Virtual Library Extension

Planning Guide

Version 1.2



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Submit comments about this document to $\ensuremath{\texttt{STP}_\texttt{FEEDBACK}_US@ORACLE.COM}.$

Planning Guide

E36253-06

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Preface

Audience

This publication is intended for Oracle or customer personnel responsible for doing site planning for Oracle's StorageTek Virtual Library Extension (VLE).

Supplementary VLE Documents

You are entitled to the the following supplementary documents:

- VLE SLA and Standard Firmware Entitlement
- VLE Documentation Third Party Licenses and Notices
- Written Offer to Provide Certain Source Code
- VLE Safety Guide

Supplementary VLE Documents

What is Virtual Library Extension?

Oracle's StorageTek[™] Virtual Library Extension (VLE) is back-end disk storage for VTSS. VLE provides:

- An additional storage tier in the VSM solution. VTVs can now migrate from VTSS to VLE to provide fast access to recent data. Additionally, VTVs can transition from VLE storage to tape media (MVCs) for long term archive.You can control how VTVs are migrated and archived via the existing HSC Management and Storage Classes, providing full backward compatibility with previous configurations.
- Back-end disk storage shared between multiple VTSS systems ensuring highavailability access to data.

Note – For VLE 1.1 and above, a "VLE" is a collection of nodes interconnected with a private network.

To VTCS, a VLE looks like a tape library except that the VTVs are stored in Virtual Multi-Volume Cartridges (VMVCs) on disk. With VLE, you can configure either a VLE and tape or a VLE only (for example, with Tapeless VSM configurations) backend VTV storage solution. A VTSS can migrate VTVs to and recall them from a VLE, just as is done with a real tape library.

Caution –

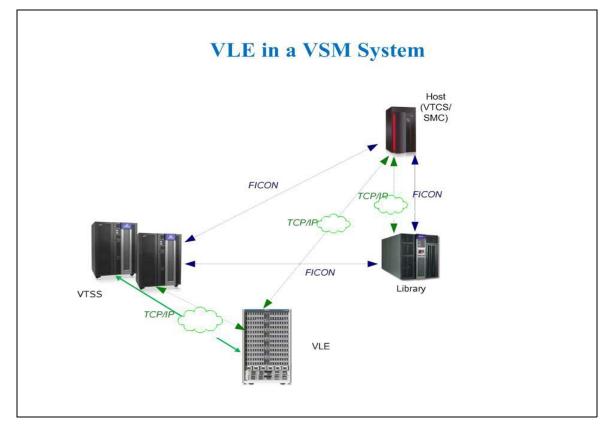
- Note that if you have a VLE system, HSC/VTCS uses SMC communication services to communicate with the VLE. To ensure that these services are available during VTCS startup, Oracle recommends that you first issue the start command for HSC, then immediately issue the start command for SMC, while HSC is initializing.
- Also note that stopping SMC stops VTCS from sending messages to the VLE, which effectively stops data transfer. Therefore, you should ensure that VTCS activity is quiesced or VTCS is terminated before stopping SMC.
- You cannot use AT-TLS with the SMC HTTP server if you are using VLE.
- Note that in Tapeless VSM configurations, if you have only a single-node VLE attached to a specific VTSS and that VLE goes offline, you lose access to any VTVs migrated to the VLE that are not resident in the VTSS until the VLE comes back online.

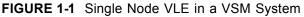
The VLE solution consists of:

- Virtual Tape Storage Subsystem (VTSS) hardware and microcode.
- Virtual Tape Control Subsystem (VTCS) software and Storage Management Component (SMC).
- VLE hardware and software.

Single Node VLE Configuration

FIGURE 1-1 shows a single node VLE configuration.





As **FIGURE 1-1** shows:

- Multiple TCP/IP connections (between the VTSS's IP ports and the VLE's IP ports) are supported as follows:
 - A single VLE can connect up to 8 VTSSs, so VTSSs can share VLEs.
 - A single VTSS can connect to up to 4 VLEs to increase buffer space for heavy workloads.
- A single VTSS can be attached to:
 - Only RTDs
 - Only other VTSSs (clustered)
 - Only VLEs
 - Any combination of the above.
- TCP/IP is the only supported protocol for connections between the VLE and the VTSS and for connections between the VLE and hosts running SMC and VTCS.

Multi-Node VLE Systems

• Multi-node VLE systems **enable massive scaling of the VLE storage system**. You can construct *multi-node systems* that can consist of one to 64 nodes, with multiple nodes interconnected by a private network. A multi-node VLE appears to SMC/VTCS as a single VLE. A single VLE, therefore, can scale between 330 TB (for a single-node VLE with two JBODs) and 82.5 PB (for a fully populated 64-node VLE).

Note – These are effective capacities, assuming 4:1 compression. **Also note** that VLE is **architected** for up to 64 nodes, but has only been **validated** for up to 7 nodes.

FIGURE 1-2 on page 10 shows a VLE multi-node complex, where the nodes are cross connected into a dedicated 10GE switch so that each node can access any other node in the complex.

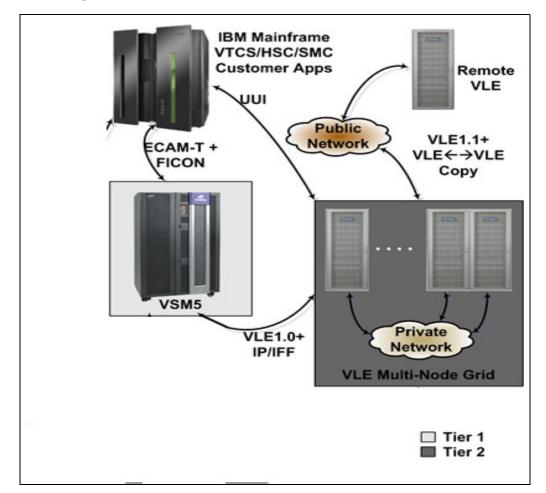


FIGURE 1-2 VLE Multi-Node Complex

VLE to VLE Data Transfer

The VLE storage system can manage data transfers independently of the VTSS, which frees VTSS resources for front-end (host) workload, which improves the overall VTSS through-put. For example:

- If your migration policies specify that there should be two VLE copies of a VTV (either in the same or separate VLEs), then the first migrate to a VLE will cause data to be transferred from the VTSS and all subsequent VLE migrates for the VTV may be achieved via a VLE to a VLE copy. This reduces the VTSS cycle times required to migrate all copies of a VTV.
- If your environment runs:
 - VLE 1.2, and
 - VTCS 7.1 (with the PTFs described in TABLE 2-1 on page 18) or VTCS 7.2

Then you can use VTCS to define more VLE devices than there are VTSS to VLE paths via the CONFIG STORMNGR VLEDEV parameter as described on page 21. If you use this addressing scheme, then the VTSS resources used to migrate all the VTV copies to VLE are reduced even further because the path from the VTSS to the target VLE is only reserved when the data transfer is direct from the VTSS to the VLE. For all VLE VRTD actions, a path from the VTSS is only reserved when VTSS data transfer is required.

What's New With VLE 1.2?

VLE 1.2 adds the following features:

- Encryption, which enables encryption of VMVCs written to the VLE system.
- **Deduplication**, which eliminates redundant data in a VLE complex. Deduplication, which is controlled by a STORCLAS statement parameter, increases the effective VLE capacity and is performed by the VLE before the VTV is written to a VMVC.
- **Early Time To First Byte (ETTFB),** also known as the concurrent tape recall/ mount feature, which allows the VTSS to use a VTD to read data as it being recalled from VLE.
- Frame Size Control, which specifies the use of Jumbo frames on each copy link.

VLE Hardware and Software

The VLE, which is a factory-assembled unit in a Sun Rack II Model 1242, consists of the following hardware:

- A server built on a Sun Server X2-4 platform.
- Four 1GigE ports for a combination of SMC UUI connections and service connections.
- A service (ILOM) port.
- Four Quad-port 1GigE cards, which provide 16 ethernet ports for data transfer.
- J4410 JBODs in a ZFS RAID array, available in effective capacities of 330TB, 660TB, 990TB, or 1320TB (assuming a 4 to 1 compression ratio when the data is migrated to the VLE).
- Two dual-port 10GigE NIC cards per server, which are required for the internal network connections for VLEs with 3 or more nodes.

The VLE software consists of:

- Oracle Solaris 11 Operating System.
- ZFS file system and MySQL database.
- The VLE application software.

FIGURE 1-3 shows the VLE subsystem architecture.

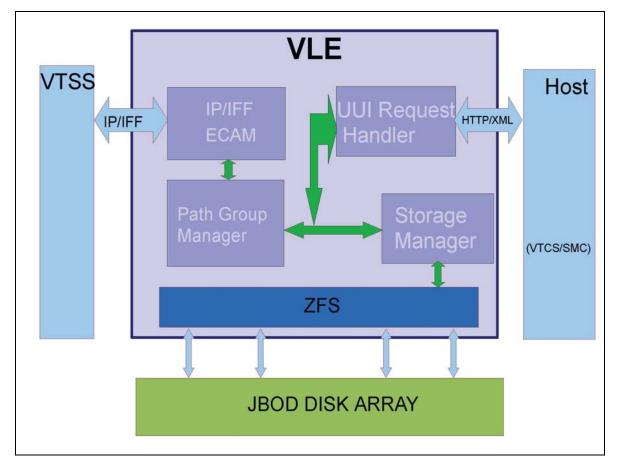


FIGURE 1-3 VLE Subsystem Architecture

As FIGURE 1-3 on page 13 shows, the VLE application software is comprised of:

- HTTP/XML is the data protocol for host to VLE communications.
- The Universal User Interface (UUI) Request Handler, which processes UUI requests from and produces responses to Storage Management Component (SMC) and Virtual Tape Control Software (VTCS). The UUI Request Handler determines which VLE components are used to service a request.

UUI Request Handler calls:

- The PathGroup Manager to schedule VTV migrates and recalls. The PathGroup Manager manages all Path Groups, where each Path Group manages a single VTV data transfer between the VTSS and the VLE.
- The Storage Manager to schedule all report generation.
- The VLE Storage Manager component manages the VMVC/VTV data and meta data on the VLE. The VLE Storage Manager stores VTV data on and retrieves it from the ZFS on the JBOD array.
- TCP/IP/IFF is the data protocol for host to VLE communications, where the IP/ IFF/ECAM component handles communications between the VTSS and the VLE.

Physical Site Planning

This chapter provides information about activities designed to ensure the site is equipped to accommodate the power, safety, environmental, HVAC, and data handling requirements of VLE system equipment.

Key site readiness planning considerations include, but are not limited to:

- Site surveys to evaluate and eliminate or mitigate factors which could negatively affect delivery, installation, and operation of VLE system equipment.
- A plan for the layout and location of VLE system equipment and cabling that allows for efficient use and easy maintenance, plus adequate space and facilities for Oracle support personnel and their equipment.
- Facilities construction that provides an optimum operating environment for VLE system equipment and personnel, as well as safe flooring and protection from fire, flooding, contamination, and other potential hazards.
- Scheduling of key events and task completion dates for facilities upgrades, personnel training, and delivery, implementation, installation, testing, and certification activities.

Customers ultimately are responsible for ensuring that their site is physically prepared to receive and operate VLE system equipment, and that the site meets the minimum specifications for equipment operation as detailed in this guide.

Site Evaluation – External Considerations

Before delivery of VLE system equipment, a readiness planning team should identify and evaluate all external site factors that present existing or potential hazards, or which could adversely affect delivery, installation, or operation of the system. External factors that should be evaluated include:

- Reliability and quality of electrical power provided by the local utility, backup power generators, and uninterruptible power supplies (UPSs), etc.
- Proximity of high-frequency electromagnetic radiation sources (e.g., high-voltage power lines; television, radio, and radar transmitters)
- Proximity of natural or man-made floodplains and the resultant potential for flooding in the data center

• Potential effects of pollutants from nearby sources (e.g., industrial plants). For more information, see "Controlling Contaminants" on page 65.

If any existing or potential negative factors are discovered, the site readiness planning team should take appropriate steps to eliminate or mitigate those factors before VLE system equipment is delivered. Oracle Global Services offers consultation services and other assistance to identify and resolve such issues. Contact your Oracle account representative for more information.

Site Evaluation – Internal Considerations

Before delivery of VLE system equipment, a readiness planning team should identify and evaluate all internal site factors that present existing or potential hazards, or which could adversely affect delivery, installation, or operation of the system. Internal factors that should be evaluated include:

- Structural dimensions, elevator capacities, floor-load ratings, ramp inclines, and other considerations when transferring equipment point-to-point between the delivery dock, staging area, and data center installation site, as described in:
 - "VLE Environmental Specifications" on page 18
 - "Requirements for Transferring the VLE Point to Point" on page 20
- "Requirements for Installing the VLE" on page 21, which describes floor construction and loading requirements.
- Data center safety system design features and capabilities as described in "Data Center Safety" on page 23.
- Site power system(s) design and capacity as described in "Site Power Distribution Systems" on page 24.
- Data center HVAC design features and capabilities as described in "HVAC Requirements" on page 28
- Environmental requirements as described in:
 - "Environmental Requirements and Hazards" on page 28
 - "Controlling Contaminants" on page 65

If any existing or potential negative factors are discovered, the site readiness planning team should take appropriate steps to eliminate or mitigate those factors before VLE system equipment is delivered. Oracle Global Services offers consultation services and other assistance to identify and resolve such issues. Contact your Oracle account representative for more information.

VLE Environmental Specifications

Note – Statistics for power and cooling data are approximate due to variations in data rates and the number of operations occurring.

Base Configuration

The base configuration consists of an Sun Server X2-4, with two 500GB Internal SATA drives, four quad port Gigabit Ethernet NICs, two dual port 10Gb Ethernet cards, two Thebe dual port SAS HBAs; two J4410 JBODs, each populated with 24 3TB SAS HDD; and the SunRack II 1242 Cabinet with dual 10KVA PDUs. The only option is additional capacity, in increments of two JBODs, up to a maximum total of 8.

Capacity

- Base Capacity Native 82 TB, Effective 330 TB
- Max Capacity Native 330 TB, Effective 1.32 PB

VLE Overall Dimensions - SunRack II 1242 Cabinet (inches)

- Height 78.7
- Width 23.6
- Depth 47.2

Service Clearance (inches)

• Top - 36

Note – 36 inches is the generic Sun Rack II specification. VLE does not require access through the top except for power cables.

- Front 36
- Rear 36

Weight (Pounds, Fully Populated with 8 JBODs)

Breakdown:

- Server 85
- Cabinet 332
- Each JBOD 110.25
- 8 JBODs 882
 - **Note –** Each JBOD 110.25
- Total Weight 1299
- Total Weight plus shipping material 1570

Power ((Base Watts - 2232, Max Watts - 5436)

Breakdown:

- Server 1164 (peak) 722 (Idle)
- Each JBOD- 534 (peak) 389 (Idle)
- 8 JBODs- 4272 (peak) 3112 (Idle)
- Total Power- 5436 (peak) 3834 (Idle)

HVAC ((Base BTU/HR - 7618, Max BTU/Hr - 18556)

Breakdown:

- Server 3972 (peak) 2464 (Idle)
- Each JBOD 1823 (peak) 1328 (Idle)
- 8 JBODs 14584 (peak) 10624 (Idle)
- Maximum Heat 18556 (peak) 13088 (Idle)

Requirements for Transferring the VLE Point to Point

Site conditions must be verified to ensure all VLE system equipment can be safely transported between the delivery dock, staging area, and data center without encountering dimensional restrictions, obstructions, or safety hazards, or exceeding rated capacities of lifting and loading equipment, flooring, or other infrastructure. Conditions that must be verified are described below.

Structural Dimensions and Obstructions

Dimensions of elevators, doors, hallways, etc. must be sufficient to allow unimpeded transit of VLE cabinets (in shipping containers, where appropriate) from the delivery dock to the data center installation location. See "VLE Overall Dimensions - SunRack II 1242 Cabinet (inches)" on page 18 for VLE cabinet-dimension details.

Elevator Lifting Capacities

Any elevators that will be used to transfer VLE cabinets must have a certified load rating of at least 1000 kg (2200 lbs.). This provides adequate capacity to lift the heaviest packaged, fully-populated VLE cabinet, a pallet jack (allow 100 kg/220 lbs.), and two persons (allow 200 kg/440 lbs.). See "Weight (Pounds, Fully Populated with 8 JBODs)" on page 18 for additional cabinet-weight details.

Ramp Inclines

To prevent VLE cabinets from tipping on ramps while being moved from point to point, the site engineer or facilities manager must verify the incline angle of all ramps in the transfer path. Inclines cannot exceed 10 degrees (176 mm/m; 2.12 in./ft.).

Requirements for Installing the VLE

The following sections describe requirements for installing the VLE.

Floor Construction Requirements

VLE system equipment is designed for use on either raised or solid floors. Carpeted surfaces are not recommended since these retain dust and contribute to the buildup of potentially damaging electrostatic charges. A raised floor is preferable to a solid floor since it permits power and data cables to be located safely away from floor traffic and other potential floor-level hazards.

Floor-Load Ratings

Solid floors, raised floors, and ramps located along the transfer path for VLE cabinets must be able to withstand concentrated and rolling loads generated by the weight of a populated cabinet, equipment used to lift a cabinet (e.g., a pallet jack), and personnel who are moving the cabinet from point to point.

Raised floor panels located along a transfer path must be able to resist a concentrated load of 620 kg (1365 lbs.) and a rolling load of 181 kg (400 lbs.) anywhere on the panel, with a maximum deflection of 2 mm (0.08 in.). Raised floor pedestals must be able to resist an axial load of 2268 kg (5000 lbs.). See "Floor Loading Requirements" on page 21 for additional floor-loading details.

When being moved from one location to another, a VLE cabinet generates roughly twice the floor load as in a static state. Using 19 mm (0.75 in.) plywood along a transfer path reduces the rolling load produced by a cabinet.

Floor Loading Requirements

Warning – Exceeding recommended raised-floor loads can cause a floor collapse, which could result in severe injury or death, equipment damage, and infrastructure damage. It is advisable to have a structural engineer perform a floor-load analysis before beginning installation of VLE system equipment.

Caution – When being moved, a VLE cabinet creates almost twice the floor load as when static. To reduce floor load and stress, and the potential for damage or injury when moving a VLE (e.g., during installation), consider using 19 mm/0.75 in. plywood on the floor along the path where the cabinet will be moved.

Flooring with an overall (superimposed) load rating of 490 kg/m2 (100 lbs./ft2) is recommended. If floors do not meet this rating, a site engineer or facilities manager must consult the floor manufacturer or a structural engineer to calculate actual loads and determine if the weight of a particular VLE system configuration can be safely supported.

Specific information on floor construction requirements is available from the VLE Backline Support group.

Floor Loading Specifications and References

Basic Floor Load*	Maximum Superimposed Floor Load #
695 kg/m ² (142 lbs./ft ²)	$462 \text{ kg/m}^2 (94 \text{lbs./ft}^2))$

Note -

- * Load over footprint surface area (7093.7 cm2/1099.5 in²) of an unpackaged VLE cabinet, with a maximum weight of 590 kg/1299 lbs., i.e., a VLE with 192 array disk drives.
- # Assumes minimum Z+Z axis dimension of 185.3 cm/73.0 in. (i.e., cabinet depth 77.1 cm/ 30.4 in. + front service clearance of 54.1 cm/21.3 in. + rear service clearance of 54.1 cm/21.3 in.), minimum X+X axis dimension of 104.9 cm/41.2 in. (i.e., cabinet width 92.1 cm/36.3 in. + left clearance of 6.4 cm/2.5 in. + right clearance of 6.4 cm/2.5 in.).

Raised-Floor Lateral Stability Ratings

In areas of high earthquake activity, the lateral stability of raised floors must be considered. Raised floors where VLE system equipment is installed must be able to resist the horizontal-stress levels shown in TABLE 1-2 on page 22.

Seismic Risk Zone	Horizontal Force (V) Applied at Top of Pedestal	
1	13.5 kg / 29.7 lbs	
2A	20.2 kg / 44.6 lbs	
2B	26.9 kg / 59.4 lbs	
3	40.4 kg / 89.1 lbs	
4	53.9 kg / 118.8 lbs	

TABLE 1-2 Raised Flooring Horizontal Force Chart

Note – Horizontal forces are based on the 1991 Uniform Building Code (UBC) Sections 2336 and 2337, and assume minimum operating clearances for multiple VLE cabinets. Installations in areas not covered by the UBC should be engineered to meet seismic code provisions of the local jurisdiction.

Raised-Floor Panel Ratings

Raised floor panels must be able to resist a concentrated load of590 kg (1299 lbs.) and a rolling load of 181 kg (400 lbs.) anywhere on the panel with a maximum deflection of 2 mm (0.08 in.). Perforated floor panels are not required for VLE system equipment, but if used must comply with the same ratings.

Raised-Floor Pedestal Ratings

Raised floor pedestals must be able to resist an axial load of 2268 kg (5000 lbs.). Where floor panels are cut to provide service access, additional pedestals may be required to maintain the loading capacity of the floor panel.

Data Center Safety

Safety must be a primary consideration in planning installation of VLE system equipment, and is reflected in such choices as where equipment will be located, the rating and capability of electrical, HVAC, and fire-prevention systems that support the operating environment, and the level of personnel training. Requirements of local authorities and insurance carriers will drive decisions as to what constitutes appropriate safety levels in a given environment.

Occupancy levels, property values, business interruption potential, and fireprotection system operating and maintenance costs should also be evaluated. The *Standard for the Protection of Electronic Computer / Data Processing Equipment (NFPA 75)*, the *National Electrical Code (NFPA 70)*, and local and national codes and regulations can be referenced to address these issues.

Emergency Power Control

The data center should be equipped with readily-accessible emergency power- off switches to allow immediate disconnection of electrical power from VLE system equipment. One switch should be installed near each principal exit door so the power-off system can be quickly activated in an emergency. Consult local and national codes to determine requirements for power disconnection systems.

Fire Prevention

The following fire-prevention guidelines should be considered in the construction, maintenance, and use of a data center:

- Store gases and other explosives away from the data center environment.
- Ensure data center walls, floors, and ceilings are fireproof and waterproof.
- Install smoke alarms and fire suppression systems as required by local or national codes, and perform all scheduled maintenance on the systems.

Note – Halon 1301 is the extinguishing agent most commonly used for data center fire suppression systems. The agent is stored as a liquid and is discharged as a colorless, odorless, electrically nonconductive vapor. It can be safely discharged in occupied areas without harm to personnel. Additionally, it leaves no residue, and has not been found to cause damage to computer storage media.

- Install only shatterproof windows, in code-compliant walls and doors.
- Install carbon dioxide fire extinguishers for electrical fires and pressurized water extinguishers for ordinary combustible materials.
- Provide flame-suppressant trash containers, and train personnel to discard combustible waste only into approved containers.
- Observe good housekeeping practices to prevent potential fire hazards.

Site Power Distribution Systems

The following elements of the site power distribution system should be evaluated when planning an installation of VLE system equipment.

System Design

A properly installed power distribution system is required to ensure safe operation of VLE system equipment. Power should be supplied from a feeder separate from one used for lighting, air conditioning, and other electrical systems.

A typical input power configuration, shown in FIGURE 1-4 on page 25, is either a five-wire high-voltage or a four-wire low-voltage type, with three-phase service coming from a service entrance or separately derived source, and with overcurrent protection and suitable grounding. A three-phase, five-wire distribution system provides the greatest configuration flexibility, since it allows power to be provided to both three-phase and single-phase equipment.

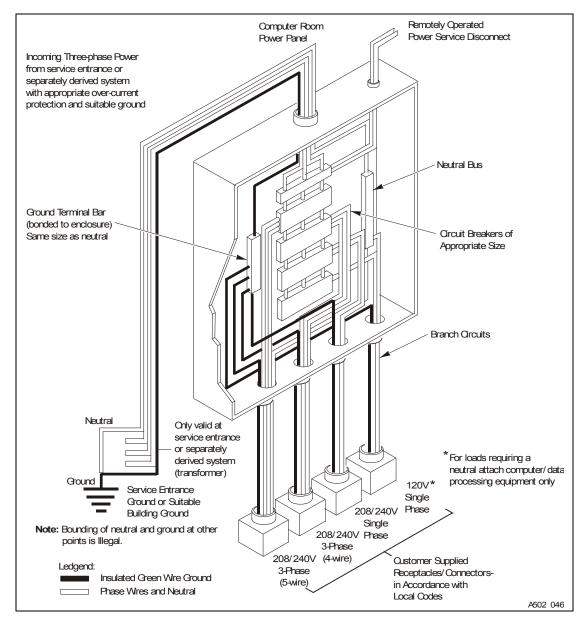


FIGURE 1-4 Site Electrical Power Distribution System

Equipment Grounding

For safety and ESD protection, VLE system equipment must be properly grounded. VLE cabinet power cables contain an insulated green/yellow grounding wire that connects the frame to the ground terminal at the AC source power outlet. A similar insulated green or green/yellow wire ground, of at least the same diameter as the phase wire, is required between the branch circuit panel and the power receptacle that attaches to each cabinet.

Source Power Input

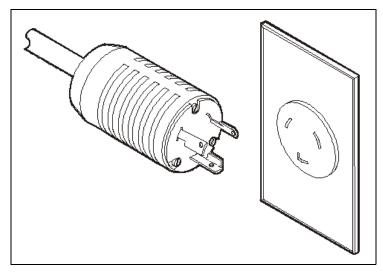
Voltage and frequency ranges at the AC source power receptacle(s) that will supply power to VLE system equipment must be measured and verified to meet the specifications shown in TABLE 1-3.

TABLE 1-3 Sou	urce Power Re	quirements for	· VLE Equipment
---------------	---------------	----------------	-----------------

Source Power	Voltage Range	Frequency Range (Hz)
AC, single-phase, 3-wire	170-240	47-63

If you are installing the VLE in the North and South America, Japan and Taiwan, make sure that the designated power sources are NEMA L6-30R receptacles, and make sure that the cabinet power cords are terminated with the required NEMA L6-30P plugs. The factory ships power cords with NEMA L6-30P plugs to North and South America, Japan and Taiwan. Shipments to EMEA and APAC will ship with IEC309 32A 3 PIN 250VAC IP44 plugs.

The figure below shows a NEMA L6-30P plug and L6-30R receptacle.



If you are installing the VLE outside the North and South America, Japan and Taiwan, make sure that designated source-power receptacles meet all applicable local and national electrical code requirements. Then attach the required connectors to the three-wire ends of the cabinet power cords.

Dual Independent Source Power Supplies

VLE cabinets have a redundant power distribution architecture designed to prevent disruption of system operations from single-source power failures. Four 30 Amp power plugs are required.

To ensure continuous operation, all power cables must be connected to separate, independent power sources that are unlikely to fail simultaneously (e.g., one to local utility power, the others to an uninterruptible power supply (UPS) system). Connecting multiple power cables to the same power source will not enable this redundant power capability.

Transient Electrical Noise and Power Line Disturbances

Reliable AC source power free from interference or disturbance is required for optimum performance of VLE system equipment. Most utility companies provide power that can properly operate system equipment. However, equipment errors or failures can be caused when outside (radiated or conducted) transient electrical noise signals are superimposed on power provided to equipment.

Additionally, while VLE system equipment is designed to withstand most common types of power line disturbances with little or no effect on operations, extreme power disturbances such as lightning strikes can cause equipment power failures or errors if steps are not taken to mitigate such disturbances.

To mitigate the effects of outside electrical noise signals and power disturbances, data center source power panels should be equipped with a transient grounding plate similar to that shown in FIGURE 1-5.

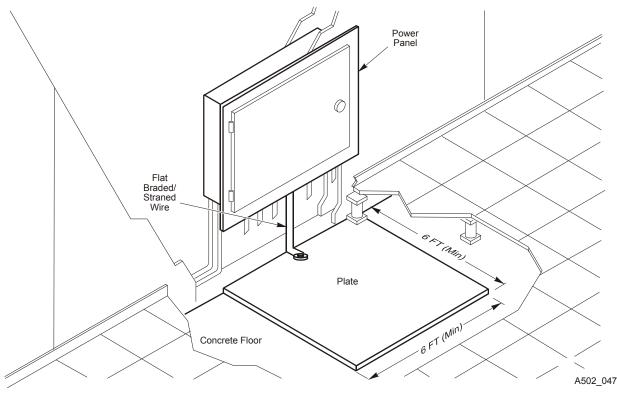


FIGURE 1-5 Transient Electrical Grounding Plate

Electrostatic Discharge

Electrostatic discharge (ESD; static electricity) is caused by movement of people, furniture, and equipment. ESD can damage circuit card components, alter information on magnetic media, and cause other equipment problems. The following steps are recommended to minimize ESD potential in the data center:

- Provide a conductive path from raised floors to ground.
- Use floor panels with nonconducting cores.
- Maintain humidity levels within recommended control parameters.
- Use grounded anti-static work mats and wrist straps to work on equipment.

HVAC Requirements

Cooling and air-handling systems must have sufficient capacity to remove heat generated by equipment and data center personnel. Raised-floor areas should have positive underfloor air pressure to facilitate airflow. If conditions change within a data center (e.g., when new equipment is added or existing equipment is rearranged), airflow checks should be done to verify sufficient airflow.

Environmental Requirements and Hazards

VLE system components are sensitive to corrosion, vibration, and electrical interference in enclosed environments such as data centers. Because of this sensitivity, equipment should not be located near areas where hazardous and/or corrosive materials are manufactured, used, or stored, or in areas with above-average electrical interference or vibration levels.

For best performance, equipment should be operated at nominal environmental conditions. If VLE system equipment must be located in or near adverse environments, additional environmental controls should be considered (and implemented where practicable) to mitigate those factors prior to installation of the equipment.

VLE Planning

This chapter provides information about VLE planning topics.

Satisfying Mainframe Host Software Requirements

MVS Environment

In the MVS environment, for ELS 7.2, support for VLE 1.2, is included in the base level. For ELS 7.0 and 7.1, get the latest SMP/E receive HOLDDATA and PTFs described in TABLE 2-4 and SMP/E APPLY with GROUPEXTEND.

ELS 7.0	ELS 7.1
L1H16C1	L1H16J6
L1H1672	L1H1674

TABLE 2-4 ELS Supporting PTFs for VLE

MSP Environment

In the MSP environment, for ELS 7.1 and ELS 7.2, support for VLE 1.2 is included in the base level.

Satisfying Network Infrastructure Requirements

If possible, do any configuration of IP addresses, network switch(es) for VLANs or other setup (running cables, and so forth) before the VLE arrives to minimize the installation time. Ensure that the network is ready for connection to the VLE as follows:

- Gigabit Ethernet protocol is required on all network switches and routers that are directly attached to VSM5 IFF cards. The IFF card will only do speed negotiation to the 1 Gb speed.
- Switches and Routers should support Jumbo(mtu=9000) packets for best performance. If the network is not capable of handling jumbo frames, turn off this capability at the VTSS and the VLE.

Note – If jumbo frames are enabled then all switches, hubs, or patch panels (including the VLAN and the port channel) between the VLE and its target component must also have jumbo frames enabled.

- Check that you are using the proper (customer-supplied) 1GigE Ethernet cables:
 - CAT5 cables and below are not acceptable for GigE transmission.
 - CAT5E cable: 90 meters is acceptable if run through a patch panel, 100 meters if straight cable.
 - CAT6 cable: 100 meters is acceptable regardless of patch panel configuration.
- StorageTek recommends if a switch or router is used in the configuration, at least two switches or routers be part of the configuration at each location so that the loss of one unit will not bring down the whole configuration.
- Only one TCP/IP connection is required between a VTSS and a VLE. However, for redundancy, StorageTek strongly recommends that you have a total of 4 connections between the VTSS and VLE where the VTSS connections are separate IP addresses. Each TCP/IP connection from a specific VTSS to a specific VLE should be to separate VLE interfaces. If you connect all the VTSS connections to the same VLE interface, you have a single point of failure at the VLE interface.

In a VLE multi-node system, the VTSS connections should be spread evenly across all nodes. For example, in a 2-node VLE, the VTSS connections should be two on node1 and the other two on node 2. On a 4-node VLE, 1 VTSS connection to each node is recommended. If a switch is involved between the VTSS and VLE, then it is possible to have all four connections to each node of a 4-node VLE. Because each VTSS connection represents four drives total, then there would be one drive from each connection to each node for a total of four drives for each node on a 4-node VLE.

IP addresses, however, must **never** be duplicated on separate nodes in the VLE for UUI or VTSS. For example, if you have a UUI connection of 192.168.1.1 going to node 1, then do not make a UUI connection on another node using 192.168.1.1 as the IP address! Additionally, if possible, you should never have two interfaces on the same node within the same subnet when configuring IP addresses.

• Similarly, only one UUI connection is required between a VLE and the host, but two are recommended for redundancy, preferably using two independent network paths. Note that these network paths are separate from the connections to the VTSS. For VLE multi-node configurations, if there are multiple UUI connections, make them from separate nodes in the VLE.

Satisfying Oracle Switch Hardware Requirements

The Oracle switch is required for three node or greater VLEs, and can be used for two-node VLEs.

Note – Your VLE is shipped with the following components:

- The dual-port 10GigE NIC cards shown in FIGURE 2-10 on page 41. These cards have installed the pluggable fiber transceivers.
- Two 25 meter fibre optic cables, used to connect VLEs as described above. If you are not going to configure multi-node systems at present, ensure that those cables remain with the VLE decide to configure multi-node systems later.

Order the switch, part X2074A-R to install the switch in a Sun Rack II cabinet.

For each of the first four VLE Nodes that connect through the switch, order two each of the following:

- SFP #X2129A-N
- The appropriate length of LC/LC optic fibre cable, which must be OM3, 850nm, multi-mode, maximum length, including patch panels, of 35M. Two cables per VLE are required to connect to the switch.

For VLE nodes 5 through 7 in the network, **in addition** to the above, order two total (**not** two per node) of the following:

- X2124A-N QSFP parallel fiber optics short wave transceivers.
- X2127A-10M QSFP optical cable splitters. These cable splitters are a single 10M long cable which splits after 9M into four cables for the final one meter in length. Each of these four cable lengths has an LC connector on the end and for the fifth VLE node, you will be able to plug one of the cable ends directly into the VLE node. The overall length of the cable is 10M so that VLE will need to be closer to the switch than VLE's connected by a 35M (or shorter) cable.

For **each** of 6-7 (or if you need more cable for node 5), ensure that you have two of the following:

- LC/LC couplers 10800160-N Spare: LC DUPLEX COUPLING RECEPTACLES.
- LC/LC fibre optic cables no more than 25 meters in length, OM3, 850nm, multimode. The limit is 25 meters is because the cables must connect to the QSFP cable, which is 10M, using the couplers.

Satisfying Serviceability Requirements

The VLE product uses a standard Oracle service strategy common with other Oracle products. Automated Service Response (ASR) is used by the VLE as the outgoing event notification interface to notify Oracle Support that an event has occurred on the VLE and the system may require service. Additionally, in combination with ASR, an outgoing email containing details about an ASR event and a Support File Bundle containing VLE log information necessary to investigate any ASR event will also be sent.

The advantages of ASR functionality are well documented in the ASR FAQ available on the My Oracle Support site (https://support.oracle.com/CSP/ui/flash.html) in Knowledge Article Doc ID 1285574.1.

Oracle's expectation is that the VLE will be configured to allow outgoing ASR and email communication with Oracle Support. To support VLE outgoing ASR notifications, the customer will need to supply the information in TABLE 2-5 to the installing Oracle Field Engineer.

Configuration Value	Example
General Configuration - Site Information	
Company Name	Company Inc
Site Name	Site A
City	AnyTown

 TABLE 2-5
 CAM Configuration Information

General Configuration - Contact Information		
First Name	Joe	
Last Name	Companyperson	
Contact email	joecompanyperson@company.com	

Auto Service Request (ASR) Setup - Oracle Online Account Information		
Customer Oracle CSI Login Name	joecompanyperson@company.com	
Customer Oracle CSI Login Password	*****	

Auto Service Request (ASR) Setup - Internet Connection Settings (Optional)		
Proxy Host Name	web-proxy.company.com	
Proxy Port	8080	
Proxy Authentication - User Name		
Proxy Authentication - Password		

Note – InTABLE 2-5 on page 34, some fields are not required if a proxy server is not being used or if it does not require an ID and password. If the customer will not provide the CSI email ID and password, then the customer can enter it directly during the install process. ASR registration takes place during the CAM configuration portion of the VLE install. During this part of the install the VLE will register itself on the Oracle servers as an ASR qualified product.

The customer is then required to log into My Oracle Support (MOS) and approve the registration of the VLE. Until this approval is completed by the customer the VLE is not capable of auto-generating cases via MOS.

For email notification of event and log information, the customer must also supply the information in TABLE 2-6. If the email server does not require a user name and password these fields can remain blank.

Configuration Value	Example
Email Configuration - SMTP Server Name	SMTP.company.com
Email Configuration - SMTP Server User Name	
Email Configuration - SMTP Server User Password	
Email Recipients	vle@invisiblestorage.com and others as needed

TABLE 2-6 Notification Setup - Email Configuration Options / ConfCollectStatus

In cases where outgoing communication steps are not completed at the time of installation or not allowed at all, Oracle's options for timely response to events that require support from the Oracle Service team are greatly reduced. The VLE can be configured to send email containing event and log information directly to a designated customer internal email address. A recipient of this email can then initiate a service request directly with Oracle and forward any emails received from the VLE to Oracle Support. In this case, the customer must supply the email address where VLE emails are sent, where this email address can accept emails of up to 5M.

Determining VLE Configuration Values

The following sections tell how to determine configuration values for the VLE.

Note – As noted in the following sections, several software configuration values must match values initially set during configuration of the VLE. Use the IP_and VMVC_Configuration.xls worksheet to record these values so you can pass them on to the personnel who will

configure the VLE and the host software.

Determining Values for the Configuration Scripts

To configure the network for VLE, you run the setup_network script to on each node in a multi-node system (or the only node in a single-node system). If the VLE Name has not been changed from the default, setup_network then calls setup_vle_node, which configures that node; see FIGURE 2-6.

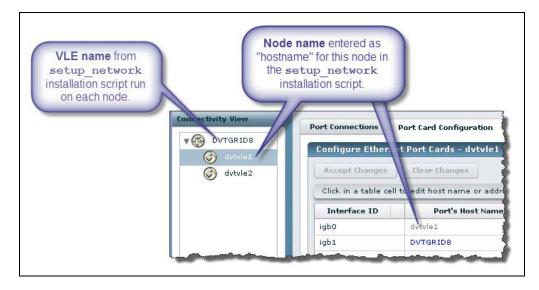


FIGURE 2-6 VLE Name, VLE Number and Node Name

VLE Name and VLE Number

Each VLE node (connected via the same internal network) has a common VLE name and VLE number. The VLE name and number **must be the same** on each node in a multi-node VLE.

The VLE Name must be unique and should **not** be the hostname of any of the servers. The default VLE Name is VLE-NAME. You can reset the VLE Name when you run the setup_vle_node script. The value must be 1 to 8 characters in length, alphanumeric uppercase. The name can contain a - (dash) but not at the beginning or

alphanumeric, uppercase. The name can contain a - (dash) but not at the beginning or the end.

Valid values for the VLE-number are 1-9

In FIGURE 2-6, the VLE-Name and VLE-Number combination is DVTGRID8.

To the host software, the VLE-Name and VLE-Number combination is known as the *subsystem name*, and is specified in the following:

- The STORMNGR parameter value on the VTCS CONFIG TAPEPLEX statement for the TapePlex that connects to the VLE or the NAME parameter on the CONFIG STORMNGR statement (ELS 7.1 and above).
- The STORMNGR parameter value on the VTCS CONFIG RTD statement for the VLE.
- The NAME parameter value on the SMC STORMNGR command that defines the VLE to SMC.
- The STORMNGR parameter value on the SMC SERVER command for the VLE.
- The STORMNGR parameter value on the HSC STORCLAS statement.

Host Name for the Node

As shown in FIGURE 2-6 on page 36, the Host Name for the Node, which is entered on the setup_network script, appears as:

- The Port's Host Name for the igb0 interface ID for the node.
- The Host Name for the node selected in the node navigation tree.

In FIGURE 2-6 on page 36, the Host Name for the node is dvtvle1.

Characters can be alpha-numeric (A-Z, a-z, 0-9) or "." or "-". The first and last characters of the string can not be "." or "-". The name cannot be all-numeric. The name can be up to 512 characters long, though Internet standards and CAM limitations require that the host portion (not including the domain component) be limited to a maximum of 24 characters.

Determining Values for setup_network

Required values for the setup_network script include the following:

- Hostname for the node; see "Host Name for the Node" on page 37.
- VLE static IP address for port igb0.
- Network number, which is the base address of the customer subnet.
- Netmask.
- The default router IP address (Gateway address).
- The network domain name.
- The Name Server IP addresses.
- Network search names.
- NTP server/client setup (server or client, IP addresses of servers) and date/time values.

Determining Values for setup_vle_node

Required values for the setup_vle_node script include the following:

- VLE number and name; see "VLE Name and VLE Number" on page 36.
- Serve Node number (SSN). For multi-node VLEs, each node requires a unique SSN. Valid values for SSN are 1 to 64.
- Server time and date values.

Determining Values for Port Card Configuration

To configure the VLE Ethernet ports, you use the Connectivity View, Port Card Configuration Tab shown in FIGURE 2-7. The following sections tell how to determine port card configuration values.

					User:	vleadmin	Server: vie:	iga
onnectivity View	Port Connections	Port Card Configurati	on Port Statu	Remote VLE	Configuration	n		
VLE1	Configure Ethe	rnet Port Cards - vle2	'ga					
⊘ vle3ga	Accept Change	s] [Clear Changes]						
, in the second se	Click in a table	cell to edit host name or	addresses, then c	ick the 'Accept Cha	anges' button			
	Interface ID	Port's Host Name	IP Address	Netmask	Replic	UUI	Remote	
	igb0	vle2ga	10.80.165.156	255,255,255,0				
	igb1	VLE-0615EN44B7-igb1	192.168.2.6	255,255,255,0	V	1		
	igb2							- 100
	igb3							
	e1000g0	IFFO	192.168.5.50	255,255,255.0	V			ł
	e1000g1	Valid hostname characte are allowed except at the	rs are A-Z, a-z, 0-9 beginning or end of	'.' and '-'				1
	e1000g2	Name must not be all nu		cire nomer				1
	e1000g3							1
	e1000g4	IFF1	192.168.3.50	255,255,255,0	V			1
	e1000g5							1
MVC View	e1000g6						10	

FIGURE 2-7 VLE GUI Port Card Configuration Tab

Interface IDs

The Interface IDs identify the port. You can correlate this ID to ports using the Solaris command status_vle_ips. These identifiers are established before the VLE hardware is delivered, and cannot be modified.

VLE Ethernet Ports

On the top of the server, left-hand side at the rear (above the power supplies) there is a sticker that shows these ports with corresponding port IDs as shown in FIGURE 2-8. Note that the sticker shows the location of both the 1GigE ports, shown in FIGURE 2-8, and the 10GigE ports.

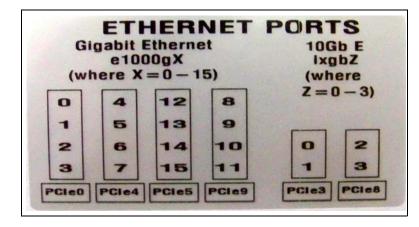


FIGURE 2-8 Ethernet Port Sticker

FIGURE 2-9 shows the 1GigE Ethernet ports in red boxes (e1000g0 to e1000g15) on the rear of the server.

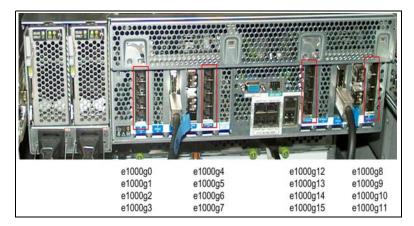
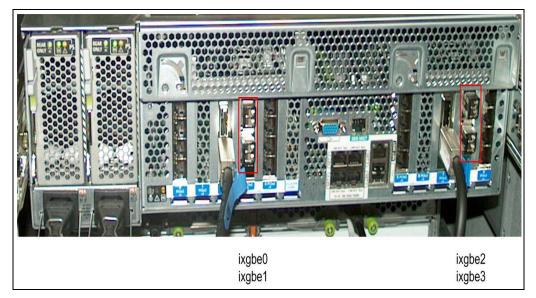
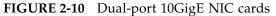


FIGURE 2-9 VLE 1GigE Ethernet Data PortsVLE

The 1GigE Ethernet ports are general purpose ports that can be used for UUI connection, Replication (VLE to VTSS data exchange), Remote Listener (VLE to VLE data exchange) or any combination of all three types.

VLE servers include two dual-port 10GigE NIC cards per server as shown in FIGURE 2-10.





As FIGURE 2-10 shows, the 10GigE ports (in the red boxes) have interface IDs of ixgbe0-ixgbe3.

Note – VLEs are shipped with a 1M fiber optic cable connecting ixgb0 to ixgb2. For single node systems, leave the cable connected. VLEs are also shipped with two 25M fiber optic cables, which you can use to connect multi-node system.

Ports ixgbe0 and ixgbe2 are reserved for:

- Connections to the Oracle switch for three-node or greater configurations.
- Direct connections to another node in two-node configurations. To connect two nodes, you can do one of the following:
 - Directly connect ixgbe0 on one node to ixgbe0 on the second node, and ixgbe2 on one node to ixgbe2 on the second node.
 - Connect the nodes via the Oracle switch.

Ports ixgbe1 and ixgbe3 are general purpose ports that can be used for UUI connection, Replication (VLE to VTSS data exchange), Remote Listener (VLE to VLE data exchange) or any combination of all three types.

VLE Ethernet Management Ports

The management ports are marked on the back of the case NETO-NET3 as shown in the red box in FIGURE 2-11.

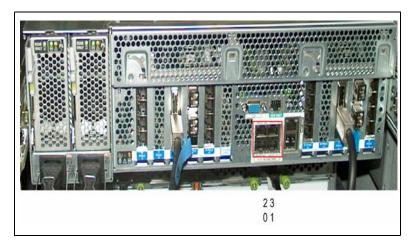


FIGURE 2-11 VLE Ethernet Management Ports

As shown in **FIGURE 2-11**:

- The management ports (igb0 through igb3), can be on a network segment that is private or public, are typically used as follows:
 - igb0 (NET0) **Reserved** for connection to the network for ASR traffic and managing the VLE software.
 - igb1 (NET1)- General purpose port, typically used for connection to the network for UUI (control path) traffic.
 - igb2 (NET2) General purpose port, typically used for redundant UUI connection, or if you want separate ports for separate network segments for the host network and for the sending of ASR alerts.
 - igb3 (NET3) -**Reserved** as dedicated port for service. **Note that** this port can use a single cable and function as **both** the service port and the ILOM port. Do not connect this port to the network. igb3 must remain free and open as an Ethernet port with known access configuration so that it is always be available for service. The preconfigured default IP address for igb3 are:
 - 10.0.0.10 for use as a service port. You use igb3 as a service port to access the VLE CLI.
 - 10.0.0.1 for use as an ILOM port.

Port's Host Name

The value is the machine (host) name for each IP address to be connected to a VTSS or another VLE. Characters can be alpha-numeric (A-Z, a-z, 0-9) or "." or "-". The first and last characters of the string can not be "." or "-". The name cannot be all-numeric. The name can be up to 512 characters long, though Internet standards and CAM limitations require that the host portion (not including the domain component) be limited to a maximum 24 characters. **Note that** the Port's Host Name for igb0 and igb3 are established during installation, and cannot be changed at the GUI.

IP Address

The IP address assigned to the port, which must be a valid IP v4 address, in the form of "192.68.122.0". Each byte must be 0-255, there must be 4 bytes, numeric only except for the decimal points.

Netmask

The network mask for the port, which must be a valid IP v4 address, in the form of "255.255.255.0". Each byte must be 0-255, there must be 4 bytes, numeric only except for the decimal points.

Replication

Check the box for each port that will be used for VLE to VTSS data exchange.

UUI

Check the box for each port that will be used for UUI activity. This port is usually the one used for product configuration and monitoring (including the port used by the GUI browser connection).

Note – Each VLE must have **at least on**e UUI connection, and two or more are recommended for redundancy. If you have two or more in a multi-node VLE, spread the UUI connections out over different nodes.

Remote

This check box identifies the port as a "Listener" destination for a VLE-to-VLE data exchange. For VLE to VLE data transfers, the two unused 10GigE connections (ixgbe1 and ixgbe3) or any unused 1GigE connection can be used from any node in a VLE. If each VLE has two or more nodes, StorageTek recommends a **minimum** of one connection from each node to the other VLE. You can run more than one connection from a VLE node to another VLE's node but you should **never** run multiple connections from a VLE node to a single port on the other VLE. If both VLE's have more than one node, StorageTek recommends spreading the VLE to VLE connections across all nodes in each VLE.

For example, VLE1 node 1 has a connection from 192.168.1.1 to VLE2 node 1 at 192.168.1.2. If a second connection is made from VLE node 1, then the connection should **not** go to VLE2 at 192.168.1.2.

For VLE to VLE data transfers, each VLE requires a UUI connection and an VTSS connection. This will ensure VTCS can migrate and recall VTVs from either VLE.

Determining VMVC Range Configuration Values

Ensure that the you assign VMVC names and ranges to fit within the site's naming scheme. VMVC names and ranges are set by the CSE during configuration, so it is best to have them assigned before configuration.

As shown in FIGURE 2-12, you use the VLE GUI's Create New VMVC Dialog Box (from the VMVC View with a specific node selected in the navigation tree) to specify volser ranges of new VMVCs.

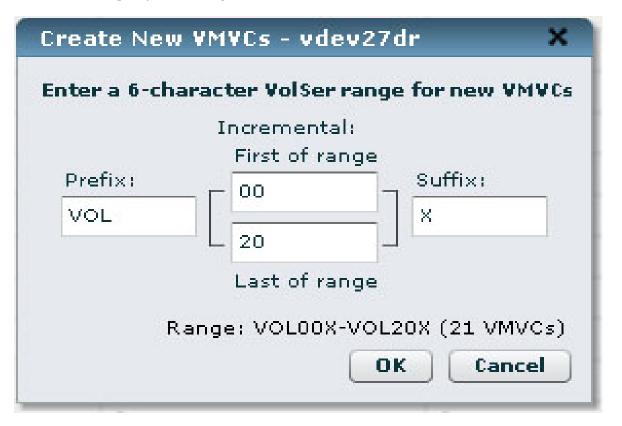


FIGURE 2-12 VLE GUI Create New VMVC Dialog Box

You determine values for each of the fields in FIGURE 2-12 as follows:

- Each of the fields allows 0-6 alpha-numeric characters, with the "assembly" limitations below.
- Alphabetic characters are automatically converted to upper case; leading and trailing spaces in all fields are automatically removed.
- Any of the fields can be empty, allowing the incremental value to be first, last, or in the middle of the volser range name.
- Any of the fields can be either alpha or numeric, with field validations to restrict their usage where necessary. For instance, embedded spaces and special characters are not allowed. Invalid field entries are shown with a red box around the field, and selecting the OK button will display an error warning.

- The "Incremental" range fields (prefix and suffix) can be either alpha or numeric. Field validations ensure that alpha and numeric characters are not mixed in either field, the first value must be less than the last value, and max range limits are checked.
- The length of the entire volser name range is constructed by assembly of each field the length of the prefix + length of ranges + length of the suffix. Thus you could enter a Prefix of "AB", a First of range of "001", a Last of range of "500" and a Suffix of "X" this would build the volser name range of "AB01X AB500X". Similar combinations can be built. But the length of the entire assembly must add up to exactly 6 characters.
- If the built-up name exceeded the valid 6-character volser name length (like "AB0001XY AB1500XY"), clicking the OK button displays a warning dialog and does not allow the entry.
- As the range is being built by editing fields, the resulting range is displayed on a line of the dialog just above the OK and Cancel buttons. The count of VMVCs in the range being built is also displayed in parentheses with the range. If the count exceeds the maximum allowed for the Wildcat box (shown in the "VMVC Counts" fields as "Max"), the text is displayed in bold orange. At the time the OK button is pressed, the current "Available" count is checked, and if the range exceeds this amount, an error dialog is displayed.
- The suffix string must begin with a different character type (alpha vs. numeric) than the incremental range strings. This is for compatibility with VTCS volser name range entry capability. If the range contains the same character type as the beginning of the suffix, the beginning characters of the suffix would be incremented in a range before those in the range fields; i.e., VTCS volser name processing is based on character type, not by field-entry of ranges. For example, a GUI entry of 1000 for the First of range, 1094 for the Last of range, and a suffix of 55 would make a range of 100055-109455. On VTCS, this would expand to 100055, 100056, 100057...109455 rather than 100055, 100155, 100255...109455. Because it would be difficult for you to match the latter expansion in VTCS volser name range entry, this construction is prohibited in the GUI.
- If you attempt to define overlapping ranges, only new VMVCs in the range will be added to any already-existing VMVCs (existing VMVCs will not be overwritten or cleared).
 - VMVCs have a nominal size of 250 GB (to the host software) and an effective size on the VLE of 1TB (assuming 4:1 compression). TABLE 2-7 shows the maximum VMVCs you can define for each VLE node capacity.

VLE Effective Capacity	Maximum VMVCs
330 TB	330
660 TB	660
990 TB	990
1320 TB	1320

TABLE 2-7 VLE Effective Capacities - Maximum VMVCs Per Node

• The VMVC volser ranges you specify in the VLE GUI must match the volser ranges defined to VTCS!

Planning for Encryption

VLE 1.1 and above provides encryption of VMVCs written to the VLE system. If a VTV is recalled to the VTSS, it is de encrypted at the VLE before recall; therefore, the MVS host software has no knowledge of encryption.

- Note
 - The encryption algorithm used is AES-256-CCM. The access key is a 256 bit file.
 - FIPS 140-2 certification request has been filed with NIST and is in progress.

Note that encryption is enabled, disabled, and managed at the VLE GUI by a StorageTek CSE or other QSP. Encryption is enabled on a per node basis via an encryption key stored on the node and backed up on a USB device. You can mix encryption and non-encryption nodes in a multi-node VLE because VLE de encrypts VTVs, if required, regardless of where they reside on a multi-node VLE. If, however, you want to encrypt all VTVs on a multi-node VLE, then encryption must be enabled for all nodes.

Some implementation notes:

- **Before** encryption is enabled, there must be **no** VMVCs on the node. Additionally, the USB key backup must be inserted in the node's USB port, and must be writeable and mounted by the OS.
- Similarly, **before** encryption is disabled, recall VTVs that you want to keep to the VTSS, then delete all VMVCs from the node.
- Encryption keys do not expire, so do **not** generate a new key unless you must (for example, to meet security audit requirements). **Before** you assign a new key:
 - The USB key backup must be inserted in the node's USB port, and must be writeable and mounted by the OS.
 - If you are certain you want to generate a new key, ignore the warning and overwrite the old key.

Planning for Deduplication

Deduplication eliminates redundant data in a VLE complex. As the deduplication percentage increases, migration performance can correspondingly improve and network use is reduced.

VLE deduplication is performed at the VLE, so the host job and the VTSS are not affected. When a deduplicated VTV is recalled, the VTV is "rehydrated" (reconstituted) at the VLE before it is recalled to the VTSS. Deduplication occurs on a tape block level within each node and small blocks (less than 4K after compression) are not deduplicated.

Deduplication, which is controlled by the STORCLAS DEDUP parameter, increases the effective VLE capacity and is performed by the VLE before the VTV is written to a VMVC. For example, CODE EXAMPLE 2-1 shows deduplication enabled for two Storage Classes.

STOR NAME(VLOCAL) STORMNGR(VLESERV1) DEDUP(YES) STOR NAME(VREMOTE) STORMNGR(VLESERV2) DEDUP(YES)

CODE EXAMPLE 2-1 Deduplication Enabled for Local and Remote Storage Classes

The STORCLAS statements in CODE EXAMPLE 2-1 specify deduplication for a "local" Storage Class (VLOCAL) on the VLE VLESERV1 and "remote" Storage Class (VREMOTE) on the on the VLE VLESERV2.

CODE EXAMPLE 2-2 shows a Management Class that performs deduplication on the Storage Classes in CODE EXAMPLE 2-1. Any jobs that specify the DEDUP2 Management Class enable deduplication for the referenced Storage Classes.

MGMT NAME(DEDUP2) MIGPOL(VLOCAL, VREMOTE)

CODE EXAMPLE 2-2 Management Class for Deduplication

Note – Deduplication occurs **only after** the DEDUP(YES) policy is set; that is, there is no retroactive deduplication.

Deduplication Guidelines

That's a quick "how to" for deduplication, now what are the guidelines for what data should and should not be deduplicated? Many sources of mainframe data do **not** benefit from deduplication, such as syslogs. Generally data streams that contain timestamps (where every record is different) will not benefit from deduplication. Backup data streams (where the same records may be written multiple times) will typically benefit from deduplication.

Using the SCRPT Report

Storage Class	STORMNGR	Node	Total MVCs	Capacity (GB)	Used (GB)	Compressed (GB)	Uncompressed (GB)	Reduction Ratio
PROD1	VLELIB1	0	4	1000	200	800	3200	16.0:1
		1	3	750	200	400	1600	8.0:1
		2	5	1250	200	400	1600	8.0:1
		3	4	1000	0	0	0	1.0:1
	VLELIB1		16	4000	600	1600	6400	10.7:1
Total=			16	4000	600	1600	6400	10.7:1
{A11}	VLELIB1	0	4	1000	200	800	3200	16.0:1
		1	3	750	200	400	1600	8.0:1
		2	5	1250	200	400	1600	8.0:1
		3	4	1000	0	0	0	1.0:1
	VLELIB1		16	4000	600	1600	6400	10.7:1
Total=			16	4000	600	1600	6400	10.7:1

After deduplication is enabled, how do you know how well it is working? You can monitor the results via the SCRPT report, as shown in the example in FIGURE 2-13

FIGURE 2-13 SCRPT Report

In FIGURE 2-13, the approximate reduction ratio for the data, which is Uncompressed Gb divided by Used Gb. The Reduction Ratio, therefore, includes both VTSS compression and VLE deduplication. A larger reduction ratio indicates more effective compression and deduplication.

For example, the VTSS receives 16 Mb of data, compresses it to 4Mb, and writes the compressed data to a VTV. VLE subsequently deduplicates the VTV to 2Mb and writes it to a VMVC. Thus, the reduction ratio is 16Mb divided by 2Mb or 8.0:1.

Because the calculation is done using Mb, it is possible to see 0Gb in the Used or Uncompressed fields, yet see a reduction ratio other than 1.0:1.

Using the MEDVERIFY Utility

You can run the MEDVERify utility to verify that VTV data can be read on VMVCs (ELS 7.1 and VLE 1.2 and above only). For VLE, MEDVERify ensures that deduplicated VMVCs can be "rehydrated" (reconstituted) when recalled to the VTSS. MEDVERify reports on VMVCs that pass or fail verification and also produces XML output.

For example, to verify VTVs on the VMVCs defined in CODE EXAMPLE 2-1 on page 47, enter:

MEDVER STOR (VLOCAL) MEDVER STOR (VREMOTE)

In this example:

- MEDVERify selects VMVCs in Storage Classes VLOCAL and VREMOTE.
- MAXMVC defaults to 99.
- CONMVC defaults to 1 so only a single VMVC is processed at a time.
- No time out is specified.

ASR Configuration

By default the VLE will send ASRs via the igb0 port. The site's mail server will be used to send the ASR alerts and the VLE support file bundles. When configuring CAM to send ASRs it is necessary to input the customer SunSolve email ID and password. When configuring CAM, the customer provides the Oracle CSI email address and password or inputs this information directly into the CAM GUI at the time the CAM Configuration Procedure is performed.

VLE Configuration Examples

This appendix contains the following configuration examples (all examples are direct connect, no switch):

- "Example 1: One VTSS Connected to One VLE" on page 54
- "Example 2: Four VTSSs Connected to One VLE" on page 56
- "Example 3: VLE to VLE Copy" on page 59
- "Example 4: One VTSS Connected to a 3-Node VLE" on page 62

"VLE GUI Configuration Screens" on page 52 shows the VLE GUI screens used for these examples.

VLE GUI Configuration Screens

The following sections show the VLE GUI Configuration Screens used to configure the examples in this appendix. For more information on the VLE GUI, see *Installing*, *Configuring*, *and Servicing the VLE Appliance*.

Port Card Configuration Tab

FIGURE A-14 shows the Port Card Configuration Tab, which is used in all examples. Assume the default Netmask value and assume whatever value you wish for the Port's Host Name field. The table for each example provides the IP Address and Check Box (Replication, UUI, Remote) values that correspond to the Interface ID field value.

					User: v	leadmin	Server: viel	2ga
nnectivity View	Port Connections	Port Card Configurat	ion Port Status	Remote VLE	Configuration			
Ø Ø	Configure Ethe	rnet Port Cards - vle:	2ga	_				
Ø	Accept Change	s Clear Changes						
	Click in a table	cell to edit host name or	addresses, then did	k the 'Accept Ch	anges' button.			
	Interface ID	Port's Host Name	IP Address	Netmask	Replic	UUI	Remote	
	igb0							
	igb1							
m.	igb2							180
	igb3							
	e1000g0							
	e1000g1							Т
	e1000g2							
	e1000g3							
	e1000g4							
	e1000g5							
VC View	e1000g6							

FIGURE A-14 Port Card Configuration Tab

Remote VLE Configuration Tab

FIGURE A-14 shows the Remote VLE Configuration Tab, which is used in "Example 3: VLE to VLE Copy" on page 59.

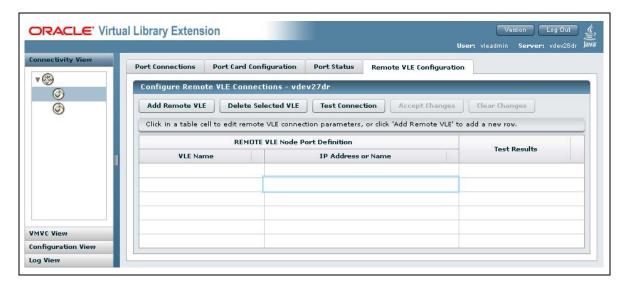


FIGURE A-15 Remote VLE Configuration Tab

Example 1: One VTSS Connected to One VLE

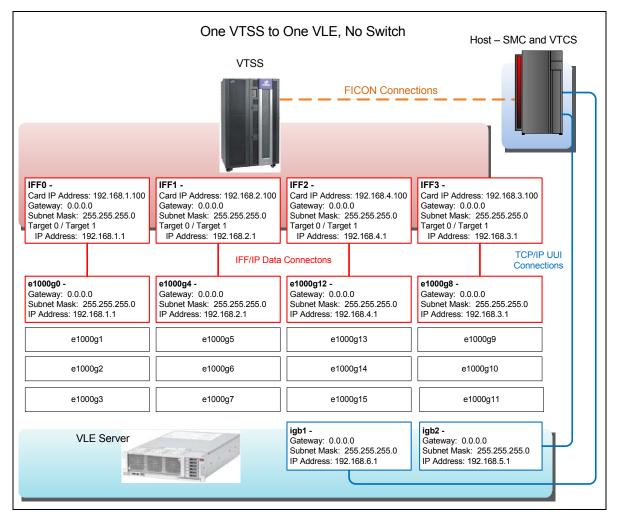


FIGURE A-16 Example 1: One VTSS Connected to One VLE

As FIGURE A-16 on page 54 and TABLE A-8 show, in this one VTSS to one VLE example, two targets on each IFF card connect to a single port on the VLE, where the IP addresses must match. Note that the third octet of the IP addresses is unique to each IFF card to VLE port connection, so these connections share a unique subnet.

Using two targets on each IFF card optimizes performance, because each target represents a socket, which enables a migrate and a recall to occur simultaneously on the same IFF card. Two targets optimizes performance, there is no performance benefit to assigning more than two targets per IFF card to the same VLE port.

IFF Card and Target	IPIF Value	Interface ID	IP Address	Check Box
		Data Connections		
IFF0 Target 0	0A:0	e1000g0	192.168.1.1	Replication
IFF0 Target 1	0A:1			
IFF1 Target 0	0I:0	e1000g4	192.168.2.1	
IFF1 Target 1	0I:1			
IFF2 Target 0	1A:0	e1000g12	192.168.4.1	
IFF2 Target 1	1A:1			
IFF3 Target 0	1I:0	e1000g8	192.168.3.1	
IFF3 Target 1	1I:1			
		UUI Connections		
		igb1	192.168.6.1	UUI
		igb2	192.168.5.1	

TABLE A-8 Example 1 Configuration Values

Example 2: Four VTSSs Connected to One VLE

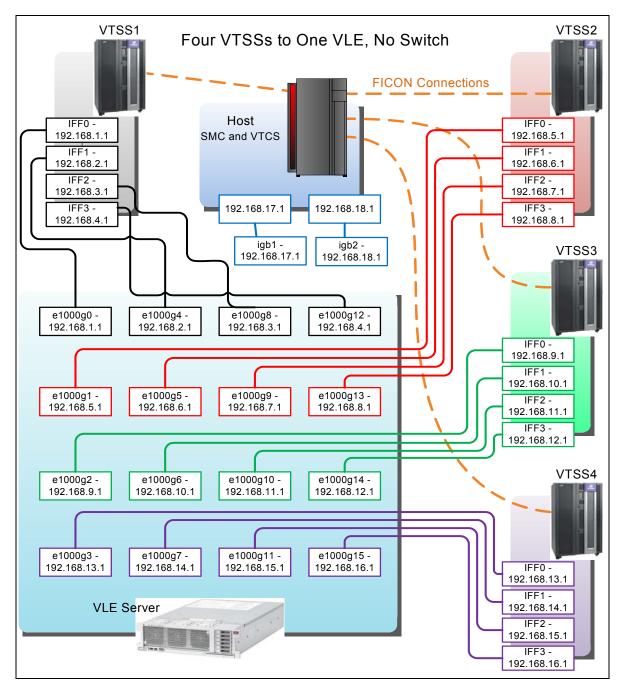


FIGURE A-17 Example 2: Four VTSSs Connected to One VLE

As FIGURE A-17 on page 56 and TABLE A-9 show, in this four VTSS to one VLE example, each IFF target to VLE port connection (where the IP addresses must match) is on its own unique subnet, as shown by the different colors for each subnet (UUI connections are shown in blue).

VSM5	IFF Card and Target	IPIF Value	Interface ID	IP Address	Check Box
		Data Cor	nections		
	IFF0 Target 0	0A:0	e1000g0	192.168.1.1	Replication
	IFF1 Target 0	0I:0	e10004	192.168.2.1	
	IFF2 Target 0	1A:0	e1000g8	192.168.3.1	
VTSS1	IFF3 Target 0	1I:0	e1000g12	192.168.4.1	
	IFF0 Target 0	0A:0	e1000g1	192.168.5.1	
	IFF1 Target 0	0I:0	e1000g5	192.168.6.1	
	IFF2 Target 0	1A:0	e1000g9	192.168.7.1	
VTSS2	IFF3 Target 0	1I:0	e1000g13	192.168.8.1	
	IFF0 Target 0	0A:0	e1000g2	192.168.9.1	
	IFF1 Target 0	0I:0	e1000g6	192.168.10.1	
	IFF2 Target 0	1A:0	e1000g10	192.168.11.1	
VTSS3	IFF3 Target 0	1I:0	e1000g14	192.168.12.1	
	IFF0 Target 0	0A:0	e1000g3	192.168.13.1	
	IFF1 Target 0	0I:0	e1000g7	192.168.14.1	
	IFF2 Target 0	1A:0	e1000g11	192.168.15.1	
VTSS4	IFF3 Target 0	1I:0	e1000g15	192.168.16.1	

TABLE A-9 Example 2 Configuration Values

TABLE A-9 Example 2 Configuration Values

UUI Connections					
			igb1	192.168.17.1	UUI
			igb2	192.168.18.1	

Example 3: VLE to VLE Copy

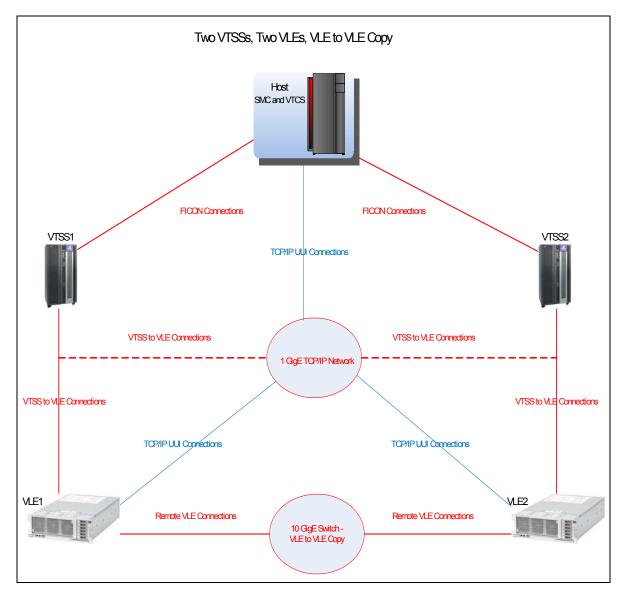


FIGURE A-18 Example 3: VLE to VLE Copy

As FIGURE A-18 on page 59 and the example values in TABLE A-10 show, in this two VTSSs to two VLEs, VLE to VLE copy example:

- Each VTSS is cross-connected to each VLE for VTSS to VLE copy, if desired.
- The VLEs are connected to each other via a network that includes the 10 GigE switch.

Each VTSS, therefore, can migrate a separate VTV copy to each VLE, which provides a redundancy/high availability solution similar to that provided by Clustered VTSS. The default behavior can be that the second copy is made via VLE to VLE connections. To enforce VTSS to VLE migration for the second copy, use the STORCLAS FROMLST parameter. For more information, see *Configuring the Host Software for VLE*.

VSM5	IFF Card and Target	IPIF Value	VLE, Interface ID	IP Address	Check Box
	VI	E to VTSS Data	Connections		Replication
	IFF0 Target 0	0A:0	VLE1, e1000g0	192.168.1.1	
	IFF1 Target 0	01:0	VLE1, e1000g4	192.168.2.1	
	IFF2 Target 0	1A:0	VLE1, e1000g8	192.168.3.1	
	IFF3 Target 0	1I:0	VLE1, e1000g12	192.168.4.1	
	IFF0 Target 1	0A:1	VLE2, e1000g0	192.168.5.1	
	IFF1 Target 1	0I:1	VLE2, e1000g4	192.168.6.1	
	IFF2 Target 1	1A:1	VLE2, e1000g8	192.168.7.1	
VTSS1	IFF3 Target 1	1I:1	VLE2, e1000g12	192.168.8.1	

VSM5	IFF Card and Target	IPIF Value	VLE, Interface ID	IP Address	Check Box
	VL	E to VTSS Data	Connections		
	IFF0 Target 0	0A:0	VLE1, e1000g1	192.168.9.1	Replication
	IFF1 Target 0	01:0	VLE1, e1000g5	192.168.10.1	
	IFF2 Target 0	1A:0	VLE1, e1000g9	192.168.11.1	
	IFF3 Target 0	11:0	VLE1, e1000g13	192.168.12.1	
	IFF0 Target 1	0A:1	VLE2, e1000g1	192.168.13.1	
	IFF1 Target 1	0I:1	VLE2, e10005	192.168.14.1	
	IFF2 Target 1	1A:1	VLE2, e1000g9	192.168.15.1	
VTSS2	IFF3 Target 1	1I:1	VLE2, e1000g13	192.168.16.1	
	V	LE to VLE Data	Connections		
			VLE1, ixgbe1	192.168.17.1	Remote
			VLE1, ixgbe3	192.168.18.1	
			VLE2, ixgbe1	192.168.17.2	
			VLE2, ixgbe3	192.168.18.2	
		UUI Conne	ctions		
			VLE1, igb1	192.168.19.1	UUI
			VLE1, igb2	192.168.20.1	
			VLE2, igb1	192.168.21.1	
			VLE2, igb2	192.168.22.1	1

TABLE A-10 Example 3 Configuration Values

Example 4: One VTSS Connected to a 3-Node VLE

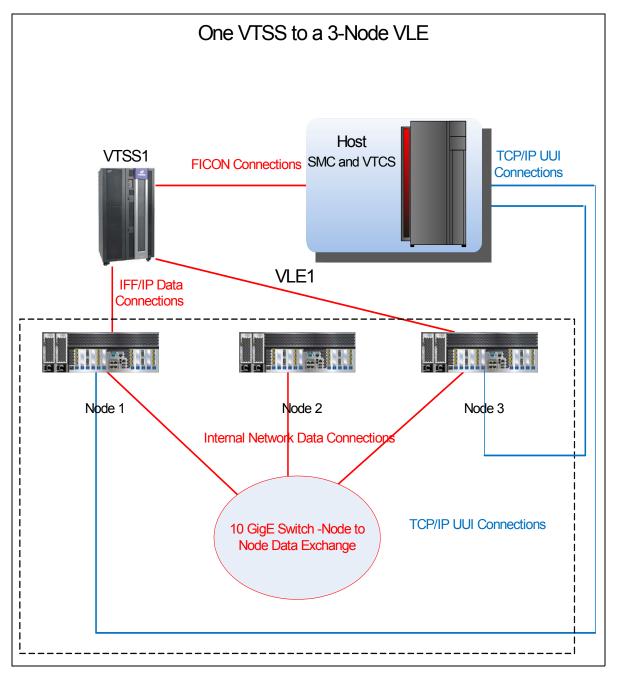


FIGURE A-19 Example 4: One VTSS Connected to a Three-Node VLE

As the example values in TABLE A-11 show, in this one VTSS to a 3-Node VLE example:

- The 10 GigE switch provides an internal network for data exchange between the nodes that make up VLE1.
- To provide redundancy, nodes 1 and 3 both have IFF/IP connections for data exchange with the VTSS and TCP/IP UUI connections to the Mainframe host.
- Node vle1b is a data repository and has no Mainframe host or VTSS connections.

IFF Card and Target	IPIF Value	VLE Node, Interface ID	IP Address	Check Box
	Data	a Connections		Replication
IFF0 Target 0	0A:0	Node 1, e1000g0	192.168.1.1	
IFF0 Target 1	0A:1			
IFF1 Target 0	0I:0	Node 1, e1000g4	192.168.2.1	
IFF1 Target 1	0I:1			
IFF2 Target 0	1A:0	Node 3, e1000g0	192.168.3.1	
IFF2 Target 1	1A:1			
IFF3 Target 0	1I:0	Node 3, e1000g4	192.168.4.1	
IFF3 Target 1	1I:1			
	UUI	Connections		
		Node 1, igb1	192.168.5.1	UUI
		Node 3, igb2	192.168.6.1	

TABLE A-11 Example 4 Configuration Values

Example 4: One VTSS Connected to a 3-Node VLE

Controlling Contaminants

Environmental Contaminants

Control over contaminant levels in a computer room is extremely important because tape libraries, tape drives, and tape media are subject to damage from airborne particulates. Most particles smaller than ten microns are not visible to the naked eye under most conditions, but these particles can be the most damaging. As a result, the operating environment must adhere to the following requirements:

- ISO 14644-1 Class 8 Environment.
- The total mass of airborne particulates must be less than or equal to 200 micrograms per cubic meter.
- Severity level G1 per ANSI/ISA 71.04-1985.

Oracle currently requires the ISO 14644-1 standard approved in 1999, but will require any updated standards for ISO 14644-1 as they are approved by the ISO governing body. The ISO 14644-1 standard primarily focuses on the quantity and size of particulates as well as the proper measurement methodology, but does not address the overall mass of the particulates. As a result, the requirement for total mass limitations is also necessary as a computer room or data center could meet the ISO 14644-1 specification, but still damage equipment because of the specific type of particulates in the room. In addition, the ANSI/ISA 71.04-1985 specification addresses gaseous contaminations as some airborne chemicals are more hazardous. All three requirements are consistent with the requirements set by other major tape storage vendors.

Required Air Quality Levels

Particles, gasses and other contaminants may impact the sustained operations of computer hardware. Effects can range from intermittent interference to actual component failures. The computer room must be designed to achieve a high level of cleanliness. Airborne dusts, gasses and vapors must be maintained within defined limits to help minimize their potential impact on the hardware.

Airborne particulate levels must be maintained within the limits of *ISO* 14644-1 *Class* 8 *Environment*. This standard defines air quality classes for clean zones based on airborne particulate concentrations. This standard has an order of magnitude less particles than standard air in an office environment. Particles ten microns or smaller are harmful to most data processing hardware because they tend to exist in large

numbers, and can easily circumvent many sensitive components' internal air filtration systems. When computer hardware is exposed to these submicron particles in great numbers they endanger system reliability by posing a threat to moving parts, sensitive contacts and component corrosion.

Excessive concentrations of certain gasses can also accelerate corrosion and cause failure in electronic components. Gaseous contaminants are a particular concern in a computer room both because of the sensitivity of the hardware, and because a proper computer room environment is almost entirely recirculating. Any contaminant threat in the room is compounded by the cyclical nature of the airflow patterns. Levels of exposure that might not be concerning in a well ventilated site repeatedly attack the hardware in a room with recirculating air. The isolation that prevents exposure of the computer room environment to outside influences can also multiply any detrimental influences left unaddressed in the room.

Gasses that are particularly dangerous to electronic components include chlorine compounds, ammonia and its derivatives, oxides of sulfur and petrol hydrocarbons. In the absence of appropriate hardware exposure limits, health exposure limits must be used.

While the following sections will describe some best practices for maintaining an ISO 14644-1 Class 8 Environment in detail, there are some basic precautions that must be adhered to:

- Do not allow food or drink into the area.
- Cardboard, wood, or packing materials must not be stored in the data center clean area.
- Identify a separate area for unpacking new equipment from crates and boxes.
- Do not allow construction or drilling in the data center without first isolating sensitive equipment and any air targeted specifically for the equipment. Construction generates a high level of particulates that exceed ISO 14644-1 Class 8 criteria in a localized area. Dry wall and gypsum are especially damaging to storage equipment.

Contaminant Properties and Sources

Contaminants in the room can take many forms, and can come from numerous sources. Any mechanical process in the room can produce dangerous contaminants or agitate settled contaminants. A particle must meet two basic criteria to be considered a contaminant:

- It must have the physical properties that could potentially cause damage to the hardware.
- It must be able to migrate to areas where it can cause the physical damage.

The only differences between a potential contaminant and an actual contaminant are time and location. Particulate matter is most likely to migrate to areas where it can do damage if it is airborne. For this reason, airborne particulate concentration is a useful measurement in determining the quality of the computer room environment. Depending on local conditions, particles as big as 1,000 microns can become airborne, but their active life is very short, and they are arrested by most filtration devices. Submicron particulates are much more dangerous to sensitive computer hardware, because they remain airborne for a much longer period of time, and they are more apt to bypass filters.

Operator Activity

Human movement within the computer space is probably the single greatest source of contamination in an otherwise clean computer room. Normal movement can dislodge tissue fragments, such as dander or hair, or fabric fibers from clothing. The opening and closing of drawers or hardware panels or any metal-on-metal activity can produce metal filings. Simply walking across the floor can agitate settled contamination making it airborne and potentially dangerous.

Hardware Movement

Hardware installation or reconfiguration involves a great deal of subfloor activity, and settled contaminants can very easily be disturbed, forcing them to become airborne in the supply air stream to the room's hardware. This is particularly dangerous if the subfloor deck is unsealed. Unsealed concrete sheds fine dust particles into the airstream, and is susceptible to efflorescence -- mineral salts brought to the surface of the deck through evaporation or hydrostatic pressure.

Outside Air

Inadequately filtered air from outside the controlled environment can introduce innumerable contaminants. Post-filtration contamination in duct work can be dislodged by air flow, and introduced into the hardware environment. This is particularly important in a downward-flow air conditioning system in which the subfloor void is used as a supply air duct. If the structural deck is contaminated, or if the concrete slab is not sealed, fine particulate matter (such as concrete dust or efflorescence) can be carried directly to the room's hardware.

Stored Items

Storage and handling of unused hardware or supplies can also be a source of contamination. Corrugated cardboard boxes or wooden skids shed fibers when moved or handled. Stored items are not only contamination sources; their handling in the computer room controlled areas can agitate settled contamination already in the room.

Outside Influences

A negatively pressurized environment can allow contaminants from adjoining office areas or the exterior of the building to infiltrate the computer room environment through gaps in the doors or penetrations in the walls. Ammonia and phosphates are often associated with agricultural processes, and numerous chemical agents can be produced in manufacturing areas. If such industries are present in the vicinity of the data center facility, chemical filtration may be necessary. Potential impact from automobile emissions, dusts from local quarries or masonry fabrication facilities or sea mists should also be assessed if relevant.

Cleaning Activity

Inappropriate cleaning practices can also degrade the environment. Many chemicals used in normal or "office" cleaning applications can damage sensitive computer equipment. Potentially hazardous chemicals outlined in the "Cleaning Procedures and Equipment" section should be avoided. Out-gassing from these products or direct contact with hardware components can cause failure. Certain biocide treatments used in building air handlers are also inappropriate for use in computer rooms either because they contain chemicals, that can degrade components, or because they are not designed to be used in the airstream of a re-circulating air system. The use of push mops or inadequately filtered vacuums can also stimulate contamination.

It is essential that steps be taken to prevent air contaminants, such as metal particles, atmospheric dust, solvent vapors, corrosive gasses, soot, airborne fibers or salts from entering or being generated within the computer room environment. In the absence of hardware exposure limits, applicable human exposure limits from OSHA, NIOSH or the ACGIH should be used.

Contaminant Effects

Destructive interactions between airborne particulate and electronic instrumentation can occur in numerous ways. The means of interference depends on the time and location of the critical incident, the physical properties of the contaminant and the environment in which the component is placed.

Physical Interference

Hard particles with a tensile strength at least 10% greater than that of the component material can remove material from the surface of the component by grinding action or embedding. Soft particles will not damage the surface of the component, but can collect in patches that can interfere with proper functioning. If these particles are tacky they can collect other particulate matter. Even very small particles can have an impact if they collect on a tacky surface, or agglomerate as the result of electrostatic charge build-up.

Corrosive Failure

Corrosive failure or contact intermittence due to the intrinsic composition of the particles or due to absorption of water vapor and gaseous contaminants by the particles can also cause failures. The chemical composition of the contaminant can be very important. Salts, for instance, can grow in size by absorbing water vapor from the air (nucleating). If a mineral salts deposit exists in a sensitive location, and the environment is sufficiently moist, it can grow to a size where it can physically interfere with a mechanism, or can cause damage by forming salt solutions.

Shorts

Conductive pathways can arise through the accumulation of particles on circuit boards or other components. Many types of particulate are not inherently conductive, but can absorb significant quantities of water in high-moisture environments. Problems caused by electrically conductive particles can range from intermittent malfunctioning to actual damage to components and operational failures.

Thermal Failure

Premature clogging of filtered devices will cause a restriction in air flow that could induce internal overheating and head crashes. Heavy layers of accumulated dust on hardware components can also form an insulative layer that can lead to heat-related failures.

Room Conditions

All surfaces within the controlled zone of the data center should be maintained at a high level of cleanliness. All surfaces should be periodically cleaned by trained professionals on a regular basis, as outlined in the "Cleaning Procedures and Equipment" section. Particular attention should be paid to the areas beneath the hardware, and the access floor grid. Contaminants near the air intakes of the hardware can more easily be transferred to areas where they can do damage. Particulate accumulations on the access floor grid can be forced airborne when floor tiles are lifted to gain access to the sub-floor.

The subfloor void in a downward-flow air conditioning system acts as the supply air plenum. This area is pressurized by the air conditioners, and the conditioned air is then introduced into the hardware spaces through perforated floor panels. Thus, all air traveling from the air conditioners to the hardware must first pass through the subfloor void. Inappropriate conditions in the supply air plenum can have a dramatic effect on conditions in the hardware areas.

The subfloor void in a data center is often viewed solely as a convenient place to run cables and pipes. It is important to remember that this is also a duct, and that conditions below the false floor must be maintained at a high level of cleanliness. Contaminant sources can include degrading building materials, operator activity or infiltration from outside the controlled zone. Often particulate deposits are formed where cables or other subfloor items form air dams that allow particulate to settle and accumulate. When these items are moved, the particulate is re-introduced into the supply airstream, where it can be carried directly to hardware.

Damaged or inappropriately protected building materials are often sources of subfloor contamination. Unprotected concrete, masonry block, plaster or gypsum wall-board will deteriorate over time, shedding fine particulate into the air. Corrosion on post-filtration air conditioner surfaces or subfloor items can also be a concern. The subfloor void must be thoroughly and appropriately decontaminated on a regular basis to address these contaminants. Only vacuums equipped with High Efficiency Particulate Air (HEPA) filtration should be used in any decontamination procedure. Inadequately filtered vacuums will not arrest fine particles, passing them through the unit at high speeds, and forcing them airborne. Unsealed concrete, masonry or other similar materials are subject to continued degradation. The sealants and hardeners normally used during construction are often designed to protect the deck against heavy traffic, or to prepare the deck for the application of flooring materials, and are not meant for the interior surfaces of a supply air plenum. While regular decontaminations will help address loose particulate, the surfaces will still be subject to deterioration over time, or as subfloor activity causes wear. Ideally all of the subfloor surfaces will be appropriately sealed at the time of construction. If this is not the case, special precautions will be necessary to address the surfaces in an on-line room.

It is extremely important that only appropriate materials and methodology are used in the encapsulation process. Inappropriate sealants or procedures can actually degrade the conditions they are meant to improve, impacting hardware operations and reliability. The following precautions should be taken when encapsulating the supply air plenum in an on-line room:

- Manually apply the encapsulant. Spray applications are totally inappropriate in an on-line data center. The spraying process forces the sealant airborne in the supply airstream, and is more likely to encapsulate cables to the deck.
- Use a pigmented encapsulant. The pigmentation makes the encapsulant visible in application, ensuring thorough coverage, and helps in identifying areas that are damaged or exposed over time.
- It must have a high flexibility and low porosity to effectively cover the irregular textures of the subject area, and to minimize moisture migration and water damage.
- The encapsulant must not out-gas any harmful contaminants. Many encapsulants commonly used in industry are highly ammoniated or contain other chemicals that can be harmful to hardware. It is very unlikely that this out-gassing could cause immediate, catastrophic failure, but these chemicals will often contribute to corrosion of contacts, heads or other components.

Effectively encapsulating a subfloor deck in an on-line computer room is a very sensitive and difficult task, but it can be conducted safely if appropriate procedures and materials are used. Avoid using the ceiling void as an open supply or return for the building air system. This area is typically very dirty and difficult to clean. Often the structural surfaces are coated with fibrous fire-proofing, and the ceiling tiles and insulation are also subject to shedding. Even before filtration, this is an unnecessary exposure that can adversely affect environmental conditions in the room. It is also important that the ceiling void does not become pressurized, as this will force dirty air into the computer room. Columns or cable chases with penetrations in both the subfloor and ceiling void can lead to ceiling void pressurization.

Exposure Points

All potential exposure points in the data center should be addressed to minimize potential influences from outside the controlled zone. Positive pressurization of the computer rooms will help limit contaminant infiltration, but it is also important to minimize any breaches in the room perimeter. To ensure the environment is maintained correctly, the following should be considered:

- All doors should fit snugly in their frames.
- Gaskets and sweeps can be used to address any gaps.

- Automatic doors should be avoided in areas where they can be accidentally triggered. An alternate means of control would be to remotely locate a door trigger so that personnel pushing carts can open the doors easily. In highly sensitive areas, or where the data center is exposed to undesirable conditions, it may be advisable to design and install personnel traps. Double sets of doors with a buffer between can help limit direct exposure to outside conditions.
- Seal all penetrations between the data center and adjacent areas.
- Avoid sharing a computer room ceiling or subfloor plenum with loosely controlled adjacent areas.

Filtration

Filtration is an effective means of addressing airborne particulate in a controlled environment. It is important that all air handlers serving the data center are adequately filtered to ensure appropriate conditions are maintained within the room. In-room process cooling is the recommended method of controlling the room environment. The in-room process coolers re-circulate room air. Air from the hardware areas is passed through the units where it is filtered and cooled, and then introduced into the subfloor plenum. The plenum is pressurized, and the conditioned air is forced into the room, through perforated tiles, which then travels back to the air conditioner for reconditioning. The airflow patterns and design associated with a typical computer room air handler have a much higher rate of air change than typical comfort cooling air conditioners so air is filtered much more often than in an office environment. Proper filtration can capture a great deal of particulates. The filters installed in the in-room, re-circulating air conditioners should have a minimum efficiency of 40% (Atmospheric Dust-Spot Efficiency, ASHRAE Standard 52.1). Lowgrade pre-filters should be installed to help prolong the life of the more expensive primary filters.

Any air being introduced into the computer room controlled zone, for ventilation or positive pressurization, should first pass through high efficiency filtration. Ideally, air from sources outside the building should be filtered using High Efficiency Particulate Air (HEPA) filtration rated at 99.97% efficiency (DOP Efficiency MILSTD-282) or greater. The expensive high efficiency filters should be protected by multiple layers of pre-filters that are changed on a more frequent basis. Low-grade pre-filters, 20% ASHRAE atmospheric dust-spot efficiency, should be the primary line of defense. The next filter bank should consist of pleated or bag type filters with efficiencies between 60% and 80% ASHRAE atmospheric dust-spot efficiency.

ASHRAE 52-76		Fractional Efficiencies %				
Dust spot efficiency %	3.0 micron	1.0 micron	0.3 micron			
25-30	80	20	<5			
60-65	93	50	20			
80-85	99	90	50			
90	>99	92	60			
DOP 95		>99	95			

Low efficiency filters are almost totally ineffective at removing sub-micron particulates from the air. It is also important that the filters used are properly sized for the air handlers. Gaps around the filter panels can allow air to bypass the filter as it passes through the air conditioner. Any gaps or openings should be filled using appropriate materials, such as stainless steel panels or custom filter assemblies.

Positive Pressurization and Ventilation

A designed introduction of air from outside the computer room system will be necessary in order to accommodate positive pressurization and ventilation requirements. The data center should be designed to achieve positive pressurization in relation to more loosely controlled surrounding areas. Positive pressurization of the more sensitive areas is an effective means of controlling contaminant infiltration through any minor breaches in the room perimeter. Positive pressure systems are designed to apply outward air forces to doorways and other access points within the data processing center in order to minimize contaminant infiltration of the computer room. Only a minimal amount of air should be introduced into the controlled environment. In data centers with multiple rooms, the most sensitive areas should be the most highly pressurized. It is, however, extremely important that the air being used to positively pressurize the room does not adversely affect the environmental conditions in the room. It is essential that any air introduction from outside the computer room is adequately filtered and conditioned to ensure that it is within acceptable parameters. These parameters can be looser than the goal conditions for the room since the air introduction should be minimal. A precise determination of acceptable limits should be based on the amount of air being introduced and the potential impact on the environment of the data center.

Because a closed-loop, re-circulating air conditioning system is used in most data centers, it will be necessary to introduce a minimal amount of air to meet the ventilation requirements of the room occupants. Data center areas normally have a very low human population density; thus the air required for ventilation will be minimal. In most cases, the air needed to achieve positive pressurization will likely exceed that needed to accommodate the room occupants. Normally, outside air quantities of less than 5% make-up air should be sufficient (ASHRAE Handbook: Applications, Chapter 17). A volume of 15 CFM outside air per occupant or workstation should sufficiently accommodate the ventilation needs of the room.

Cleaning Procedures and Equipment

Even a perfectly designed data center requires continued maintenance. Data centers containing design flaws or compromises may require extensive efforts to maintain conditions within desired limits. Hardware performance is an important factor contributing to the need for a high level of cleanliness in the data center.

Operator awareness is another consideration. Maintaining a fairly high level of cleanliness will raise the level of occupant awareness with respect to special requirements and restrictions while in the data center. Occupants or visitors to the data center will hold the controlled environment in high regard and are more likely to act appropriately. Any environment that is maintained to a fairly high level of cleanliness and is kept in a neat and well organized fashion will also command respect from the room's inhabitants and visitors. When potential clients visit the room they will interpret the overall appearance of the room as a reflection of an

Frequency	Task
Daily Actions	Rubbish removal
Weekly Actions	Access floor maintenance (vacuum and damp mop)
Quarterly Actions	Hardware decontamination
	Room surface decontamination
Bi-Annual Actions	Subfloor void decontamination
	Air conditioner decontamination (as necessary)

overall commitment to excellence and quality. An effective cleaning schedule must consist of specially designed short-term and long-term actions. These can be summarized as follows:

Daily Tasks

This statement of work focuses on the removal of each day's discarded trash and rubbish from the room. In addition, daily floor vacuuming may be required in Print Rooms or rooms with a considerable amount of operator activity.

Weekly Tasks

This statement of work focuses on the maintenance of the access floor system. During the week, the access floor becomes soiled with dust accumulations and blemishes. The entire access floor should be vacuumed and damp mopped. All vacuums used in the data center, for any purpose, should be equipped with High Efficiency Particulate Air (HEPA) filtration. Inadequately filtered equipment cannot arrest smaller particles, but rather simply agitates them, degrading the environment they were meant to improve. It is also important that mop-heads and dust wipes are of appropriate nonshedding designs.

Cleaning solutions used within the data center must not pose a threat to the hardware. Solutions that could potentially damage hardware include products that are:

- Ammoniated
- Chlorine-based
- Phosphate-based
- Bleach enriched
- Petro-chemical based
- Floor strippers or re-conditioners.

It is also important that the recommended concentrations are used, as even an appropriate agent in an inappropriate concentration can be potentially damaging. The solution should be maintained in good condition throughout the project, and excessive applications should be avoided.

Quarterly Tasks

The quarterly statement of work involves a much more detailed and comprehensive decontamination schedule and should only be conducted by experienced computer room contamination-control professionals. These actions should be performed three to four times per year, based on the levels of activity and contamination present. All room surfaces should be thoroughly decontaminated including cupboards, ledges, racks, shelves and support equipment. High ledges and light fixtures and generally accessible areas should be treated or vacuumed as appropriate. Vertical surfaces including windows, glass partitions, doors, etc. should be thoroughly treated. Special dust cloths that are impregnated with a particle absorbent material are to be used in the surface decontamination process. Do not use generic dust rags or fabric cloths to perform these activities. Do not use any chemicals, waxes or solvents during these activities.

Settled contamination should be removed from all exterior hardware surfaces including horizontal and vertical surfaces. The unit's air inlet and outlet grilles should be treated as well. Do not wipe the unit's control surfaces as these areas can be decontaminated by the use of lightly compressed air. Special care should also be taken when cleaning keyboards and life-safety controls. Specially treated dust wipes should be used to treat all hardware surfaces. Monitors should be treated with optical cleansers and static-free cloths. No Electro-Static Discharge (ESD) dissipative chemicals should be used on the computer hardware, since these agents are caustic and harmful to most sensitive hardware. The computer hardware is sufficiently designed to permit electrostatic dissipation thus no further treatments are required. After all of the hardware and room surfaces have been thoroughly decontaminated, the access floor should be HEPA vacuumed and damp mopped as detailed in the Weekly Actions.

Biennial Tasks

The subfloor void should be decontaminated every 18 months to 24 months based on the conditions of the plenum surfaces and the degree of contaminant accumulation. Over the course of the year, the subfloor void undergoes a considerable amount of activity that creates new contamination accumulations. Although the weekly above floor cleaning activities will greatly reduce the subfloor dust accumulations, a certain amount of surface dirt will migrate into the subfloor void. It is important to maintain the subfloor to a high degree of cleanliness since this area acts as the hardware's supply air plenum. It is best to perform the subfloor decontamination treatment in a short time frame to reduce cross contamination. The personnel performing this operation should be fully trained to assess cable connectivity and priority. Each exposed area of the subfloor void should be individually inspected and assessed for possible cable handling and movement. All twist-in and plug-in connections should be checked and fully engaged before cable movement. All subfloor activities must be conducted with proper consideration for air distribution and floor loading. In an effort to maintain access floor integrity and proper psychrometric conditions, the number of floor tiles removed from the floor system should be carefully managed. In most cases, each work crew should have no more than 24 square feet (six tiles) of open access flooring at any one time. The access floor's supporting grid system should also be thoroughly decontaminated, first by vacuuming the loose debris and then by damp-sponging the accumulated residue. Rubber gaskets, if present, as the metal framework that makes up the grid system should be removed from the grid

work and cleaned with a damp sponge as well. Any unusual conditions, such as damaged floor suspension, floor tiles, cables and surfaces, within the floor void should be noted and reported.

Activity and Processes

Isolation of the data center is an integral factor in maintaining appropriate conditions. All unnecessary activity should be avoided in the data center, and access should be limited to necessary personnel only. Periodic activity, such as tours, should be limited, and traffic should be restricted to away from the hardware so as to avoid accidental contact. All personnel working in the room, including temporary employees and janitorial personnel, should be trained in the most basic sensitivities of the hardware so as to avoid unnecessary exposure. The controlled areas of the data center should be thoroughly isolated from contaminant producing activities. Ideally, print rooms, check sorting rooms, command centers or other areas with high levels of mechanical or human activity should have no direct exposure to the data center. Paths to and from these areas should not necessitate traffic through the main data center areas. Activity and Processes