operating systems, databases and other software. For a complete list, refer to the *ReadMe* file.
- Tracker now uses a new, more efficient filing creation process.
- The Copy Filing feature now allows multiple filings to have the same CLS, and also optionally copies filing forms.
- Tracker filing IDs are now unique. This will allow a filing group to contain multiple filings with the same CLS.
- The following improvements have been made to reports:
    - Report generation uses the faster Crystal Reports version XI
    - Two new reports have been added for P&C: Regional Filing Status Report and Publication Details Report
- When using the DMS to search, the results of a Forms/Rates/Rules/ Advertisements search now displays the multiple attachments affiliated with a Form, Rate, Rule or Advertisement.
- The Search functionality has been enhanced to include the following:
    - the addition of a FG description field
    - the ability to search on SERFF Filing ID number
    - the ability to print search results
- In MSSQL, a new database user has been added that can execute any database script provided by Tracker.
- Users can now change the order of the companies in a Company Group filing (P&C).
- Activities can now be configured to update up to three dates.
- “Flex Rating” is now available for Filing Statute and Rate Filing Method (P&C) as a standard option.
- Tracker now permits the options listed in the drop-down menu for the Filing Method field on the Rate tab to be customized. Users can no longer enter custom values for Filing Method on the Rate tab. A migrated filing will retain a user’s custom value for the Filing Method, but the user does not have the ability to change that value or type in a new one. As well, if the user selects a value from the menu, the custom value will no longer be available.
- The Requested Effective Date (P&C), Requested Renewal Effective Date (P&C) and Implementation Date (L&H) can now be set to On Approval.
- Instead of a Print option, Forms/Rates/Rules/Advertisements, Filing Forms and Other Attachments now have an Enable option to determine if an item is available for SERFF or included in a paper filing.

- A new Filing Summary function is available for all filings, similar to SERFF's PDF Pipeline.
- Multiple attachments can now be added to a single Form, Rate, Rule, Advertisement or Other Attachment.
- Form/Rate/Rule/Advertisement schedule items can now be added to any existing filing.

SERFF Integration

- The SERFF Tracking number is now retrieved prior to submission.
- Users now have the option of selecting all attachments originally used to satisfy a Filing Requirement when revising that Filing Requirement as part of a Filing Amendment or Objection Response.
- The primary and secondary reviewers are now displayed on the SERFF tab.
- Created Date has been added to Note to Reviewer, Filing Amendment and Objection Response.
- Additional EFT payments can now be made even when the original payment is not EFT or if no initial payment has been made.
- Tracker now limits the size of PDF attachments to 3MB.
- Tracker now enforces unique FEINs.
- The company parameter NAIC Code is no longer mandatory. Tracker will now accept a blank value for the NAIC Company Code when creating new companies. (IMPORTANT: Do not modify/delete the NAIC Code for any existing companies.)
- While performing the activity to get the SERFF Filing Requirements, Tracker obtains the SERFF Tracking ID. SERFF Tracking IDs are not reused. If the activity to get SERFF Filing Requirements is deleted and subsequently the activity to get SERFF Filing Requirements is performed again, a new SERFF Tracking ID is retrieved.

RESOLVED ISSUES

- Percentage fields on the Rate Change Details screen now support values in 9999999.999 format.
- An issue with handling large amounts of text in the SERFF Objection Response has been resolved.
- The Deemer Date on the Filing Details tab is now correctly populated with the Deemer Date sent from SERFF.
- NAIC Company Code is now included as an option on the SERFF State-specific tab (L&H).
- The Created On date now correctly displays on the SERFF Correspondence tab.
- An issue with strange characters appearing in the Custom Date fields on Search screen has been resolved.

- Negative numbers for Written Premium for Rate Schedule are now allowed.
- An issue with duplicate SERFF filings has been resolved.
- The field entry order on the Rates tab has been corrected.
- An issue with data entered into the Reference Organization and Reference # fields in the Reference Filing section of the SERFF Filing Details screen not being submitted to SERFF has been corrected.
- An issue where Tracker would allow an initial filing submission to SERFF where EFT was selected but no payment details were entered has been corrected.
- These reports have been revised to address known issues:
    - Filing Approval Notification Report
    - Activities Detail Report
    - Filing Fees Detail Report

Oracle Global Customer Support

If you have any questions about the installation or use of our products, please visit the My Oracle Support website: https://support.oracle.com, or call (800) 223-1711.

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